

All Slides

Nil Ozer

A&W



A&W

Exercise Session 1

Introduction

Nil Ozer

Outline

- Logistics
- A&W Overview
- Exam
- How to study for A&W
- Get to know me/you
- Warm up exercise

Logistics

- Exercise Session here and on wednesday only for today !!
- Normally : **Thursdays, 16:15 - 18:00 , HG E 33.1**

Logistics

- **Programming Exercise**
 - Every week, starting on 2. week
 - CodeExpert
 - 2 points, automatically graded
- **Theory Exercise**
 - Even weeks, starting on the 2. week
 - until 10:00 on the following Thursday
 - 2 points, TA graded
- **Peer Grading Exercise**
 - Odd weeks, starting on the 3. week
 - 2 points (upload + peer grading), TA graded
- **Mini Quiz**
 - Even weeks, starting on the 2. week
 - First ~5 min of the exercise class
 - 2 points

Logistics

| | | | |
|----|-----------|-----------------------|----------------------|
| W1 | | Warm up exercise | |
| W2 | Mini Quiz | Theory Exercise | Programming Exercise |
| W3 | | Peer Grading Exercise | Programming Exercise |
| W4 | Mini Quiz | Theory Exercise | Programming Exercise |
| W5 | | Peer Grading Exercise | Programming Exercise |
| W6 | Mini Quiz | Theory Exercise | Programming Exercise |
| W7 | | Peer Grading Exercise | Programming Exercise |

...

Logistics

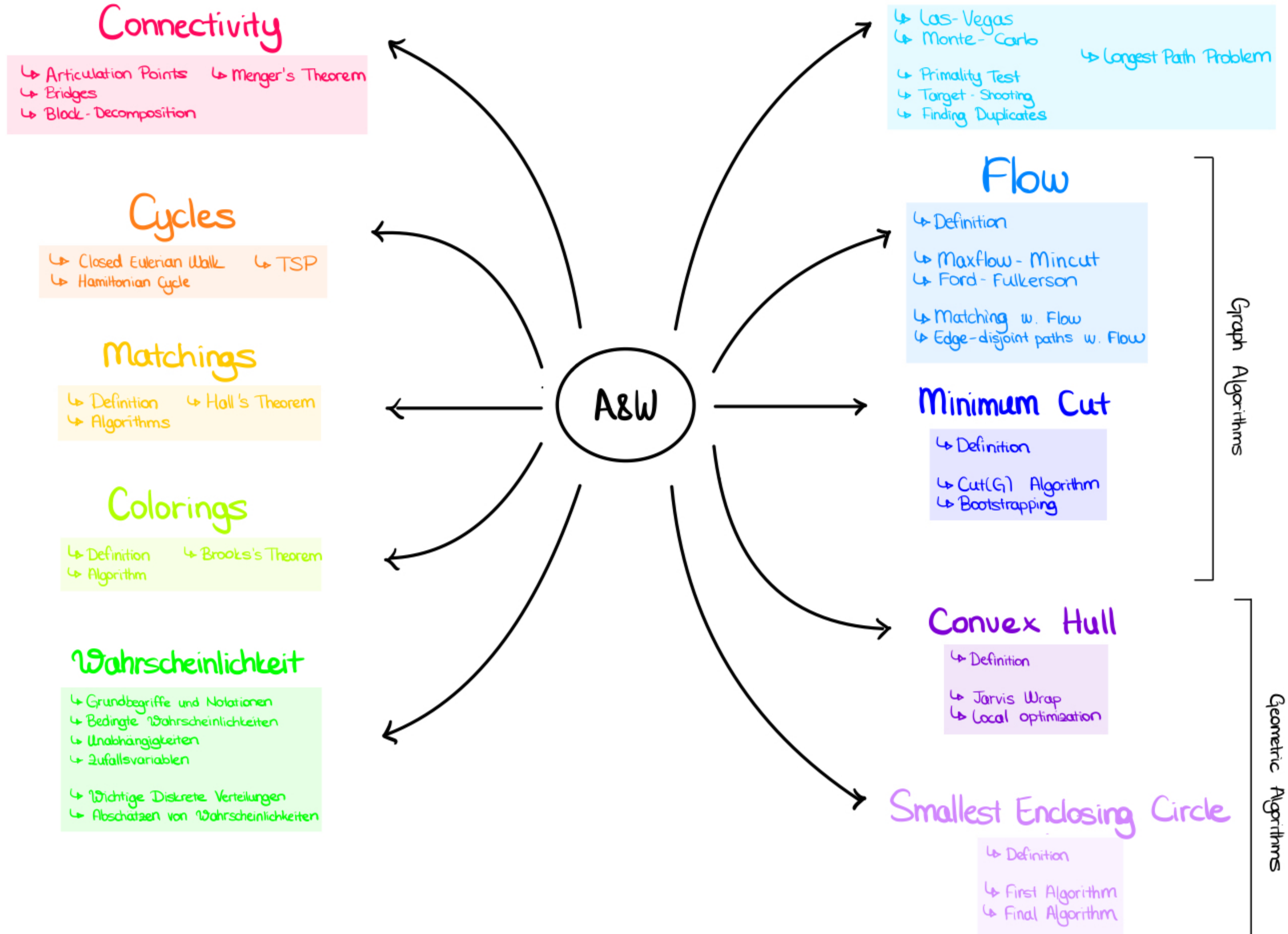
- Bonus Point Calculation
 - $\geq 80\%$ of all points \rightarrow 0.25 bonus
 - Otherwise : `bonus_grade = 0.25 * min(1, your_bonus / (0.8*max_bonus)).`
- Final Grade Calculation

`final_grade = round(exam_grade + bonus_grade)`

Website Introduction

www.nilozer.com

A&W Overview



A&W Standpoint at ETH CS

- Algorithms Part
 - A&D (1. Semester)
 - APC (Algorithms , Probability and Computing) (5. Semester)
- Probability Part
 - WuS (Wahrscheinlichkeit und Statistik) (4. Semester)



Exam



Exam

Quiz navigation

Algorithmen und
Wahrscheinlichkeiten
Klausur

i

Formelsammlung

i

True/False Questions
- Part 1

i

1

2

3

4

5

6

7

8

9

10

Algorithms - Part 2

i

11

12

Multiple Choice und
Kurzantworten - Part
3

i

13

14

15

16

17

Block Multiple Choice
- Part 4

i

18

19

20

21

22

Schriftliche Aufgaben
- Part 5

i

i

i

Java Documentation

i

Programming
Exercises - Part 6

i

23

24

[Finish attempt ...](#)

Exam

Quiz navigation

Algorithmen und Wahrscheinlichkeiten Klausur

☐ i ☒

Formelsammlung

☐ i

True/False Questions - Part 1

☐ i ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6

☐ 7 ☐ 8 ☐ 9 ☐ 10

Algorithms - Part 2

☐ i ☐ 11 ☐ 12

Multiple Choice und Kurzantworten - Part 3

☐ i ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Block Multiple Choice - Part 4

☐ i ☐ 18 ☐ 19 ☐ 20 ☐ 21 ☐ 22

Schriftliche Aufgaben - Part 5

☐ i ☐ i ☐ i

Java Documentation

☐ i

Programming Exercises - Part 6

☐ i ☐ 23 ☒ 24

[Finish attempt ...](#)

6 Parts

First 4 parts : each 10 points (similar to minitest)

Part 5 : written tasks, 20 points in total (similar to theory exercises)

Part 6 : 2 programming tasks, each 10 points (similar to CodeEx)

Exam

Moodle

Written Theory

Programming

Quiz navigation

Algorithmen und
Wahrscheinlichkeiten
Klausur



Formelsammlung



True/False Questions - Part 1

| | | | | | | |
|---|---|---|----|---|---|---|
| i | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | | | |

Algorithms - Part 2

| | | |
|---|----|----|
| i | 11 | 12 |
|---|----|----|

Multiple Choice und Kurzantworten - Part 3

| | | | | | |
|---|----|----|----|----|----|
| i | 13 | 14 | 15 | 16 | 17 |
|---|----|----|----|----|----|

Block Multiple Choice - Part 4

| | | | | | |
|---|----|----|----|----|----|
| i | 18 | 19 | 20 | 21 | 22 |
|---|----|----|----|----|----|

Schriftliche Aufgaben - Part 5

| | | |
|---|---|---|
| i | i | i |
|---|---|---|

Java Documentation

| |
|---|
| i |
|---|

Programming Exercises - Part 6

| | | |
|---|----|----|
| i | 23 | 24 |
|---|----|----|

[Finish attempt ...](#)

Mock Exam 2022

Moodle

~1 points

True/False Questions

- Part 1

| | | | | | | |
|---|---|---|----|---|---|---|
| i | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | | | |

Algorithms - Part 2

| | | |
|---|----|----|
| i | 11 | 12 |
|---|----|----|

Multiple Choice und Kurzantworten - Part 3

| | | | | | |
|---|----|----|----|----|----|
| i | 13 | 14 | 15 | 16 | 17 |
|---|----|----|----|----|----|

Block Multiple Choice - Part 4

| | | | | | |
|---|----|----|----|----|----|
| i | 18 | 19 | 20 | 21 | 22 |
|---|----|----|----|----|----|

Ein Matching, für das es keinen augmentierenden Pfad gibt, ist inklusionsmaximal.

Select one:

- ☐ True
- ☐ False

Drei Ereignisse A, B, C heißen unabhängig genau dann wenn $\Pr[A \cap B \cap C] = \Pr[A] \cdot \Pr[B] \cdot \Pr[C]$.

Select one:

- ☐ True
- ☐ False

Mock Exam 2022

~5 points

Moodle

True/False Questions - Part 1

| | | | | | | |
|---|---|---|----|---|---|---|
| i | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | | | |

Algorithms - Part 2

| | | |
|---|----|----|
| i | 11 | 12 |
|---|----|----|

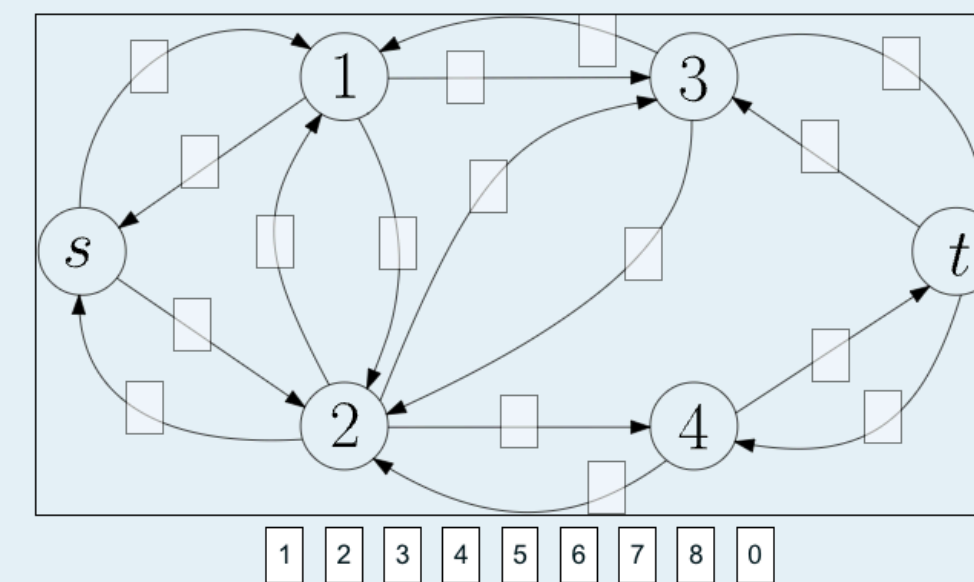
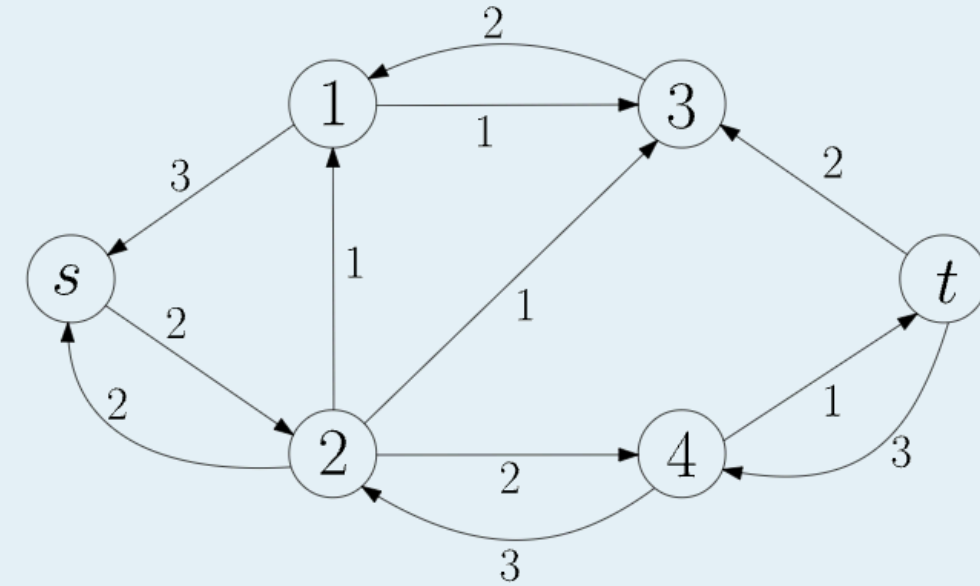
Multiple Choice und Kurzantworten - Part 3

| | | | | | |
|---|----|----|----|----|----|
| i | 13 | 14 | 15 | 16 | 17 |
|---|----|----|----|----|----|

Block Multiple Choice - Part 4

| | | | | | |
|---|----|----|----|----|----|
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|---|----|----|----|----|----|

Sei N ein Netzwerk ohne entgegengesetzte Kanten. Betrachten Sie das abgebildete Restnetzwerk R_f . Berechnen Sie den zugehörigen Fluss f und ziehen Sie die Flusswerte auf die entsprechenden Kanten (verwenden Sie die 0 für Kanten, über die kein Fluss fließt)



Mock Exam 2022

~5 points

Moodle

True/False Questions

- Part 1

| | | | | | | |
|---|---|---|----|---|---|---|
| i | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | | | |

Algorithms - Part 2

| | | |
|---|----|----|
| i | 11 | 12 |
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Multiple Choice und Kurzantworten - Part 3

| | | | | | |
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Block Multiple Choice - Part 4

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|---|----|----|----|----|----|
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|---|----|----|----|----|----|

function Metric_TSP_Approximation(G):

1. Finde ein/e (gewichts-)minimale/n/s ✗ T in G.

2. Sei W die Menge von Knoten in T deren Grad ✗

3. Finde ein/e (gewichtsminimale/n/s ✗ M von W.

4. Finde ein/e ✗ S im (Multi-)Graph

- ☐ $M \cup G$
- ☐ $G \setminus M$
- ☐ $M \cup T$
- ☐ $(G \setminus T) \cup M$

Mock Exam 2022

~2 points

Moodle

True/False Questions - Part 1

| | | | | | | |
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Algorithms - Part 2

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Multiple Choice und Kurzantworten - Part 3

| | | | | | |
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Block Multiple Choice - Part 4

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|---|----|----|----|----|----|

Sei $\Omega = \{-3, -2, 0, 2, 3\}$ ein Laplaceraum und sei ω ein (zufälliges) Elementarereignis in Ω . Berechnen Sie $E[|\omega|]$.

Answer:



Max wirft 10 faire Münzen. Leider hat er vergessen vorher das Fenster zu schliessen und jede seiner Münzen wird mit Wahrscheinlichkeit p von einer Elster gestohlen (unabhängig von den anderen Münzen).

Was ist die Wahrscheinlichkeit, dass Max wenigstens eine Münze, die Zahl zeigt, behält?

☐ ✓ $1 - (1 - (1 - p)/2)^{10}$



☐ × $1 - p^{10}$



☐ × $5 \cdot (1 - p)$



☐ × $1 - (1 - p)^{10}/2^{10}$



Mock Exam 2022

Moodle

True/False Questions - Part 1

| | | | | | | |
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Algorithms - Part 2

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Multiple Choice und Kurzantworten - Part 3

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Block Multiple Choice - Part 4

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|---|----|----|----|----|----|

Welche der folgenden Probleme können -- mithilfe von Ideen aus dem Kurs -- als Fluss-Probleme modelliert und gelöst werden?

Richtig Falsch

- | | | |
|----------------------------------|----------------------------------|---|
| <input checked="" type="radio"/> | <input type="radio"/> | Herausfinden, ob ein bipartiter Graph G ein perfektes Matching hat. |
| <input type="radio"/> | <input checked="" type="radio"/> | Den längsten Pfad in einem Graph G finden. |
| <input checked="" type="radio"/> | <input type="radio"/> | Herausfinden, ob ein Graph G 2-Kanten-zusammenhängend ist. |
| <input checked="" type="radio"/> | <input type="radio"/> | Herausfinden, ob ein Graph G 2-Knoten-zusammenhängend ist. |

~2 points

Seien A, B, C unabhängige Ereignisse. Welche der folgenden Gleichungen sind immer wahr?

Richtig Falsch

- | | | |
|----------------------------------|----------------------------------|---|
| <input checked="" type="radio"/> | <input type="radio"/> | $\Pr[A \cap B] = \Pr[A] \cdot \Pr[B]$ |
| <input type="radio"/> | <input checked="" type="radio"/> | $\Pr[A] + \Pr[B] \leq \Pr[A \cup B]$ |
| <input checked="" type="radio"/> | <input type="radio"/> | $\Pr[A B \cap C] = \Pr[A B \cup C]$ |
| <input type="radio"/> | <input checked="" type="radio"/> | $\Pr[(A \cup B) \cap C] = (\Pr[A] + \Pr[B]) \cdot \Pr[C]$ |

Mock Exam 2022

Written Theory

Schriftliche Aufgaben - Part 5



Zeigen/Widerlegen Sie folgende Aussagen

- a) Sei $G = (A \cup B, E)$ ein regulärer bipartiter Graph mit $E \neq \emptyset$. Dann ist $|A| = |B|$.
- b) Seien X und Y unabhängige Zufallsvariablen. Dann gilt $\mathbb{E}[\max(X, Y)] = \max(\mathbb{E}[X], \mathbb{E}[Y])$
- c) Sei v ein Knoten, der inzident zu mindestens zwei Brücken ist. Dann ist u ein Artikulationsknoten.

jeweils 4 Punkte

on paper

Mock Exam 2022

Programming

Java Documentation



Programming Exercises - Part 6



23



[Finish attempt ...](#)

- One probability task
- One flow task

~10 points each

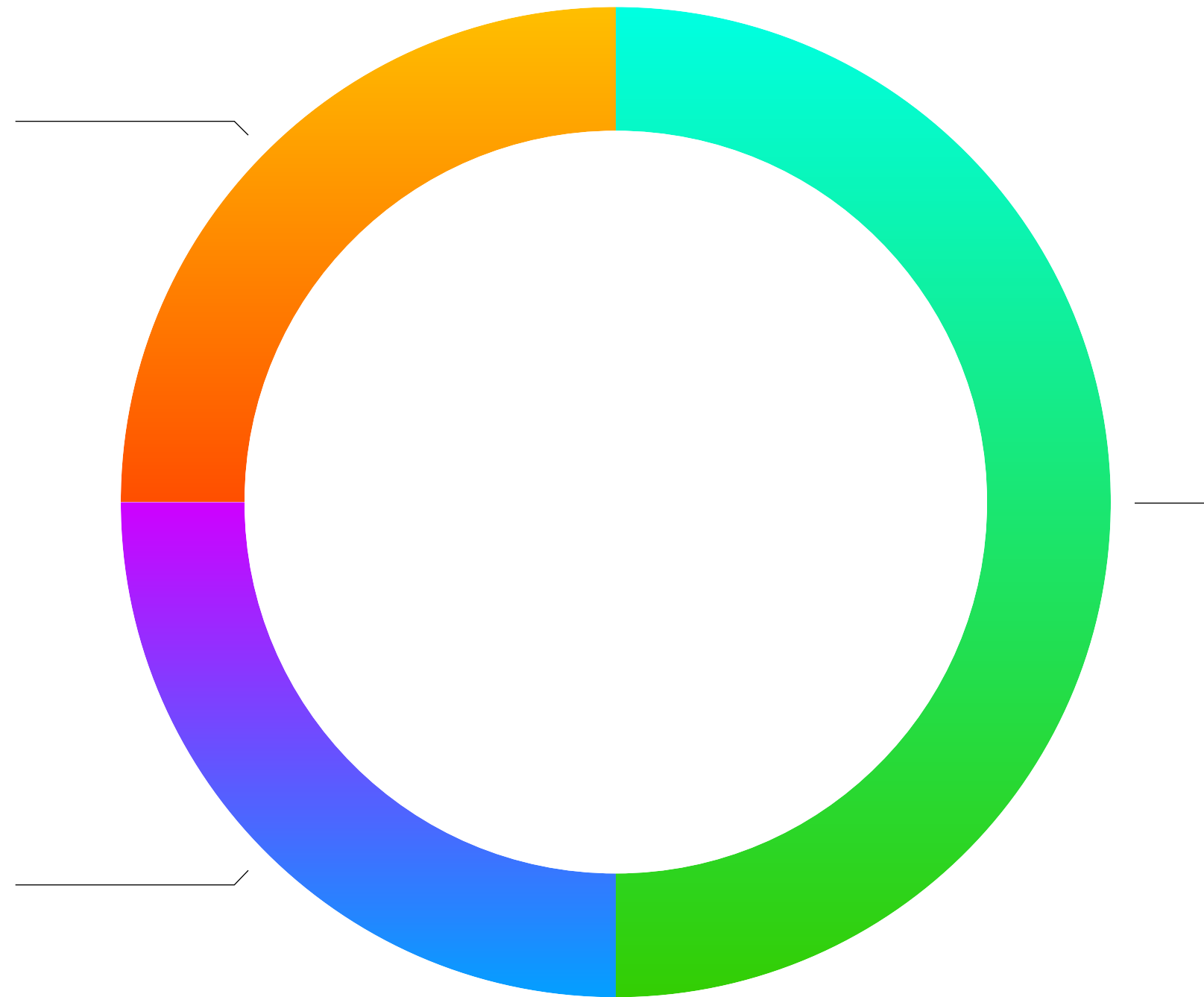
Point Distribution

based on mock exam

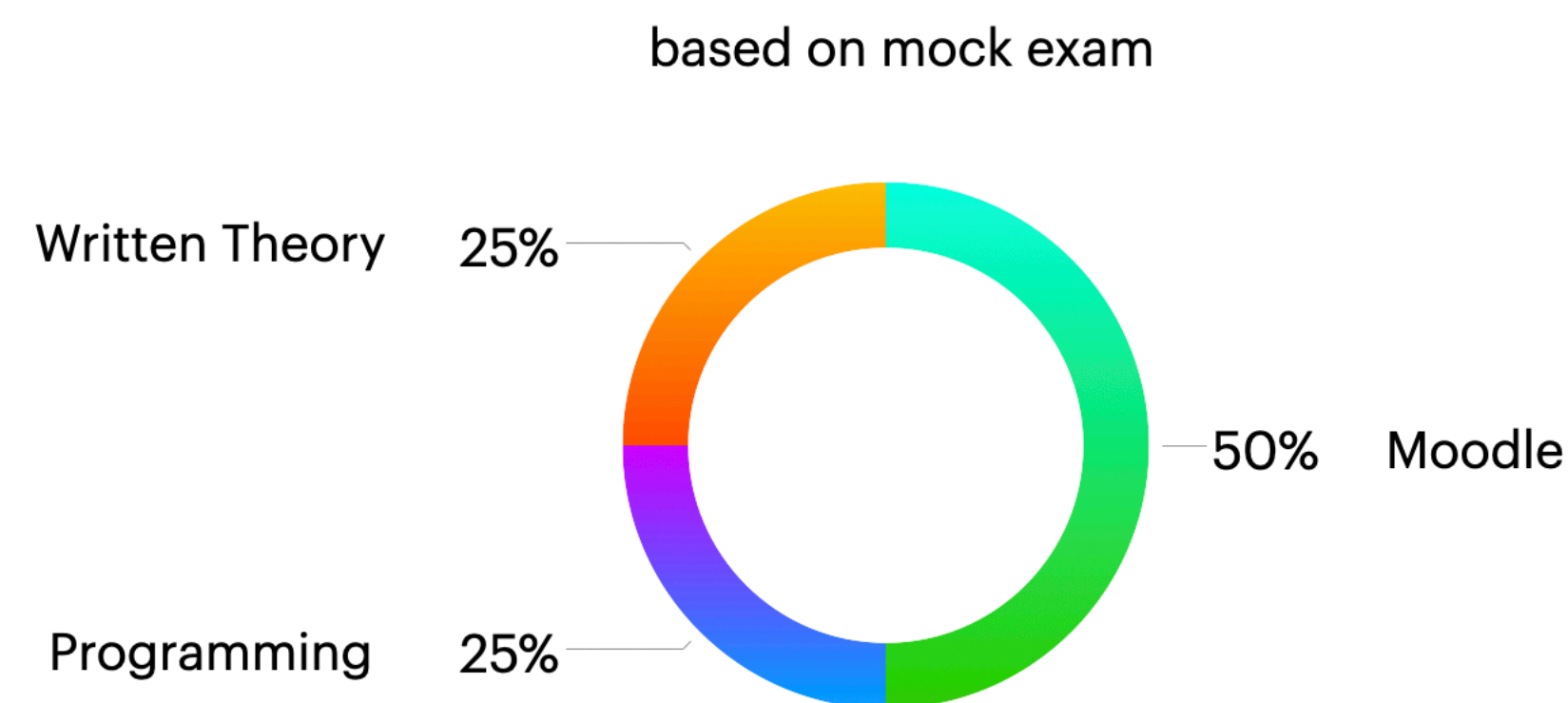
Written Theory

Moodle

Programming

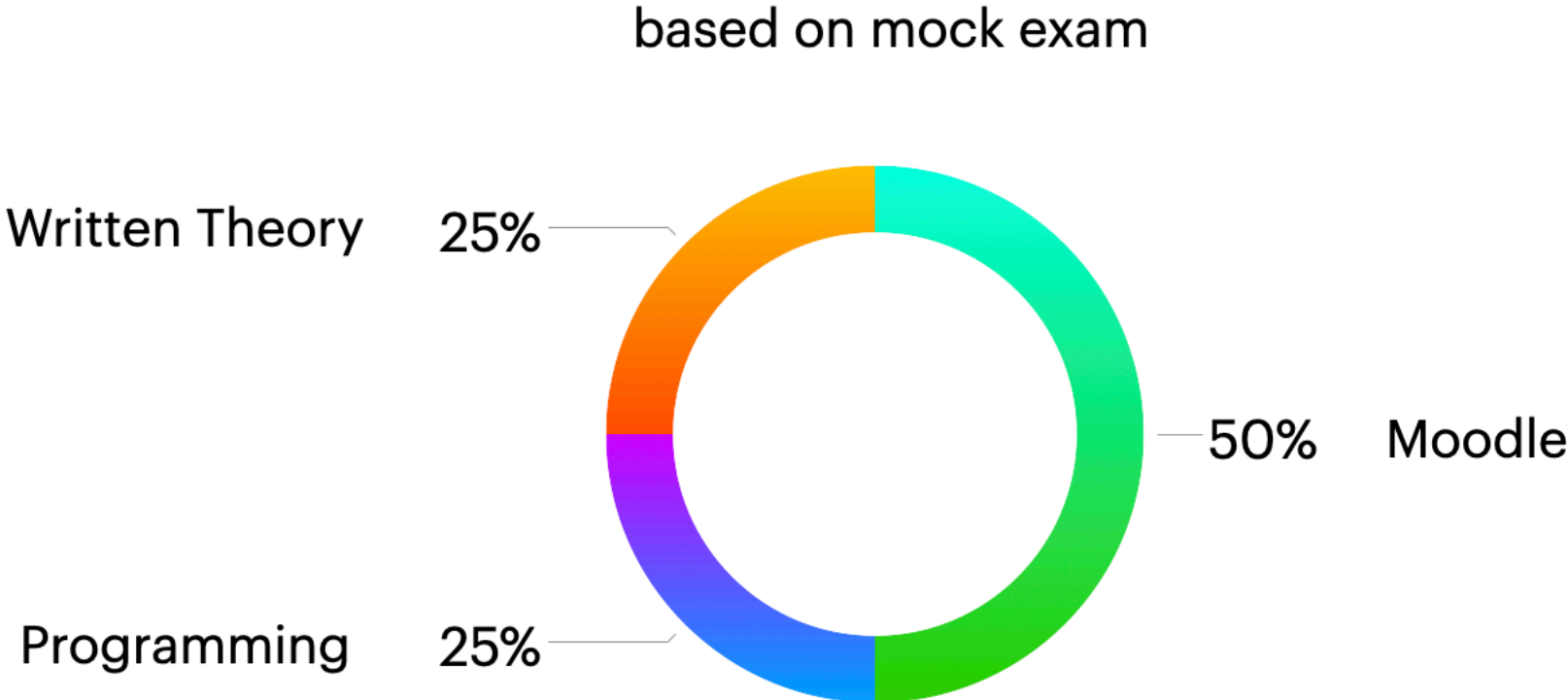


Point Distribution + Weekly Exercises

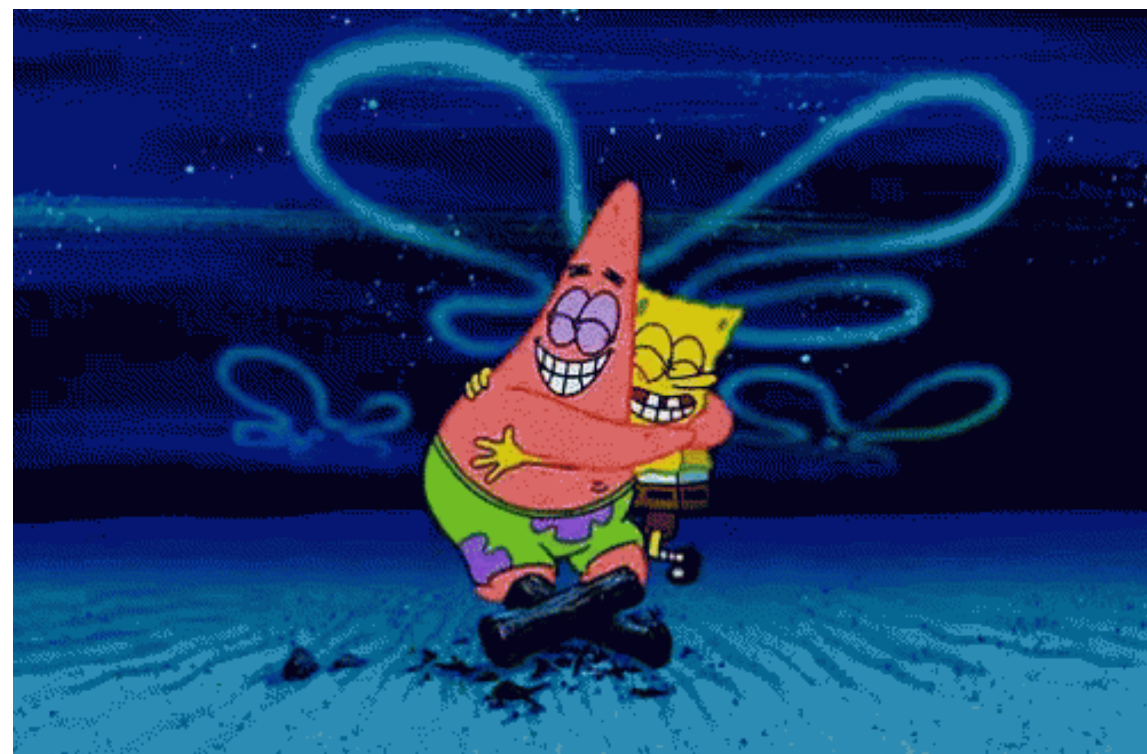


| | | | |
|----|-----------|-----------------------|----------------------|
| W1 | | Warm up exercise | |
| W2 | Mini Quiz | Theory Exercise | Programming Exercise |
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| W5 | | Peer Grading Exercise | Programming Exercise |
| W6 | Mini Quiz | Theory Exercise | Programming Exercise |
| W7 | | Peer Grading Exercise | Programming Exercise |

Point Distribution + Weekly Exercises



| | Moodle | Written + Moodle | Programming |
|----|-----------|-----------------------|----------------------|
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| W7 | | Peer Grading Exercise | Programming Exercise |



I got you !



How to study for A&W

During Semester

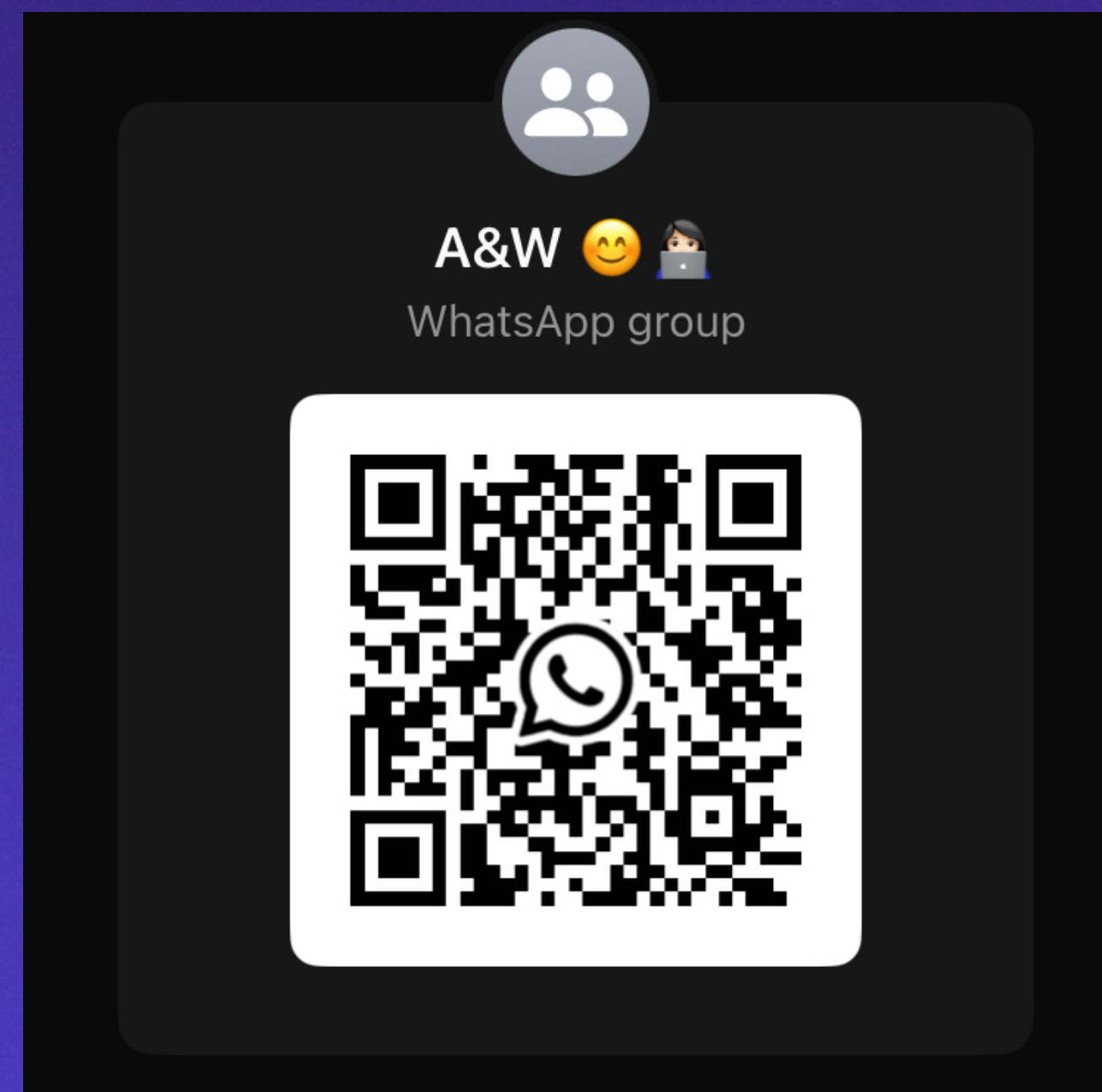
- Attend all lectures !
- Skript ! Some recap parts from A&D in the beginning
- Always come to the exercise session. Even if you fall back !
- Try to solve all exercises (of all types) Coding weekly !
- Ask questions ! exercise session , breaks, WhatsApp group, email , Moodle forum
- Summaries, Recaps
- Feedback Feedback pools by me or contacting me directly

Get to know me

Get to know you

Join the whatsapp group !

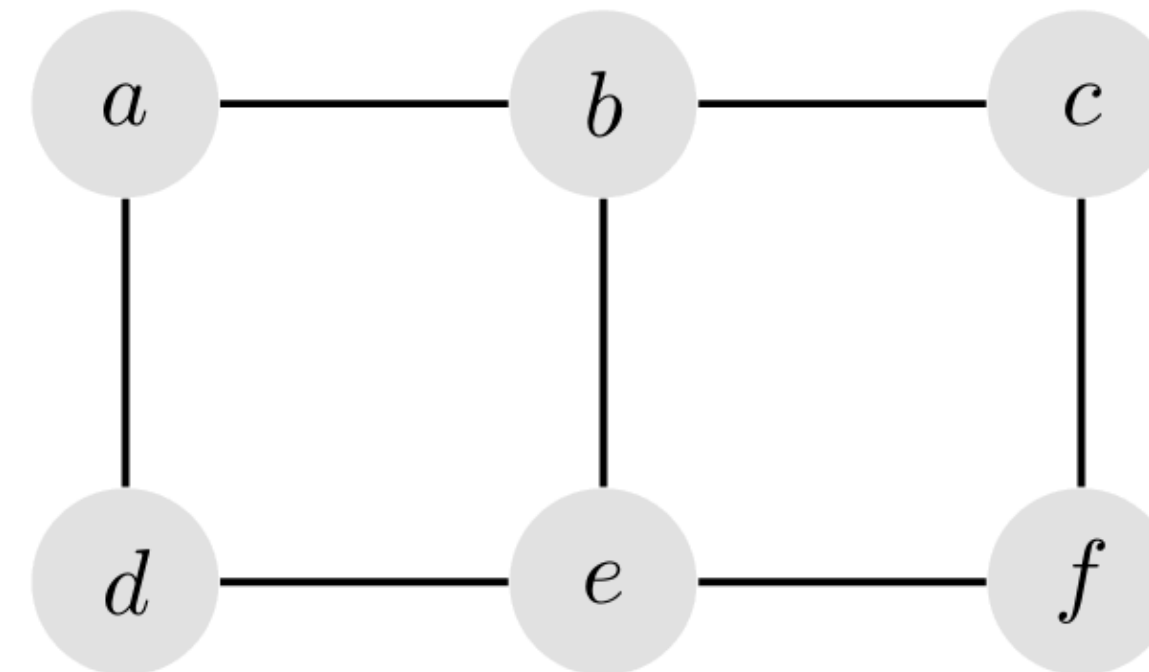
Let's take a break



Warm up Exercise Sheet

Aufgabe 1 – *Pfade, Wege, Kreise*

Betrachten Sie folgenden Graphen $G = (V, E)$.



1. Welche Pfade der Länge 4 (d.h. mit 4 Kanten) gibt es von a nach e ?
2. Welche Wege der Länge 4 (d.h. mit 4 Kanten) gibt es von a nach e ?
3. Welche Kreise gibt es in G ?
4. Wie viele Zykeln gibt es in G ?

Recap

Walk vs Path

walk • A sequence of vertices (v_0, v_1, \dots, v_k) (with $v_i \in V$ for all i) is a **walk** (german “Weg”) if $\{v_i, v_{i+1}\}$ is an edge for each $0 \leq i \leq k-1$. We say that v_0 and v_k are the **endpoints** (german “Startknoten” and “Endknoten”) of the walk. The **length** of the walk (v_0, v_1, \dots, v_k) is k .

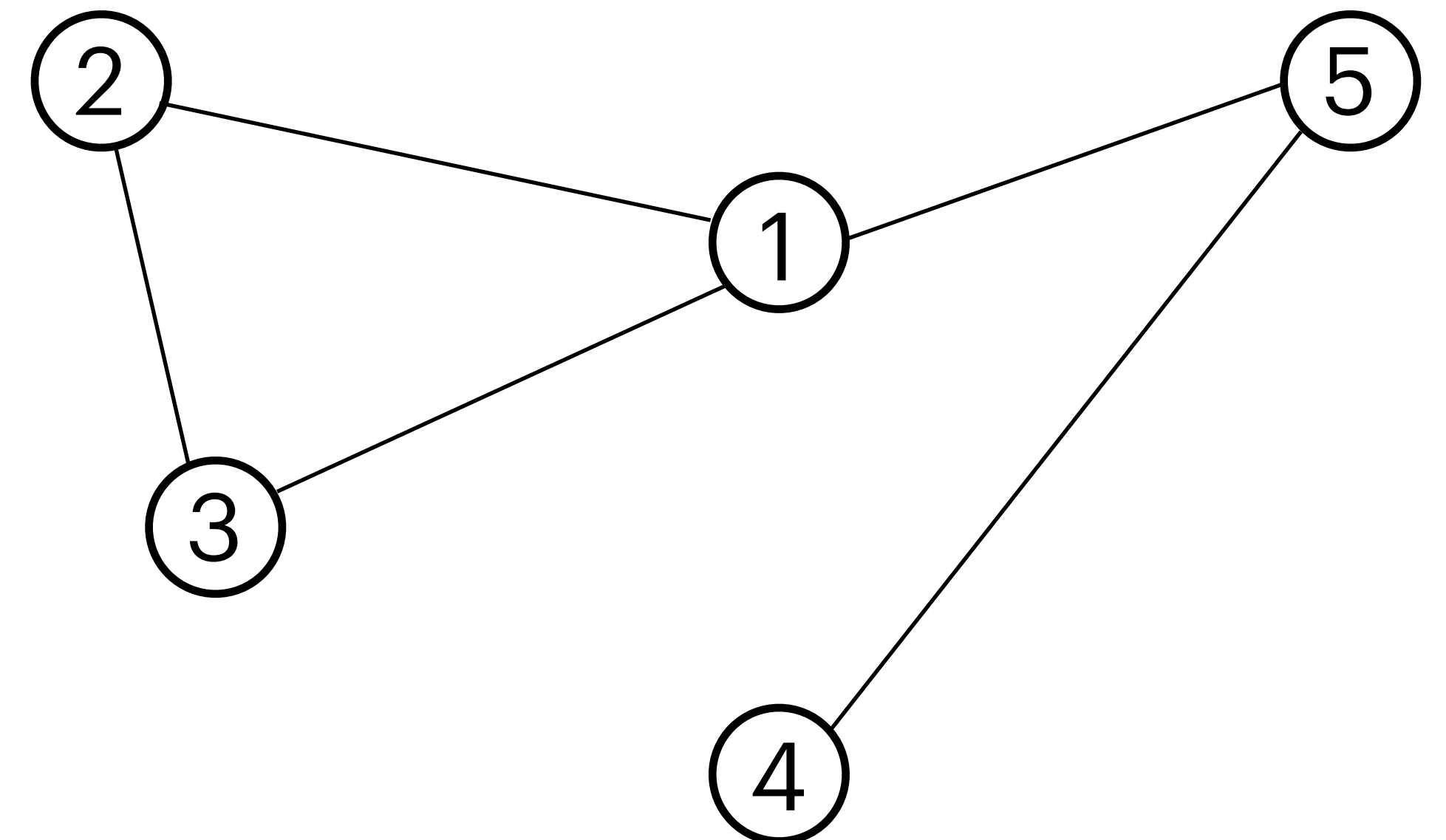
path • A sequence of vertices (v_0, v_1, \dots, v_k) is a **path** (german “Pfad”) if it is a walk and all vertices are distinct (i.e., $v_i \neq v_j$ for $0 \leq i < j \leq k$).

Is it a walk? Is it a path?

(5, 1, 3, 2, 1)

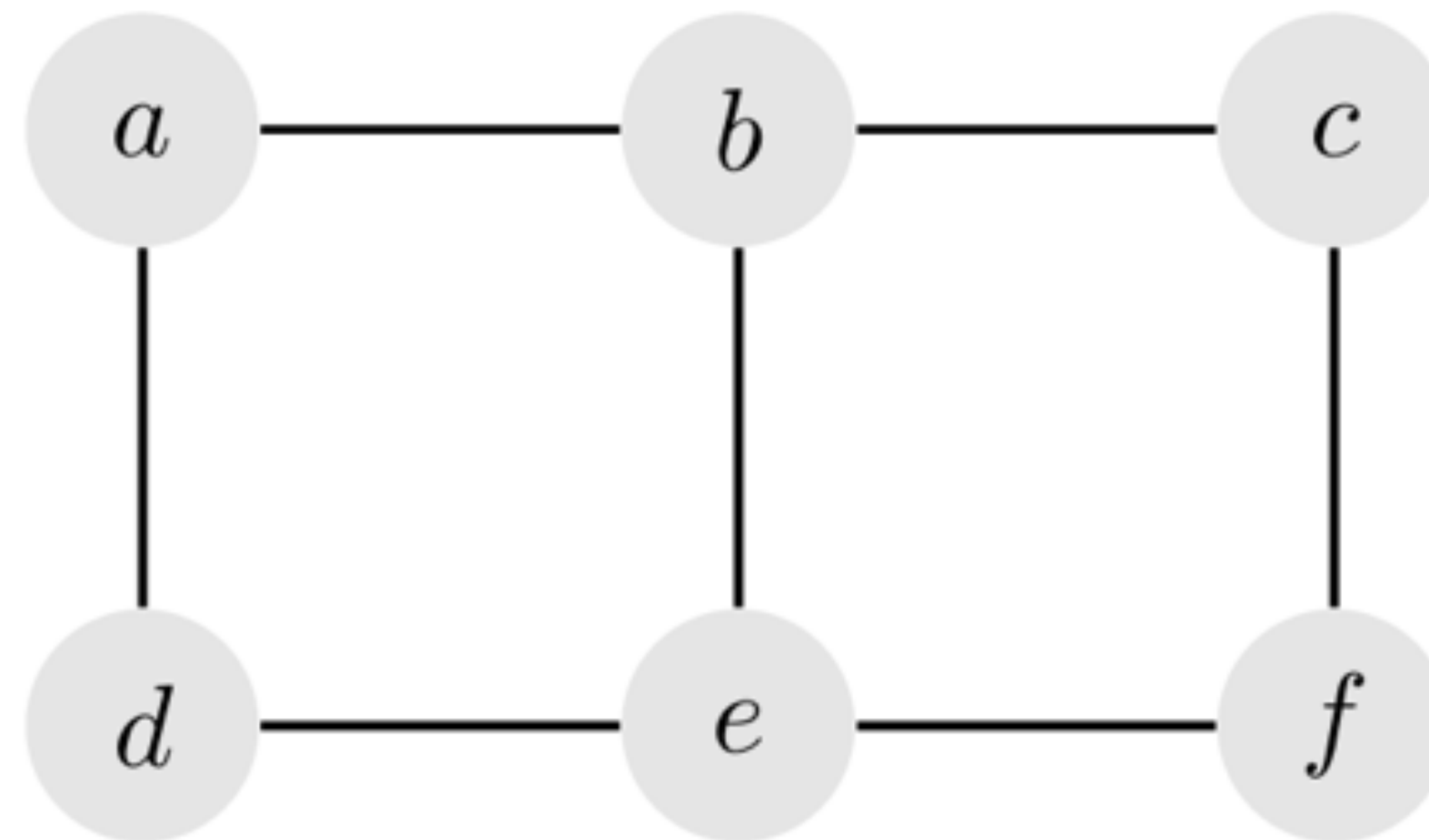


(5, 1, 3)



Warm up Exercise Sheet

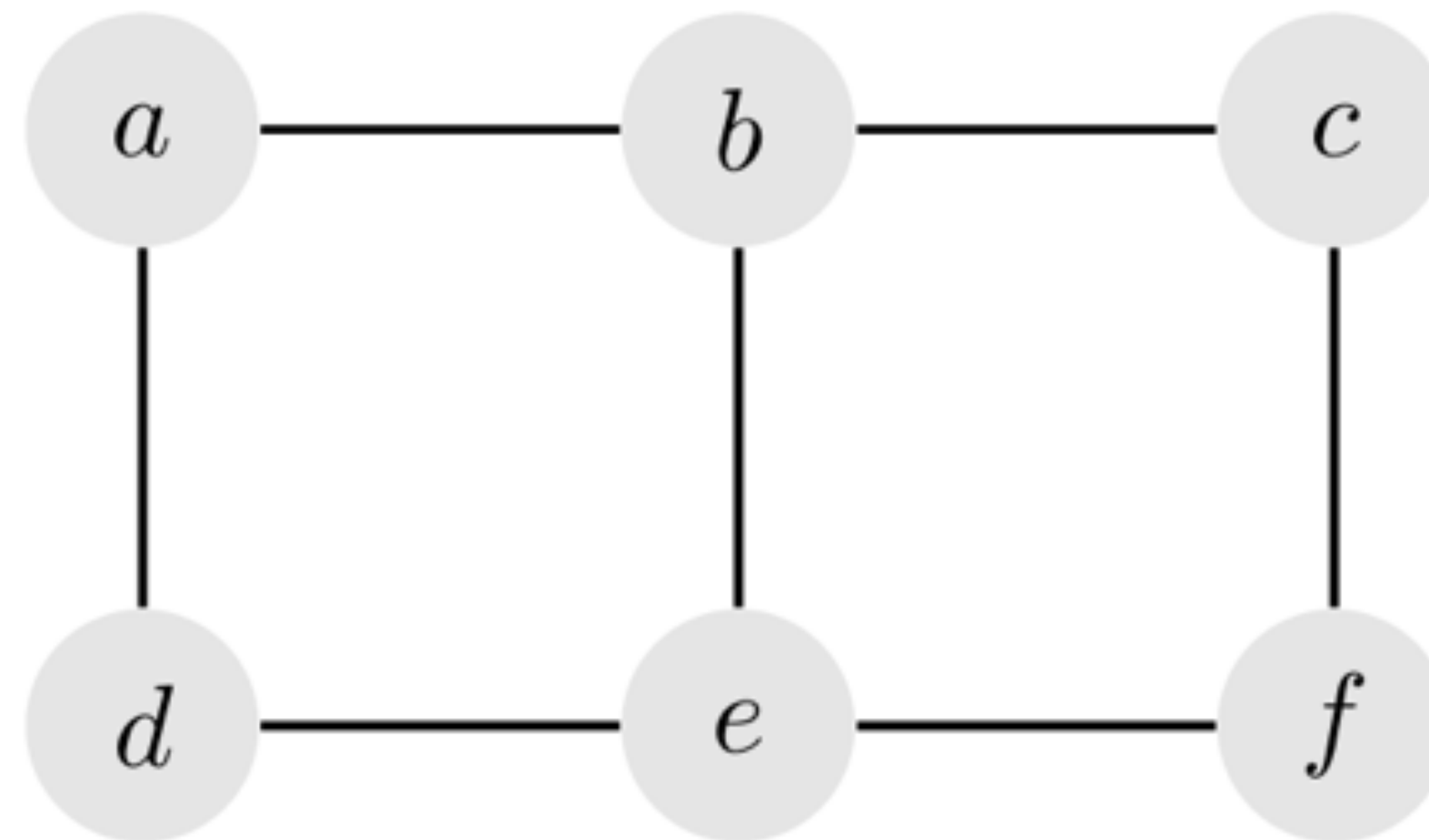
Exercise 1 : Paths, Walks, Circles



Paths of length 4 (i.e. with 4 edges) from a to e ?

Warm up Exercise Sheet

Exercise 1 : Paths, Walks, Circles

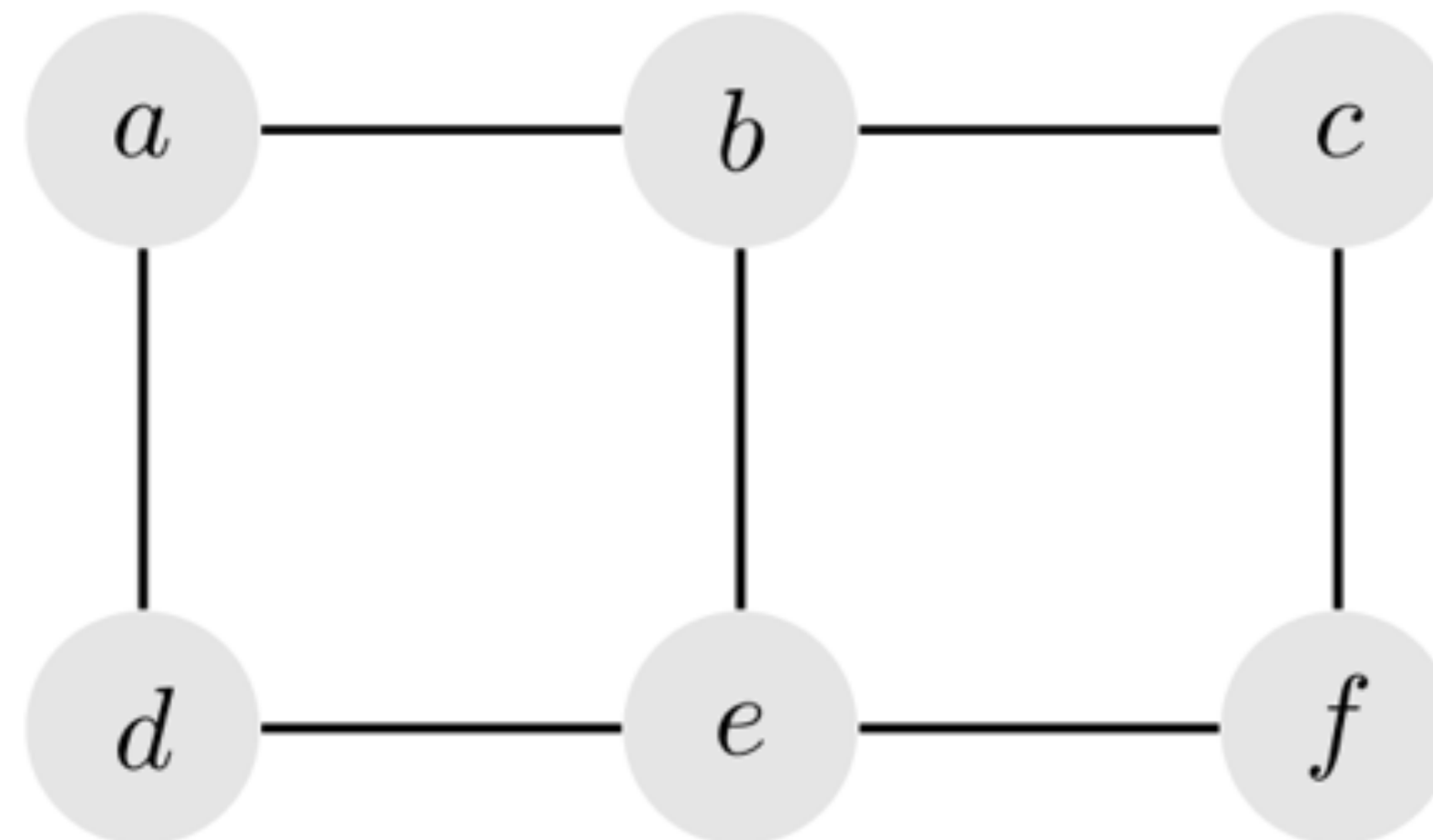


Paths of length 4 (i.e. with 4 edges) from a to e ?

$\langle a, b, c, f, e \rangle$

Warm up Exercise Sheet

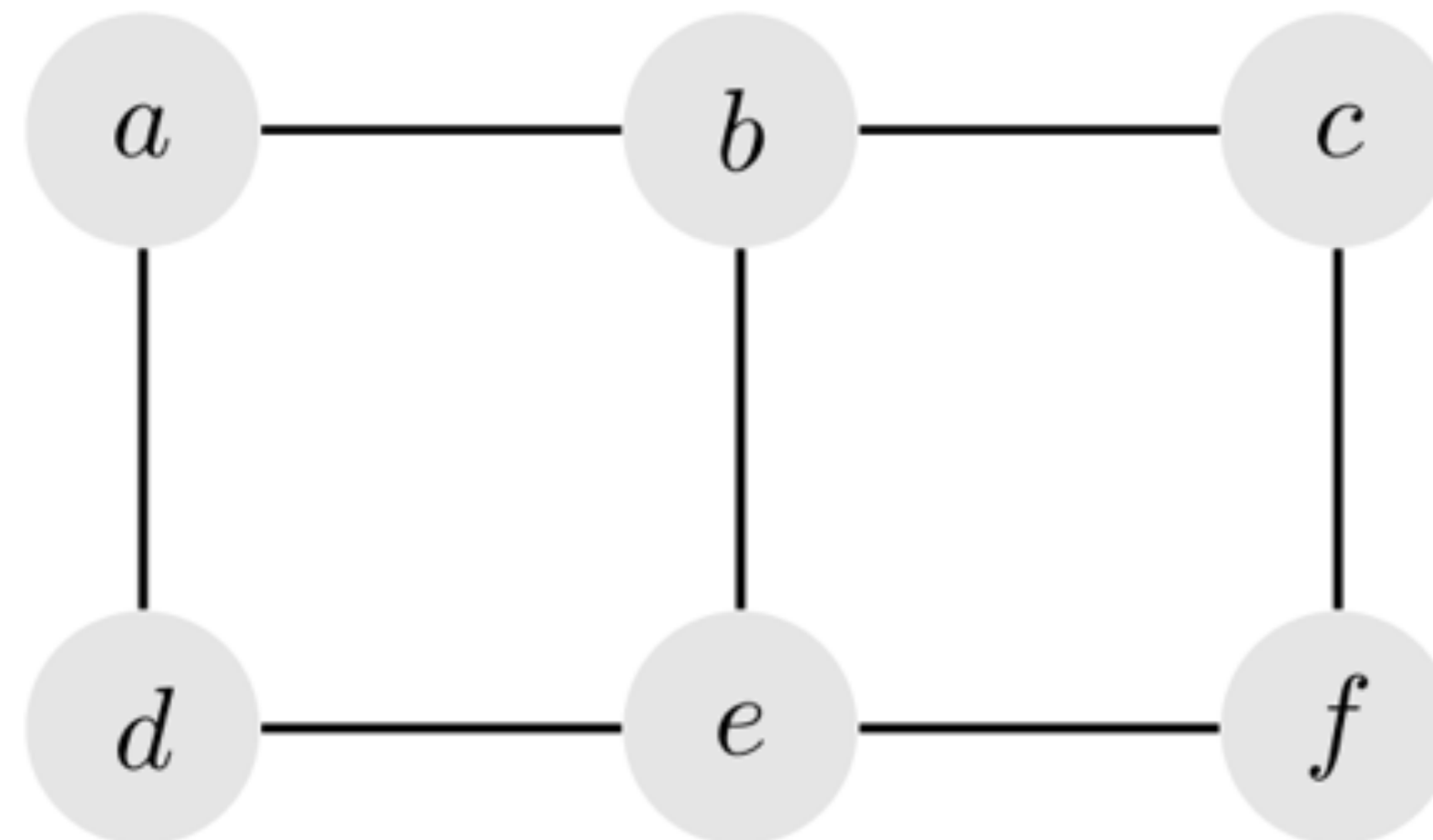
Exercise 1 : Paths, Walks, Circles



Walks of length 4 (i.e. with 4 edges) from a to e ?

Warm up Exercise Sheet

Exercise 1 : Paths, Walks, Circles



Walks of length 4 (i.e. with 4 edges) from a to e ?

$\langle a,b,c,f,e \rangle, \langle a,b,c,b,e \rangle, \langle a,b,e,d,e \rangle, \langle a,b,e,f,e \rangle, \langle a,b,e,b,e \rangle, \langle a,b,a,b,e \rangle, \langle a,b,a,d,e \rangle, \langle a,d,a,d,e \rangle, \langle a,d,a,b,e \rangle, \langle a,d,e,d,e \rangle, \langle a,d,e,b,e \rangle, \langle a,d,e,f,e \rangle$

Recap

Closed Walk vs Cycle

Closed walk

- A sequence of vertices (v_0, v_1, \dots, v_k) is a **closed walk** (german “Zyklus”) if it is a walk, $k \geq 2$ and $v_0 = v_k$.

Cycle

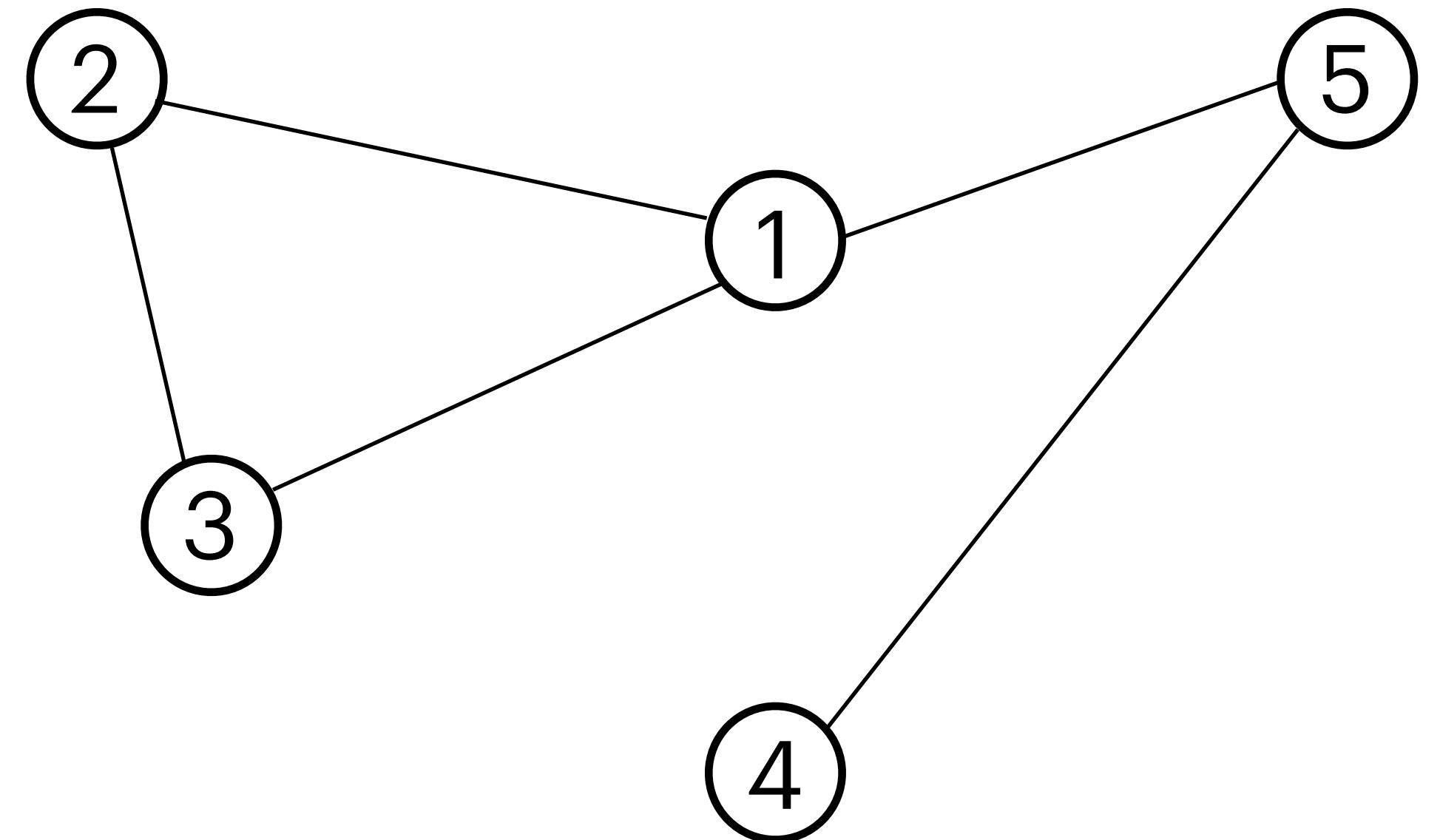
- A sequence of vertices (v_0, v_1, \dots, v_k) is a **cycle** (german “Kreis”) if it is a closed walk, $k \geq 3$ and all vertices (except v_0 and v_k) are distinct.

Is it a closed walk? Is it a cycle?

(5, 1, 3, 1, 5)

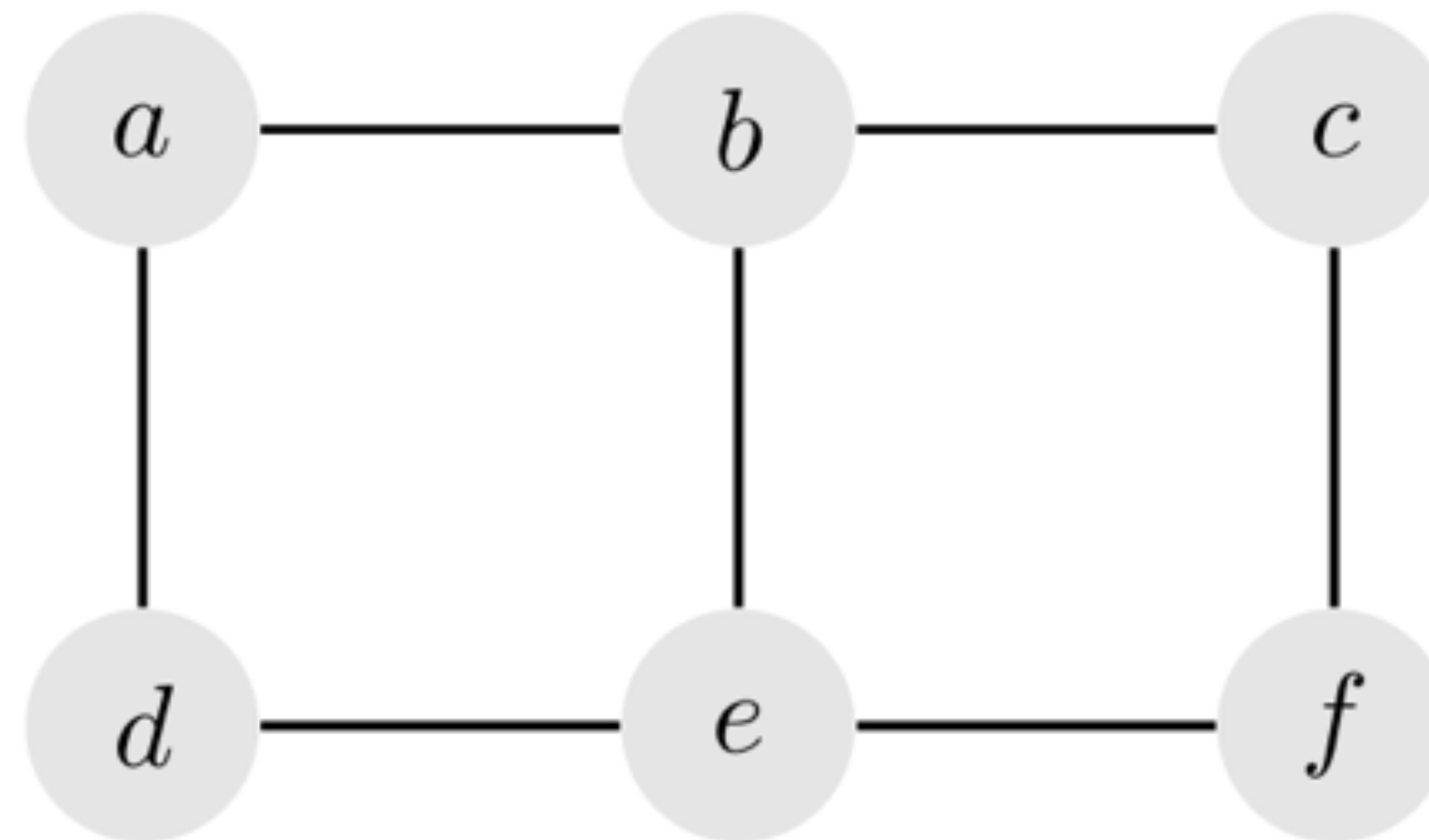


(1, 3, 2, 1)



Warm up Exercise Sheet

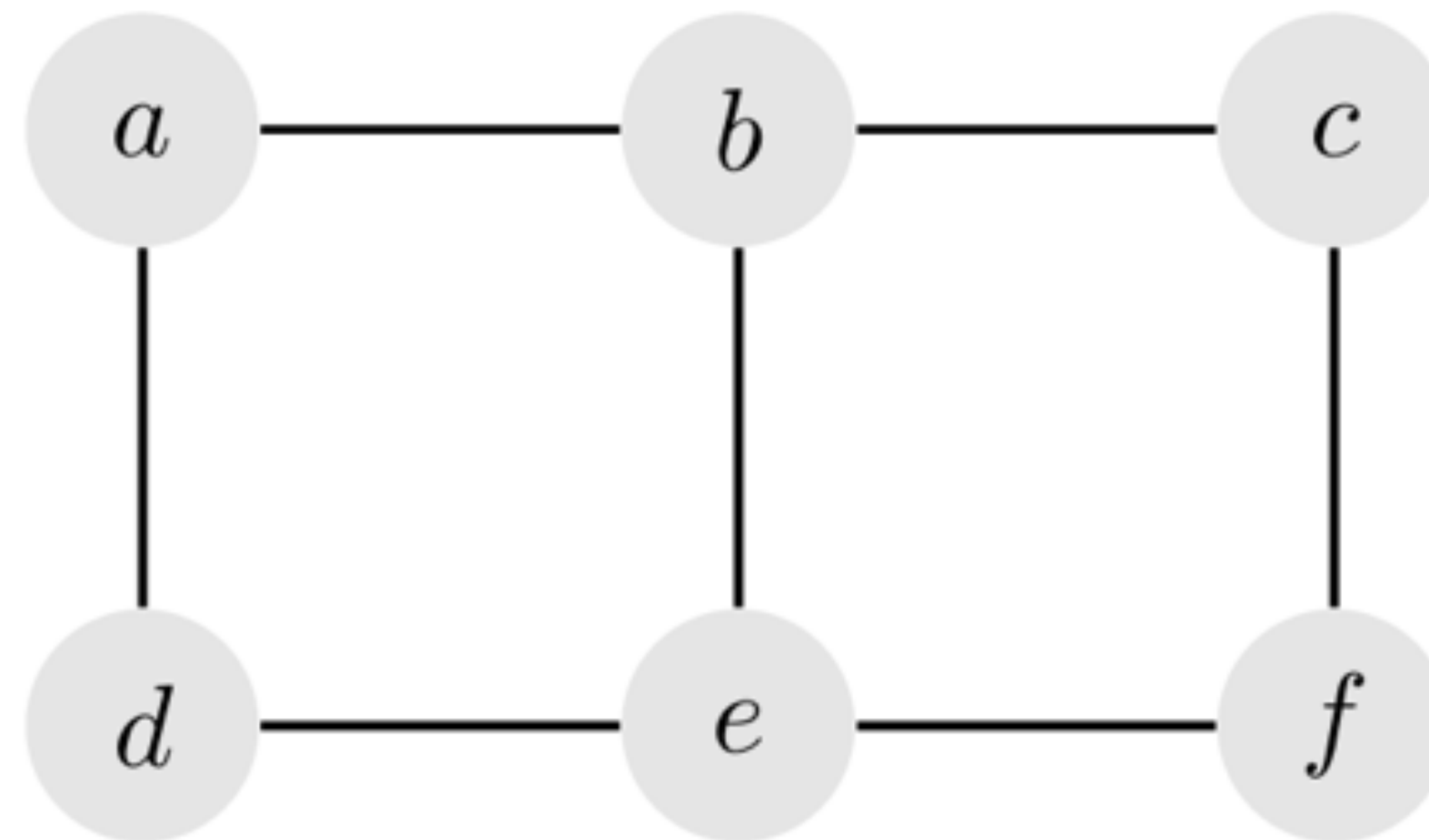
Exercise 1 : Paths, Walks, Circles



Cycles in G ?

Warm up Exercise Sheet

Exercise 1 : Paths, Walks, Circles



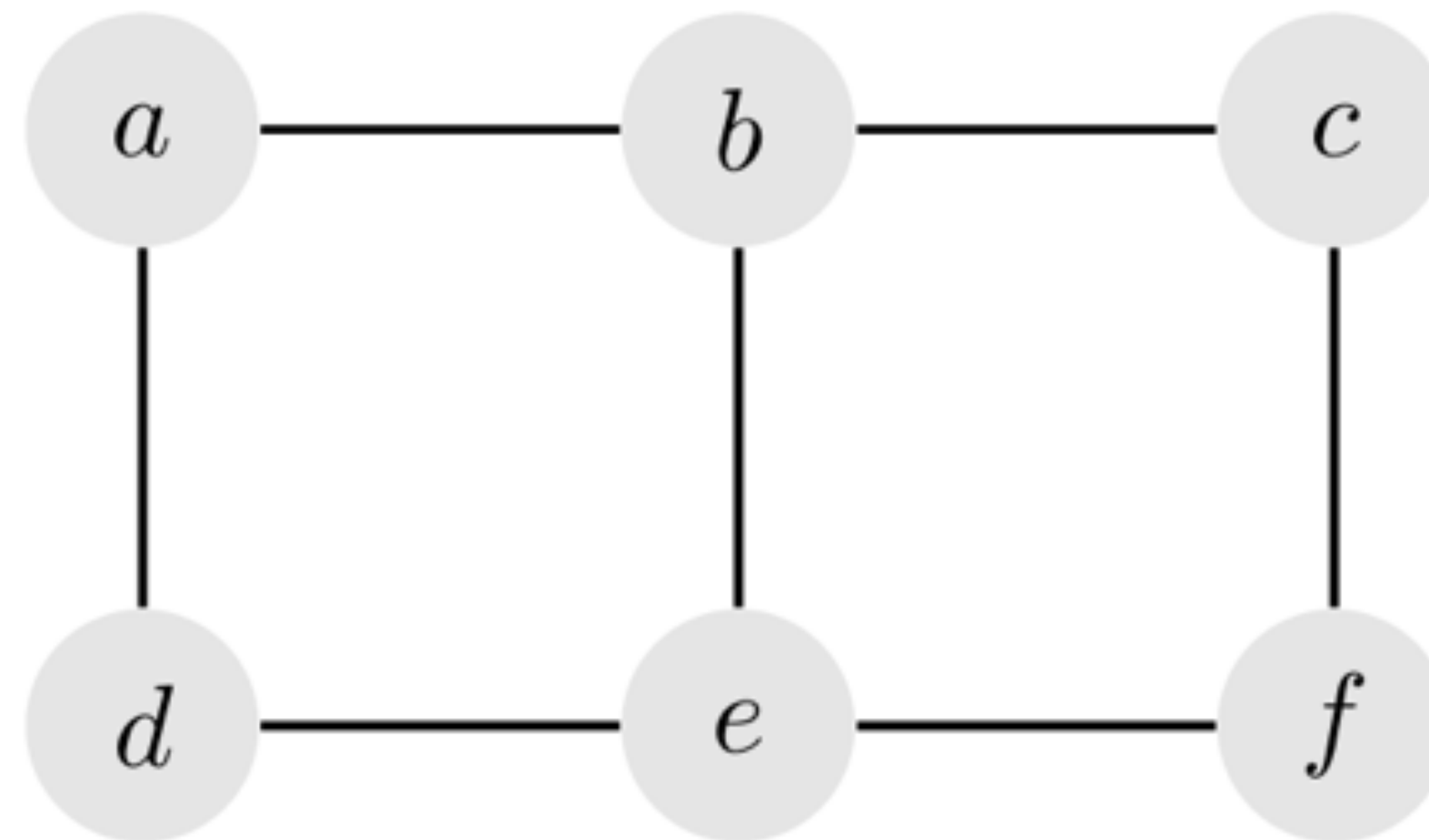
Cycles in G ?

$\langle a,b,d,e,a \rangle$, $\langle b,c,f,e,b \rangle$ and $\langle a,b,c,f,e,d,a \rangle$

+ changing the starting points !

Warm up Exercise Sheet

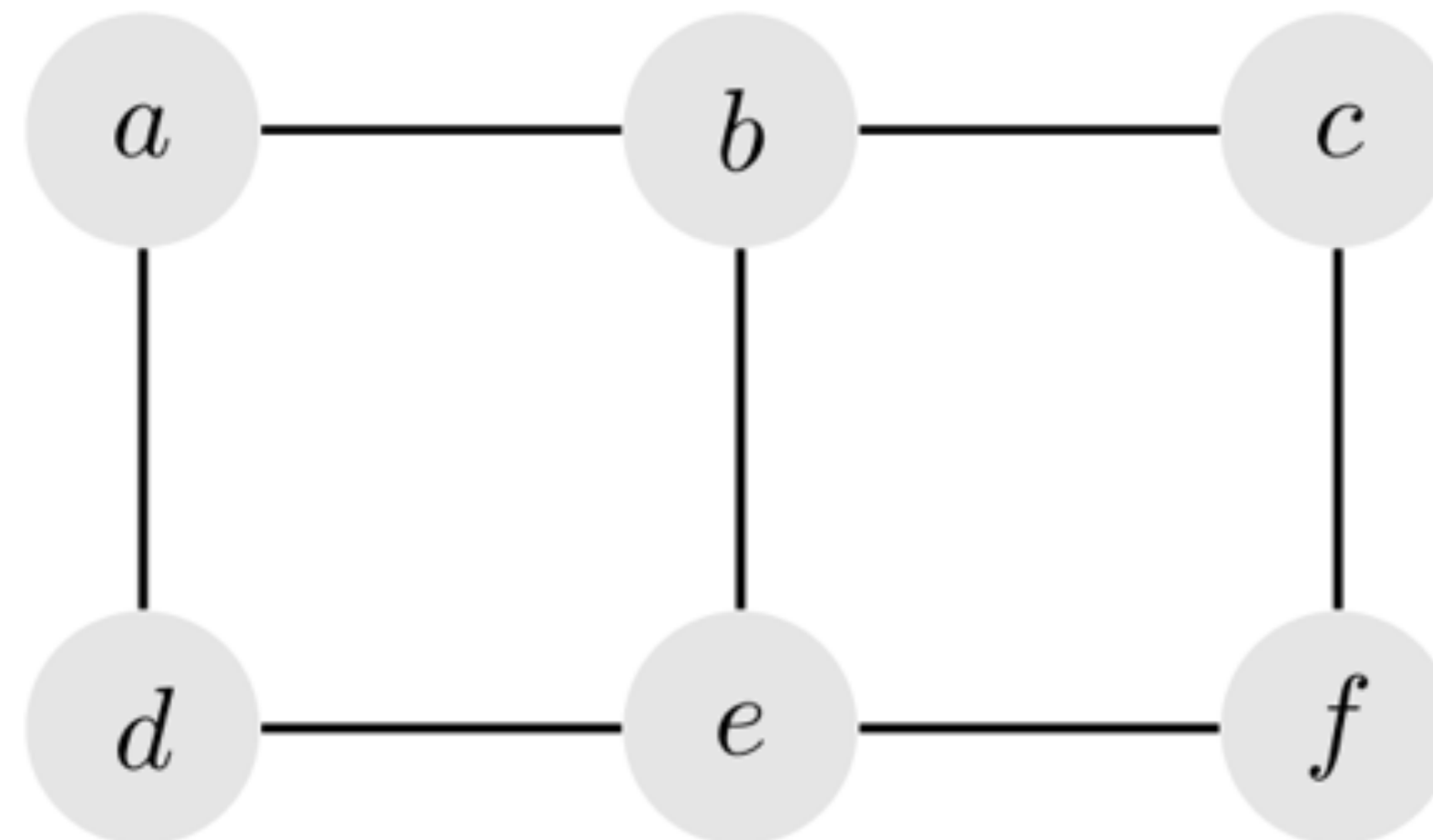
Exercise 1 : Paths, Walks, Circles



Closed Walks in G ?

Warm up Exercise Sheet

Exercise 1 : Paths, Walks, Circles



Closed Walks in G ?

Infinitely many

Warm up Exercise Sheet

Exercise 2 : Asymptotic Growth

Aufgabe 2 – *Asymptotisches Wachstum.*

- (a) (Leicht.) Sortieren Sie die folgenden Funktionen asymptotisch, d.h. entsprechend der O -Notation. Dabei bezeichnet $\log n$ den Logarithmus zur Basis 2, und $\ln n$ den natürlichen Logarithmus. In welchen Fällen haben Sie asymptotische Gleichheit $\Theta(\cdot)$?

$$n, \quad 0.01n^2, \quad e^n, \quad \log n, \quad 2^{32}, \quad 2^n, \quad n + \sqrt{n},$$

- (b) (Schwerer.) Sortieren Sie zusätzlich die folgenden Funktionen in Ihre Abfolge ein.

$$\ln n, \quad \frac{n}{\log n}, \quad e^{\sqrt{\log n}}, \quad \log(n^2), \quad n^{1/4}, \quad n!$$

Recap

Mini cheat-sheet

Theorem 1 (Theorem 1.1 from the script). Let N be an infinite subset of \mathbb{N} and $f : N \rightarrow \mathbb{R}^+$ and $g : N \rightarrow \mathbb{R}^+$.

- If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$, then $f \leq O(g)$, but $f \neq \Theta(g)$.
- If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = C \in \mathbb{R}^+$, then $f = \Theta(g)$.
- If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$, then $f \geq \Omega(g)$, but $f \neq \Theta(g)$.

$$\lim_{n \rightarrow \infty} : 1 < \log(\log(n)) < \log(n) < \sqrt{n} < n < n \cdot \log(n) < n \cdot \sqrt{n} < n^2 < 2^n < n! < n^n$$

\downarrow \downarrow
 $n^x < x^n$ (x being fixed)

Sums

$$\sum_{i=1}^n i = 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

$$\sum_{i=1}^n i^2 = 1^2 + 2^2 + 3^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$$

Geometric series : $\sum_{k=0}^n q^k = \frac{q^{n+1} - 1}{q - 1}$

$$\sum_{k=0}^3 3^k = 3^0 + 3^1 + 3^2 + 3^3 = \frac{3^4 - 1}{3 - 1} = 40$$

Factorial

$$\frac{n}{2}^{\frac{n}{2}} \leq n! \leq n^n$$

From Exercise Sheet 1 :

$$\sum_{i=1}^n i^k \leq n^{k+1}$$

$$\sum_{i=1}^n i^k \geq \frac{1}{2^{k+1}} \cdot n^{k+1}$$

$$\sum_{i=1}^n i^k = \Theta(n^{k+1})$$

Warm up Exercise Sheet

Exercise 3 : Induction

Aufgabe 3 – *Induktion*

(a) Zeigen Sie für alle $n \in \mathbb{N}$:

$$\frac{1}{1 \cdot 2} + \frac{1}{2 \cdot 3} + \frac{1}{3 \cdot 4} + \dots + \frac{1}{n \cdot (n+1)} = \frac{n}{n+1}.$$

(b) Zeigen Sie die folgende *Ungleichung von Bernoulli*: Für alle natürlichen Zahlen $n \geq 1$ und alle $h \in \mathbb{R}$ mit $h \geq -1$ gilt:

$$1 + nh \leq (1 + h)^n.$$

Warm up Exercise Sheet

Exercise 4 : A General Feature of Graphs

Aufgabe 4 – *Eine generelle Eigenschaft von Graphen*

Zeigen Sie, dass jeder Graph G mit $n \geq 2$ Knoten zwei Knoten $v \neq w$ enthält, sodass $\deg(v) = \deg(w)$.

Hinweis: Für ein gegebenes n , was ist der grösstmögliche Grad den ein Knoten haben kann?

Warm up Exercise Sheet

Exercise 5 : Algorithms

Aufgabe 5 – *Algorithmus*

Beschreiben Sie einen Algorithmus der das folgende Problem löst: Gegeben ist die Eingabe bestehend aus einem Graphen $G = (V, E)$ mit n Knoten (gehen Sie davon aus, dass der Graph als Adjazenzliste gegeben ist). Ihr Algorithmus soll “Ja” ausgeben, falls G ein Baum ist und “Nein” andernfalls.

Wie immer wenn Sie einen Algorithmus beschreiben gehört zu einer vollständigen Lösung: eine klare Beschreibung des Algorithmus, ein Korrektheitsbeweis und eine Laufzeitanalyse.

Hinweis: Für diese Aufgabe dürfen Sie das Statement aus Aufgabe 6 ohne Beweis verwenden.



A&W

Exercise Session 2
Connectivity

Nil Ozer

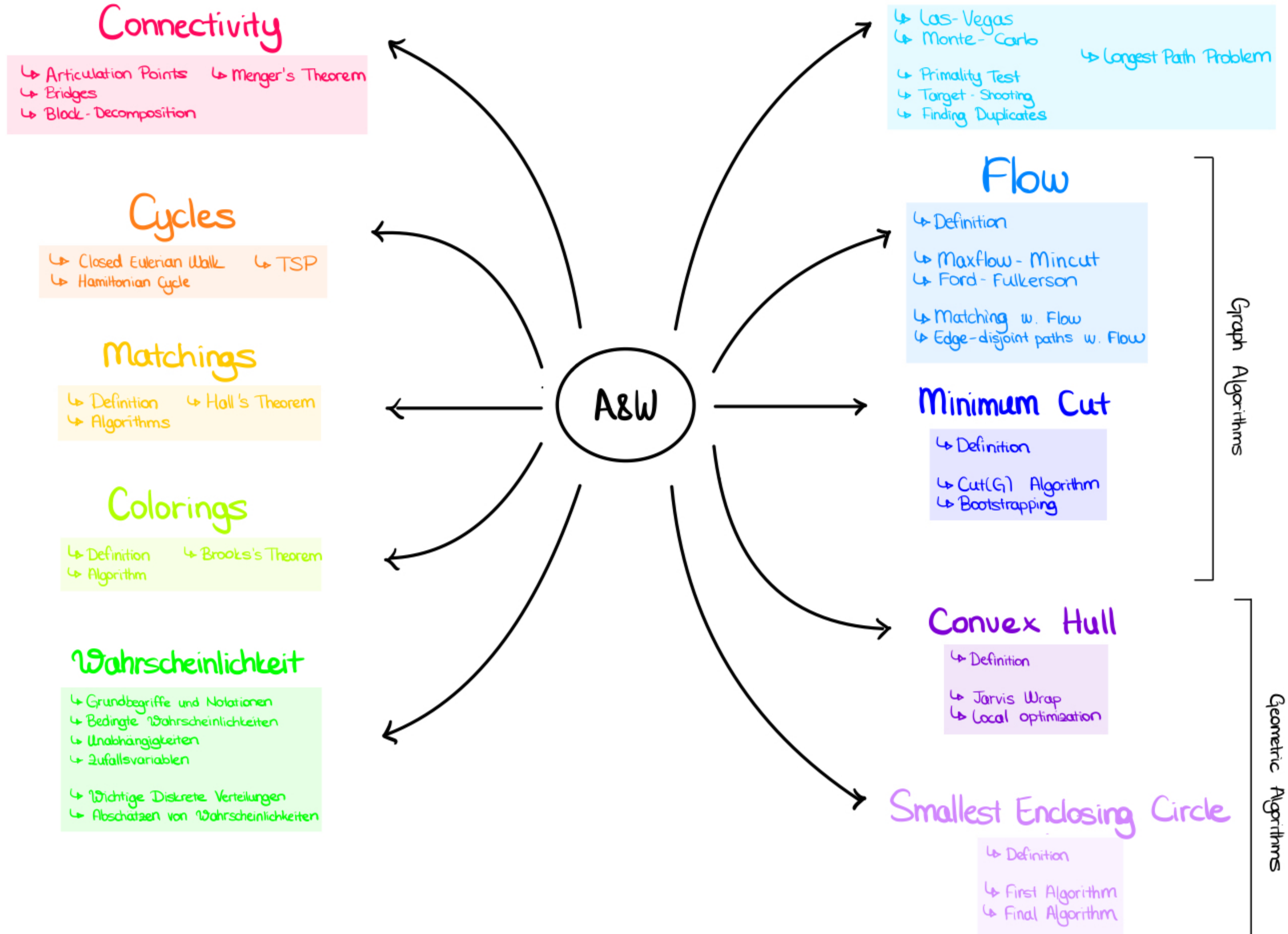
Outline

- Minitest
- Connectivity
- Articulation Points and Bridges
- (Cycles)



Minitest

A&W Overview



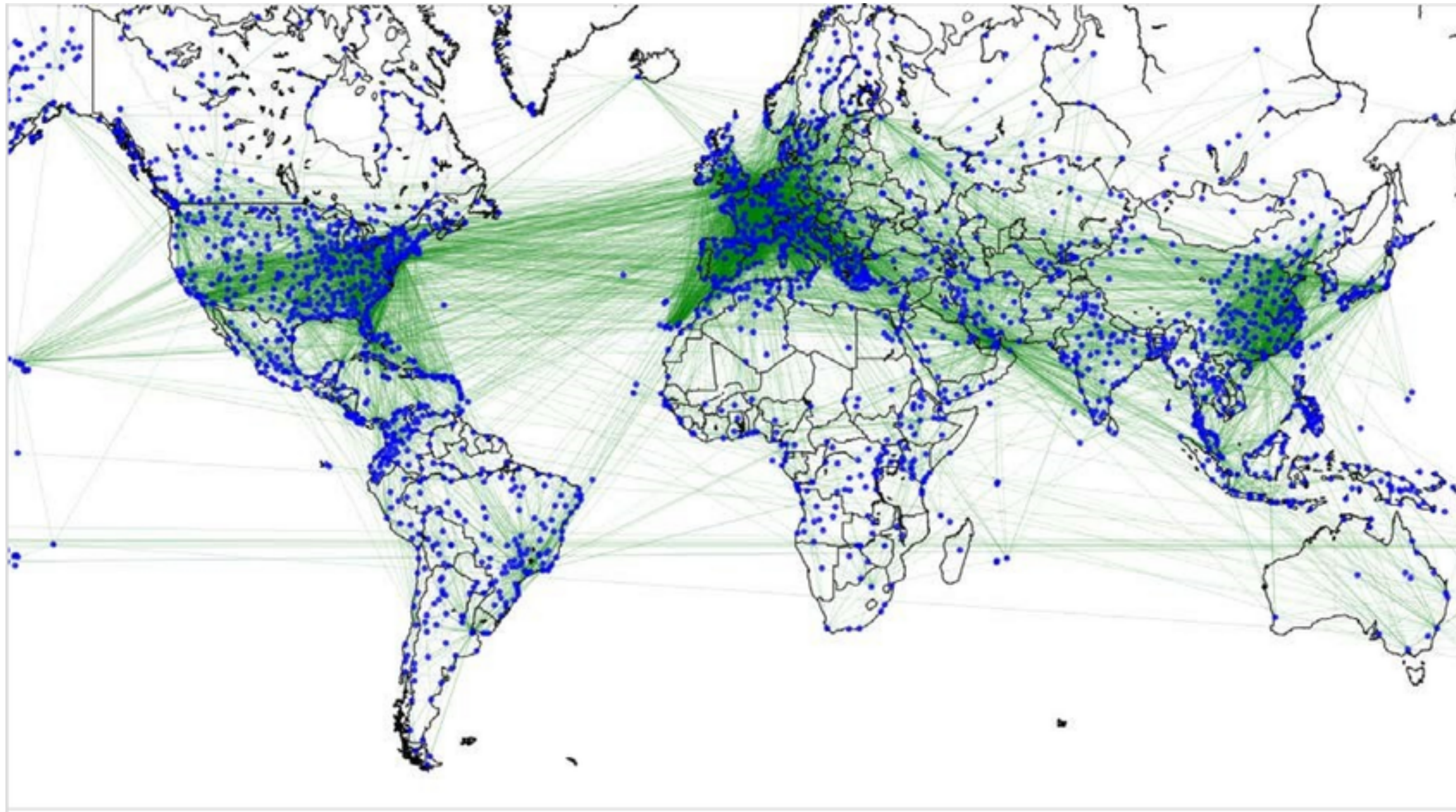
Connectivity

Connectivity

Intuition

measuring fault tolerance of a network !

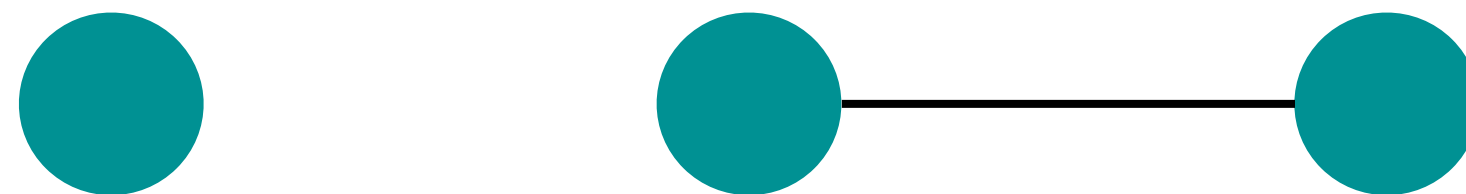
How many connections can fail without cutting off the communication ?



Connectivity

Definitions

- A $G = (V, E)$ is **connected** if
for $\forall u, v \in V, u \neq v$ there exists an u - v path

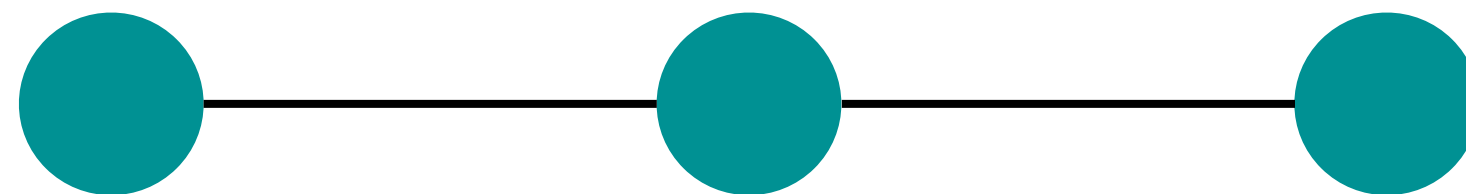


not connected

Connectivity

Definitions

- A $G = (V, E)$ is **connected** if
for $\forall u, v \in V, u \neq v$ there exists an u-v path

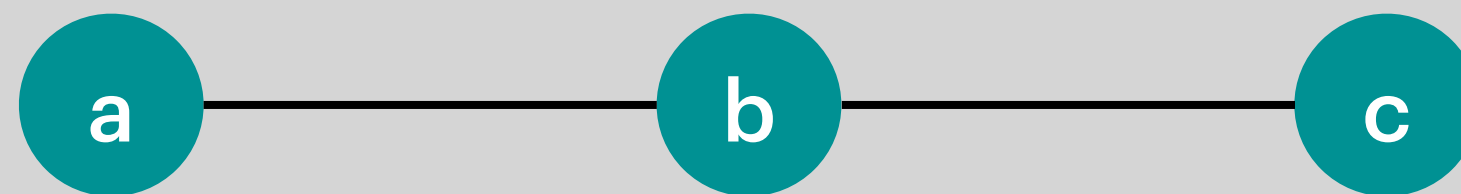


connected

Connectivity

“Removing”

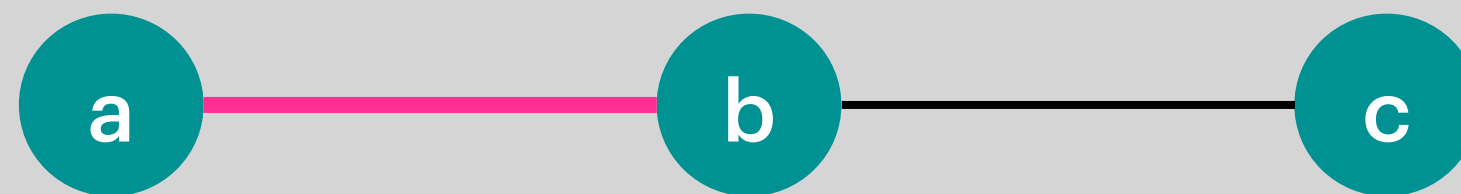
Remove the edge $\{a,b\}$



Connectivity

“Removing”

Remove the edge $\{a,b\}$



Connectivity

“Removing”

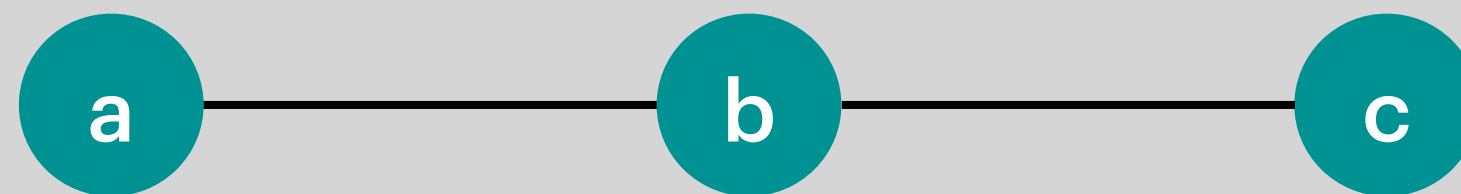
Remove the edge $\{a,b\}$



Connectivity

“Removing”

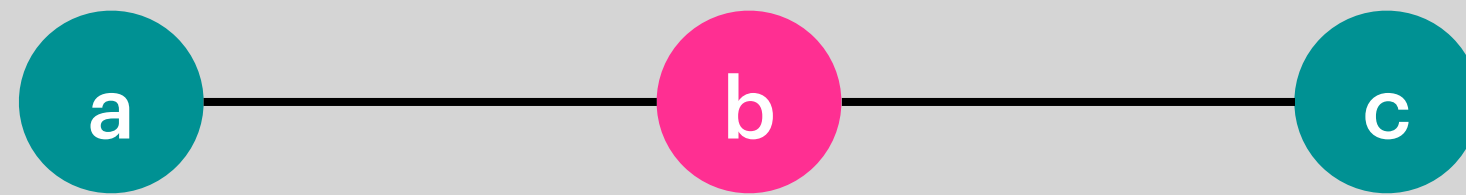
Remove the vertex b



Connectivity

“Removing”

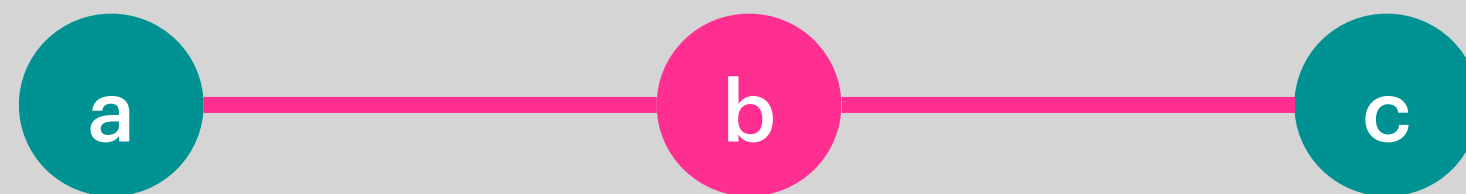
Remove the vertex b



Connectivity

“Removing”

Remove the vertex b



Connectivity

“Removing”

Remove the vertex b



Connectivity

Definitions

- A $G = (V, E)$ is **k-edge connected** if

$\forall X \subseteq E$ with $|X| < k$, $G(V, E \setminus X)$ is connected

“ The G remains connected whenever **fewer than k edges** are removed”

“ **At least k edges must be removed** to make the G disconnected”

How to find the k-edge connectivity?

Start from 1-edge connected, increase one by one !

(Every connected G is 1-edge connected)

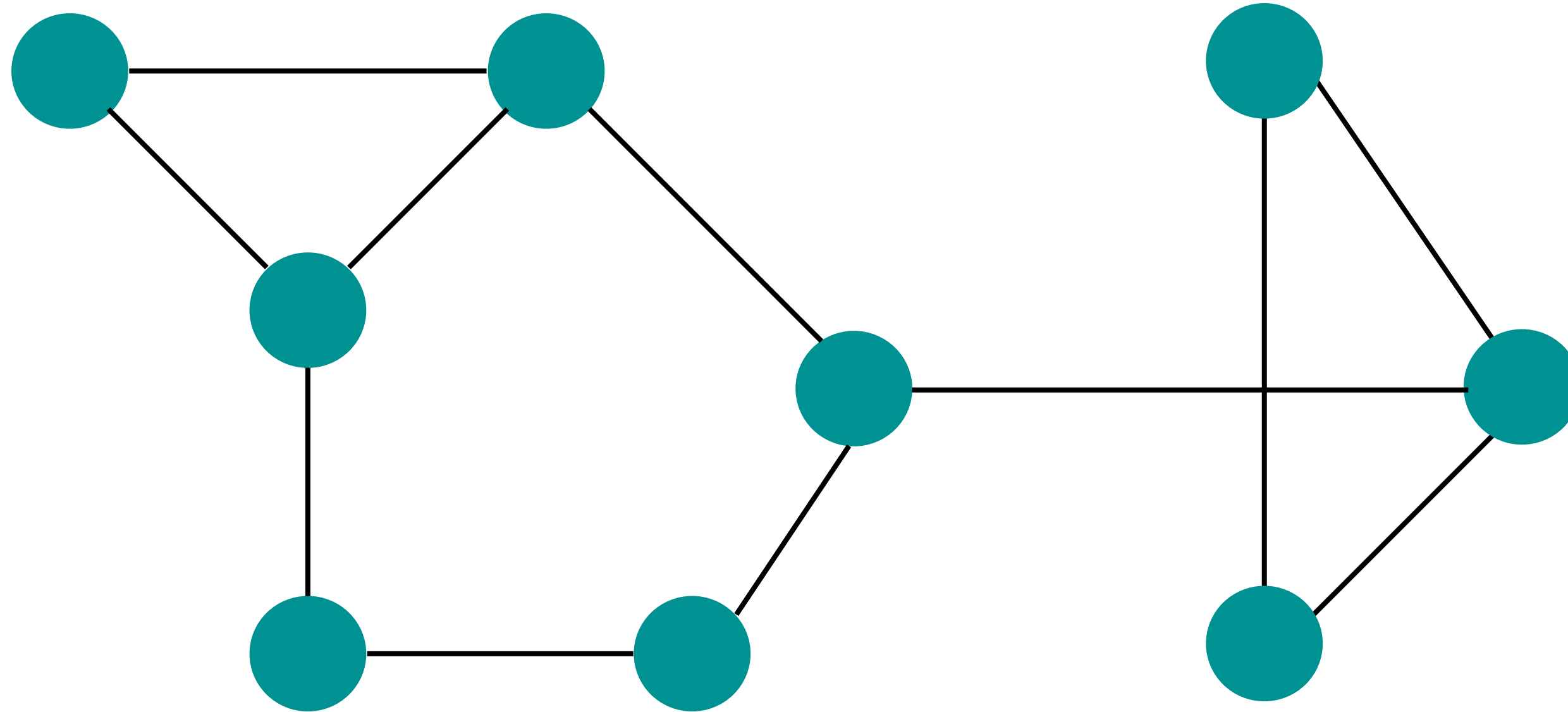
Connectivity

Example

- A $G = (V, E)$ is k -edge connected if
$$\forall X \subseteq E \text{ with } |X| < k, G(V, E \setminus X) \text{ is connected}$$

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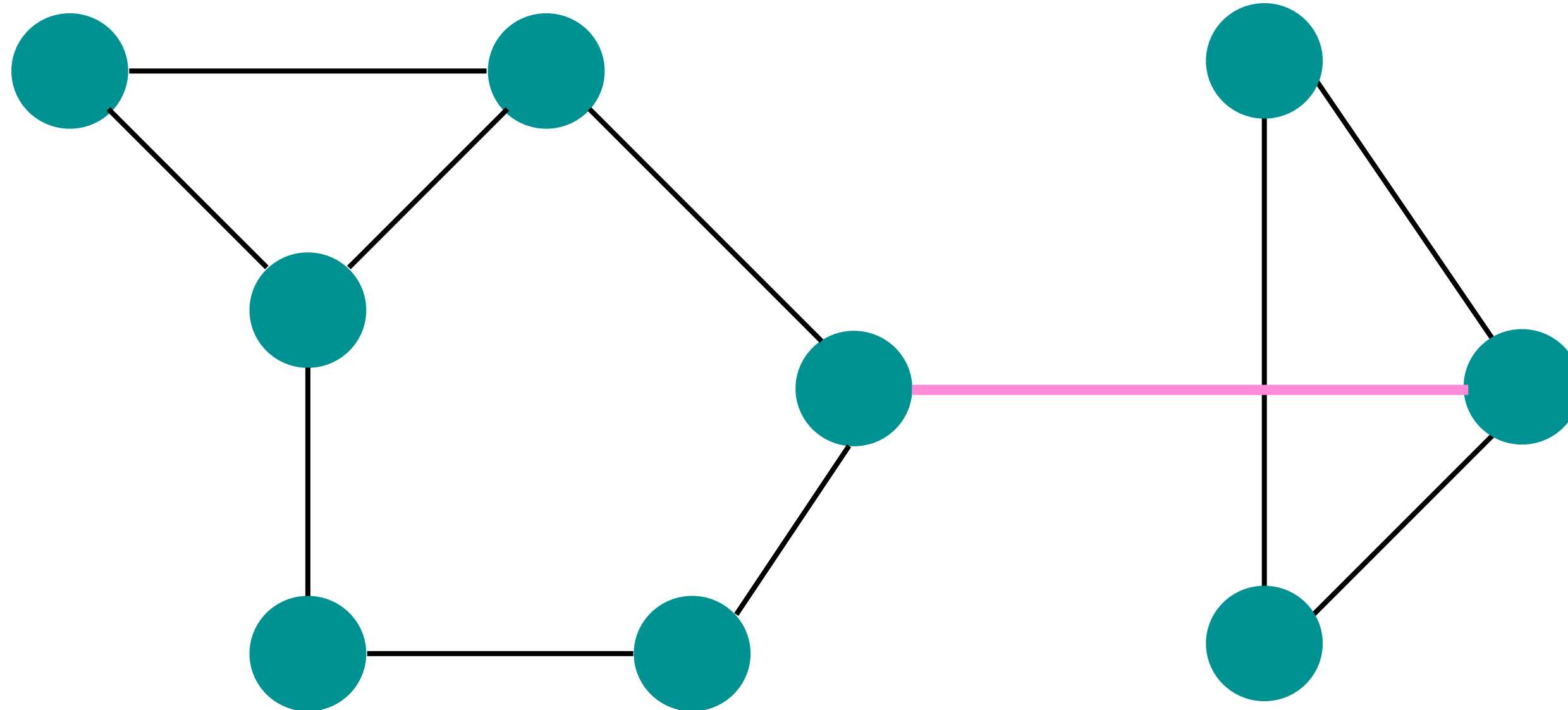
Connectivity

Example

- A $G = (V, E)$ is k -edge connected if
$$\forall X \subseteq E \text{ with } |X| < k, G(V, E \setminus X) \text{ is connected}$$

“ The G remains connected whenever fewer than k edges are removed ”

“ At least k edges must be removed to make the G disconnected ”



1-edge connected

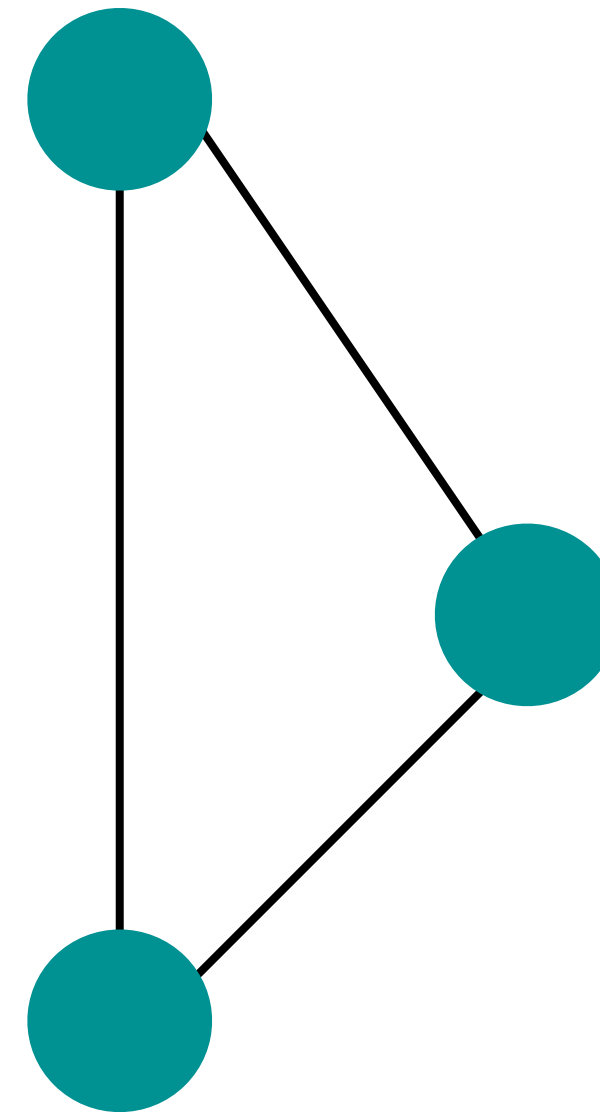
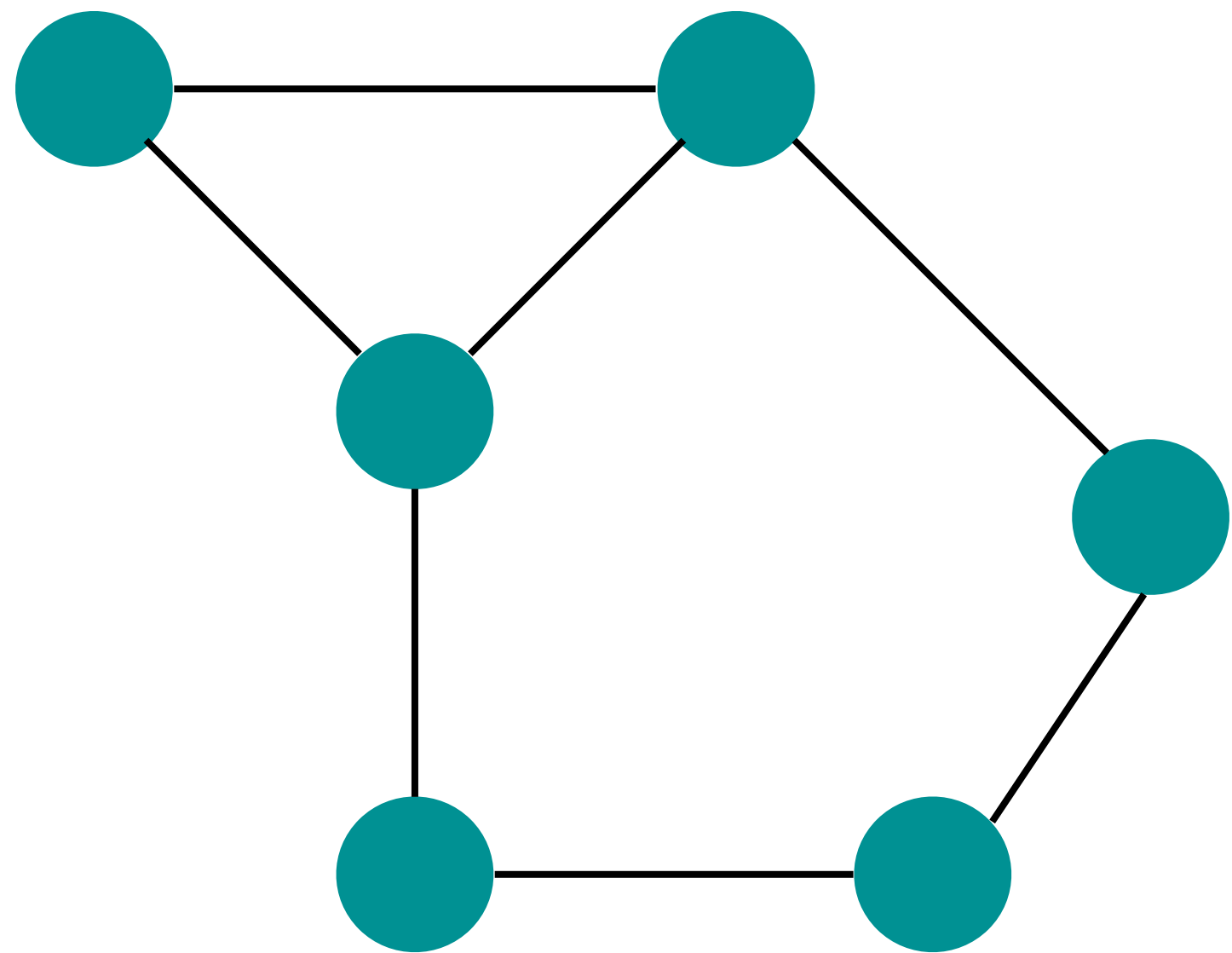
Connectivity

Example

- A $G = (V, E)$ is k -edge connected if
$$\forall X \subseteq E \text{ with } |X| < k, G(V, E \setminus X) \text{ is connected}$$

“ The G remains connected whenever fewer than k edges are removed ”

“ At least k edges must be removed to make the G disconnected ”



1-edge connected

becomes
disconnected after
removing 1 edge

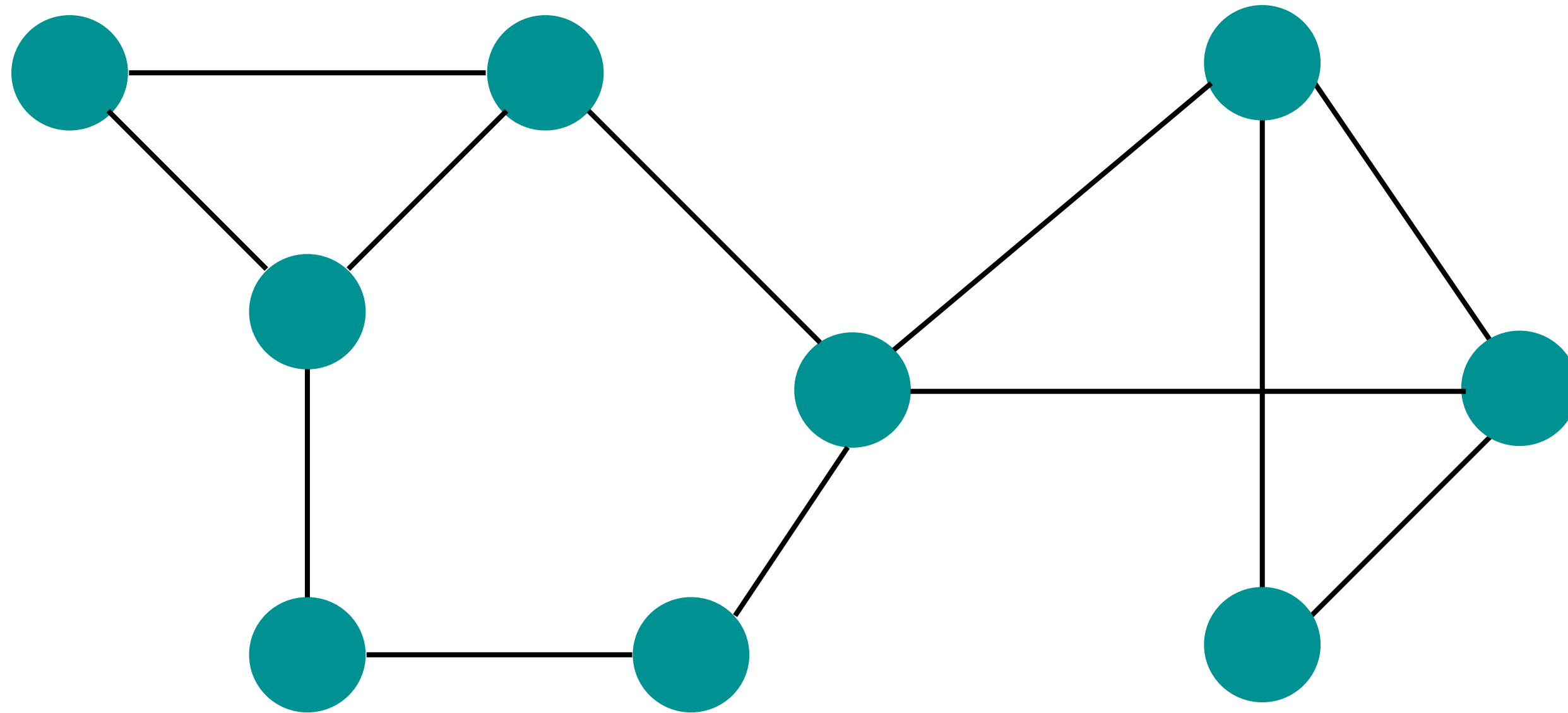
Connectivity

Example

- A $G = (V, E)$ is k -edge connected if
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“ **At least k edges must be removed** to make the G disconnected”



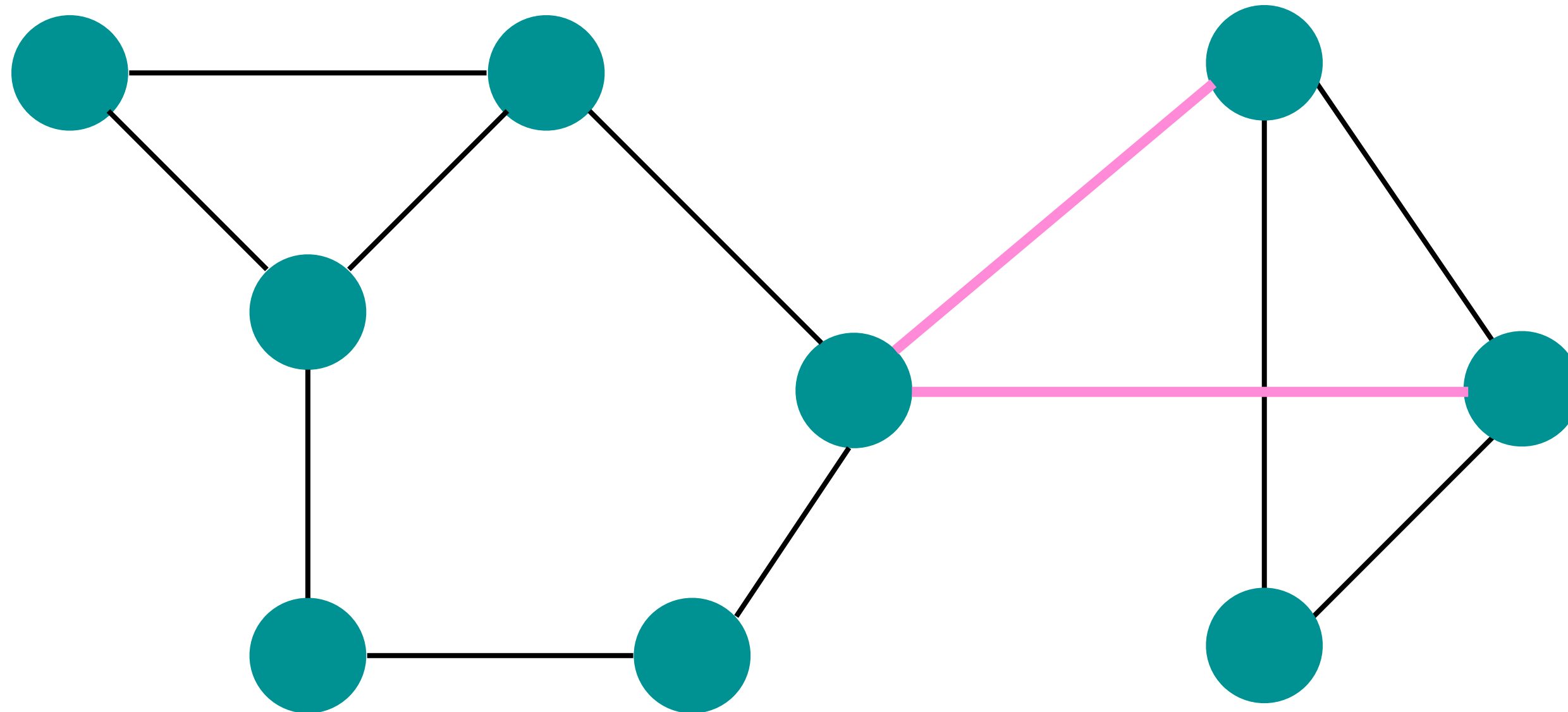
Connectivity

Example

- A $G = (V, E)$ is k -edge connected if
$$\forall X \subseteq E \text{ with } |X| < k, G(V, E \setminus X) \text{ is connected}$$

“ The G remains connected whenever **fewer than k edges** are removed”

“ **At least k edges must be removed** to make the G disconnected”



2-edge connected

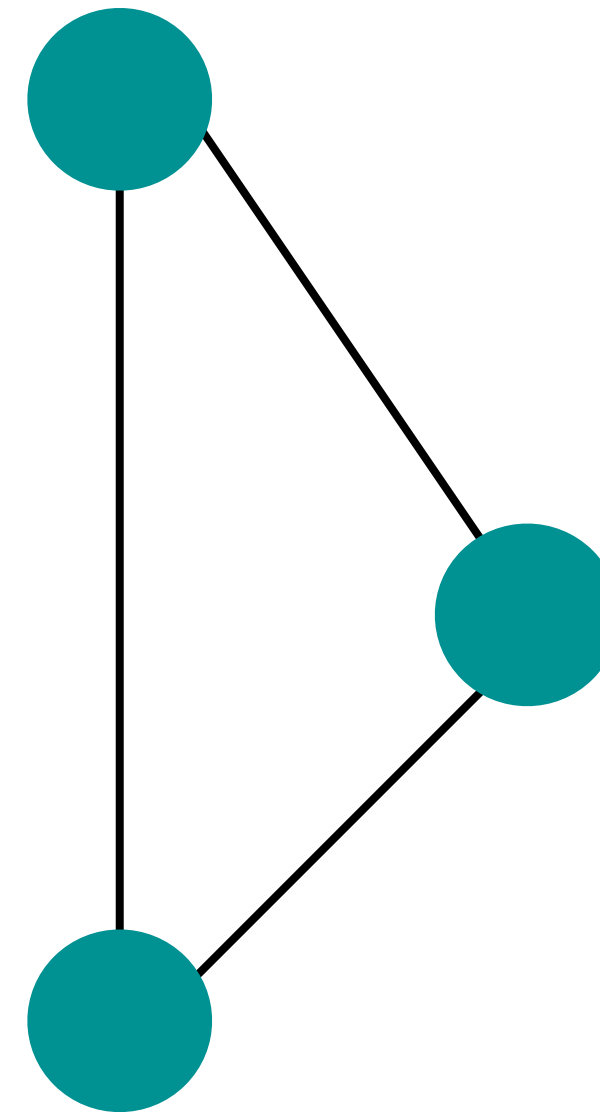
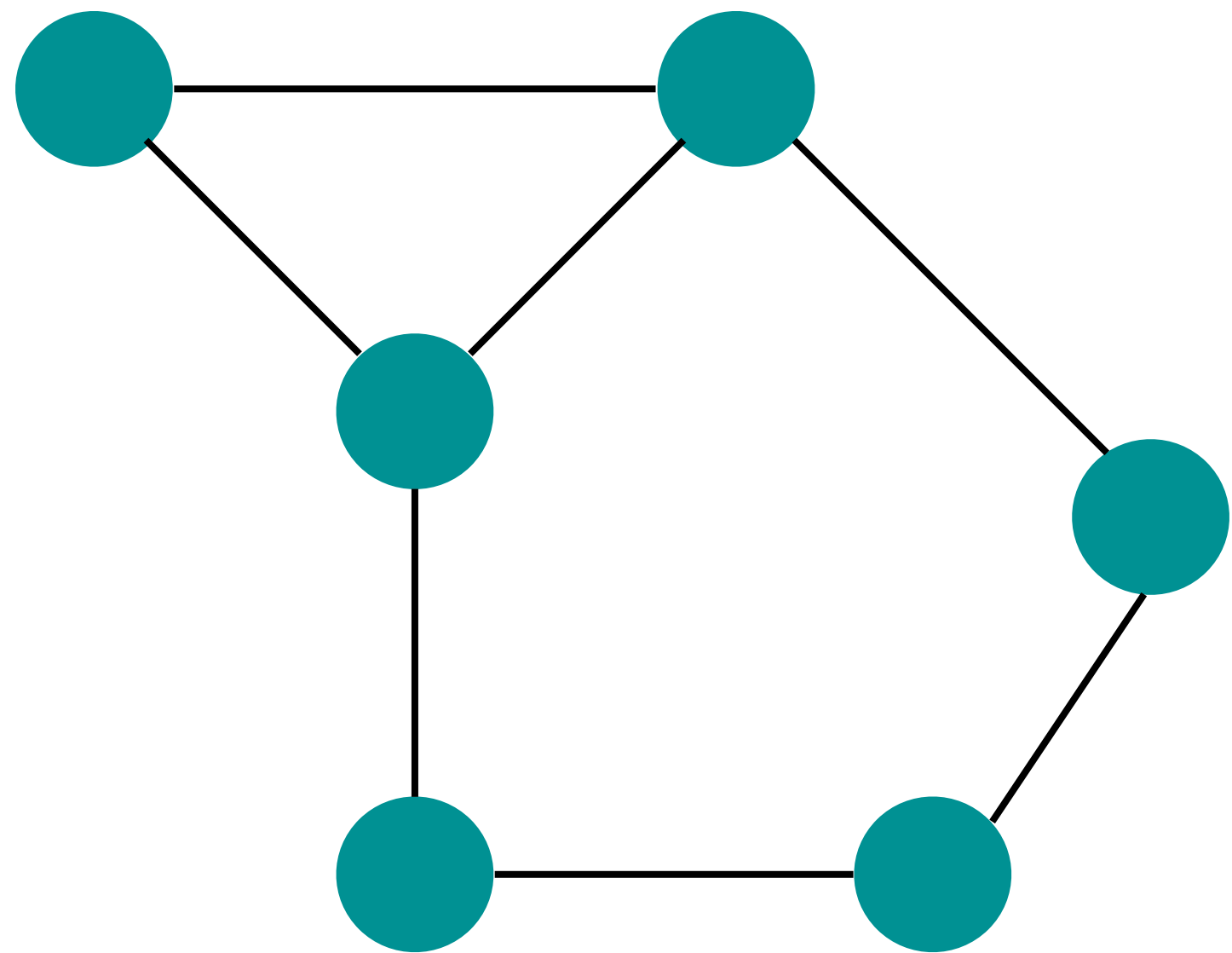
Connectivity

Example

- A $G = (V, E)$ is k -edge connected if
$$\forall X \subseteq E \text{ with } |X| < k, G(V, E \setminus X) \text{ is connected}$$

“ The G remains connected whenever fewer than k edges are removed”

“ At least k edges must be removed to make the G disconnected”



2-edge connected

becomes
disconnected after
removing 2 edges

Connectivity

Definitions

- A $G = (V, E)$ is k - (vertex) connected if
 - $|V| \geq k + 1$
 - $\forall X \subseteq V$ with $|X| < k$, $G[V \setminus X]$ is connected

“ The G remains connected whenever fewer than k vertices are removed”

“ At least k vertices must be removed to make the G disconnected”

Connectivity

Lemma

k-vertex
connectivity

\leq

k-edge
connectivity

\leq

minimum
degree

Connectivity

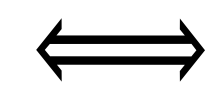
Menger's Theorem

G is k-edge connected



For $\forall u, v \in V, u \neq v$ there
exists k **edge-disjoint** u-v
paths

G is k-vertex connected



For $\forall u, v \in V, u \neq v$ there
exists k **internally-vertex-disjoint**
u-v paths

they share the starting
and ending vertex

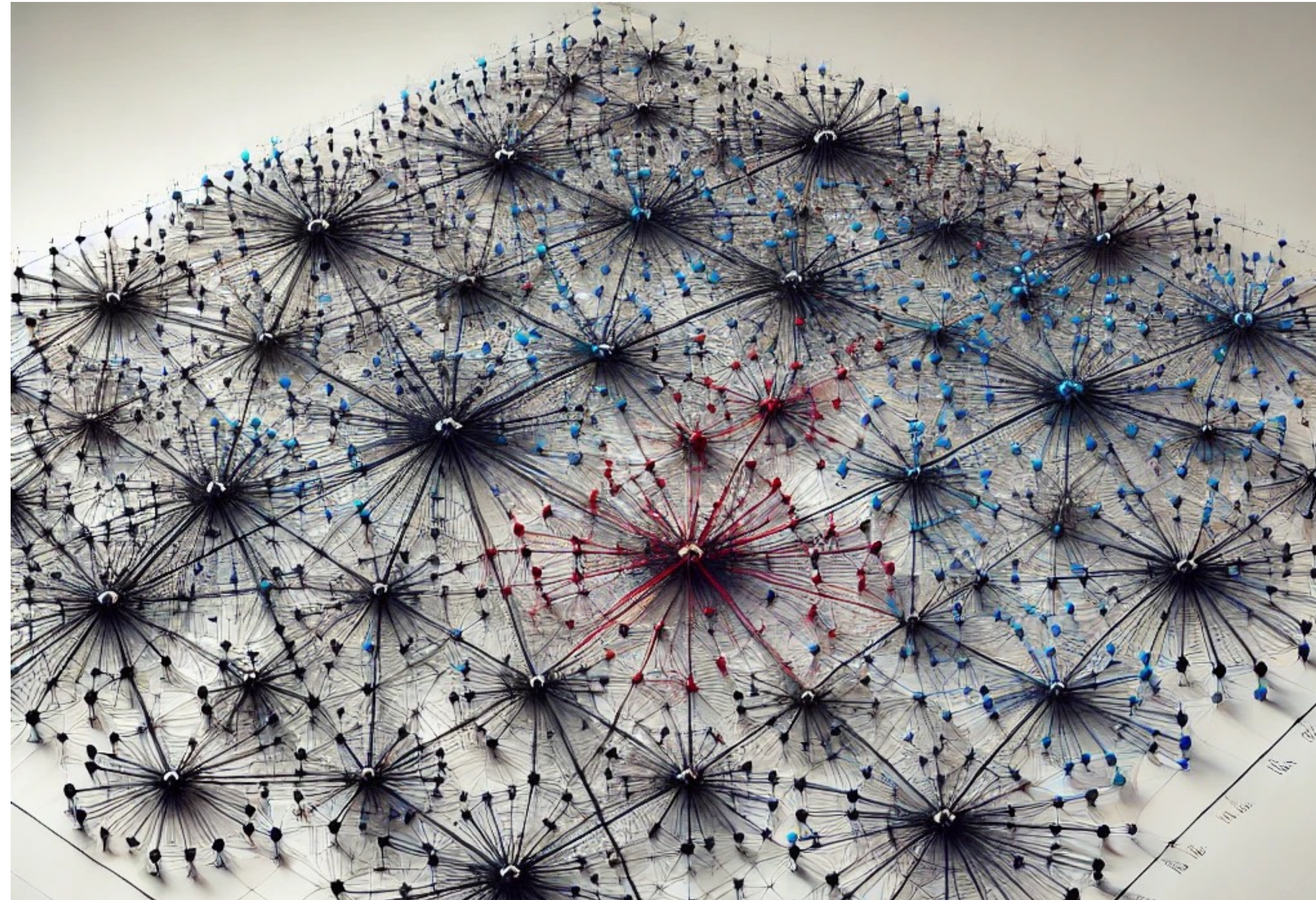
Let's take a break



Articulation Points and Bridges

Intuition

single points
whose failure
would split the
network into 2 or
more
components



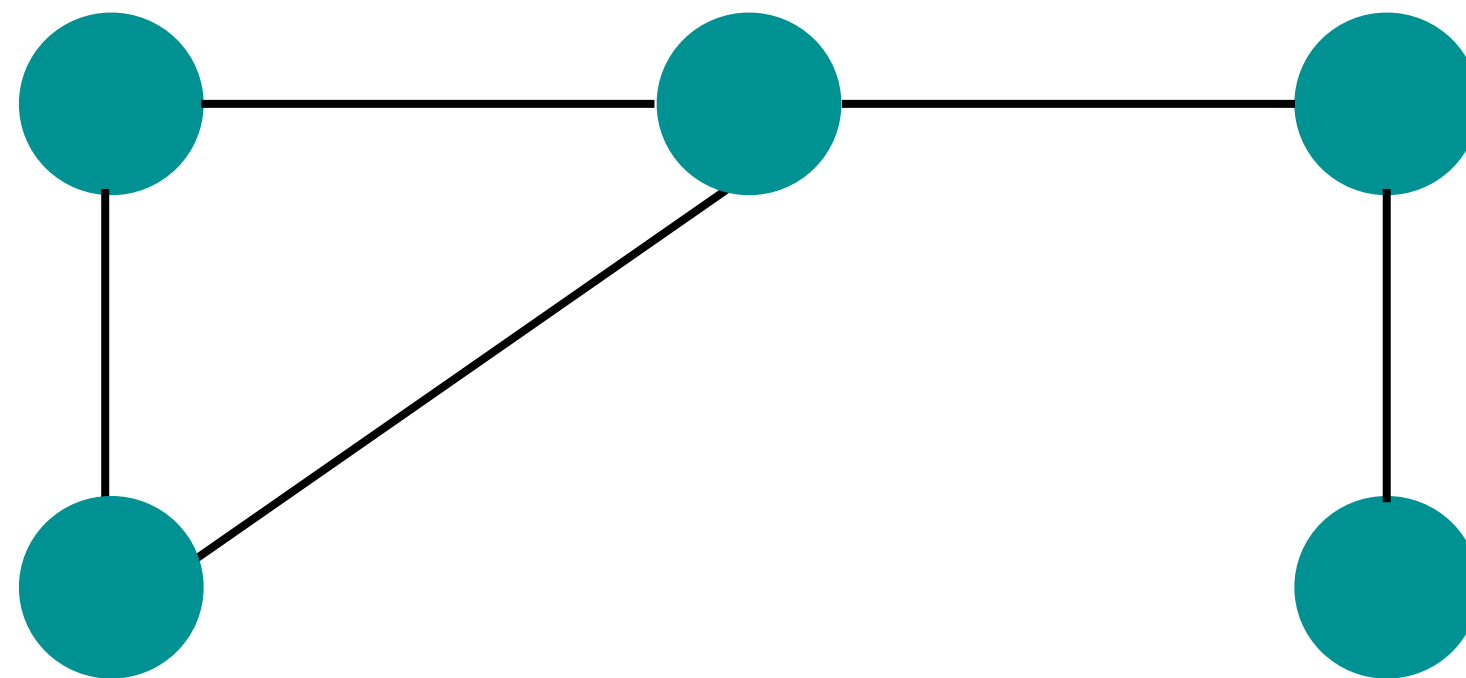
vulnerabilities in
a network

Articulation Points and Bridges

Definitions

- Let $G = (V, E)$ be connected.

A vertex $v \in V$ is an **articulation point** (cut vertex) iff $G[V \setminus \{v\}]$ is not connected

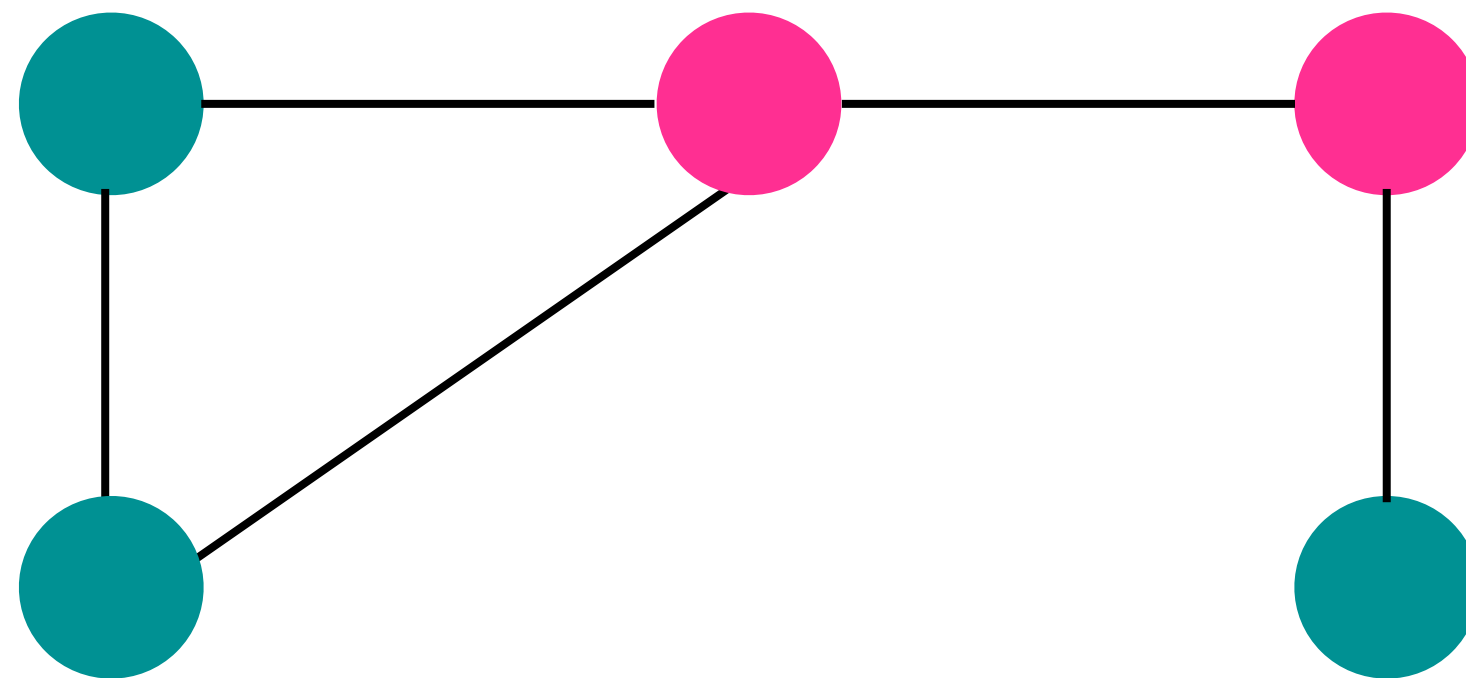


Articulation Points and Bridges

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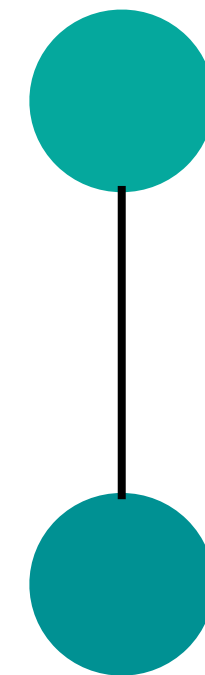
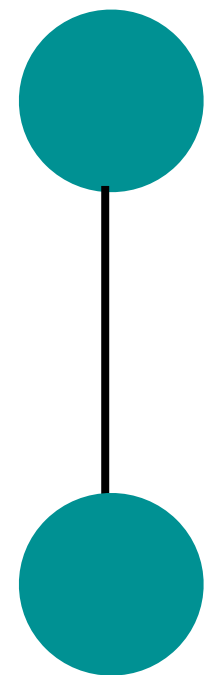
articulation points

Articulation Points and Bridges

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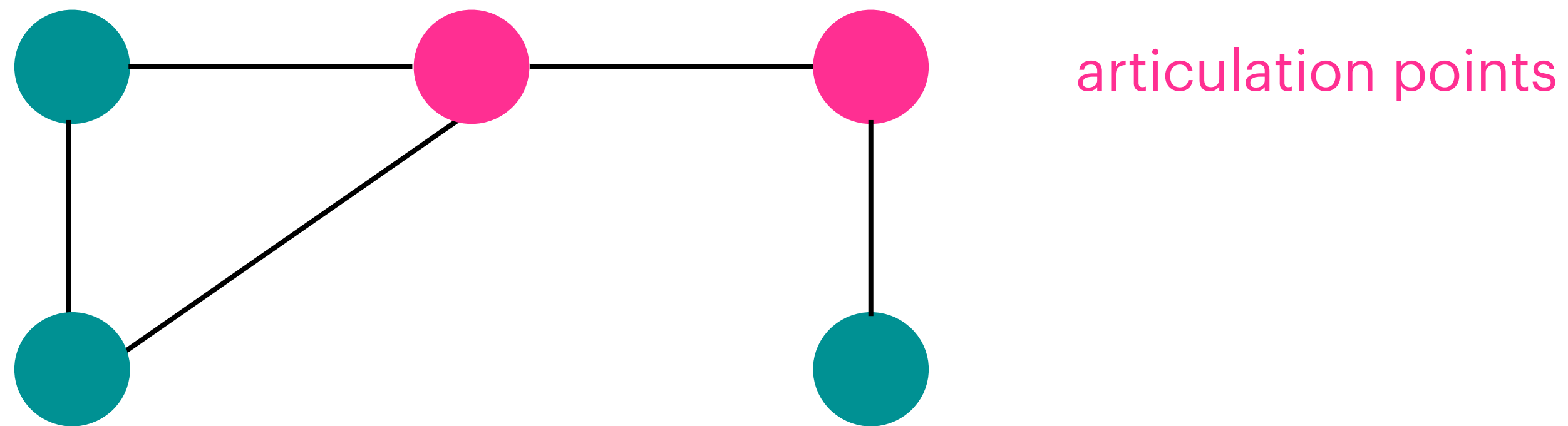


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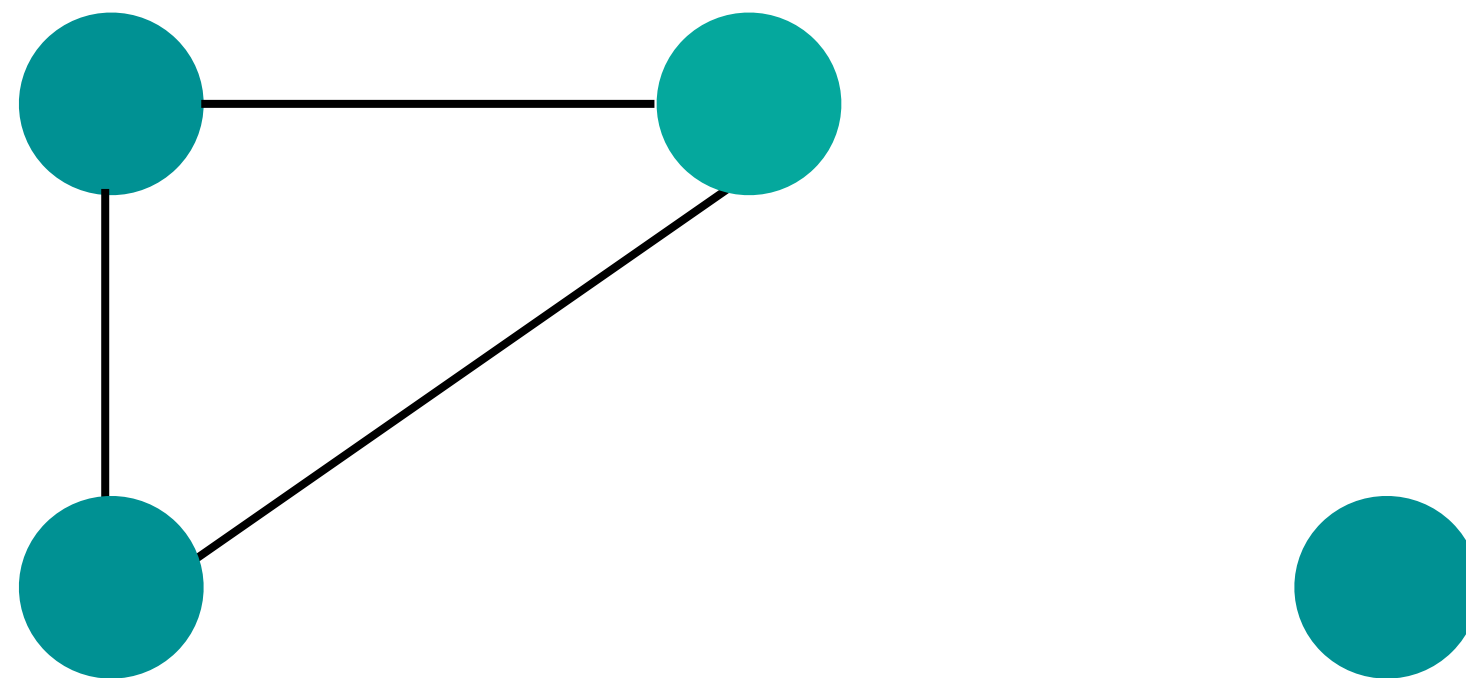


Articulation Points and Bridges

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A vertex $v \in V$ is an **articulation point** (cut vertex) iff $G[V \setminus \{v\}]$ is not connected

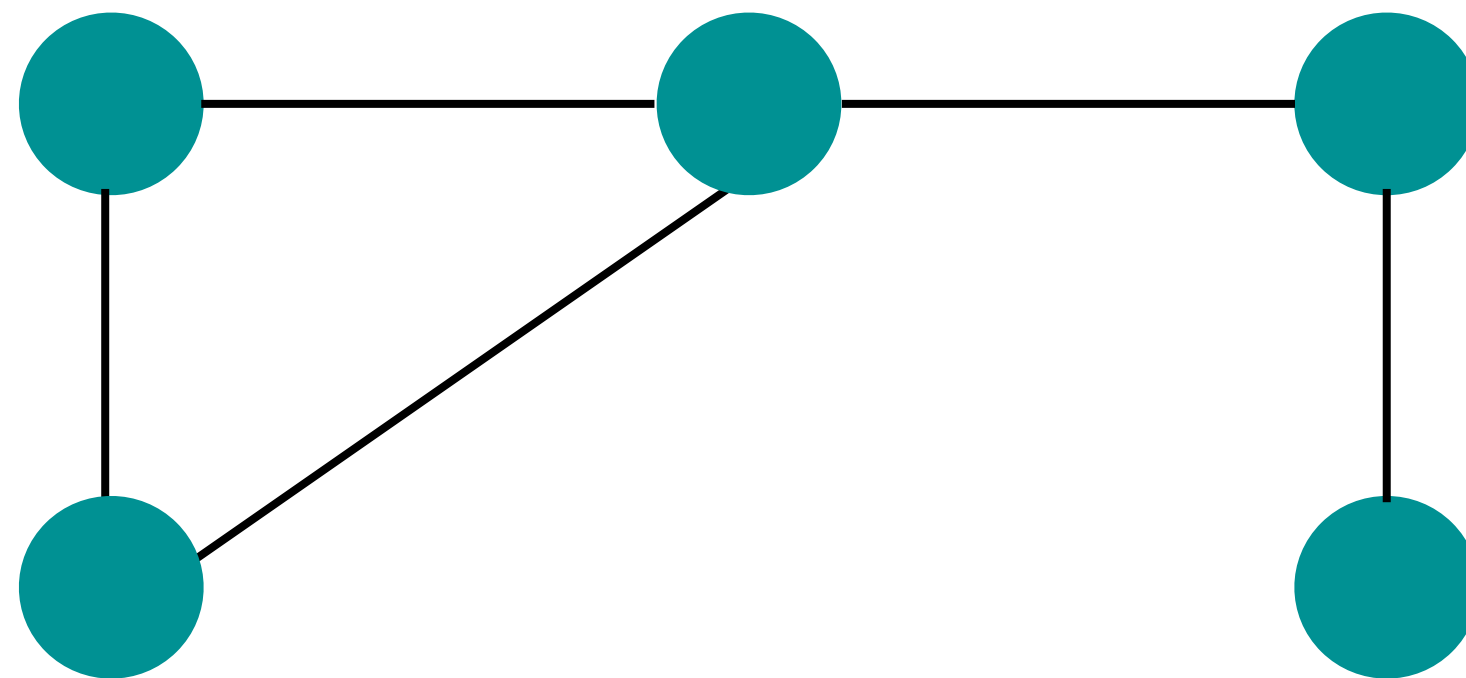


Articulation Points and Bridges

Definitions

- Let $G = (V, E)$ be connected.

An edge $e \in E$ is a **bridge** (cut edge) iff $G - e$ is not connected

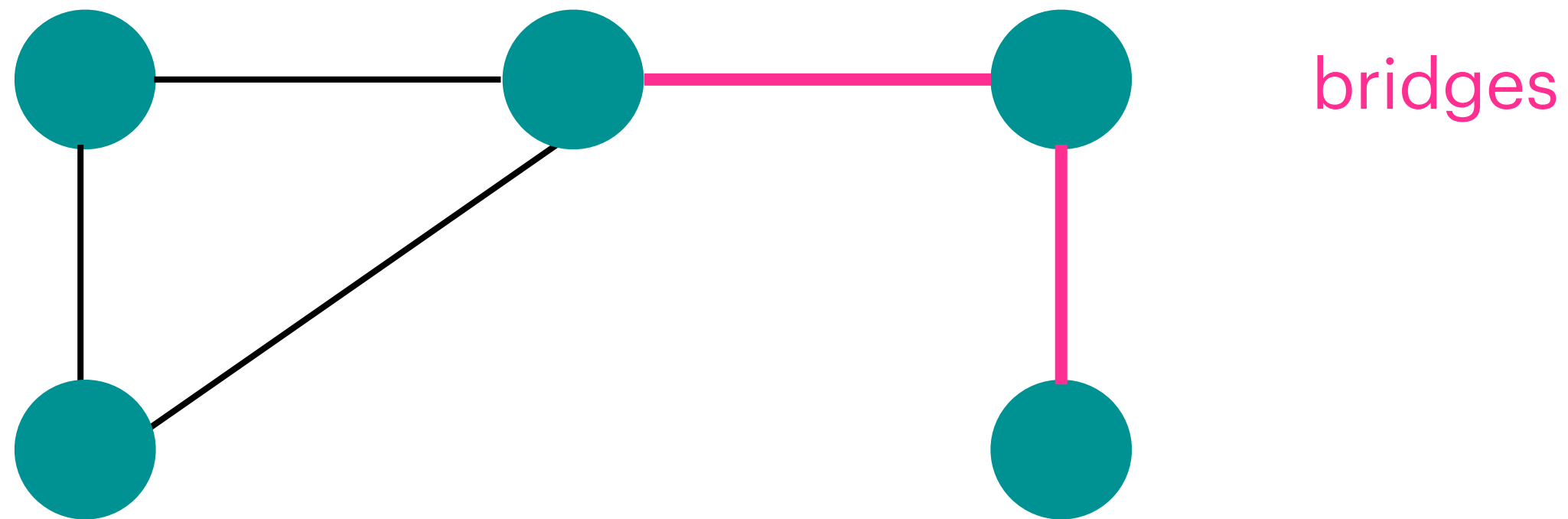


Articulation Points and Bridges

Definitions

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A vertex $e \in E$ is a **bridge** (cut edge) iff $G - e$ is not connected

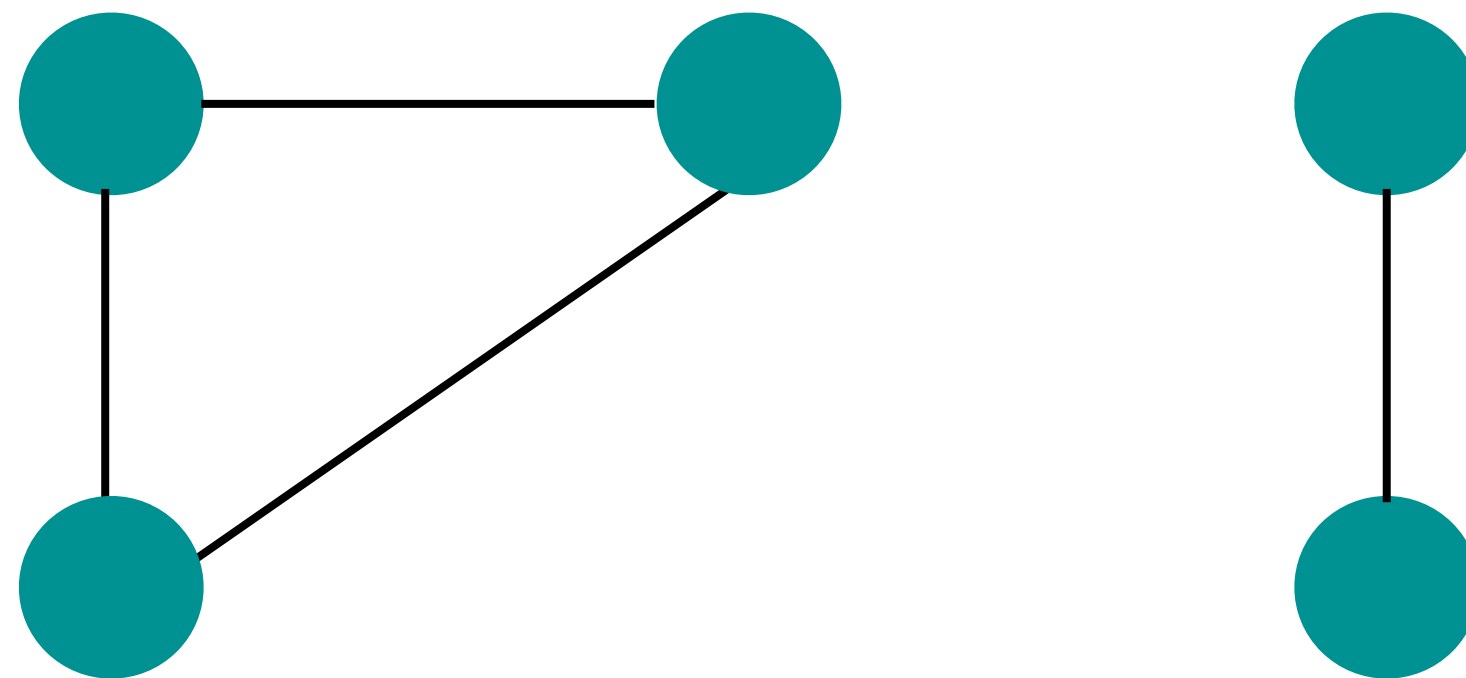


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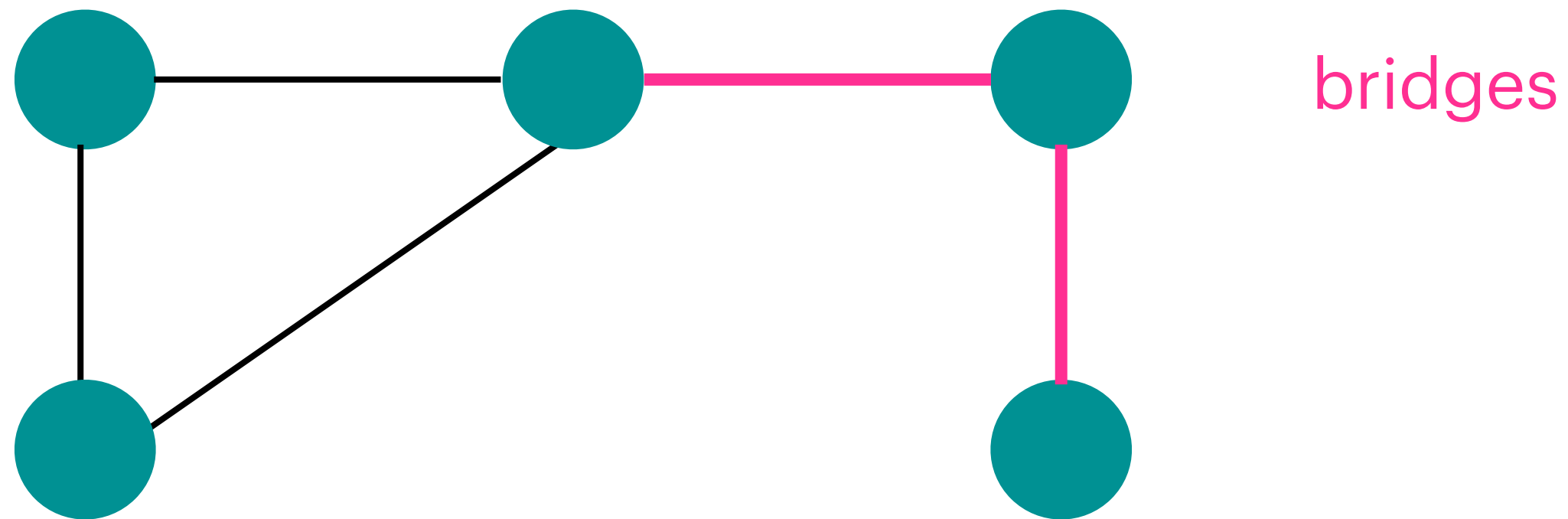


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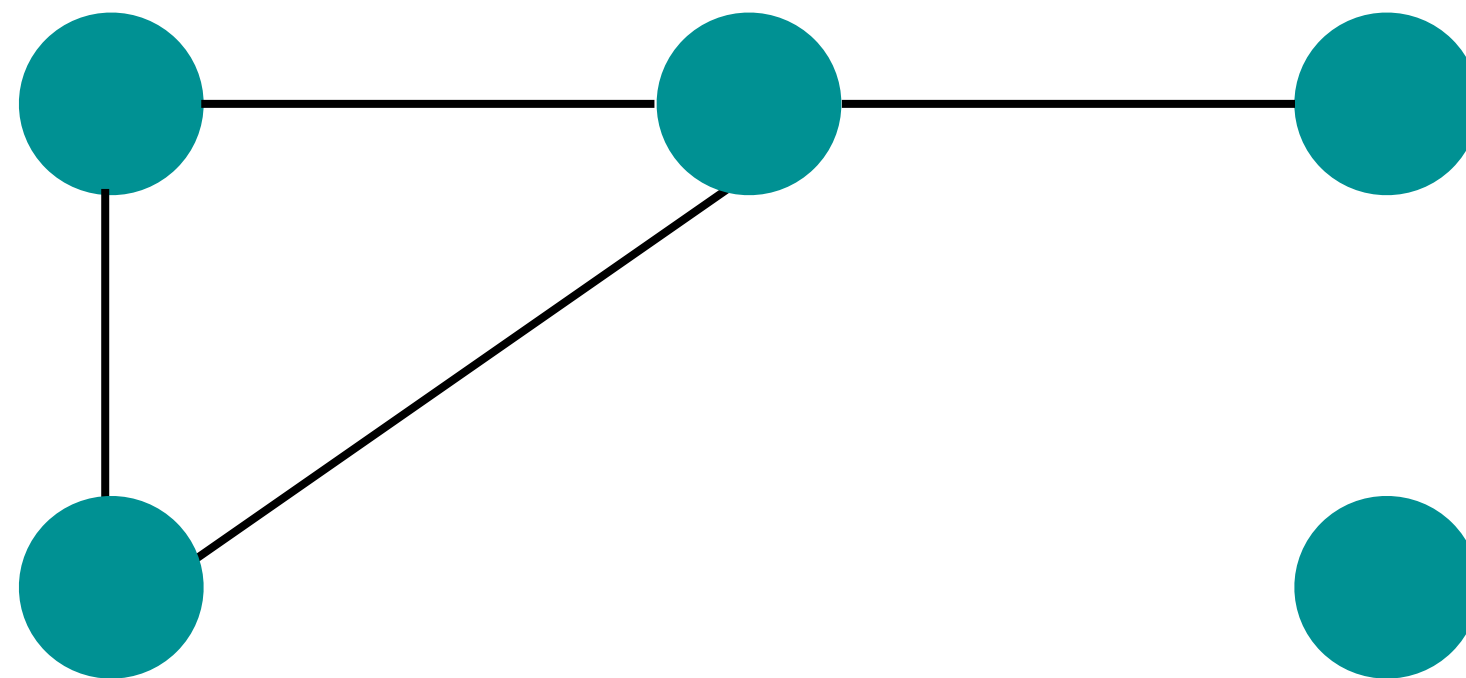


Articulation Points and Bridges

Definitions

- Let $G = (V, E)$ be connected.

A vertex $e \in E$ is a **bridge** (cut edge) iff $G - e$ is not connected



Articulation Points and Bridges

Lemma

- Let $G = (V, E)$ be a connected graph.

$\{x, y\} \in E$ is a bridge \Rightarrow $\deg(x) = 1$
or
 x is an articulation point



Articulation Points and Bridges

Definition

- Let $G = (V, E)$ be a graph.

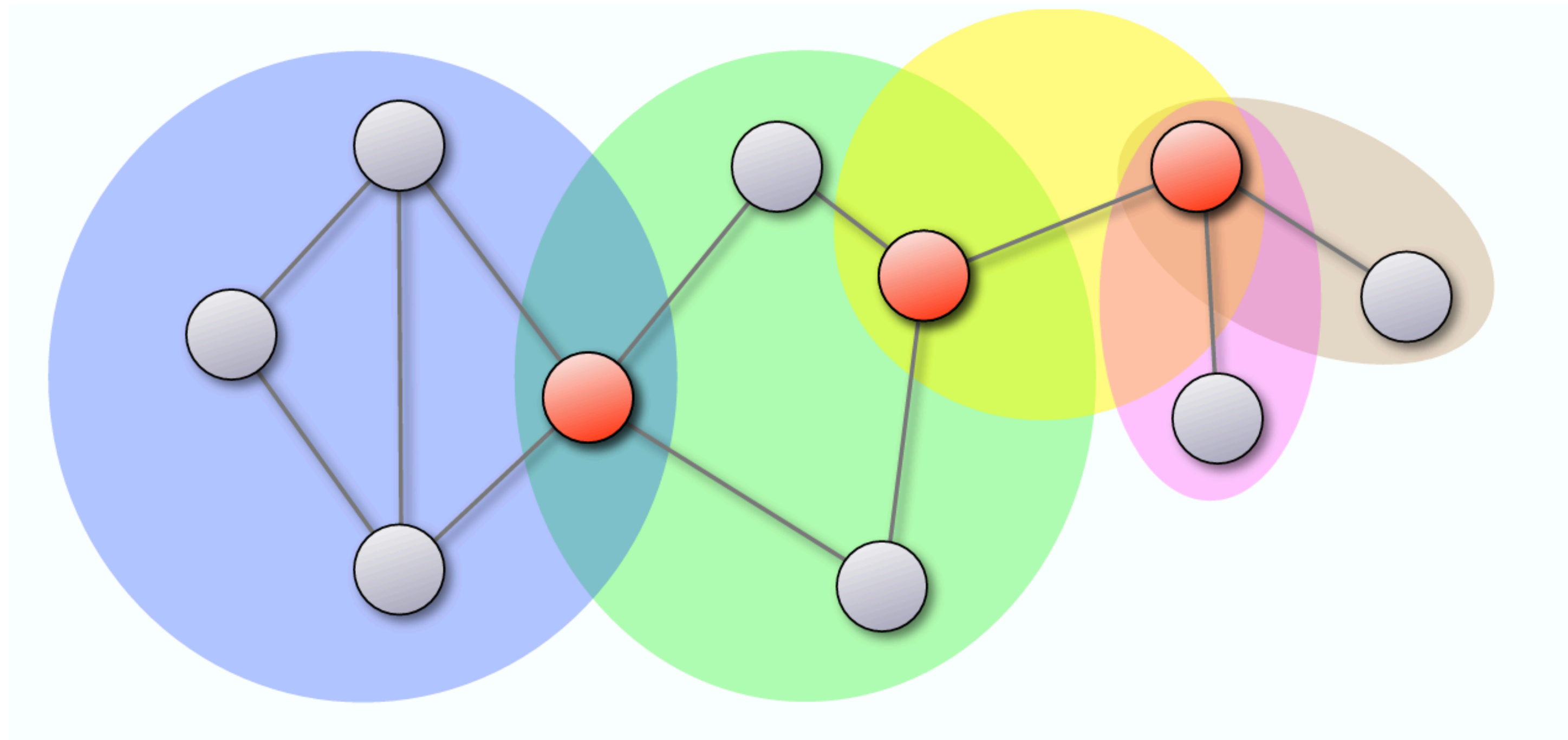
The equivalence relation \sim on E is defined as :

$$e \sim f \quad := \quad \left\{ \begin{array}{ll} e = f & \text{or} \\ e \text{ and } f \text{ are on a common cycle} \end{array} \right.$$

Articulation Points and Bridges

Definition

- The equivalence classes are named as **Blocks**



- Let $G = (V, E)$ be a graph.

The equivalence relation \sim on E is defined as :

$$e \sim f := \begin{cases} e = f & \text{or} \\ e \text{ and } f \text{ are on a common cycle} \end{cases}$$

Lemma :

2 blocks always intersect at an articulation point.

Articulation point is the critical point that holds blocks together. If a graph has an articulation point, it serves as the **only connection** between two or more blocks.

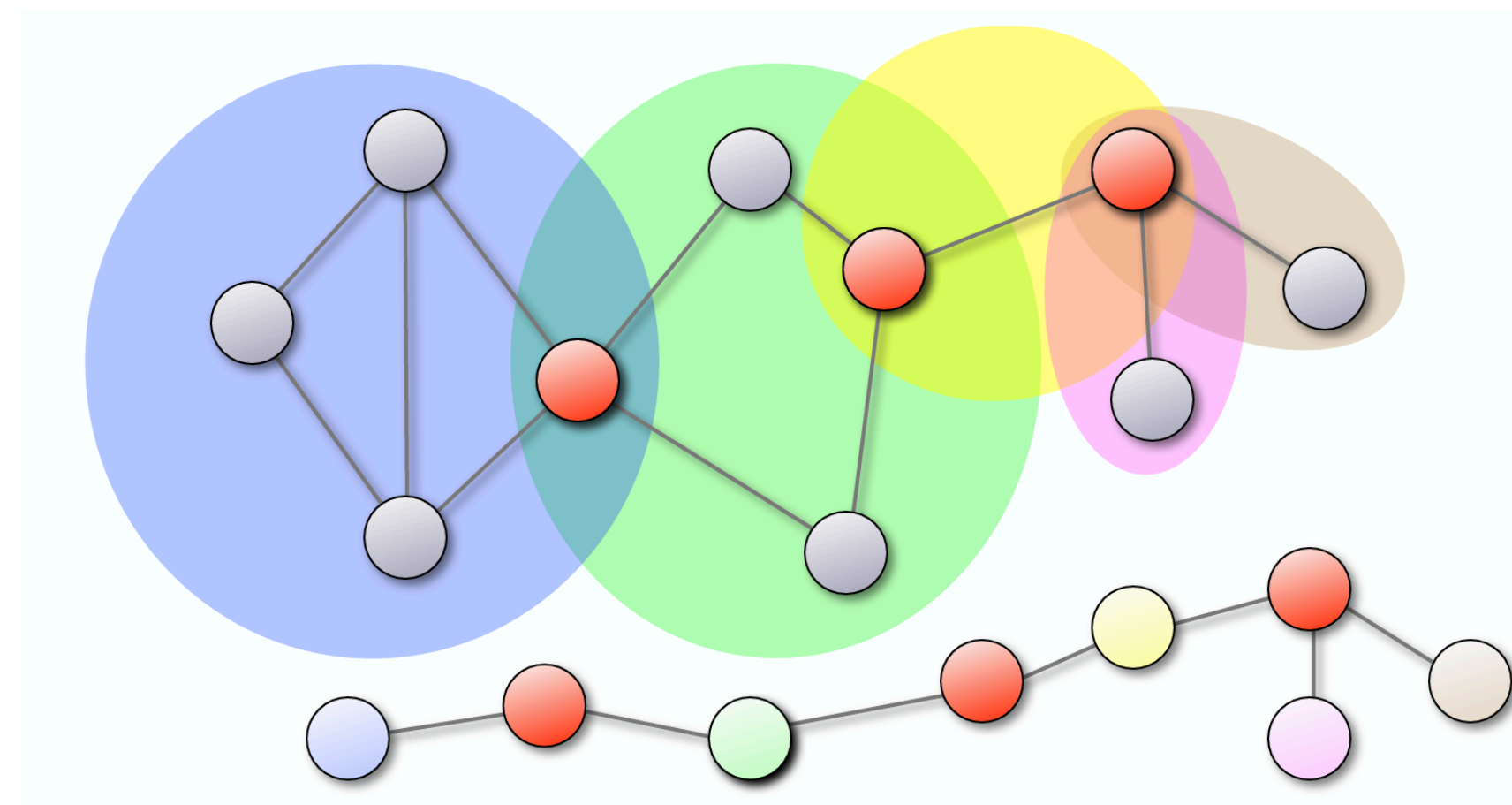
Articulation Points and Bridges

Definition

- Let $G = (V, E)$ be connected

The Block-Graph of G is the bipartite Graph $T = (A \uplus B, E_T)$ with

- $A = \{\text{Articulation points of } G\}$
- $B = \{\text{Block of } G\}$
- $\forall a \in A, b \in B : \{a, b\} \in E_T \iff a \text{ is incident to an edge in } b$



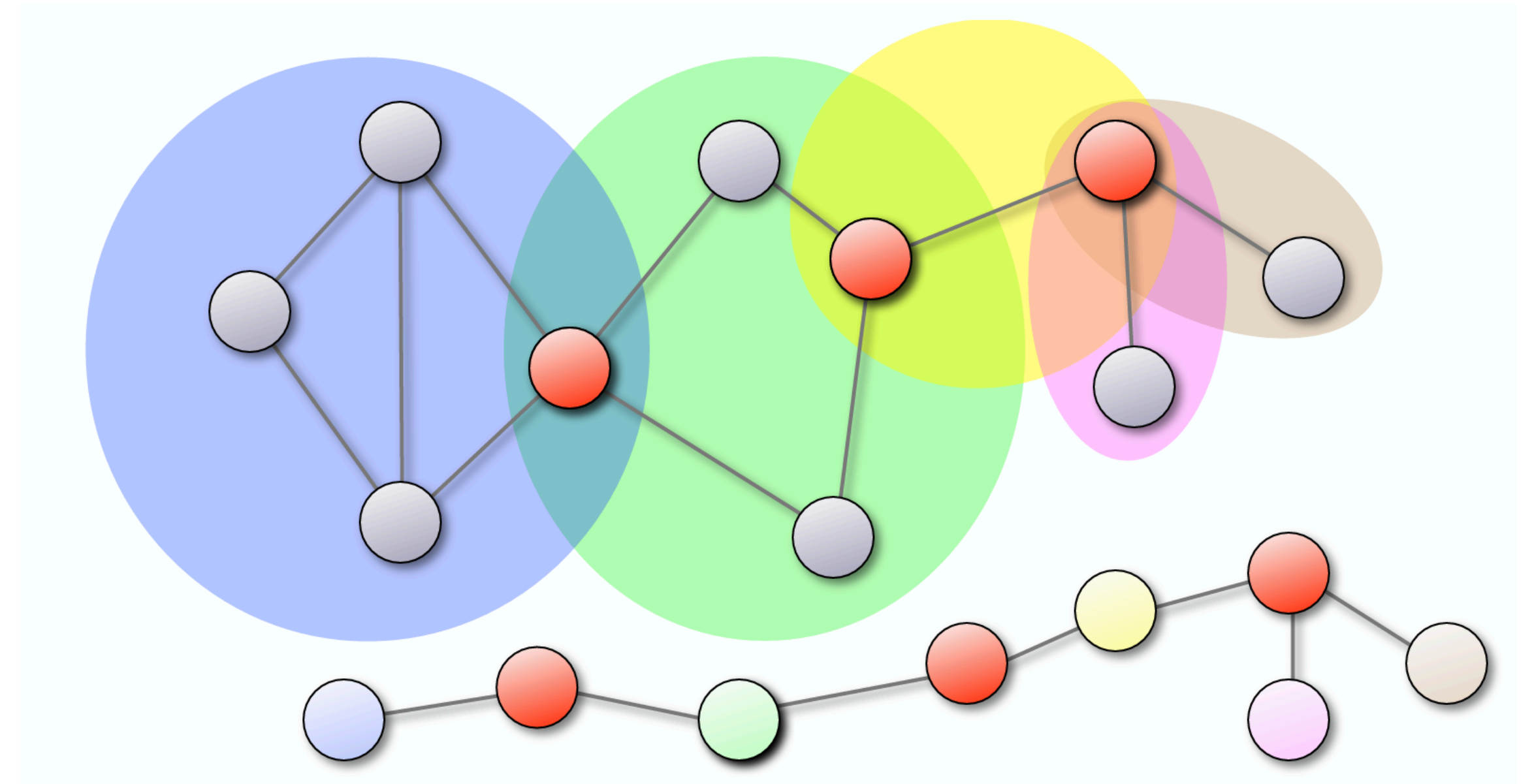
Articulation Points and Bridges

Lemma

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The Block-Graph of G is the bipartite Graph $T = (A \uplus B, E_T)$ with

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- $\forall a \in A, b \in B : \{a, b\} \in E_T \iff a \text{ is incident to an edge in } b$



If G is connected, then the Block-Graph of G is a tree

Articulation Points and Bridges

Finding articulation points

BFS-VISIT-ITERATIVE(G, v)

```
1  $Q \leftarrow \emptyset$ 
2 Markiere  $v$  als aktiv
3 ENQUEUE( $Q, v$ )
4 while  $Q \neq \emptyset$  do
5      $w \leftarrow$  DEQUEUE( $Q$ )
6     Markiere  $w$  als besucht
7     for each  $(w, x) \in E$  do
8         if  $x$  nicht aktiv und  $x$  noch nicht besucht then
9             Markiere  $x$  als aktiv
10            ENQUEUE( $Q, x$ )
```

A

articulation points

DFS-VISIT-ITERATIVE(G, v)

```
1  $S \leftarrow \emptyset$ 
2 PUSH( $S, v$ )
3 while  $S \neq \emptyset$  do
4      $w \leftarrow$  POP( $S$ )
5     if  $w$  noch nicht besucht then
6         Markiere  $w$  als besucht
7         for each  $(w, x) \in E$  in reverse order do
8             if  $x$  noch nicht besucht then
9                 PUSH( $S, x$ )
```

shortest paths



Queue:
First-in-first-out



Stack:
Last-in-first-out

Articulation Points and Bridges

Finding articulation points

DFS-VISIT-ITERATIVE(G, v)

```
1  $S \leftarrow \emptyset$ 
2 PUSH( $S, v$ )
3 while  $S \neq \emptyset$  do
4    $w \leftarrow \text{POP}(S)$ 
5   if  $w$  noch nicht besucht then
6     Markiere  $w$  als besucht
7     for each  $(w, x) \in E$  in reverse order do
8       if  $x$  noch nicht besucht then
9         PUSH( $S, x$ )
```

+

Calculate low[v]

Articulation Points and Bridges

Finding articulation points

DFS-VISIT-ITERATIVE(G, v)

```
1  $S \leftarrow \emptyset$ 
2 PUSH( $S, v$ )
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8       if  $x$  noch nicht besucht then
9         PUSH( $S, x$ )
```

Calculate low[v]

+

low[v] := the smallest dfs-number that one can reach from v with a directed path consisting of (any number of) tree edges and maximum one remaining edge .

Articulation Points and Bridges

Finding articulation points

DFS-VISIT-ITERATIVE(G, v)

```
1  $S \leftarrow \emptyset$ 
2 PUSH( $S, v$ )
3 while  $S \neq \emptyset$  do
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Articulation Points and Bridges

Finding articulation points

DFS-VISIT-ITERATIVE(G, v)

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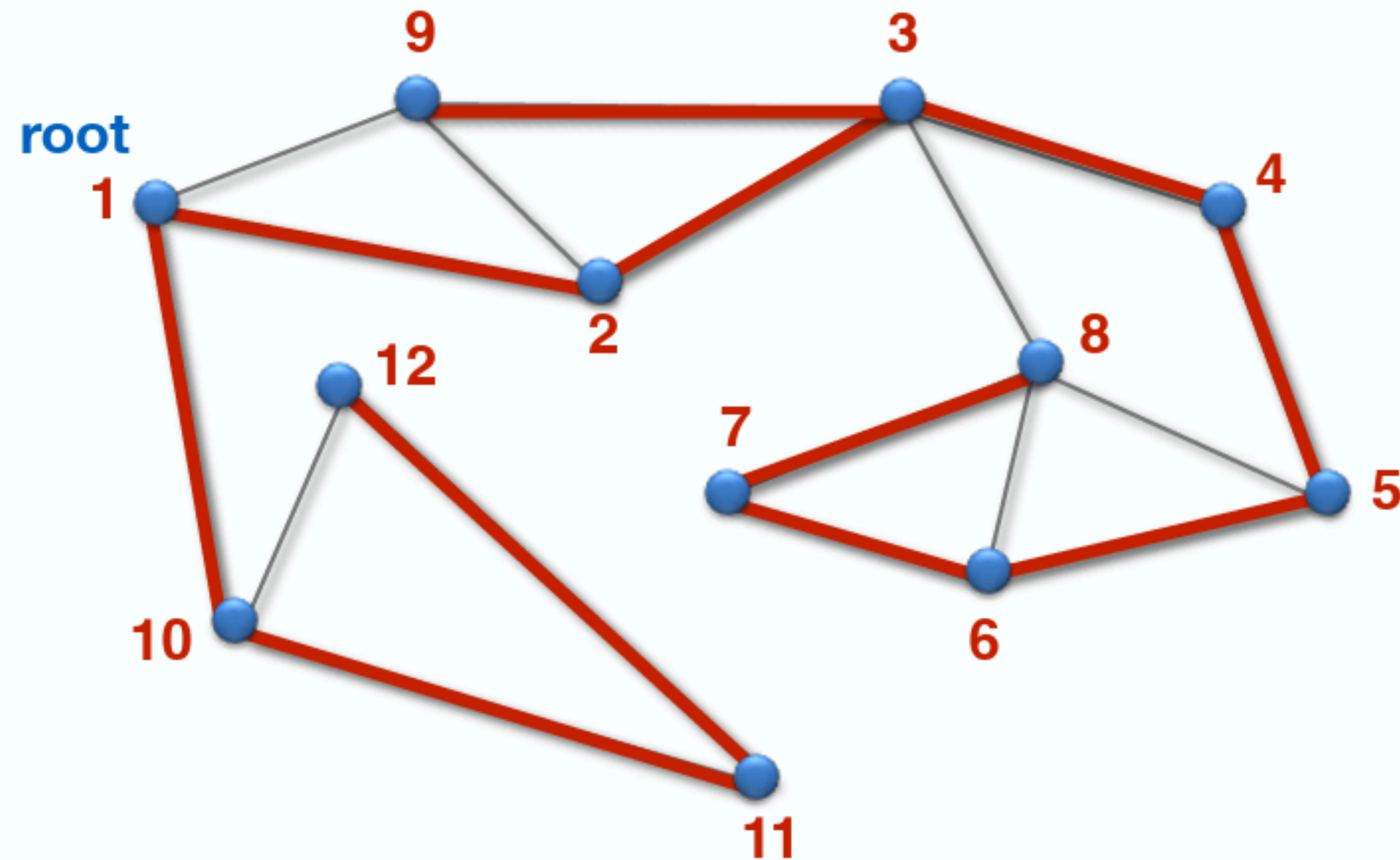
Calculate low[v]

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Articulation Points and Bridges

Finding articulation points

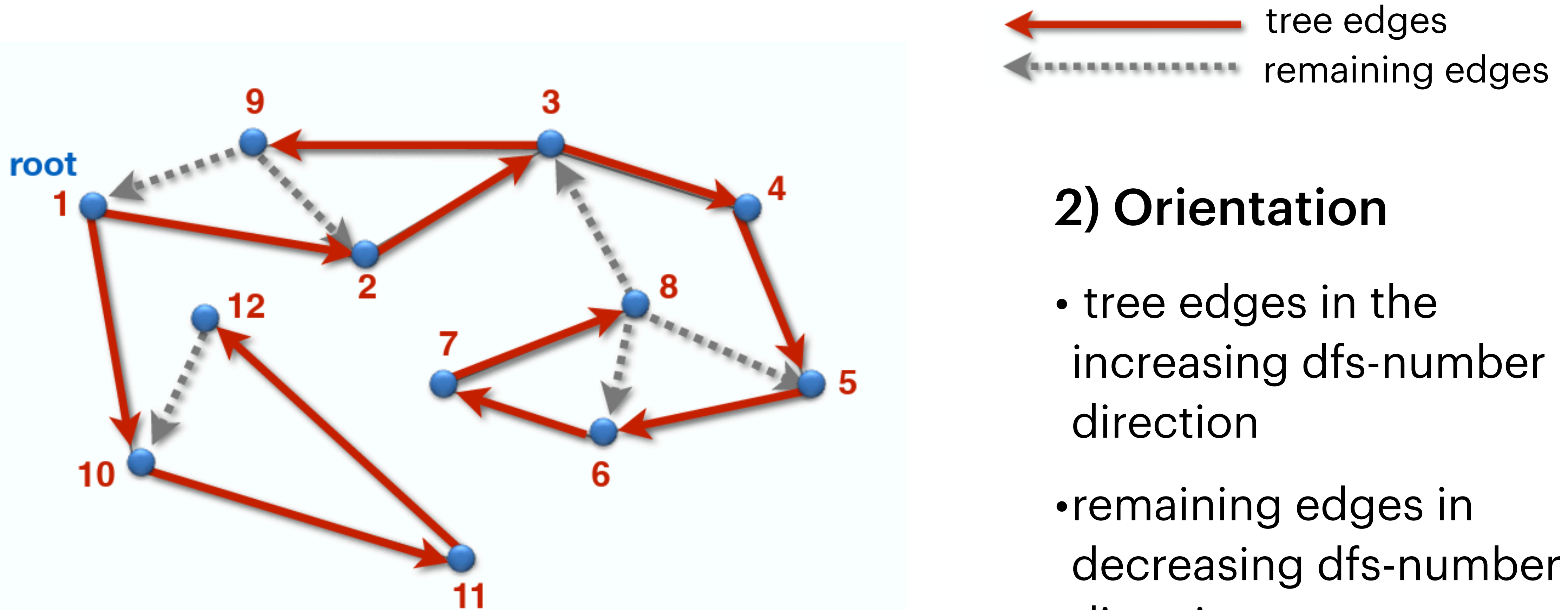


1) Find DFS numbers

- DFS from A&D
- pre-number from A&D is now the DFS number
- back edge/forward edge/cross edge are now all remaining edges

Articulation Points and Bridges

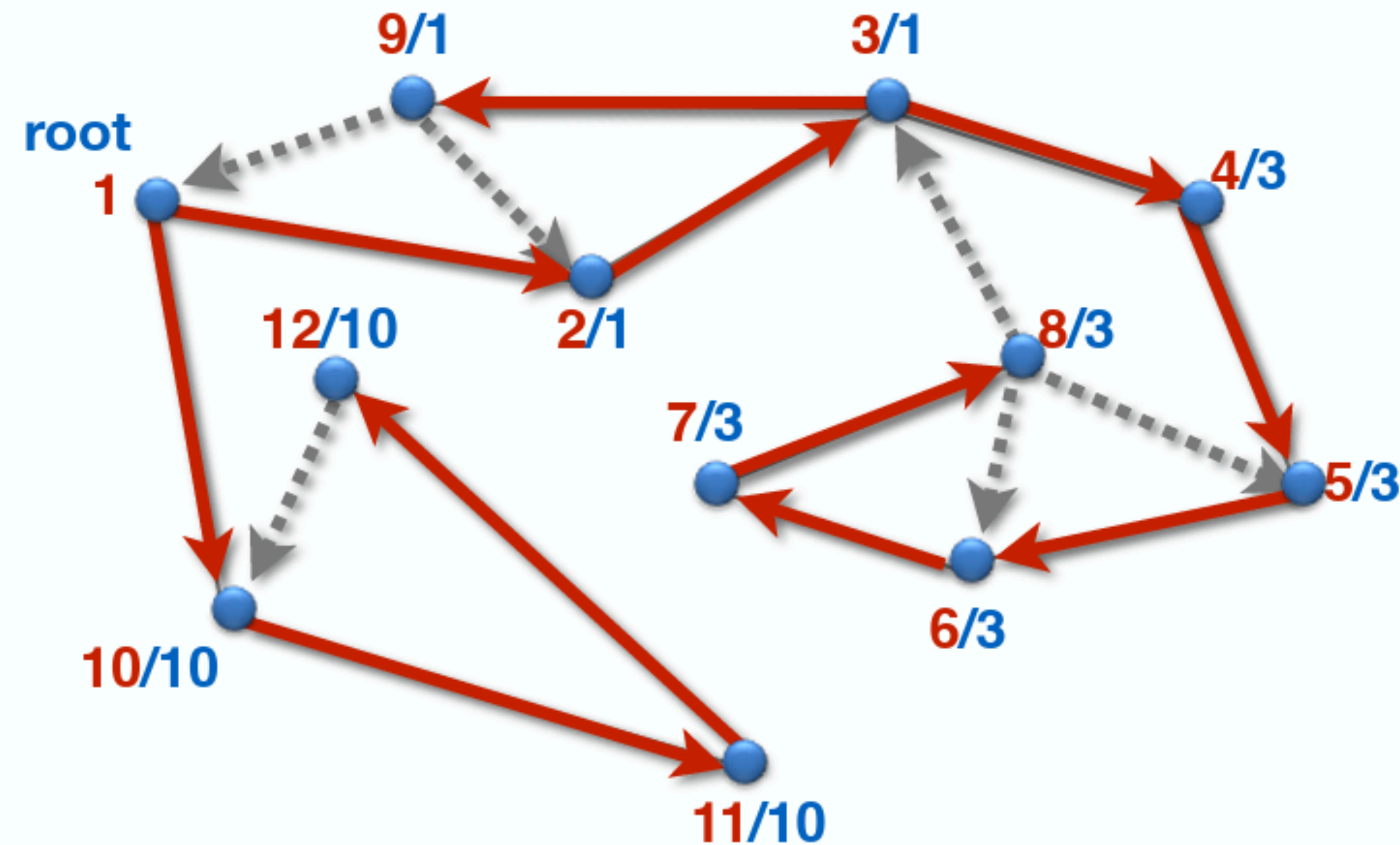
Finding articulation points



Articulation Points and Bridges

Finding articulation points

dfs / low

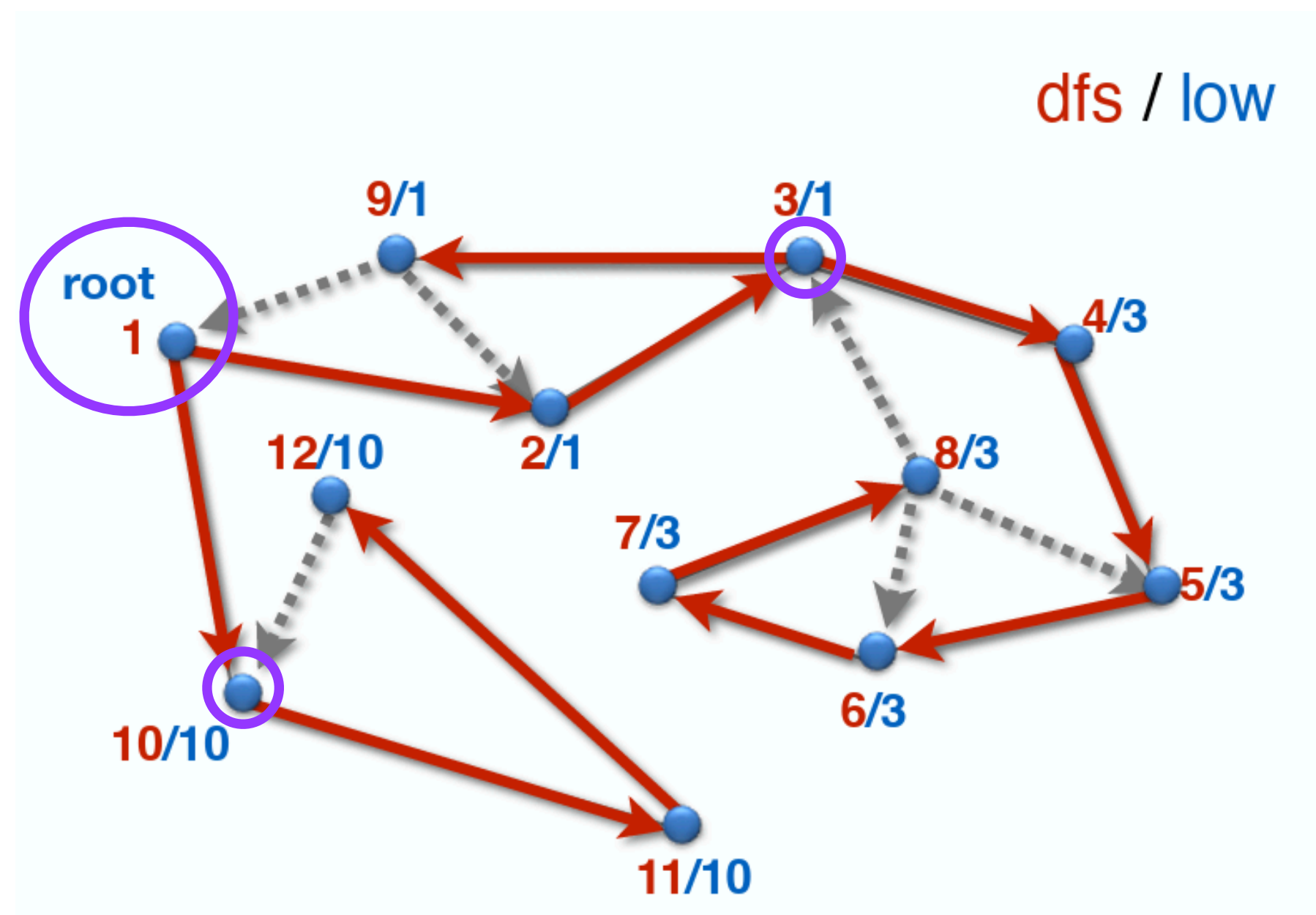


3) Calculate low[v]

$$\text{low}[v] = \min \left(\text{dfs}[v], \min_{(v,w) \in E} \begin{cases} \text{dfs}[w], & \text{if } (v,w) \text{ is a remaining edge} \\ \text{low}[w], & \text{if } (v,w) \text{ is a tree edge} \end{cases} \right)$$

Articulation Points and Bridges

Finding articulation points

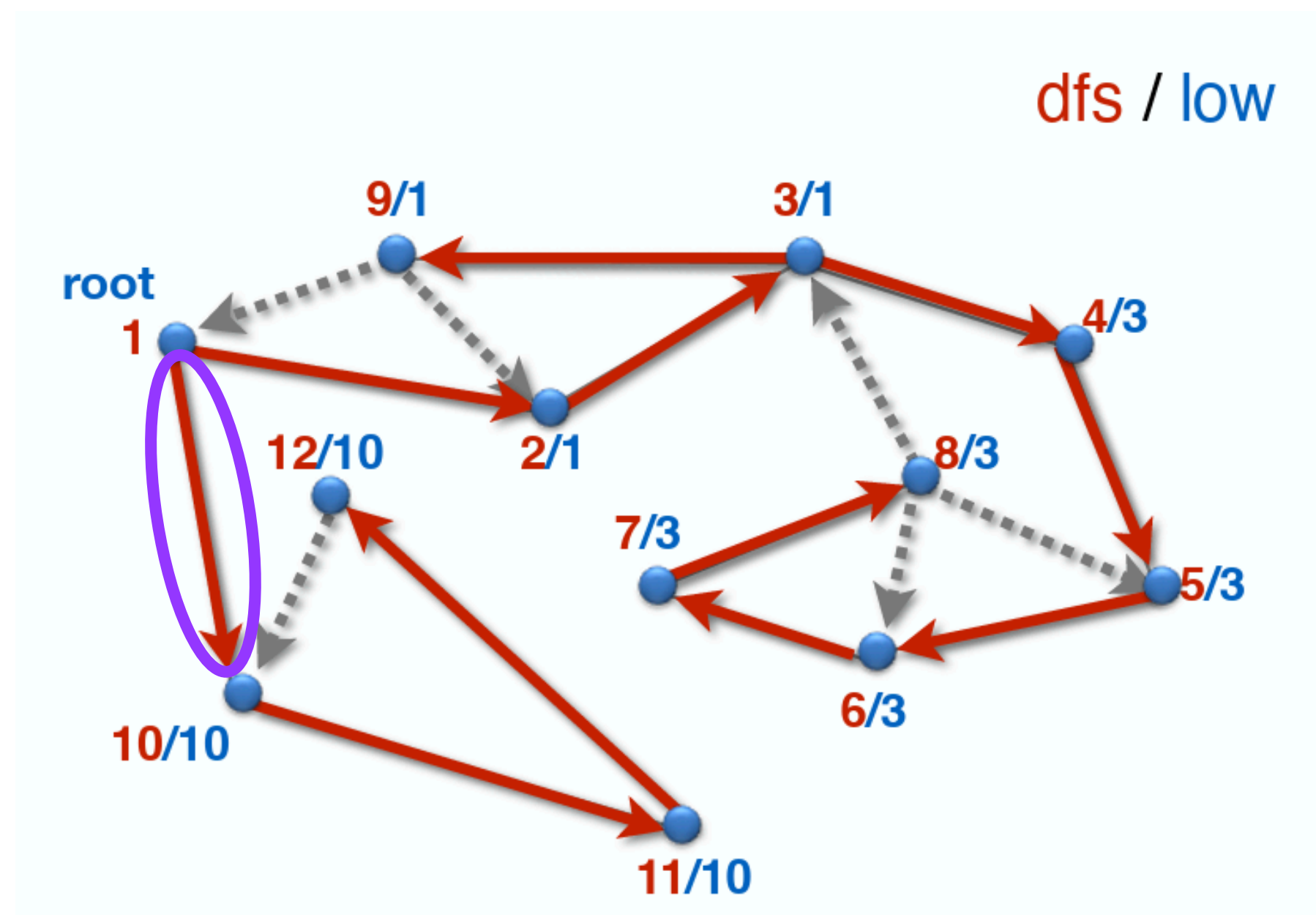


A vertex v is an **articulation point** iff

- 1) $v \neq \text{root}$ and v has a child u in DFS-Tree with $\text{low}[u] \geq \text{dfs}[v]$ or
- 2) $v = \text{root}$ and v has at least 2 children in DFS-Tree

Articulation Points and Bridges

Finding articulation points



A tree edge $e = (v, w)$ is a **bridge** iff

$$\text{low}[w] > \text{dfs}[v]$$

Remaining edges can never be a bridge

Cycles

Cycles

Definitions

Closed walk

- A sequence of vertices (v_0, v_1, \dots, v_k) is a **closed walk** (german “Zyklus”) if it is a walk, $k \geq 2$ and $v_0 = v_k$.

Cycle

- A sequence of vertices (v_0, v_1, \dots, v_k) is a **cycle** (german “Kreis”) if it is a closed walk, $k \geq 3$ and all vertices (except v_0 and v_k) are distinct.

- Hamiltonian Cycle

- A **cycle** in G that contains every **vertex** exactly once

- Eulerian Cycle

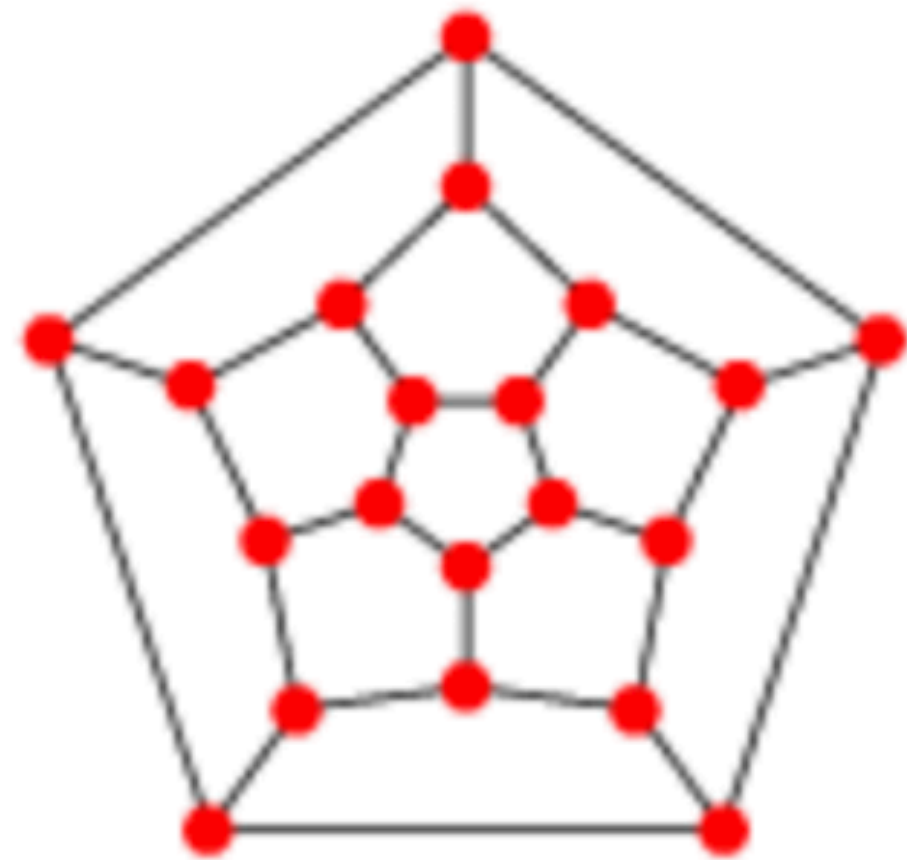
- A **closed walk** in G that contains every **edge** exactly once



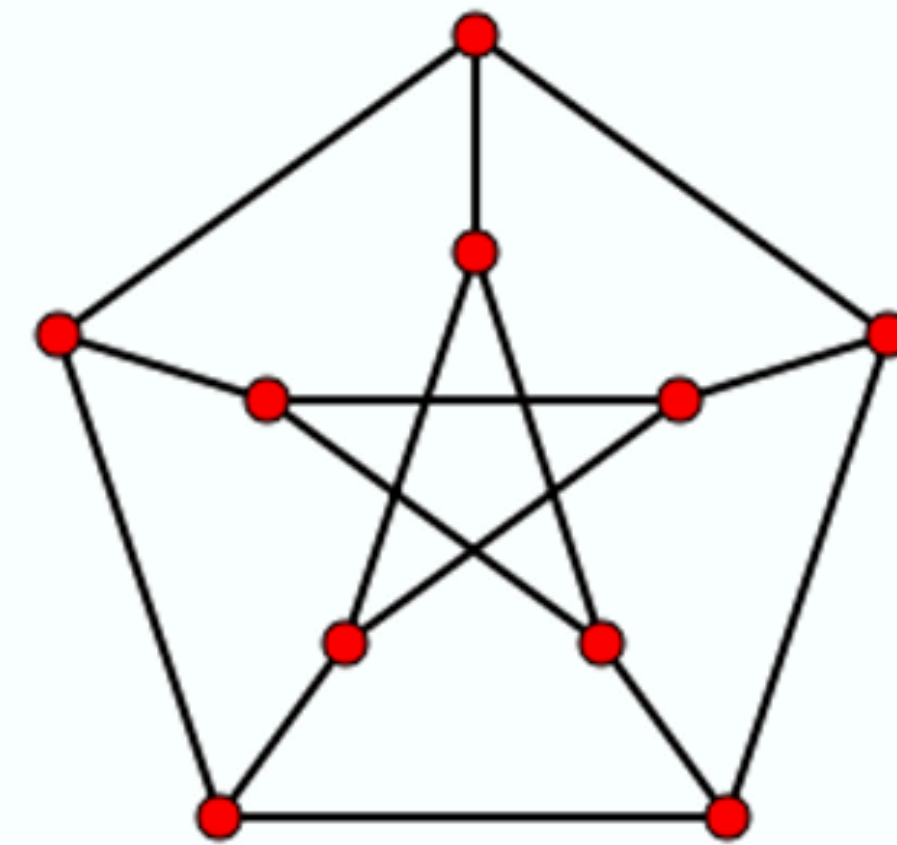
Cycles

Hamiltonian Cycle Examples

- Hamiltonian Cycle
 - A cycle in G that contains every vertex exactly once



Ikosaeder

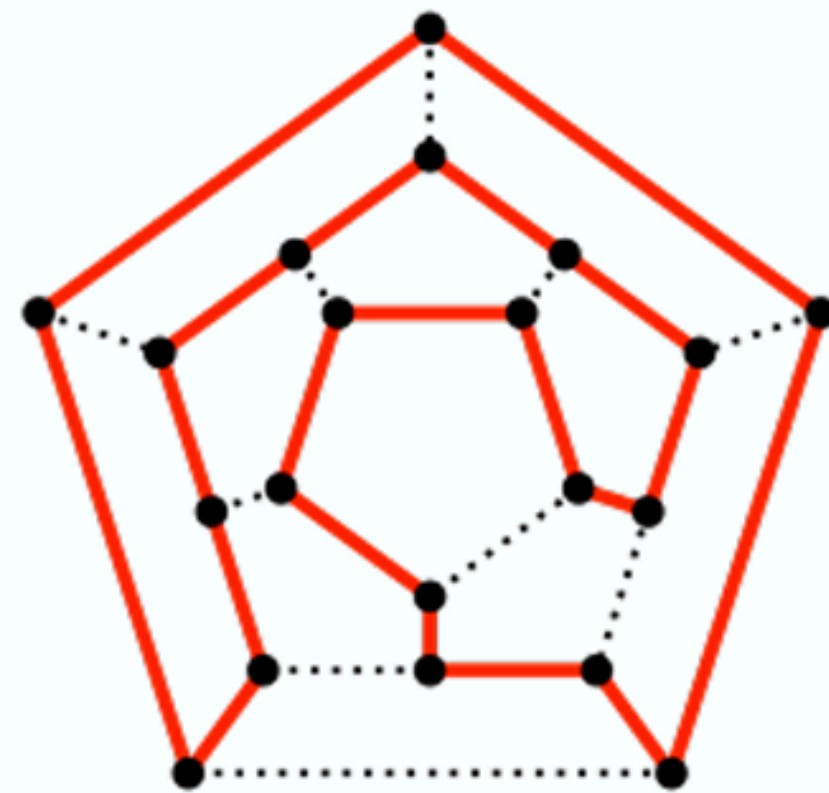


Petersengraph

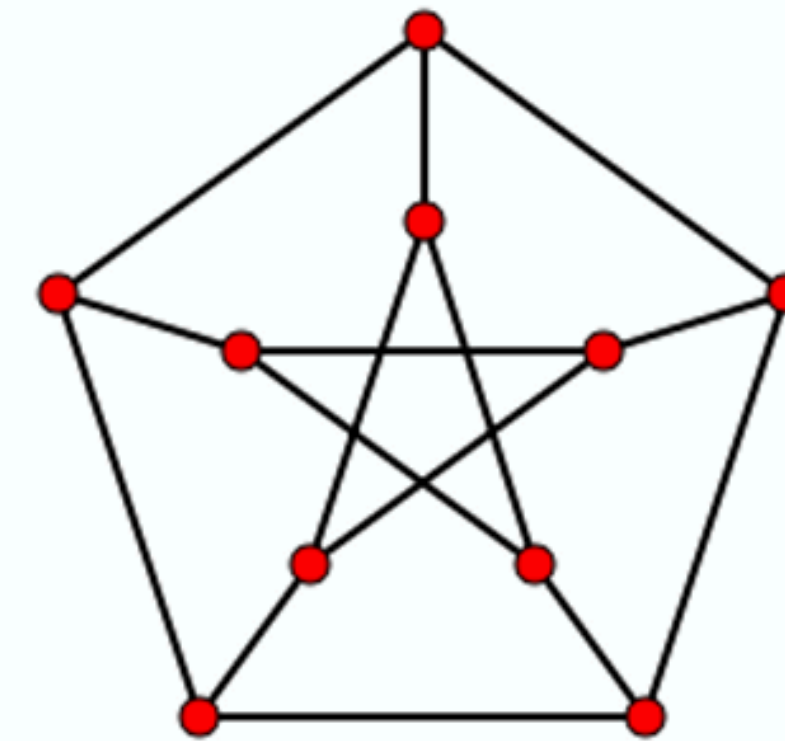
Cycles

Hamiltonian Cycle Examples

- Hamiltonian Cycle
 - A cycle in G that contains every vertex exactly once



Ikosaeder



Petersengraph

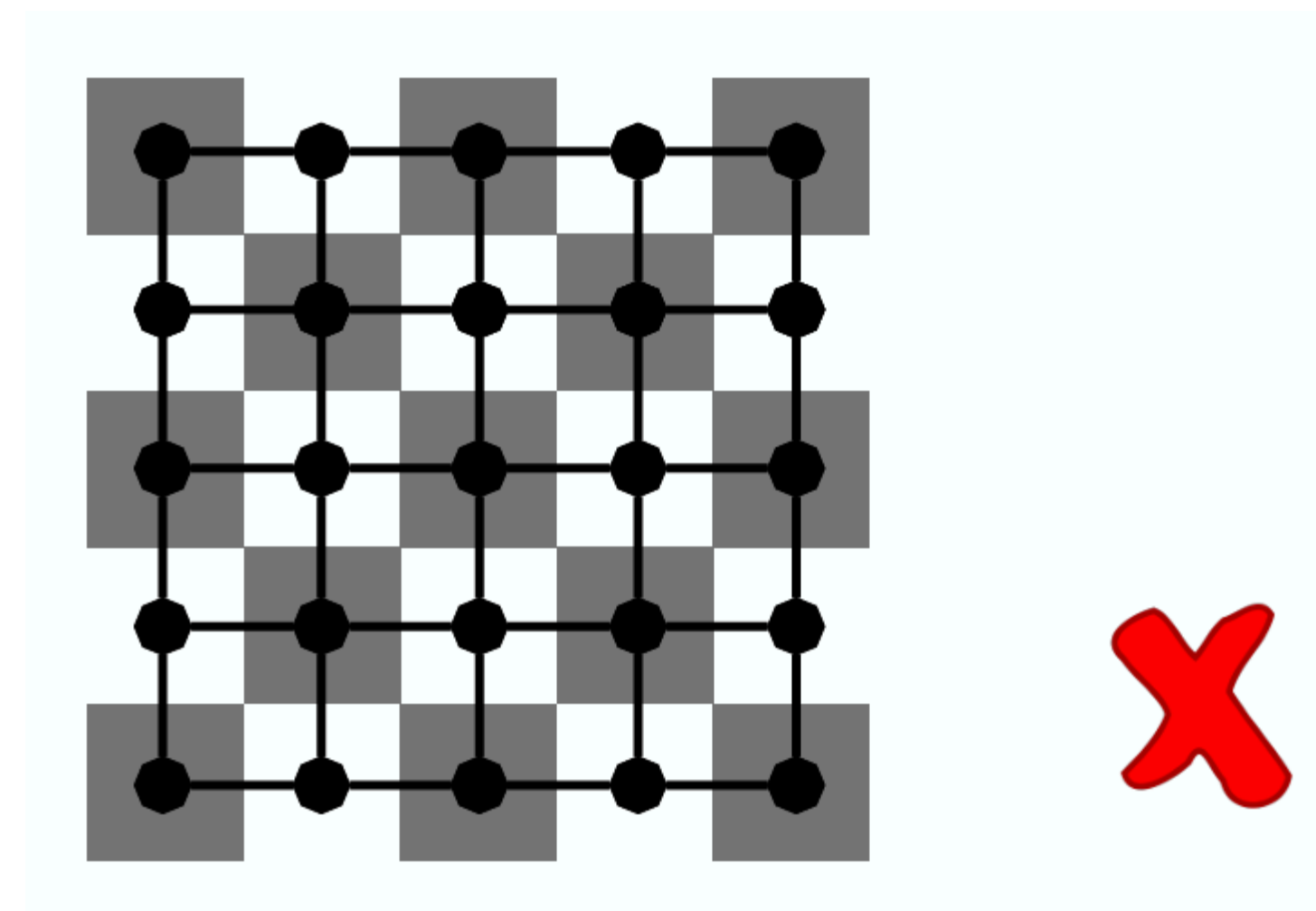
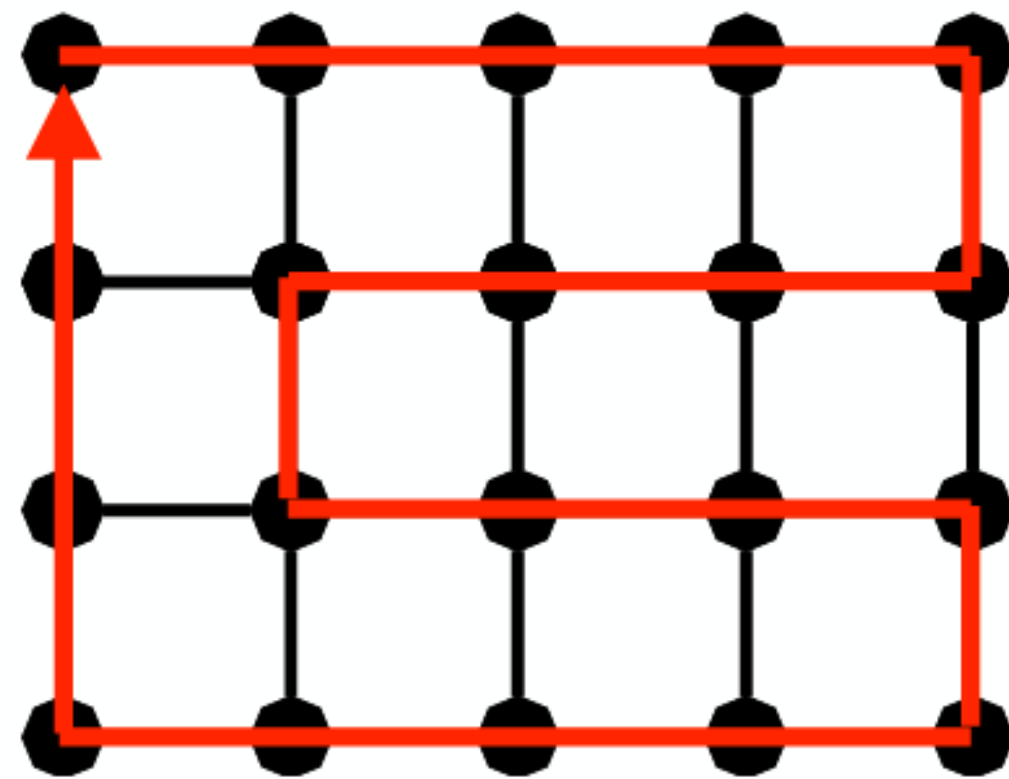


Cycles

Hamiltonian Cycle Examples

- Hamiltonian Cycle
 - A cycle in G that contains every vertex exactly once

Grid Graph



Let $m, n \geq 2$

A $n \times m$ Grid has a hamiltonian cycle iff $n \times m$ is even

Cycles

Hamiltonian Cycle Examples

- Hamiltonian Cycle

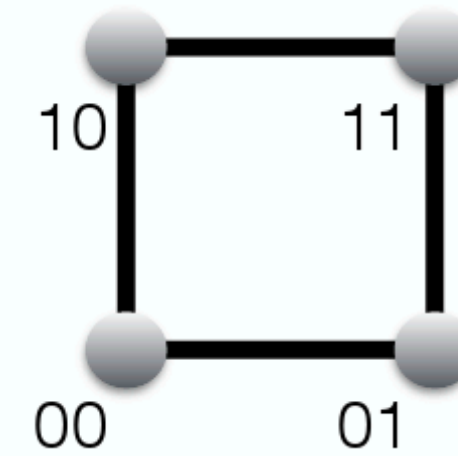
- A cycle in G that contains every vertex exactly once

d-dimensional Hypercube H_d

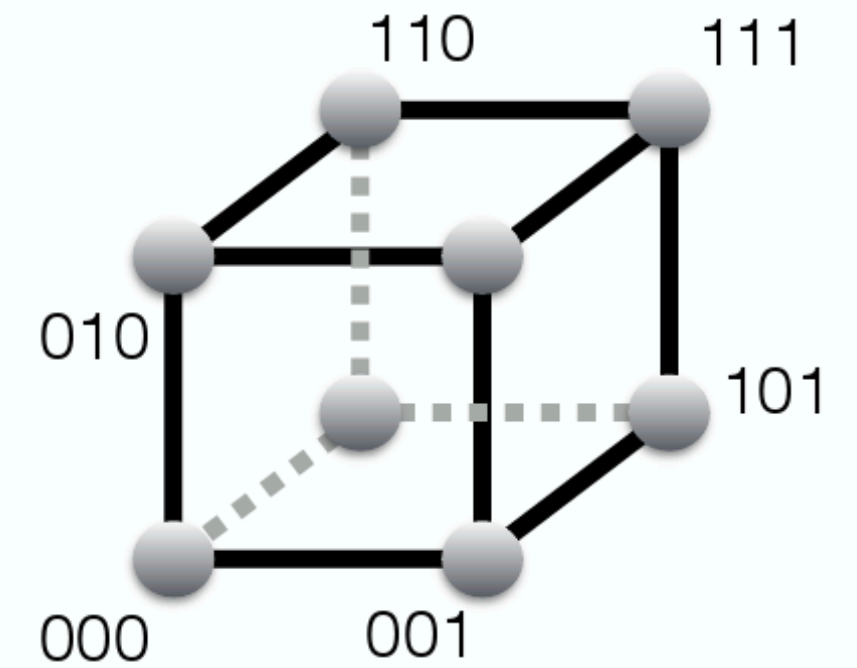
$$V := \{0,1\}^d$$

$E :=$ "All vertex pairs that differ in only one coordinate"

d=2:



d=3:



Has a hamiltonian cycle for all $d \geq 2$



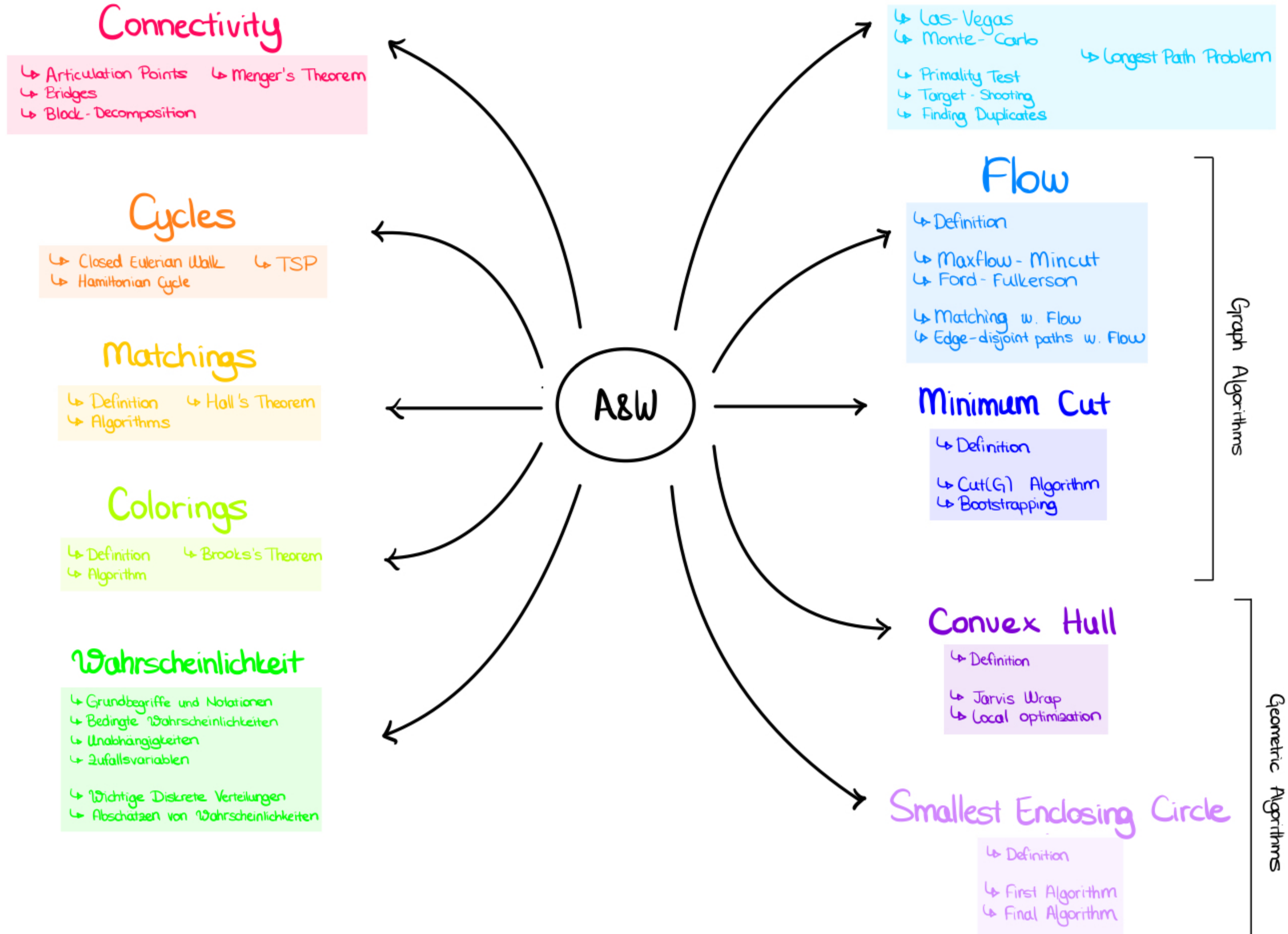
A&W

Exercise Session 3

Cycles , TSP

Nil Ozer

A&W Overview



Outline

- Some logistics
- Connectivity Kahoot
- Cycles
- TSP

Logistics

- For every exercise, you'll receive **feedback** from me on the exercise session next week !
- **Anki** cards
- **CodeExpert** videos
- Regular recap **kahoots** in class on the weeks without the minitest

Connectivity Kahoot

Cycles

Cycles

Definitions

Closed walk

- A sequence of vertices (v_0, v_1, \dots, v_k) is a **closed walk** (german “Zyklus”) if it is a walk, $k \geq 2$ and $v_0 = v_k$.

Cycle

- A sequence of vertices (v_0, v_1, \dots, v_k) is a **cycle** (german “Kreis”) if it is a closed walk, $k \geq 3$ and all vertices (except v_0 and v_k) are distinct.

- Hamiltonian Cycle

- A **cycle** in G that contains every **vertex** exactly once

- Eulerian Cycle

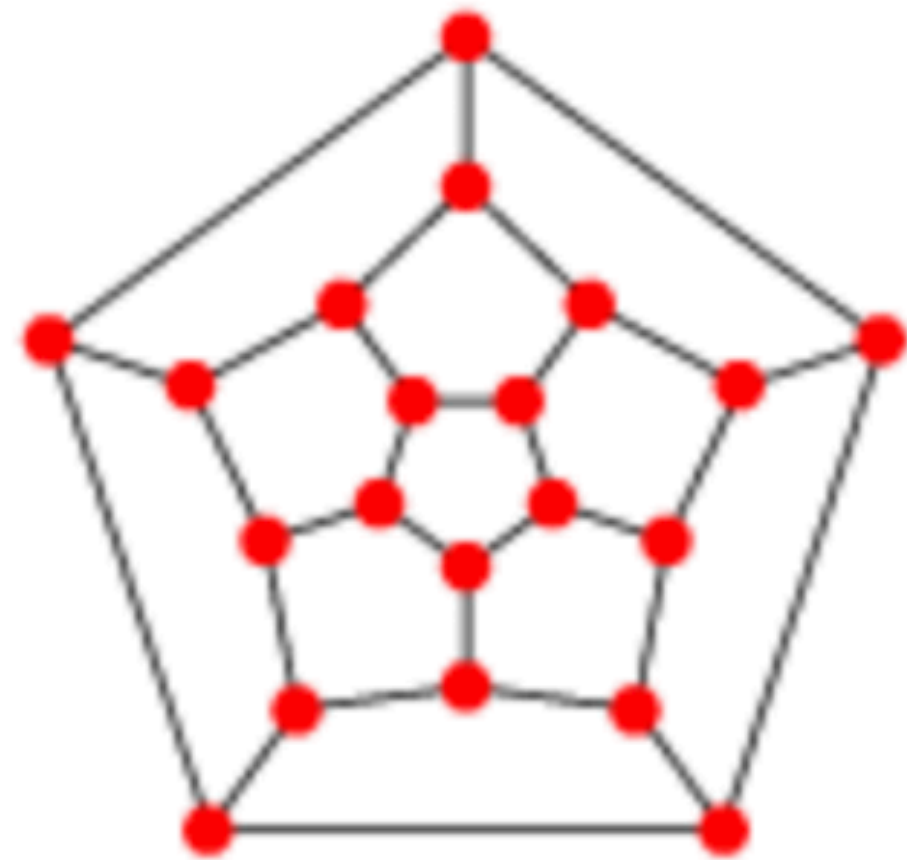
- A **closed walk** in G that contains every **edge** exactly once



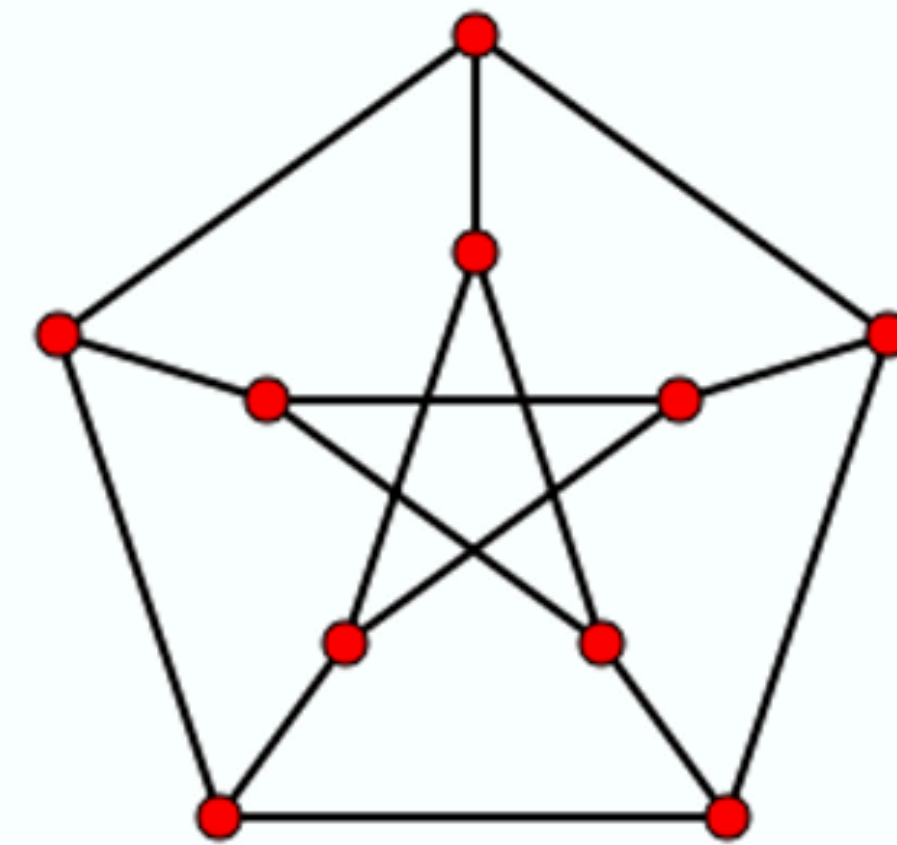
Cycles

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Ikosaeder

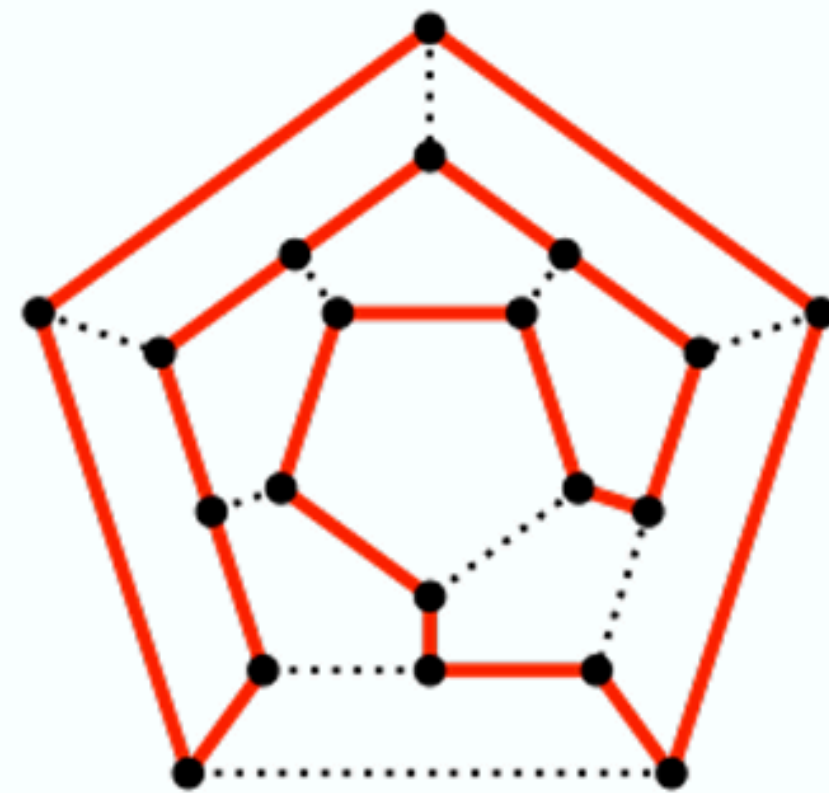


Petersengraph

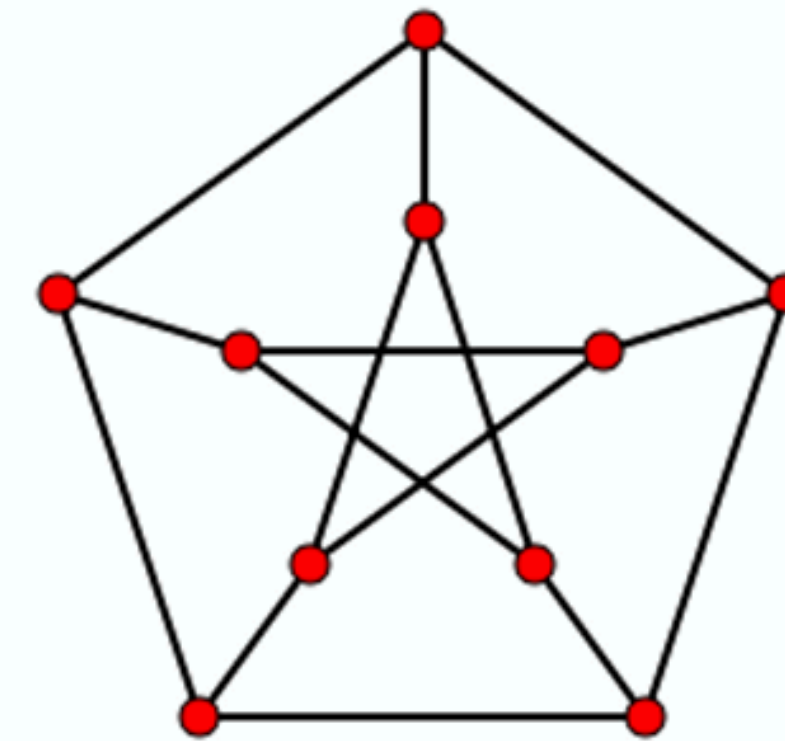
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Ikosaeder



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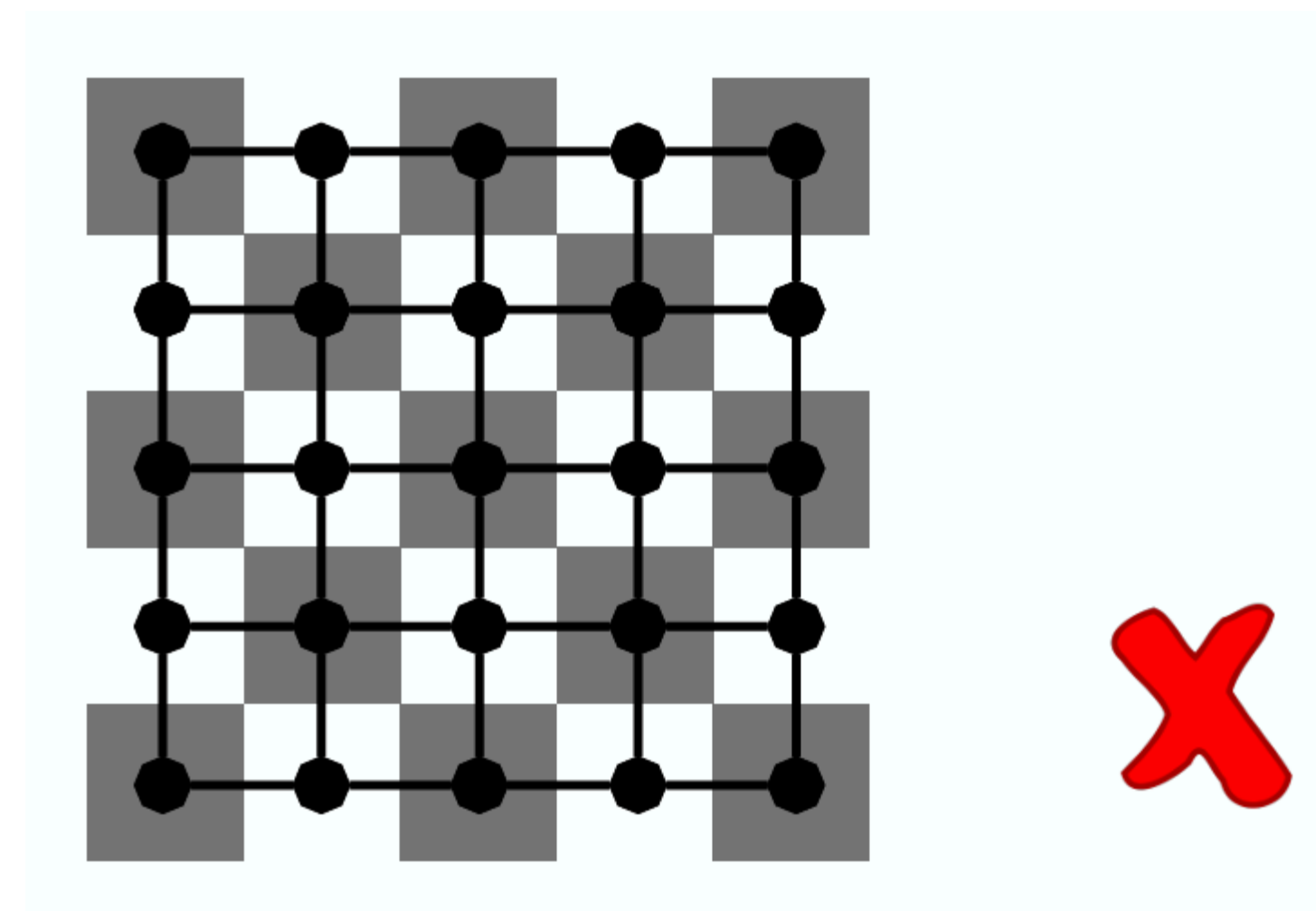
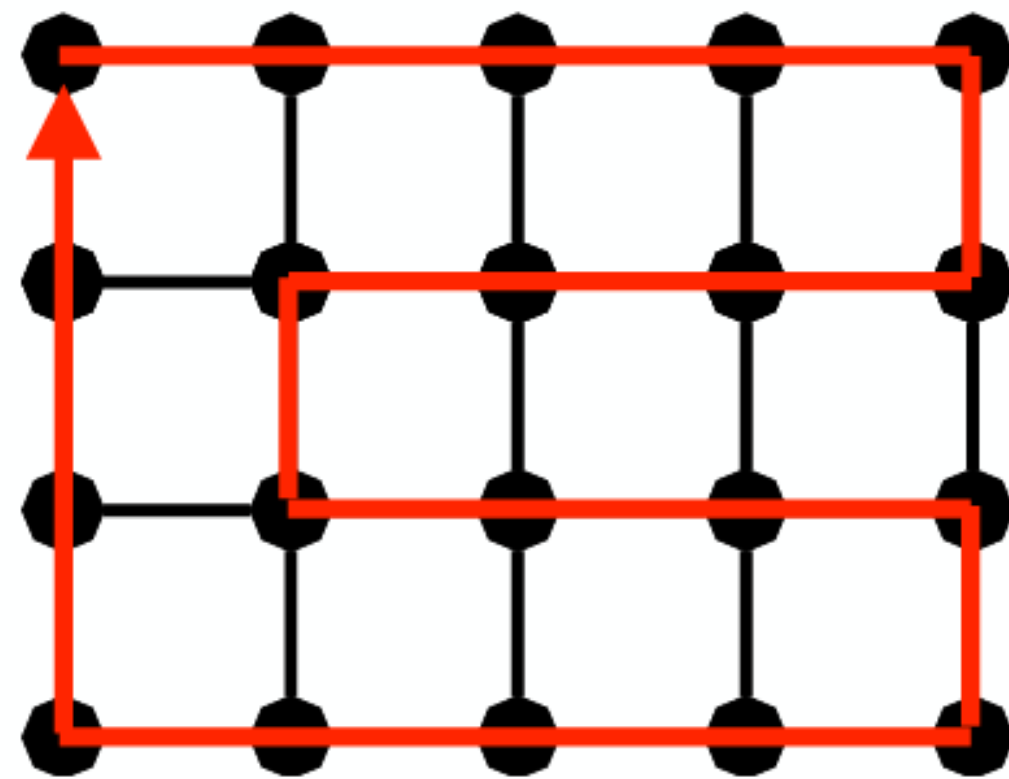


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 - A cycle in G that contains every vertex exactly once

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Hamiltonian Cycle Examples

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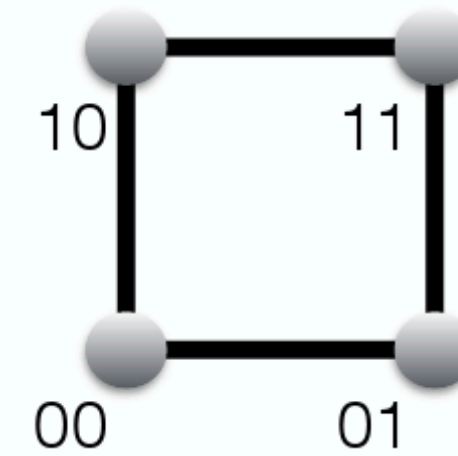
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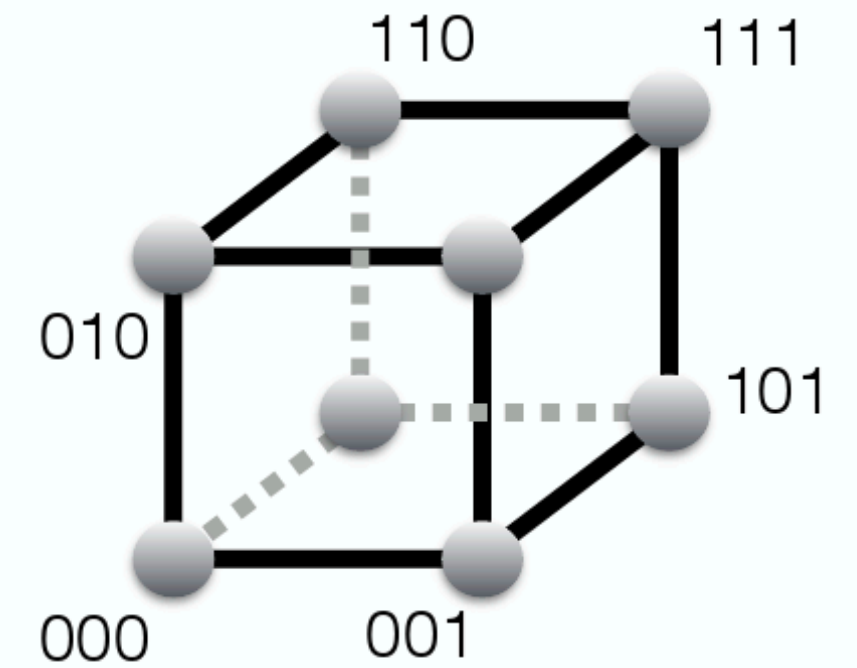
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$E :=$ "All vertex pairs that differ in only one coordinate"

d=2:



d=3:



Has a hamiltonian cycle for all $d \geq 2$

Eulerian Cycle

Lemma

A connected G has a
eulerian Cycle



Every vertex has an even
degree

Let's take a break



Hamiltonian Cycle

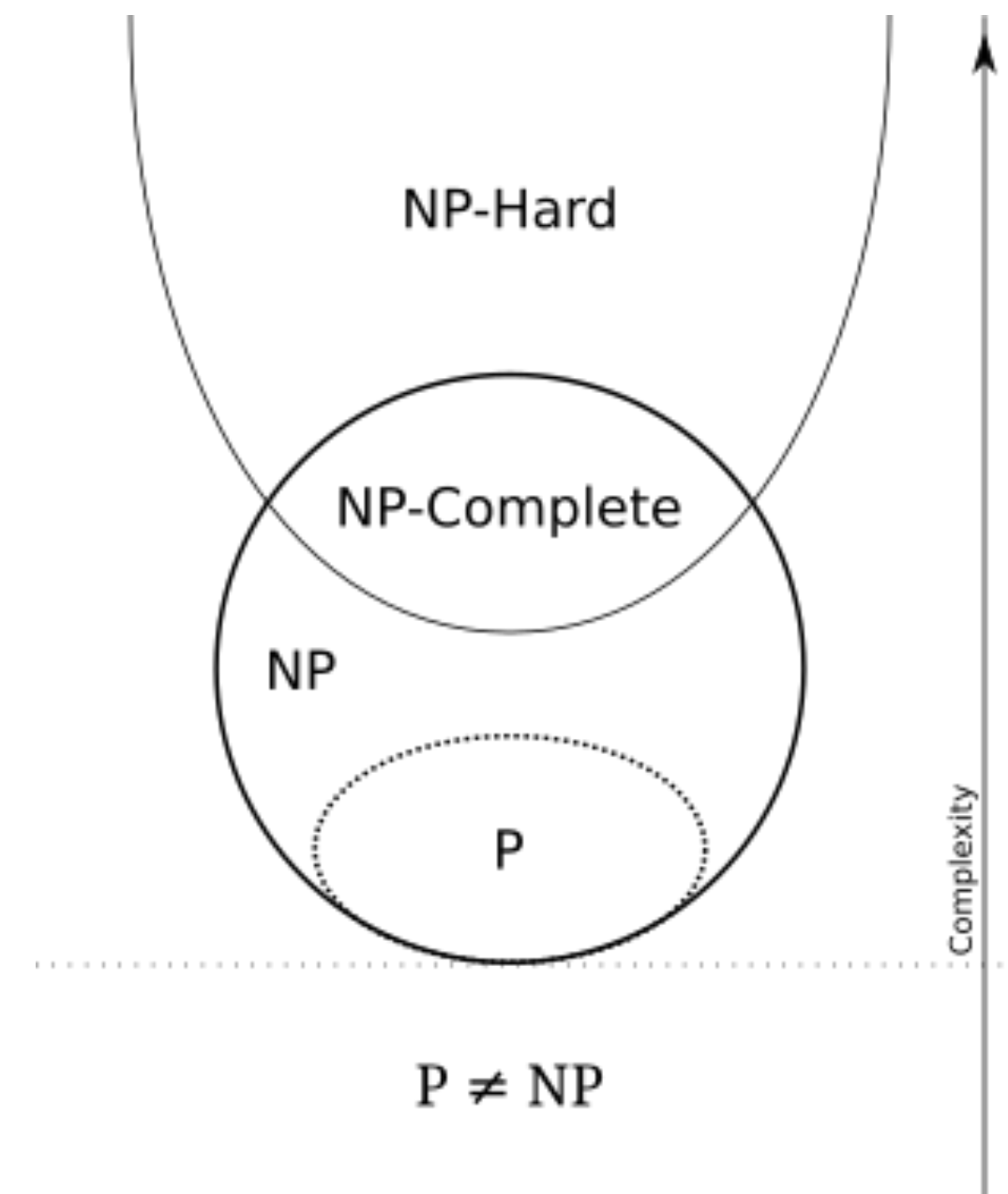
Given a Graph $G = (V, E)$, does G have a hamiltonian cycle ?

NP - Complete

NP-Complete

Given a Graph $G = (V, E)$, does G have a hamiltonian cycle ?

Complexity Theory
TI next semester



P : polynomial

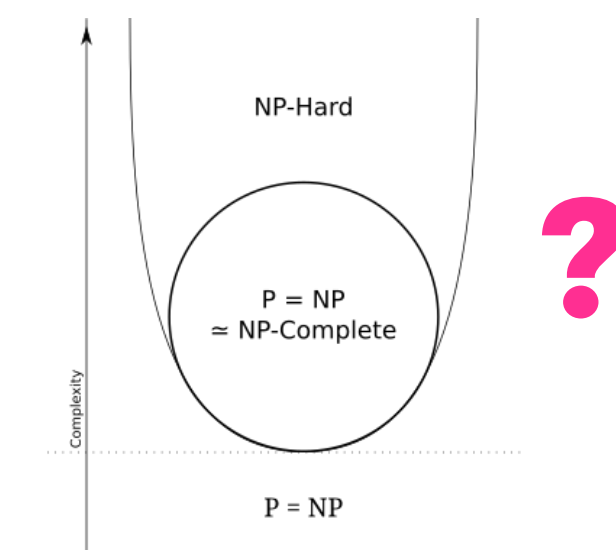
NP : non-deterministic polynomial

- NP is the set of decision problems *solvable* in polynomial time by a [nondeterministic Turing machine](#).
- NP is the set of decision problems *verifiable* in polynomial time by a [deterministic Turing machine](#).

NP - Complete

A problem a in NP is **NP-complete** if :

$$a \in P \implies P = NP$$



Hamiltonian Cycle

Dirac's Theorem

$|V| \geq 3$ and

the minimum degree $\delta(G) \geq |V|/2$



A G has a hamiltonian
cycle

Hamiltonian Cycle

DP Approach



For all $S \subseteq [n]$ with $1 \in S$ and all $x \in S$ with $x \neq 1$:

$$P[S][x] = \begin{cases} 1 & , \text{ if there exists a 1-x-path that only uses vertices from } S \\ 0 & , \text{ else} \end{cases}$$

Initialization : $P[\{1,x\}][x] = 1$ iff $\{1,x\} \in E$

Schleife:

for all $s = 3$ to n
for all $S \subseteq [n]$ mit $1 \in S$ und $|S| = s$:
for all $x \in S$ mit $x \neq 1$:

Rekursion

$$P_{S,x} = \max \{ P_{S \setminus \{x\},y} : y \in N(x) \cap S, y \neq 1 \}$$

Ausgabe: G enthält Hamiltonkreis gdw $\exists x \in N(1)$ mit $P_{[n],x} = 1$

Dynamische Programmierung:

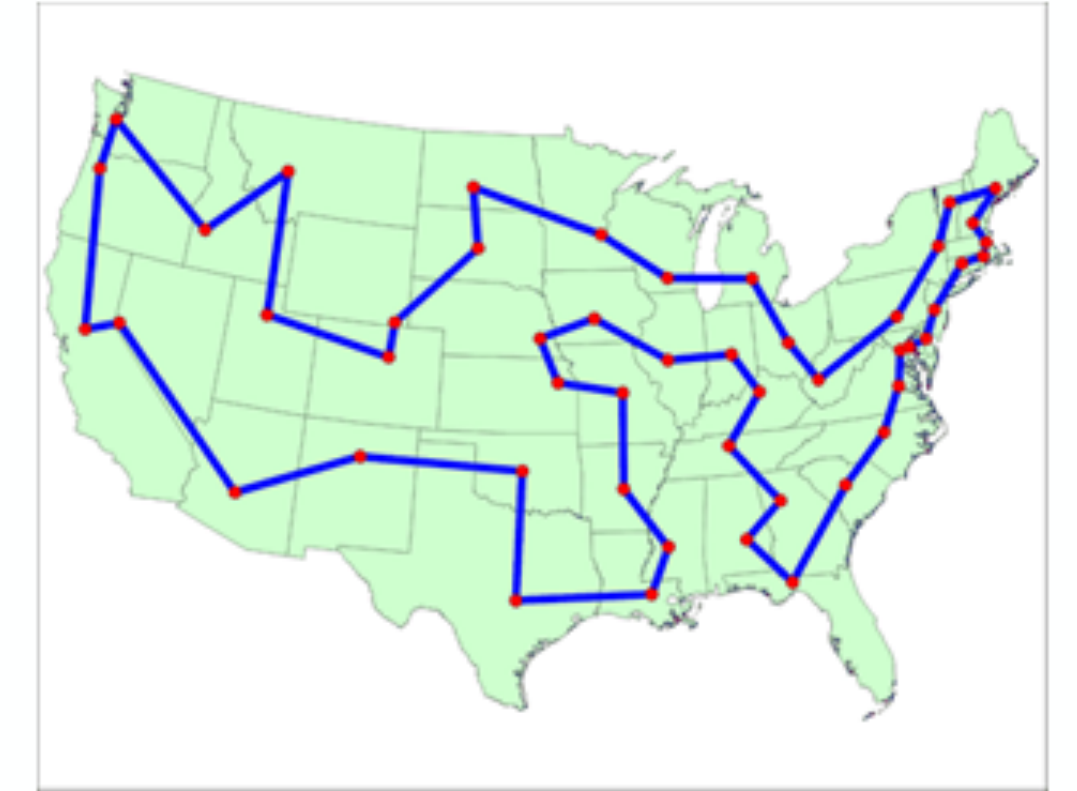
Laufzeit: $\approx n^2 2^n$

Speicherplatz: $\approx n 2^n$

TSP

TSP

Problem Description



- Given :
- A complete Graph K_n of n vertices
 - Distances l inbetween every 2 vertex

$$l : \binom{[n]}{2} \rightarrow R$$

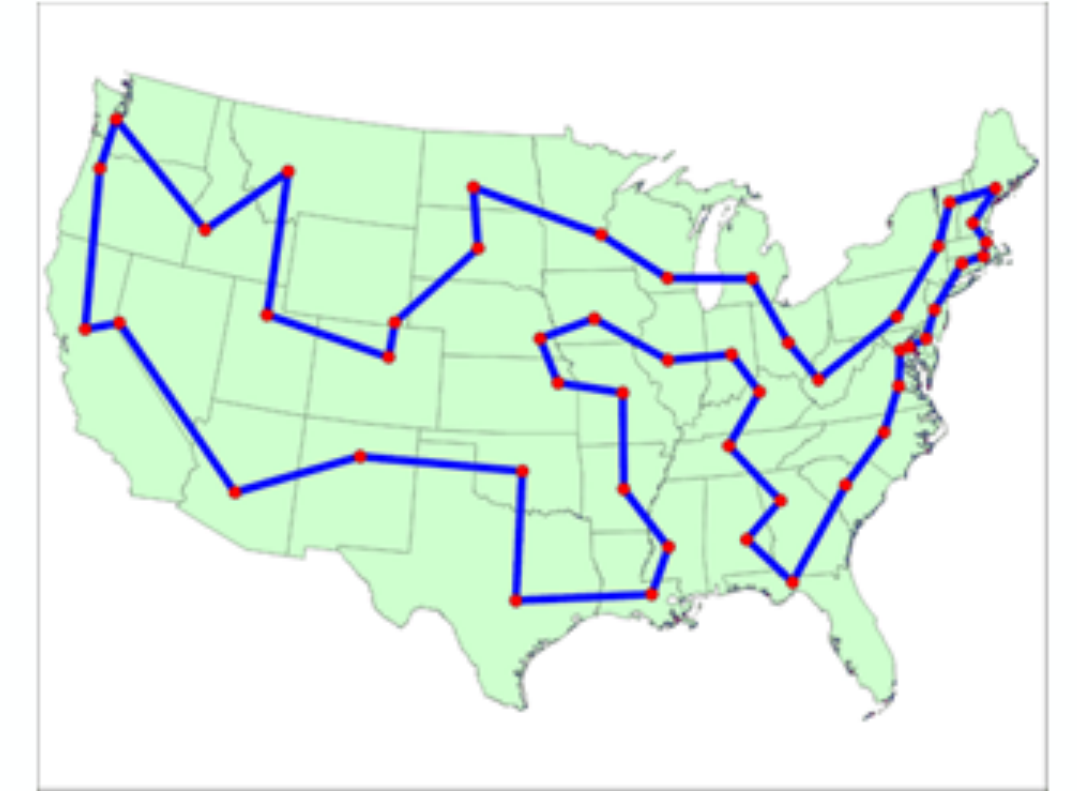
- To find :
- “shortest round trip”

$$\min_{H : \text{Hamiltonian Cycle}} \sum_{e \in E(H)} l(e)$$

also NP-Complete

Metric TSP

Problem Description



Given : • A complete Graph K_n of n vertices

• Distances l inbetween every 2 vertex $l : \binom{[n]}{2} \rightarrow R$

To find : • “shortest round trip”

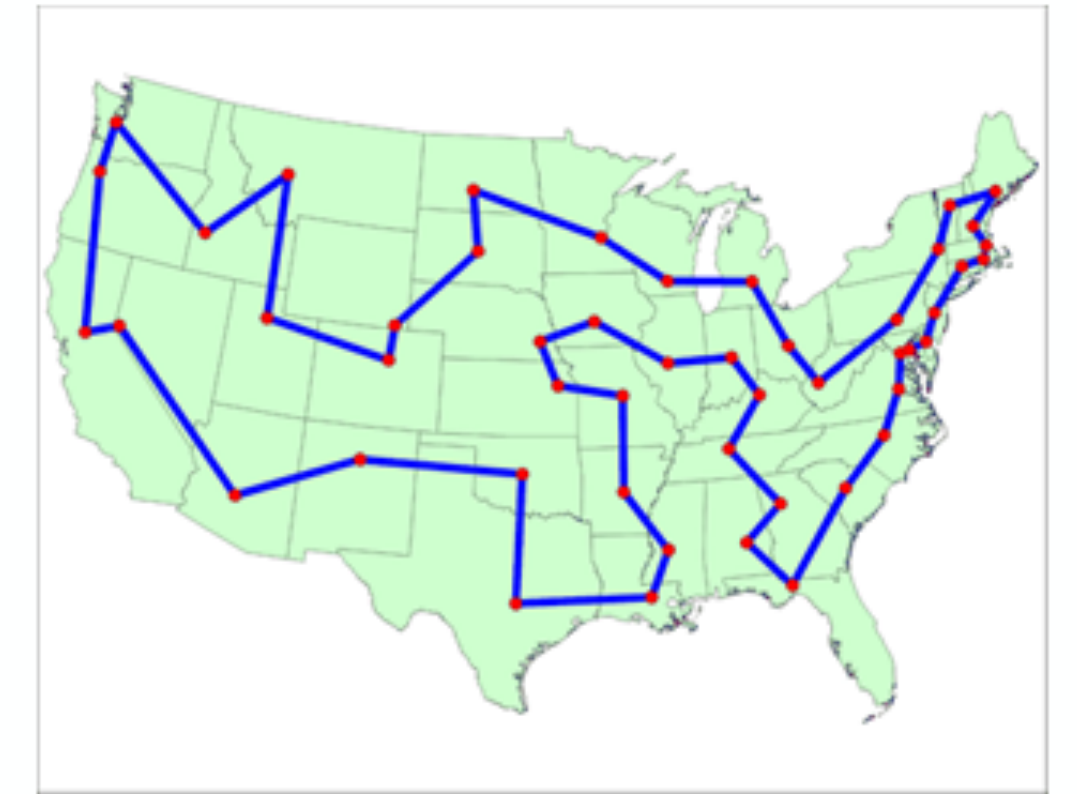
$$\min_{H : \text{Hamiltonian Cycle}} \sum_{e \in E(H)} l(e)$$

• l satisfies the triangle inequality

$$l(x, z) \leq l(x, y) + l(y, z)$$

Metric TSP : 2-Approximation

Problem Description



Given : • A complete Graph K_n of n vertices

• Distances l inbetween every 2 vertex $l : \binom{[n]}{2} \rightarrow R$

To find : • Hamiltonian Cycle C s.t.

• l satisfies the triangle inequality

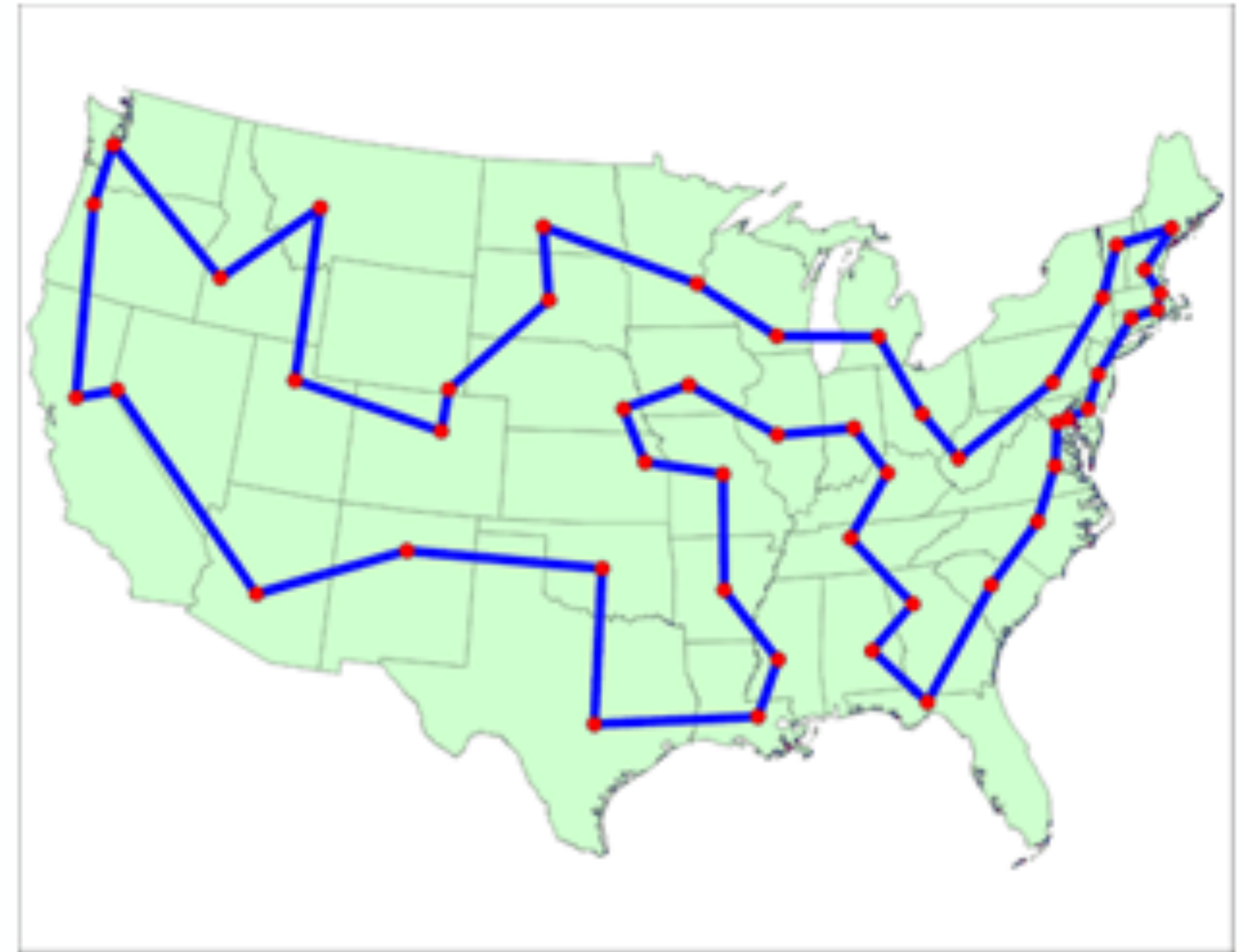
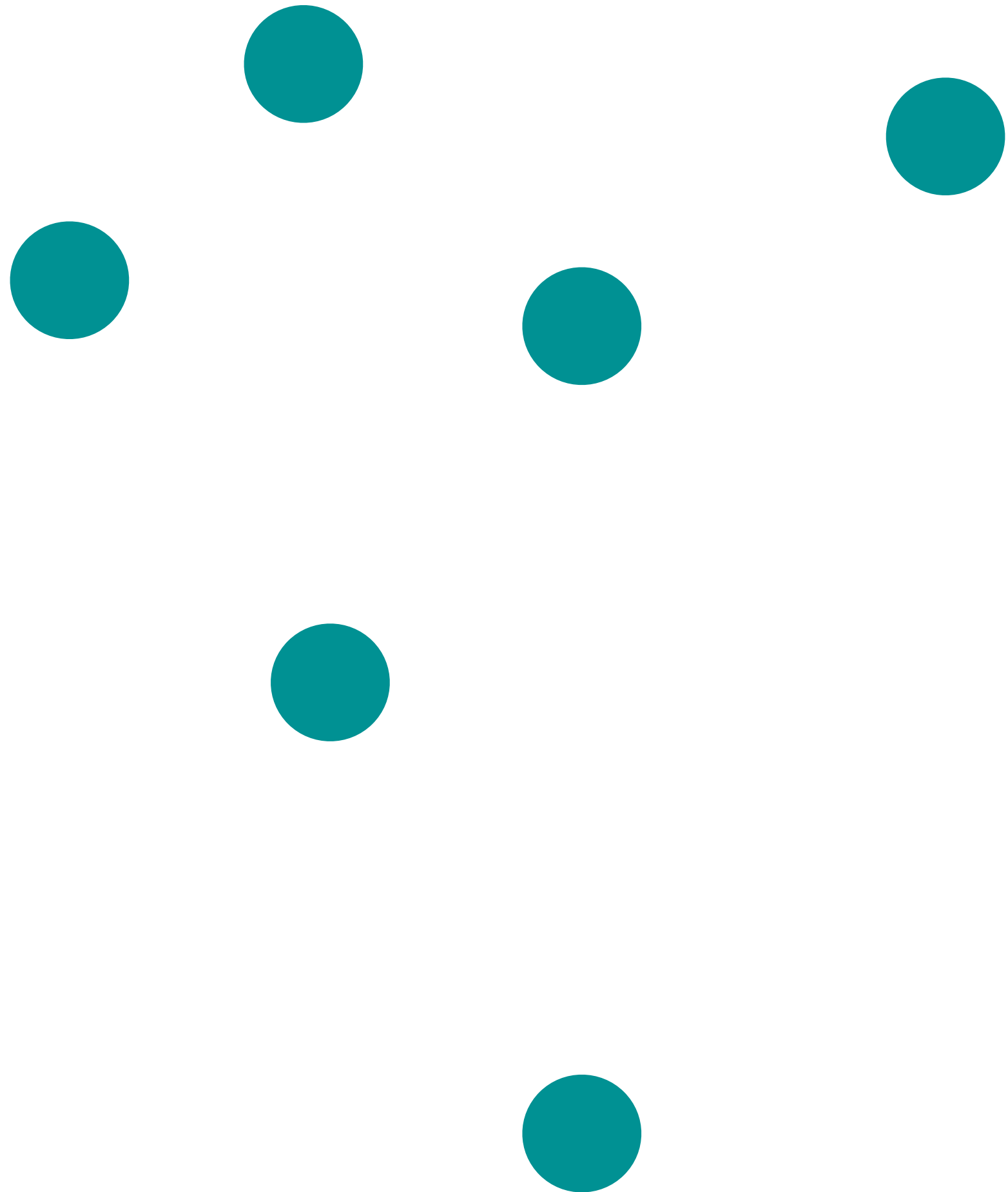
$$l(x, z) \leq l(x, y) + l(y, z)$$

$$l(C) \leq 2 l(OPT)$$

$$\text{where } OPT = \min_{H : \text{Hamiltonian Cycle}} \sum_{e \in E(H)} l(e)$$

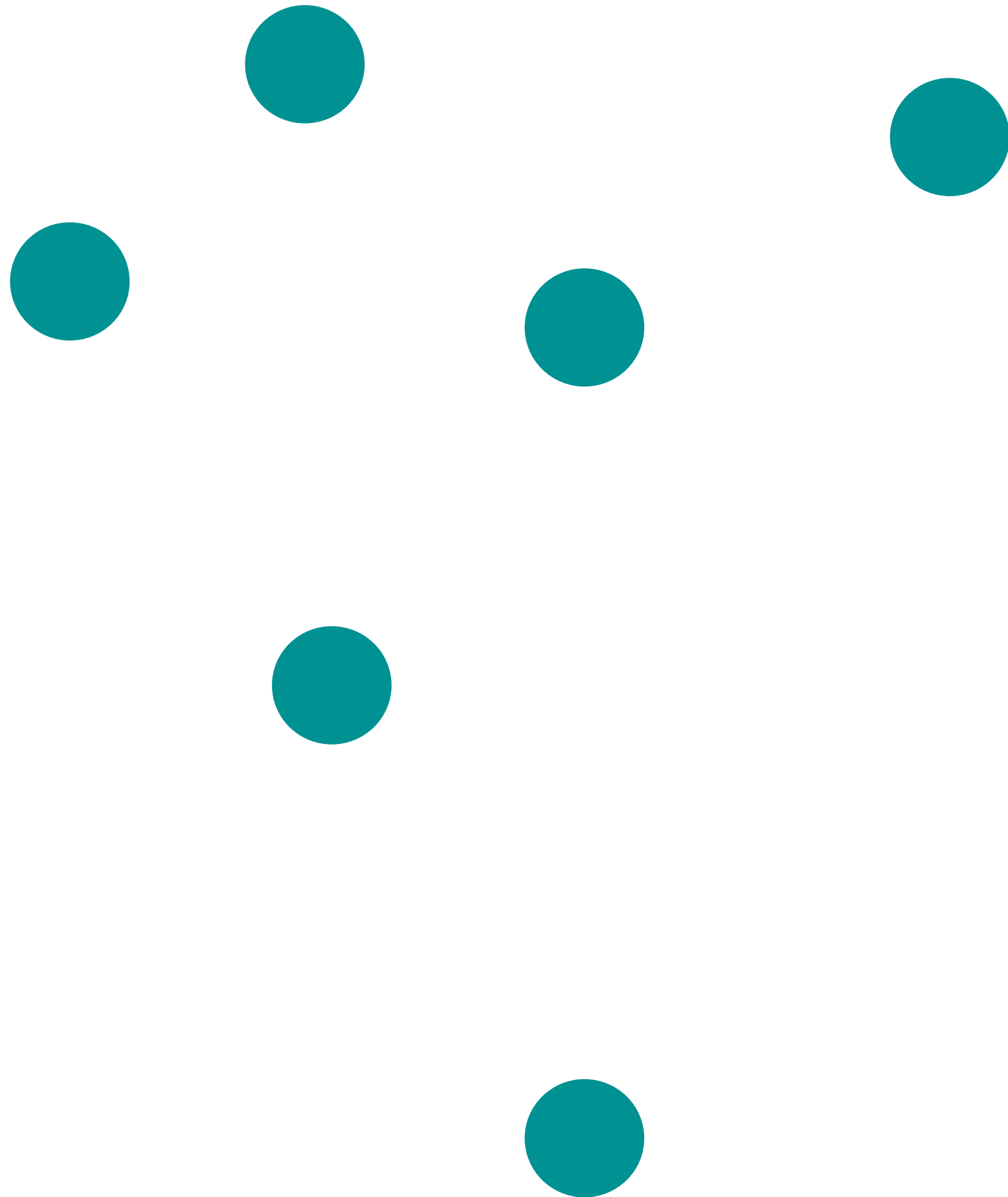
Metric TSP : 2-Approximation

Algorithm



Metric TSP : 2-Approximation

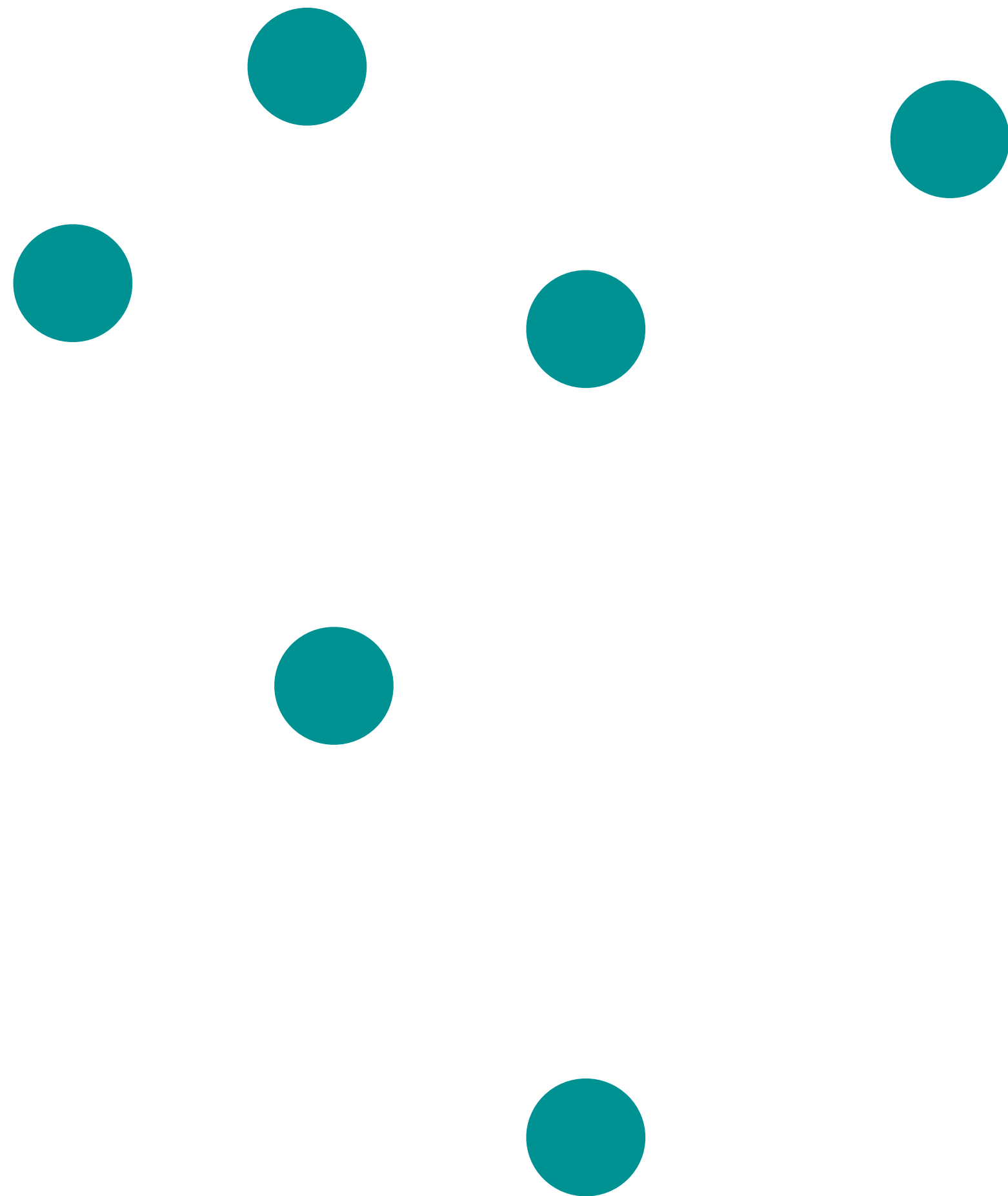
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Metric TSP : 2-Approximation

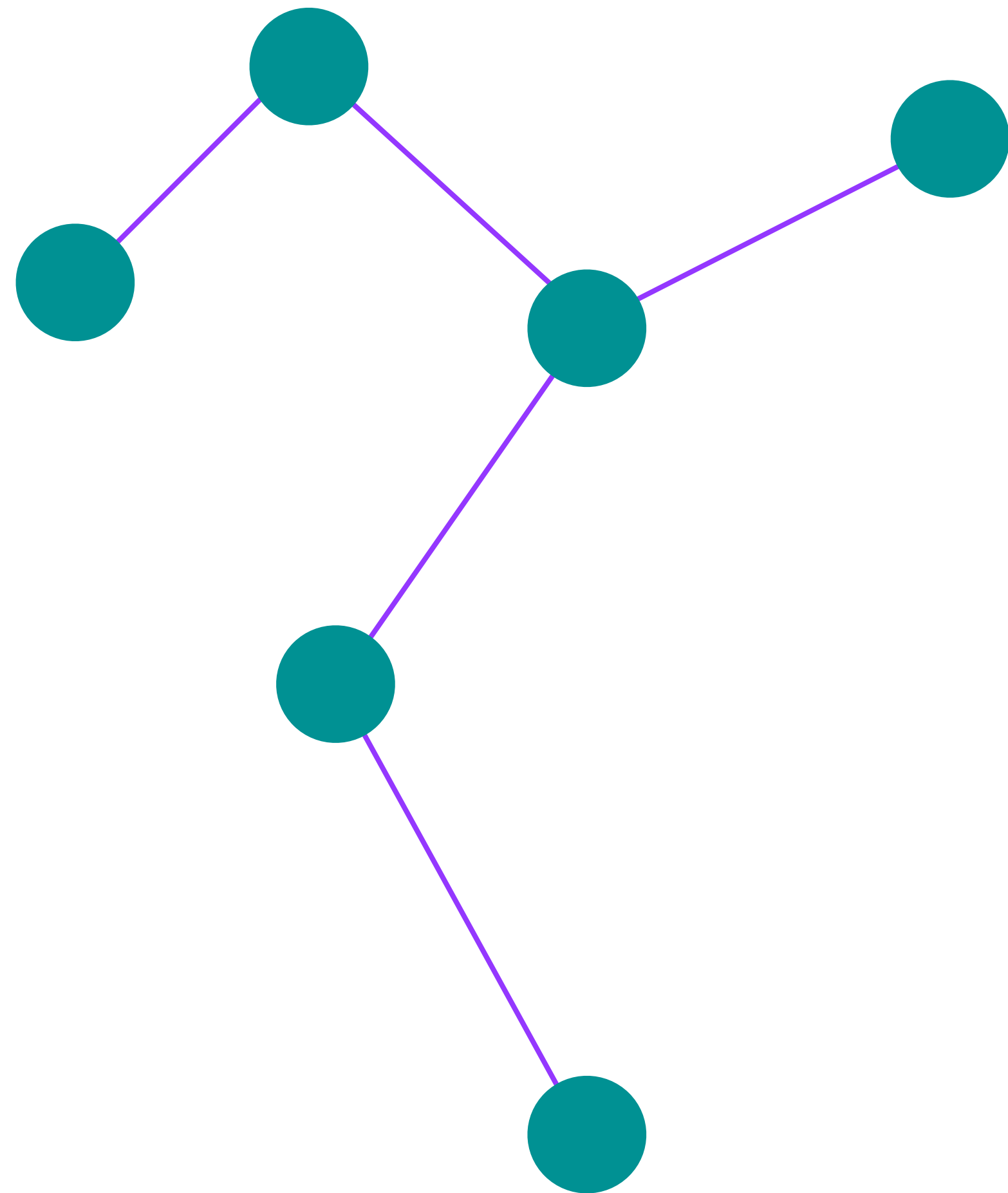
Algorithm

1. Find the MST T



Metric TSP : 2-Approximation

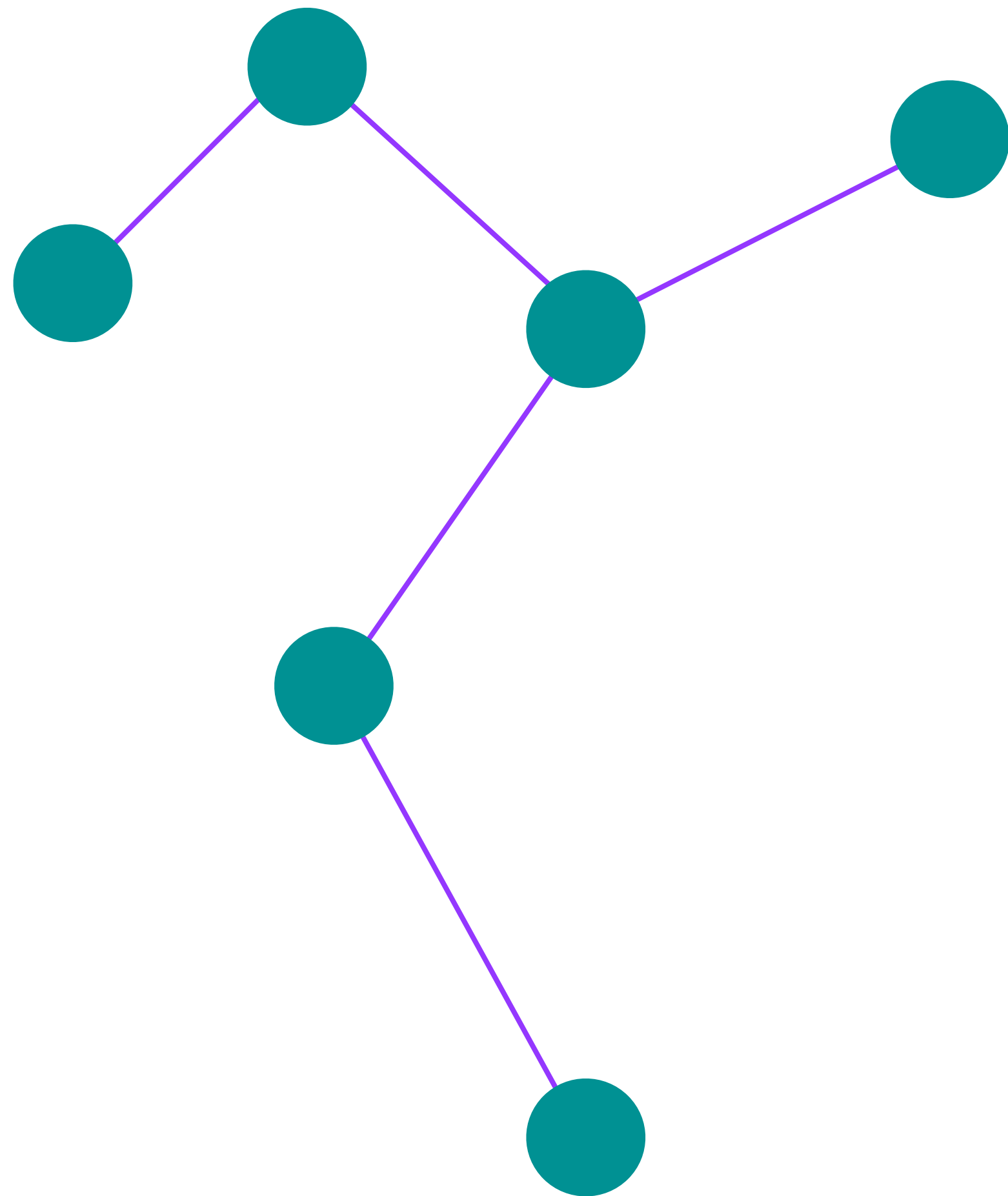
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1. Find the MST *T*

Metric TSP : 2-Approximation

Algorithm

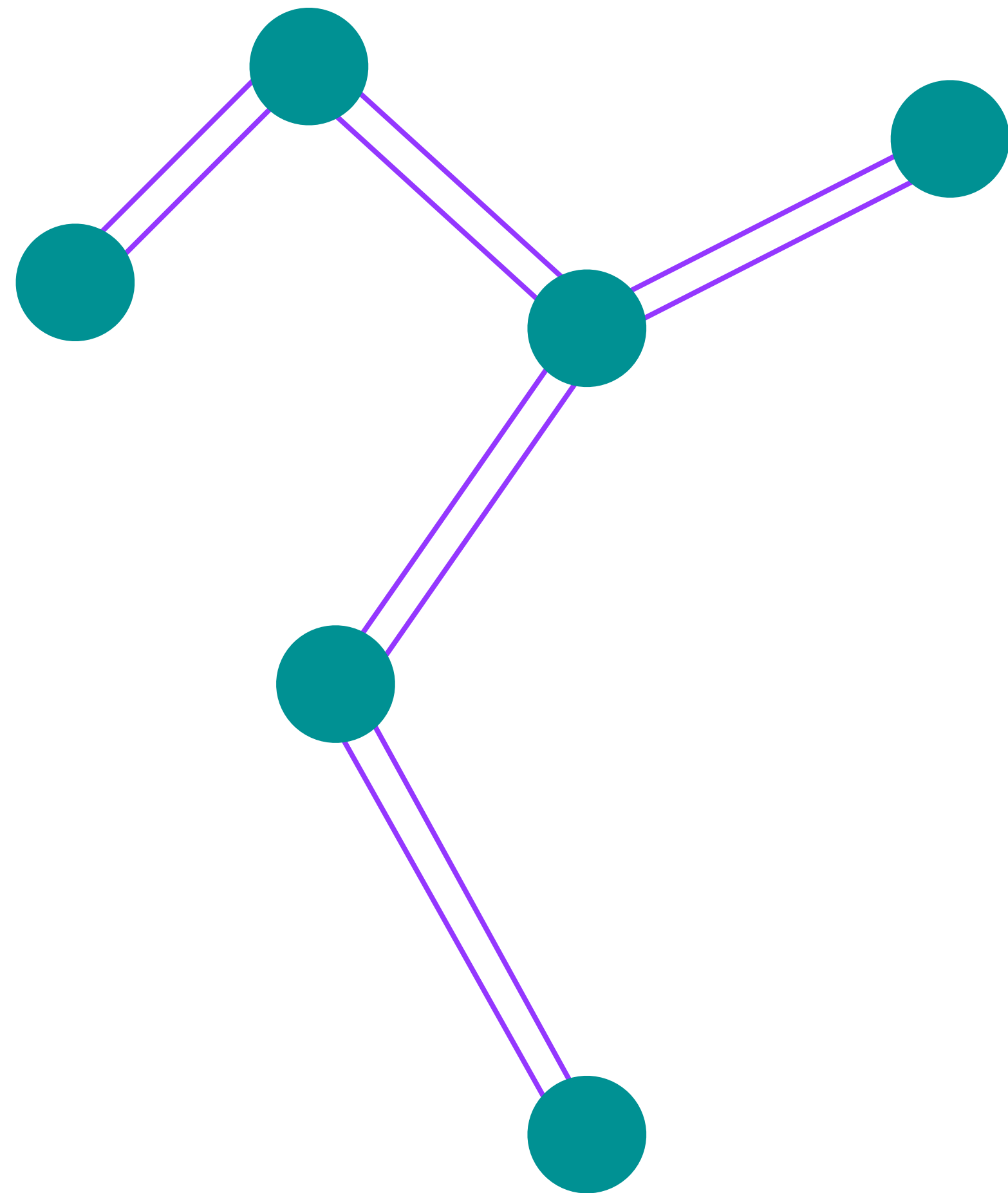


1. Find the MST T

2. Duplicate all edges of T

Metric TSP : 2-Approximation

Algorithm

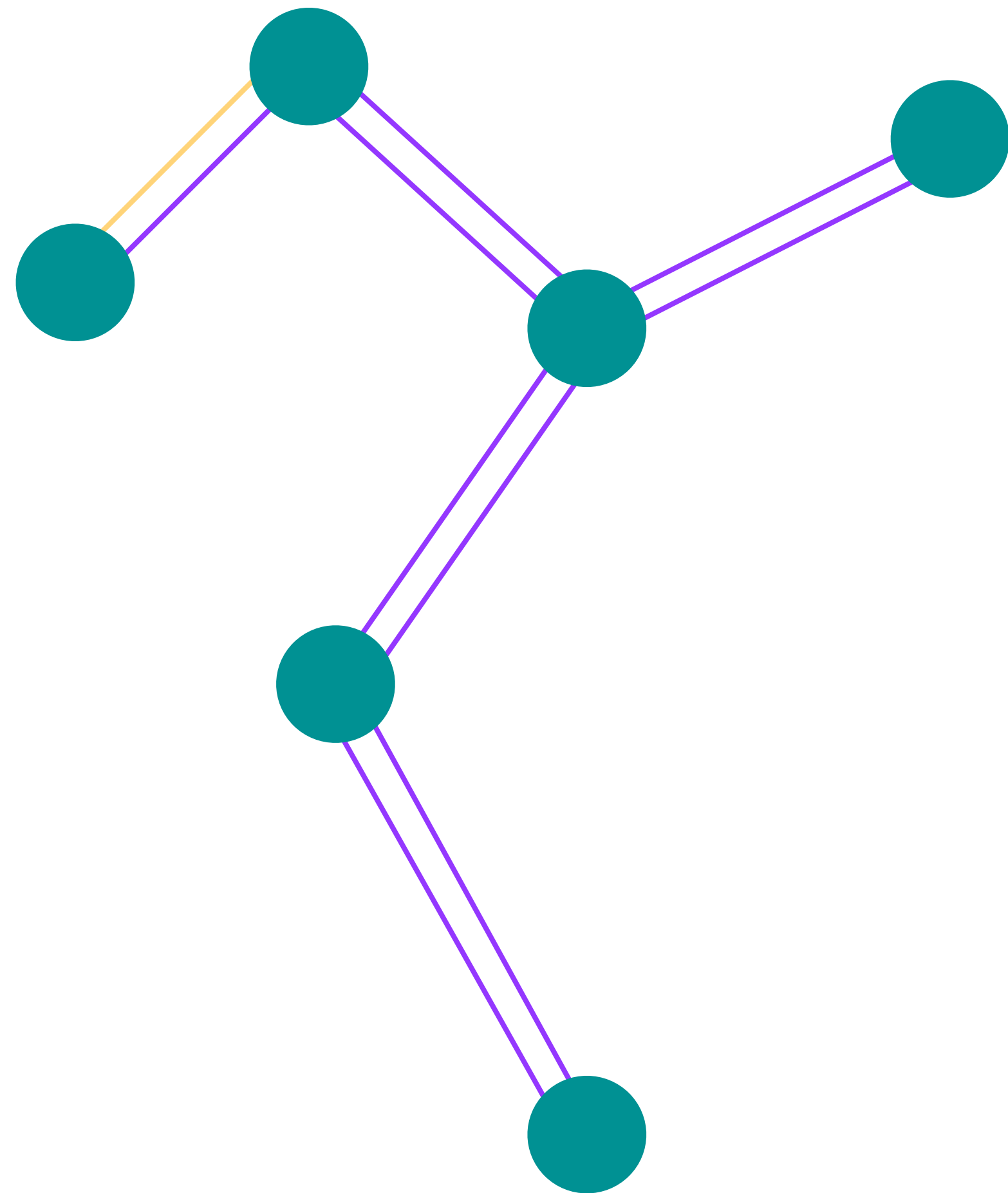


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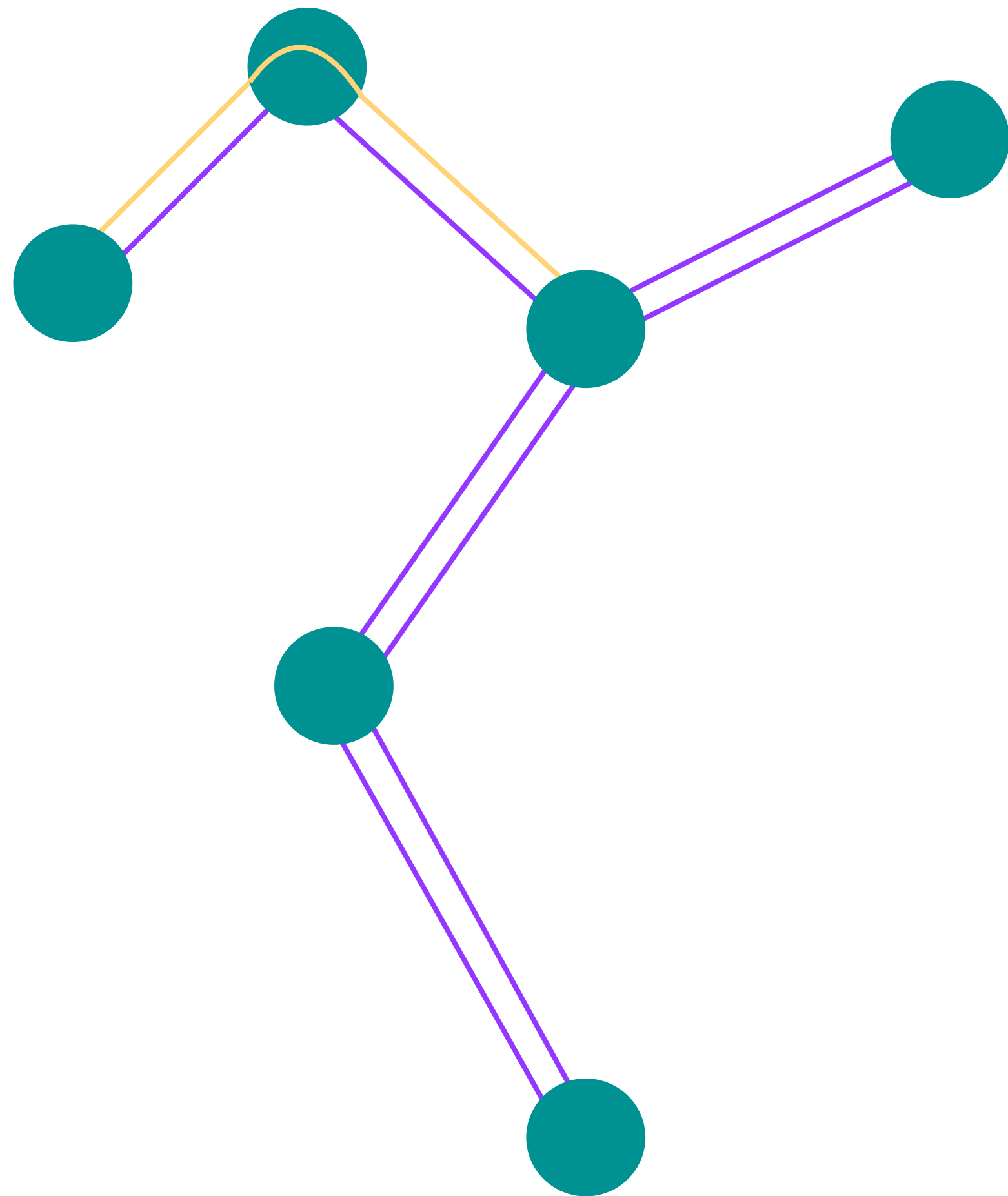
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3. Find Eulerian Tour W

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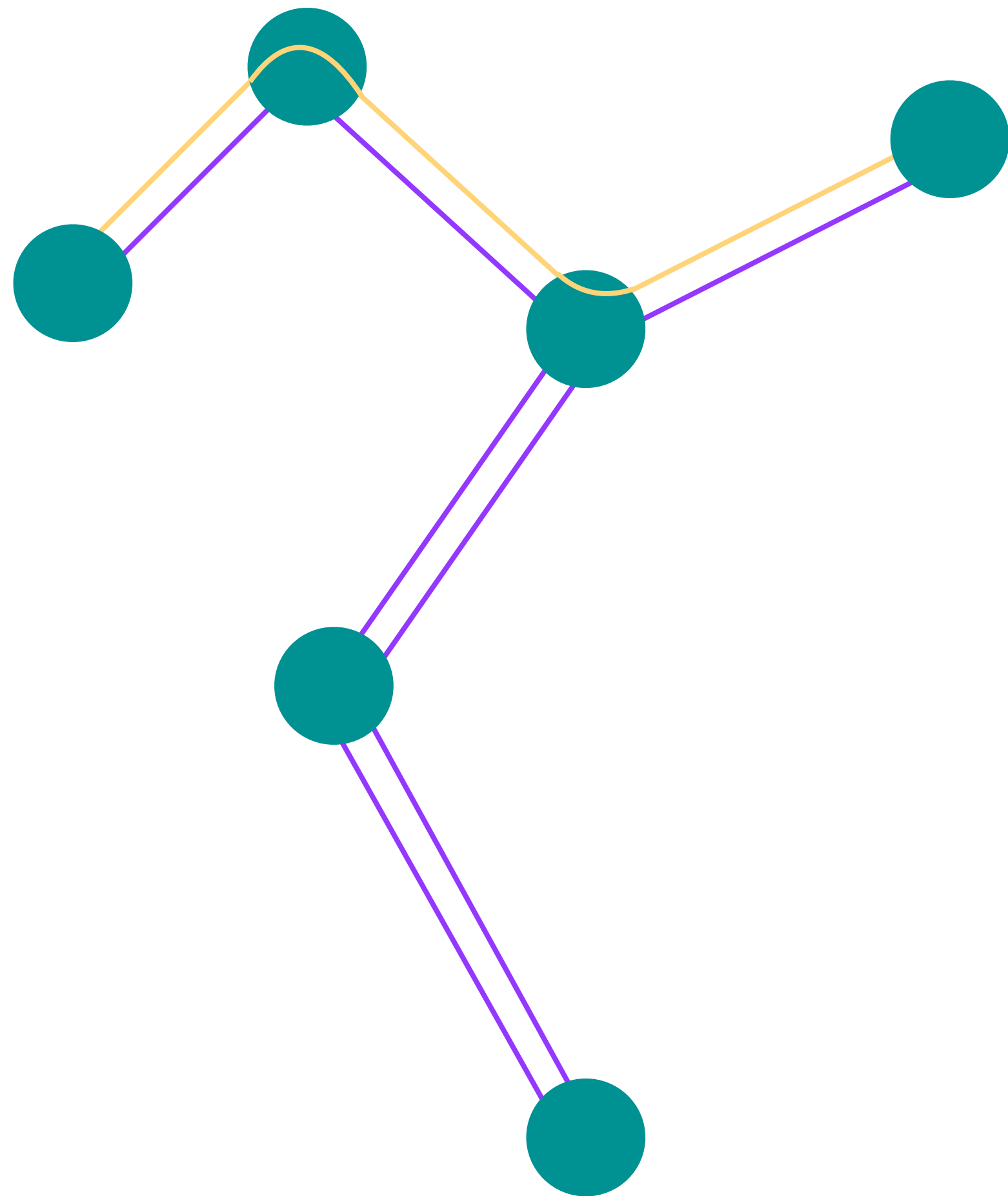
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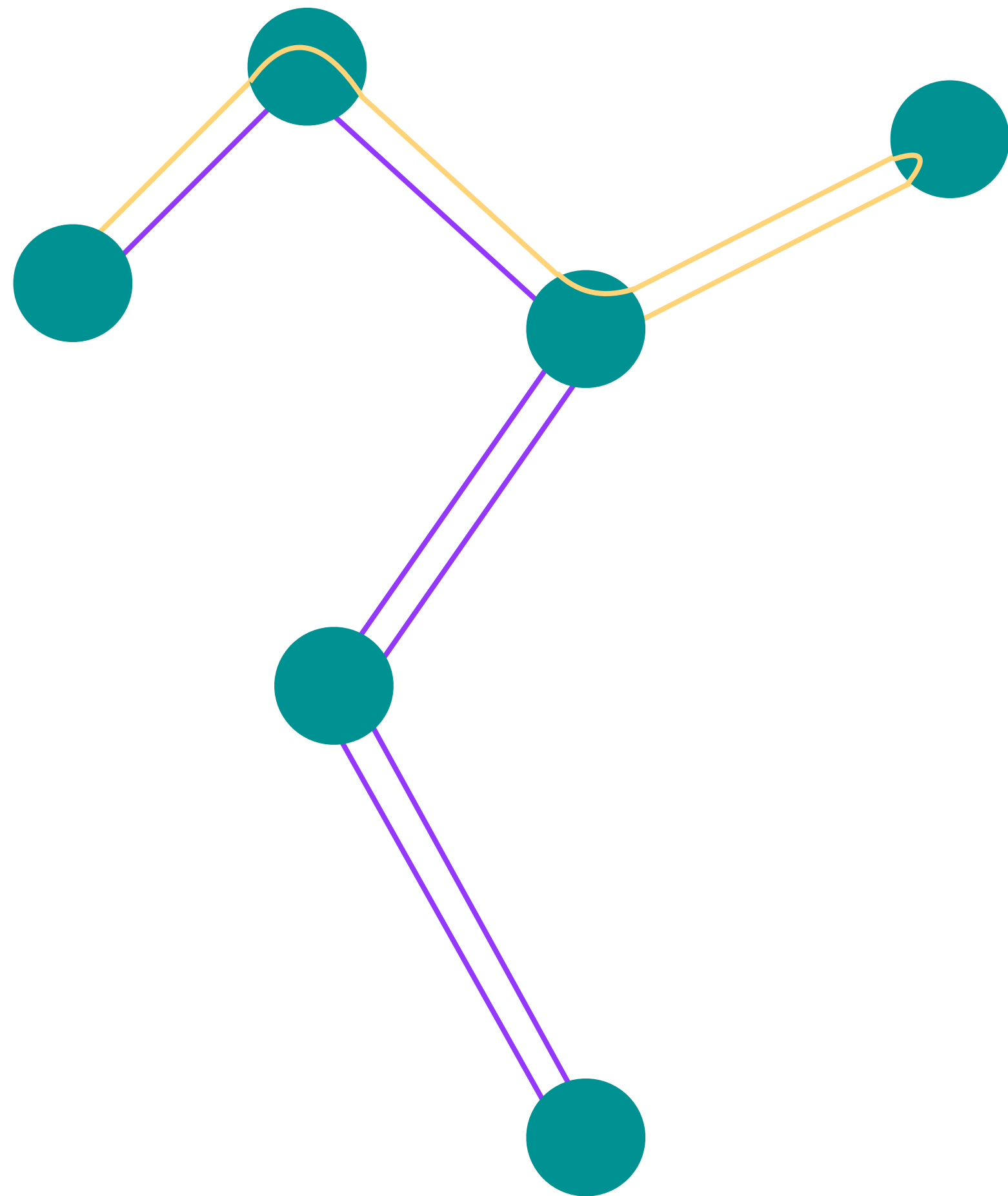
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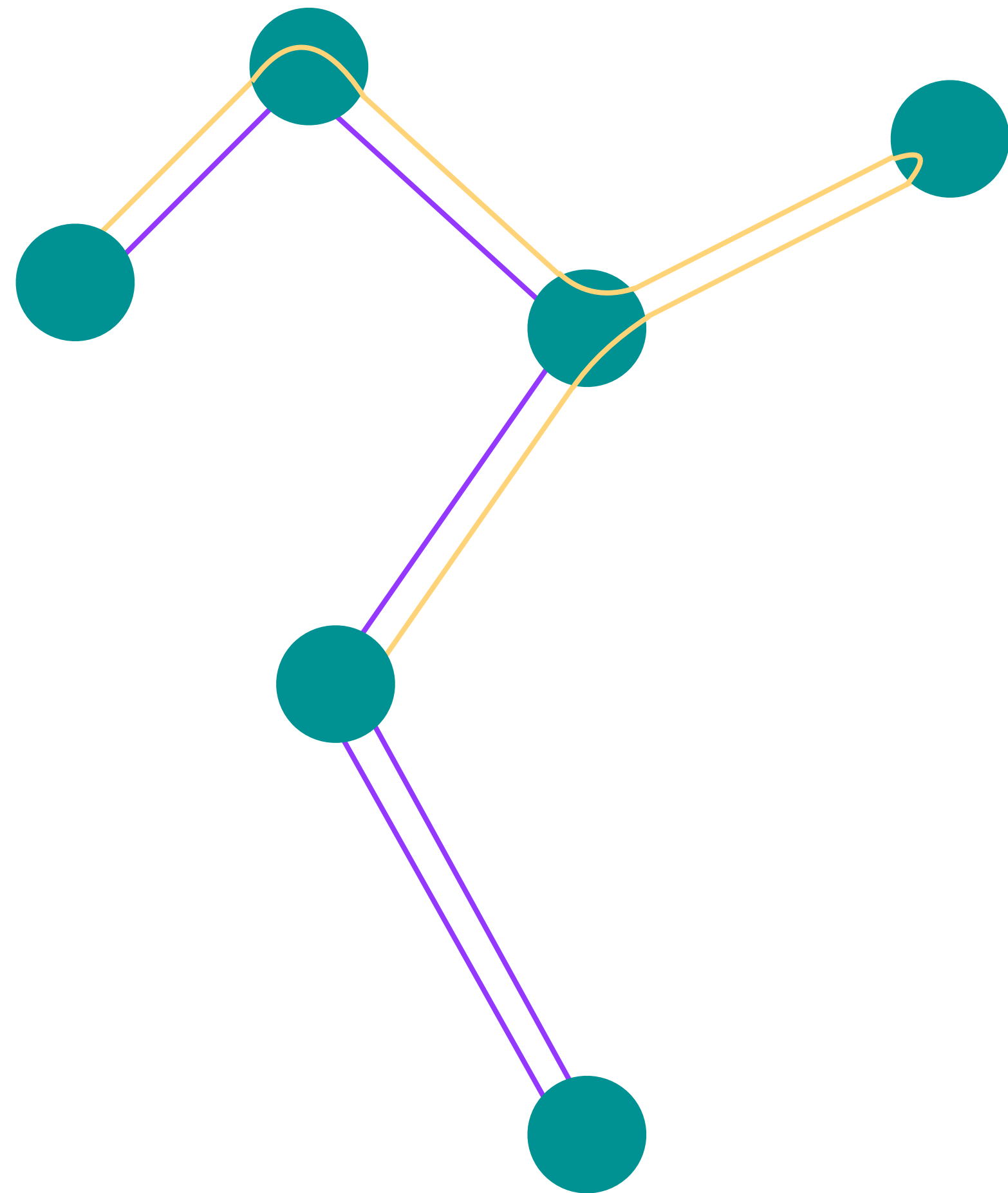
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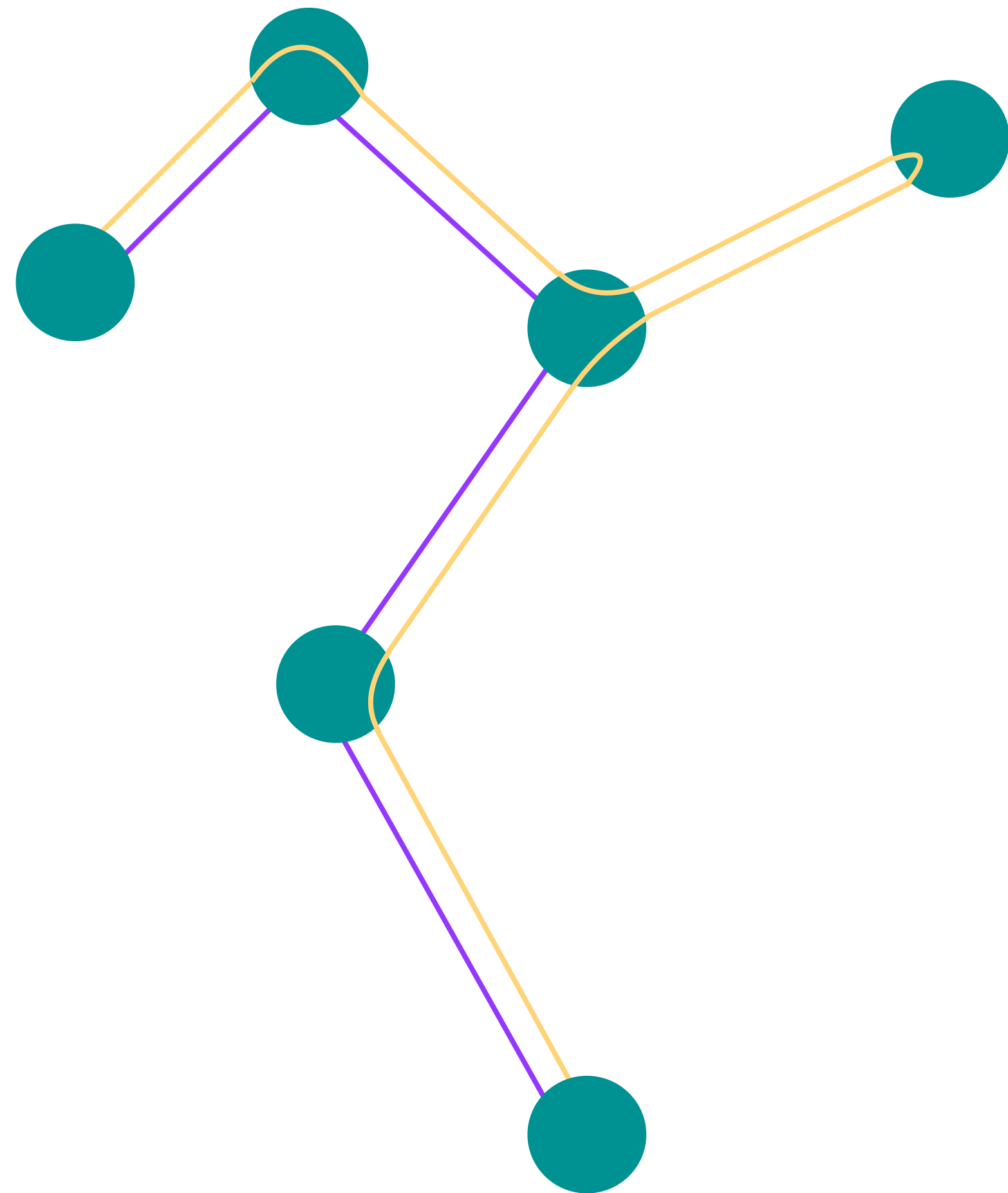
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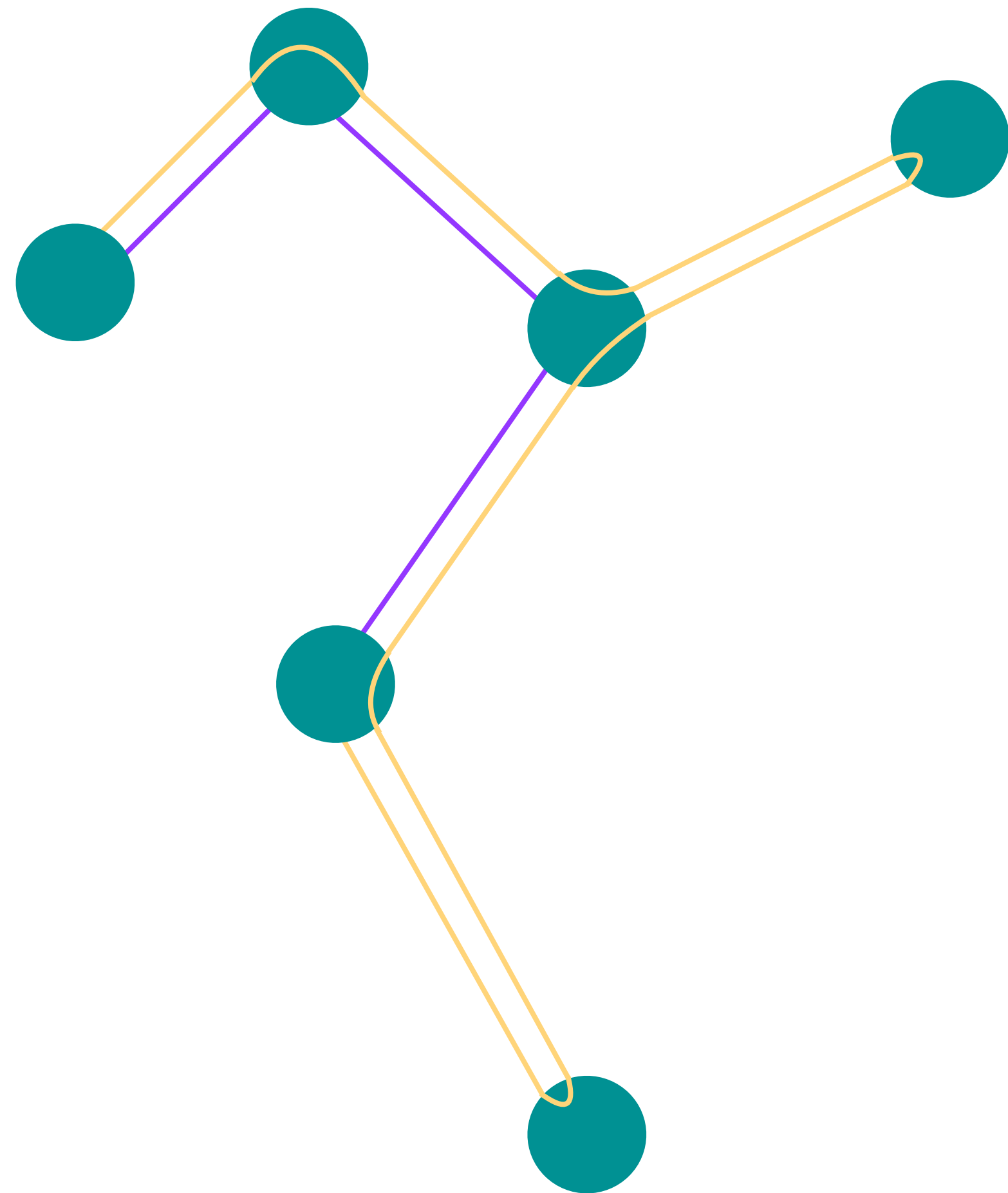
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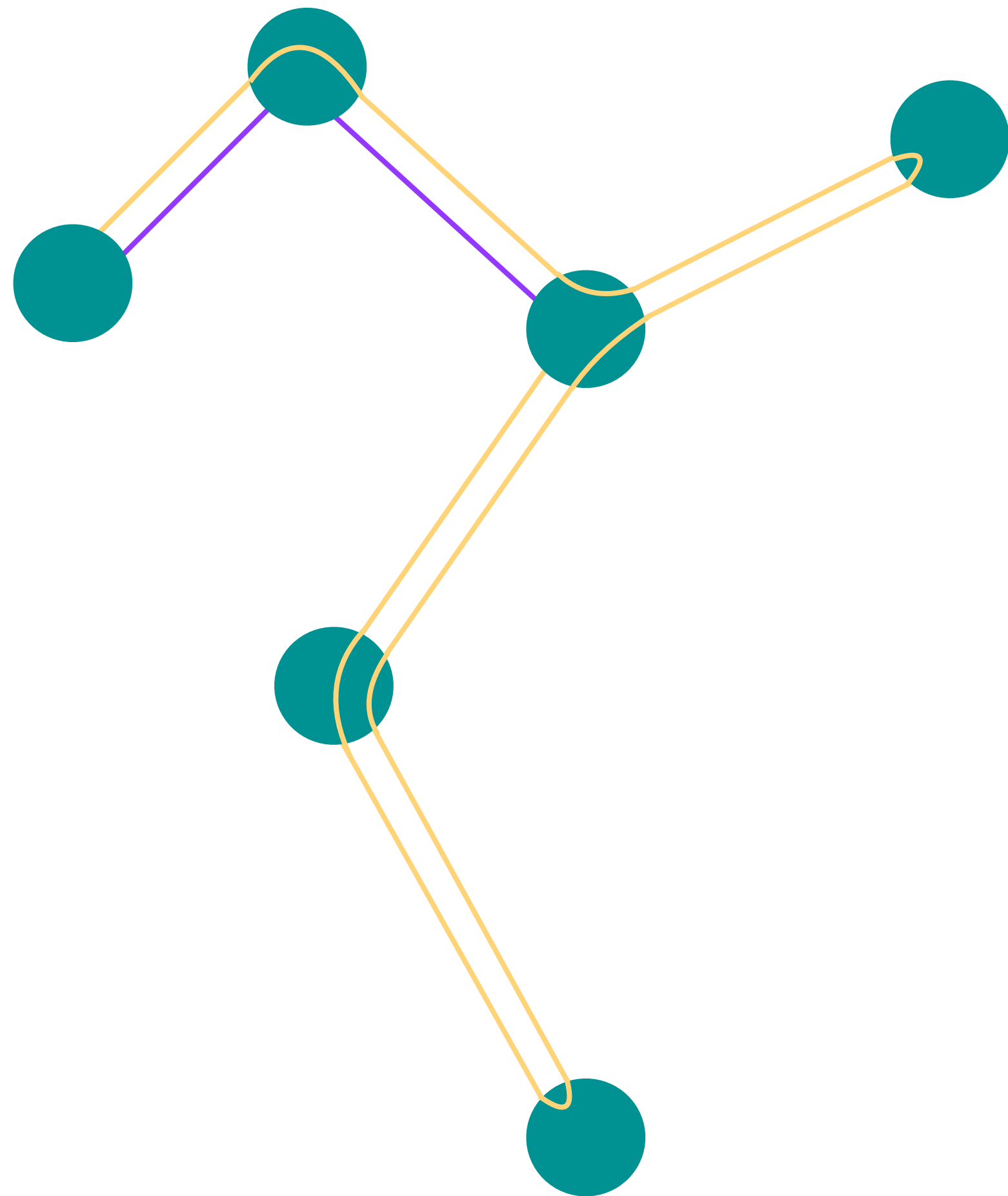
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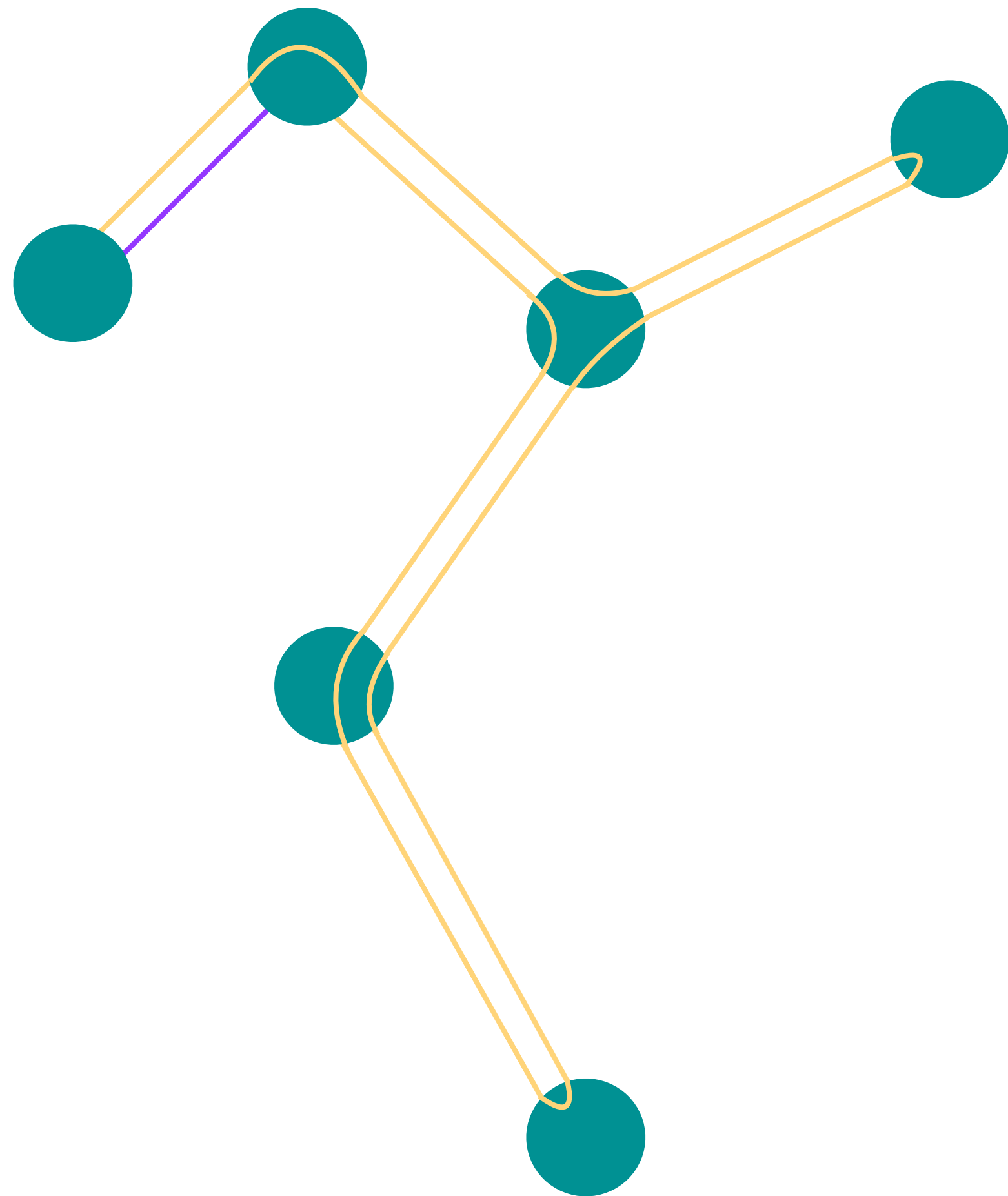
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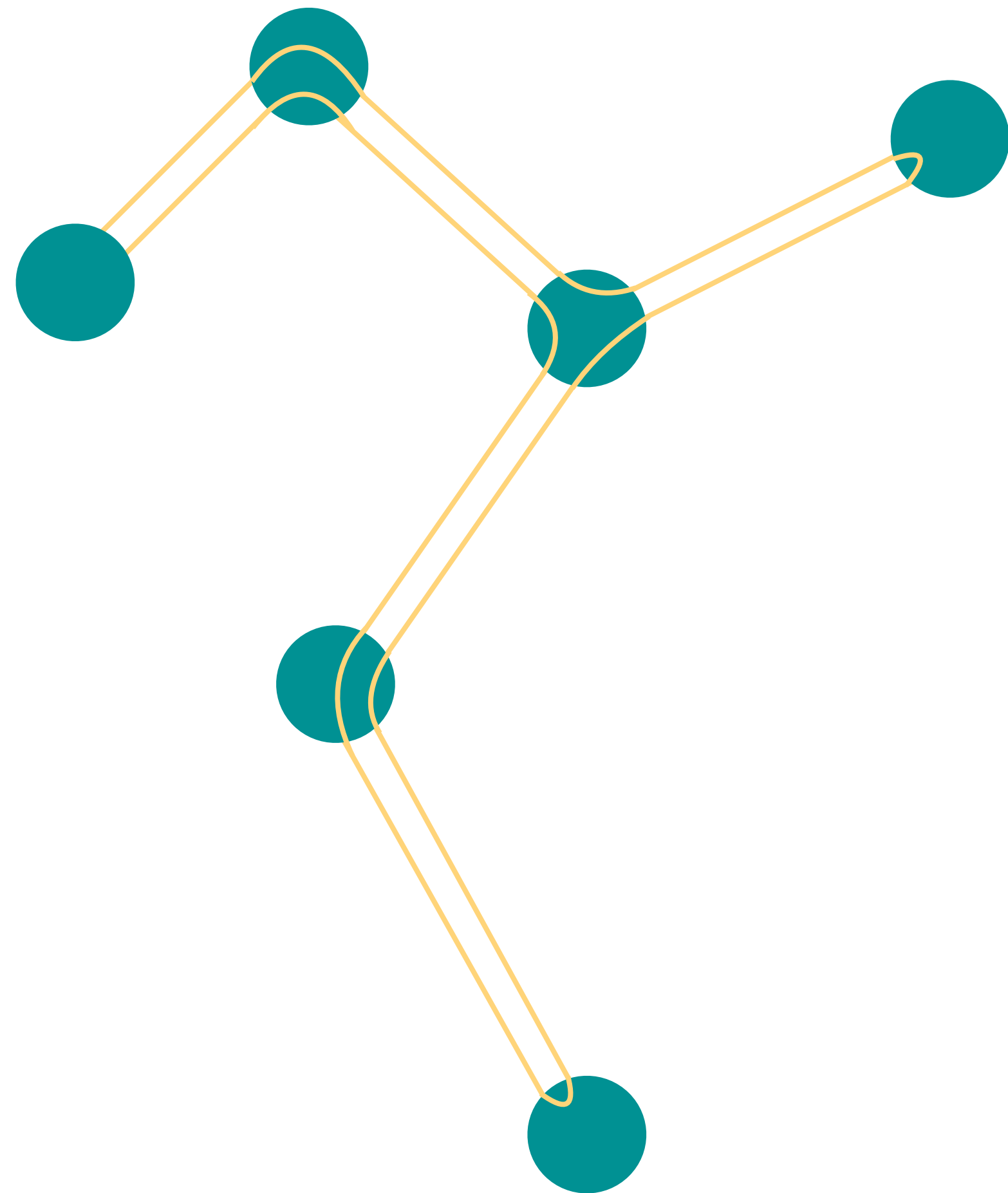
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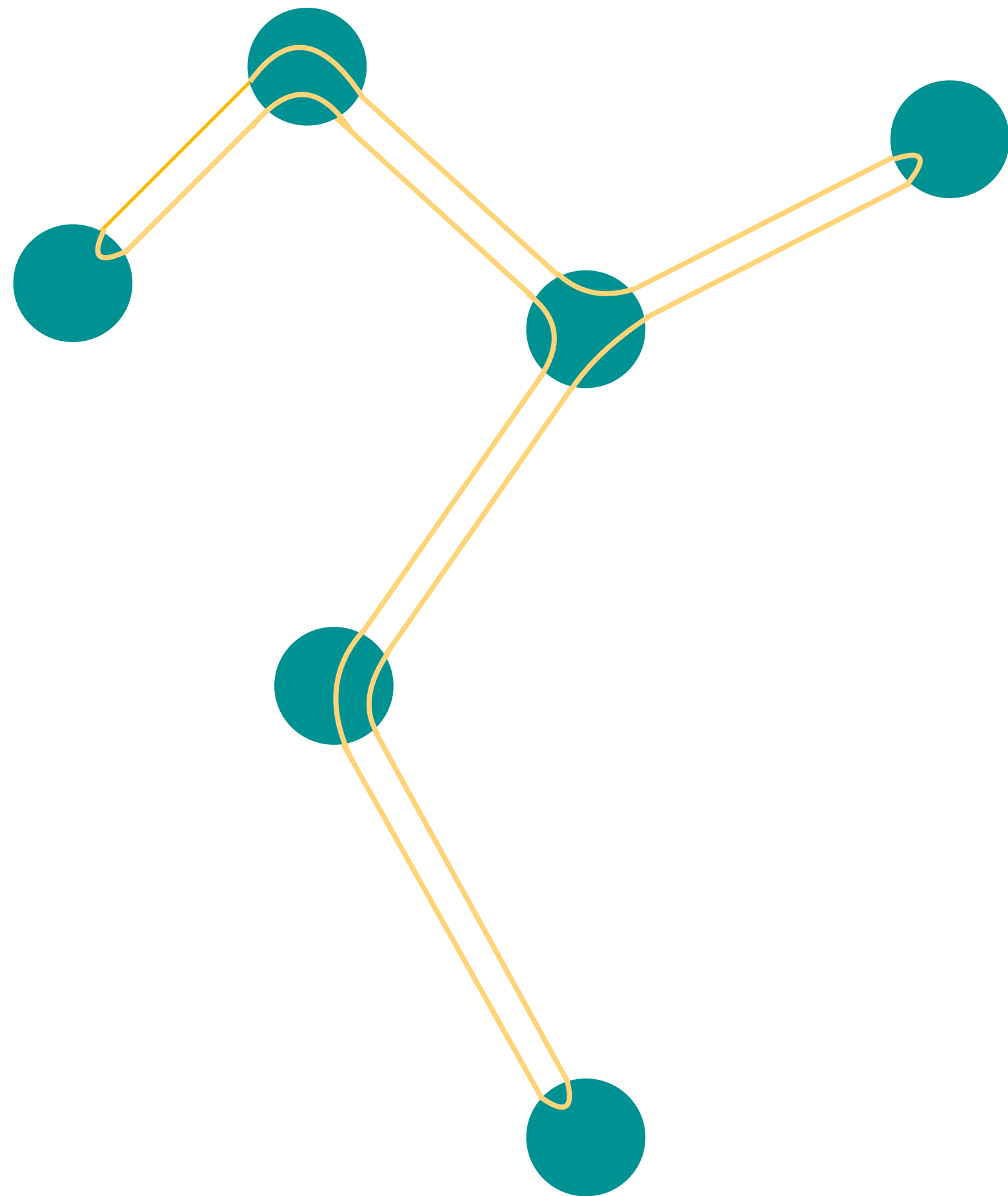
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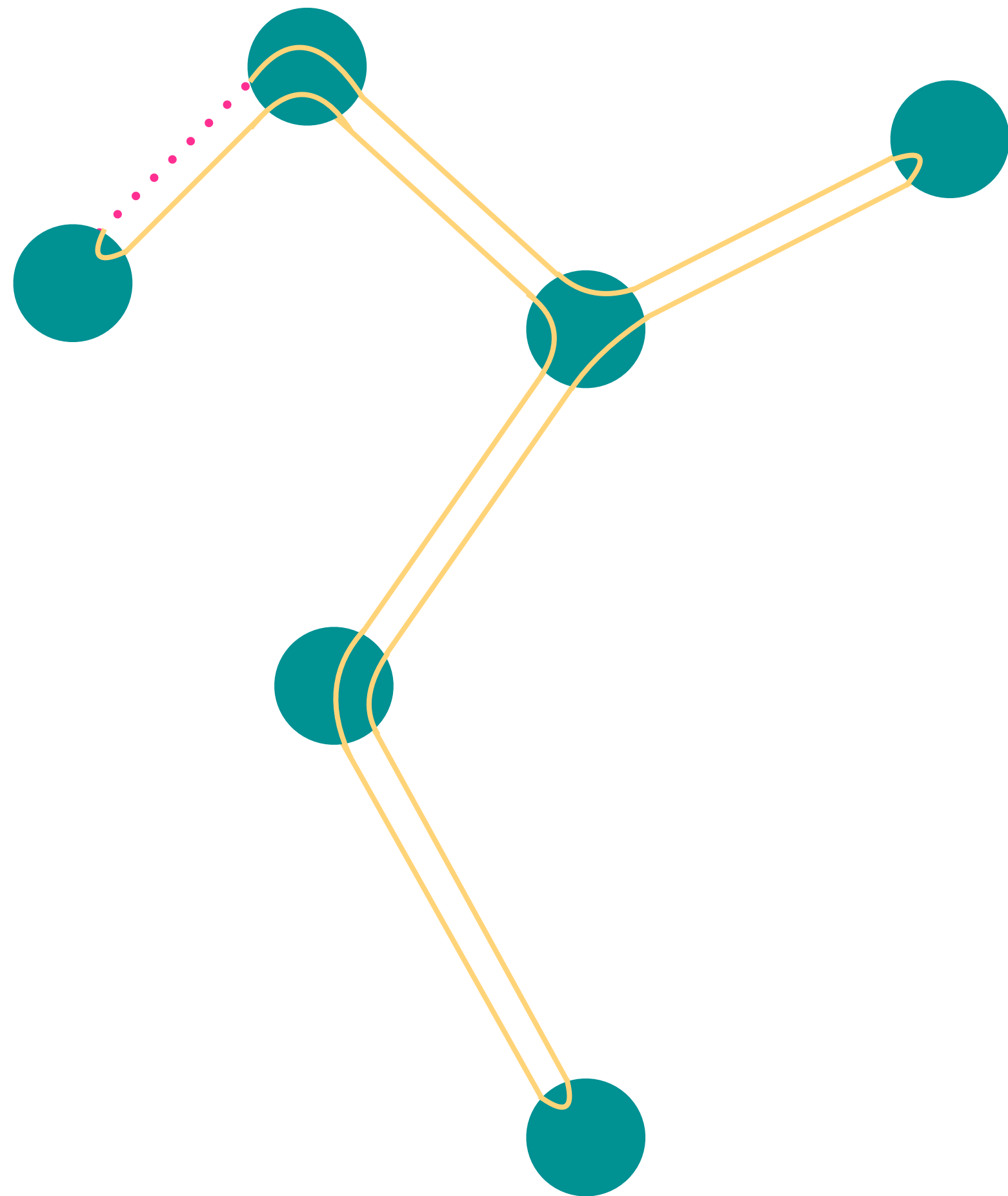
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 \Rightarrow Hamiltonian Cycle C

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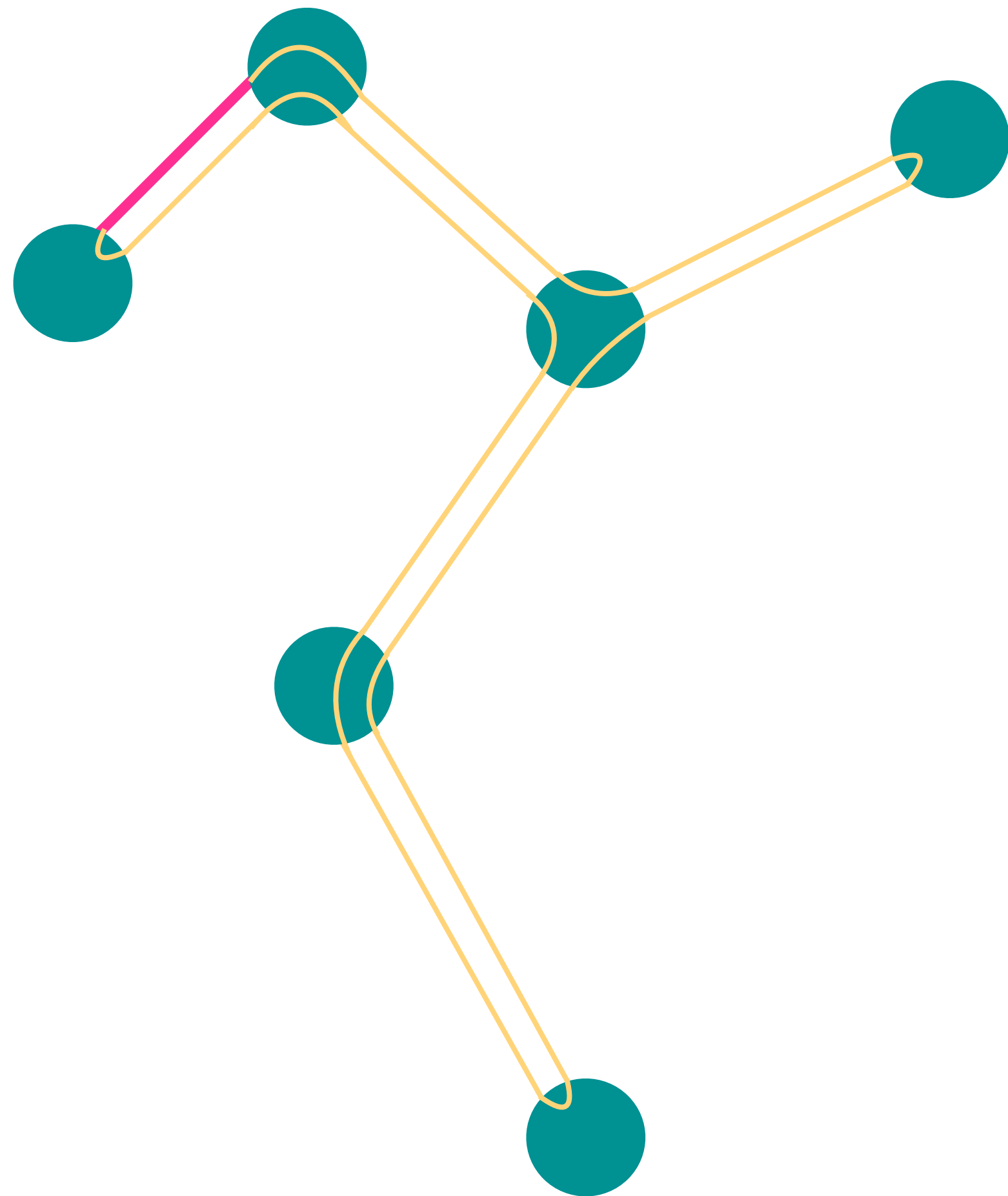
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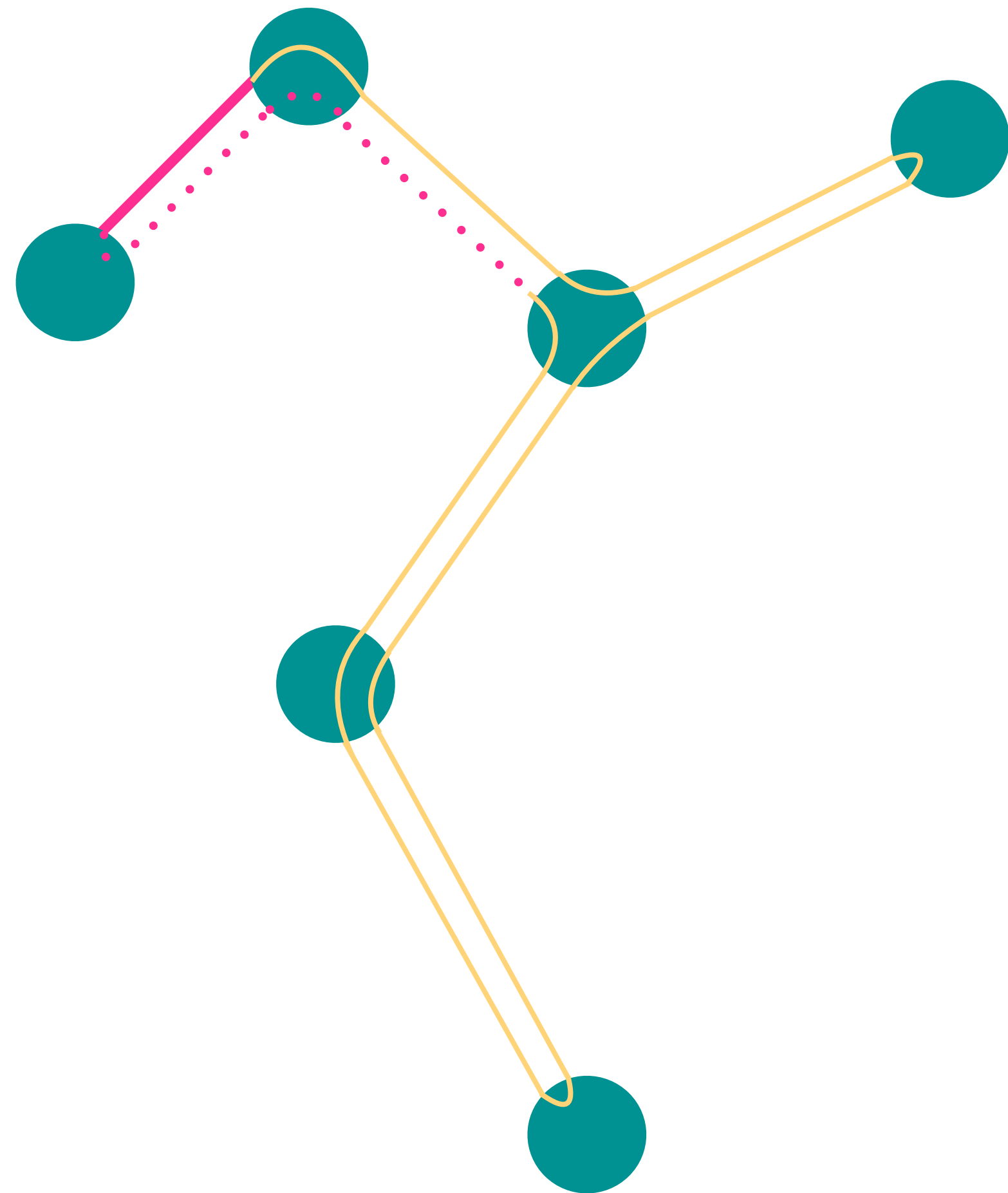
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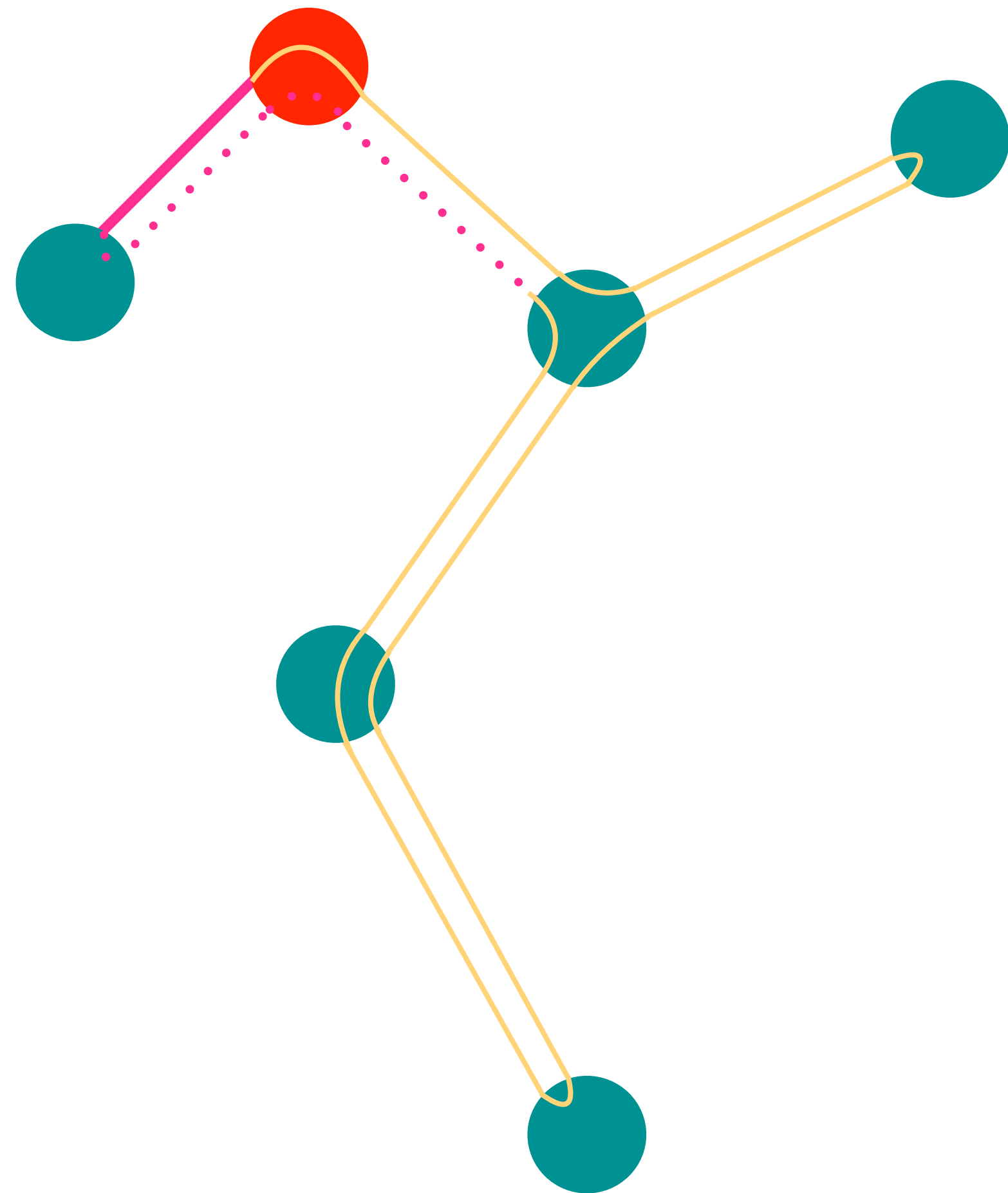
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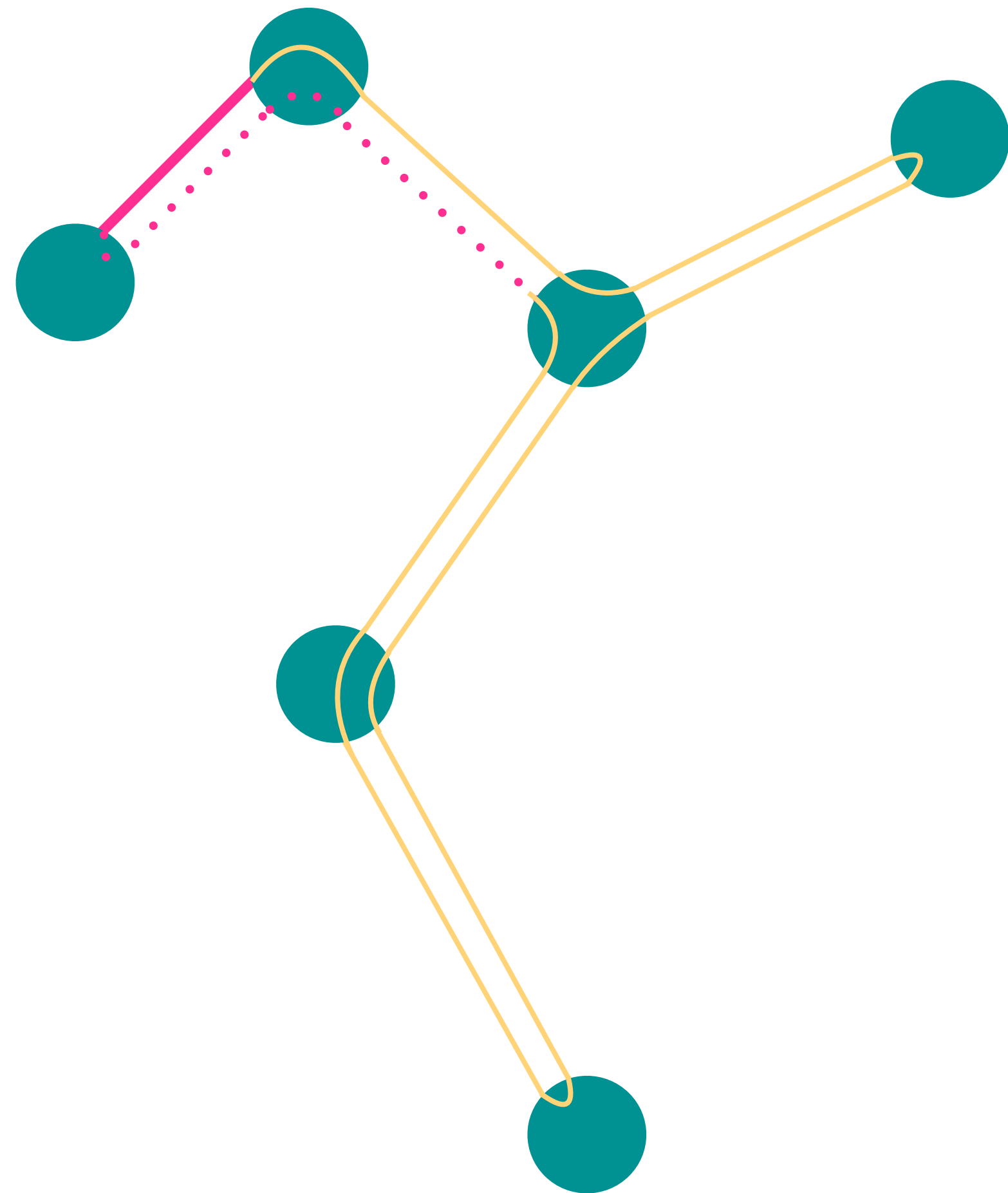
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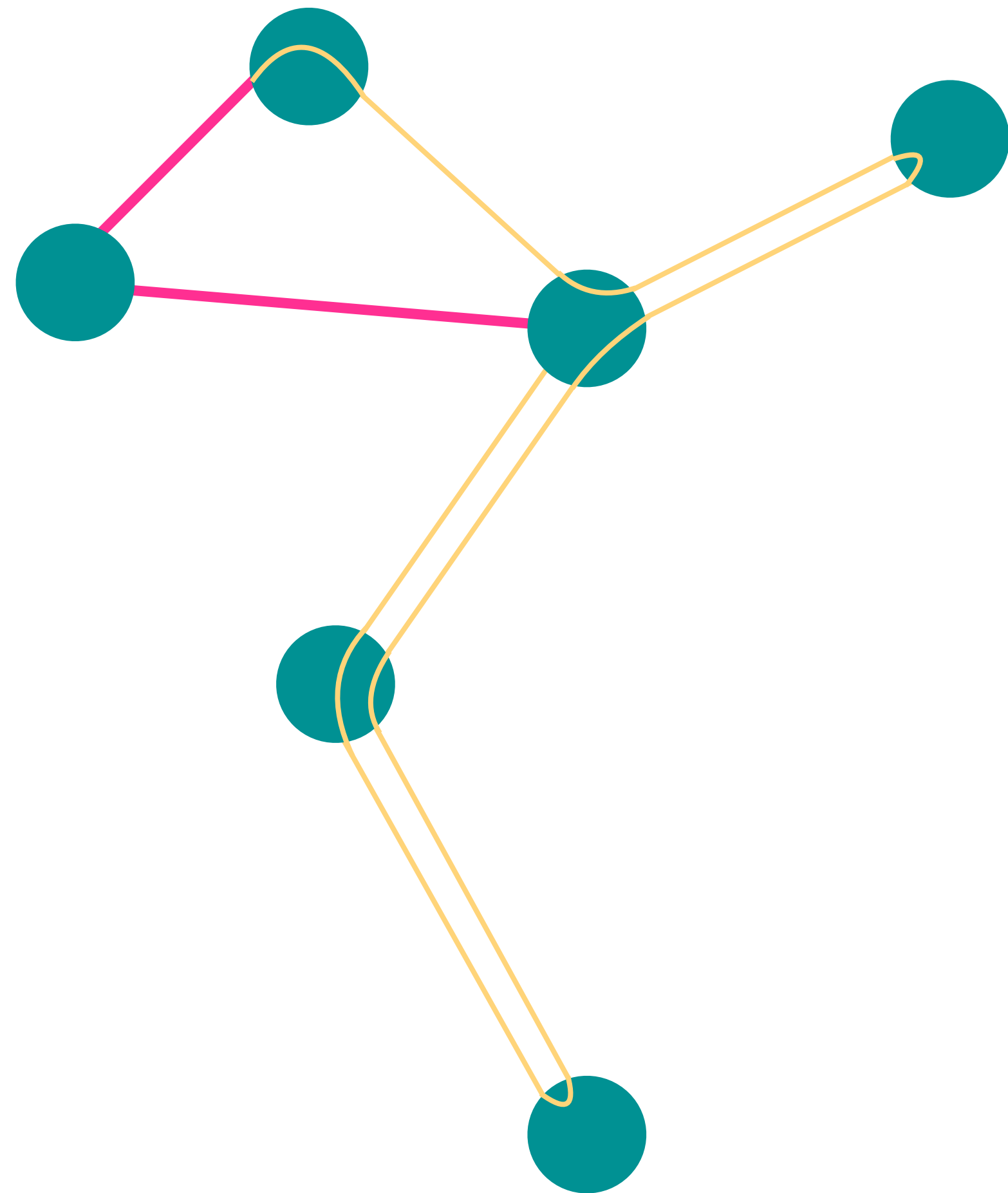
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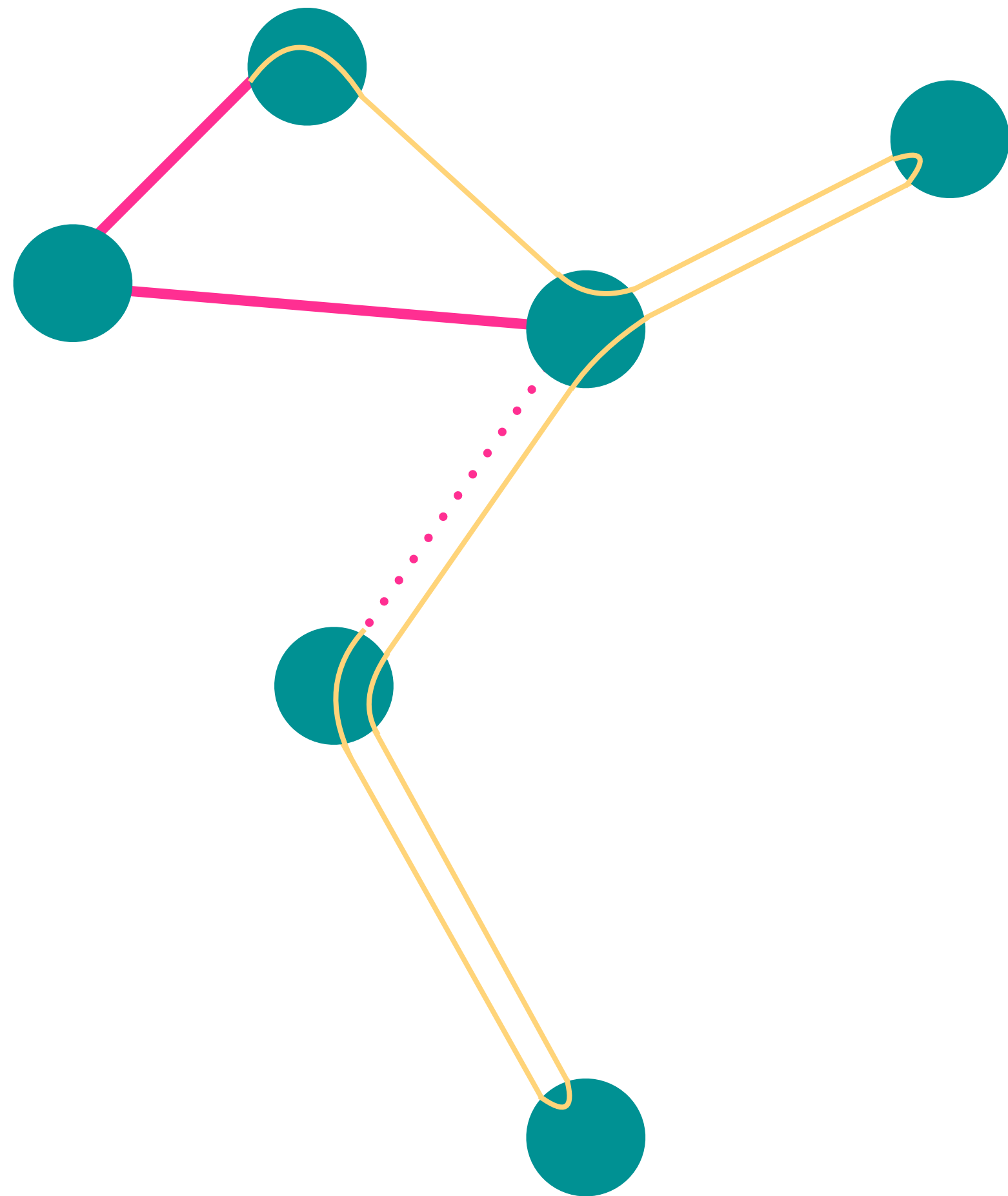
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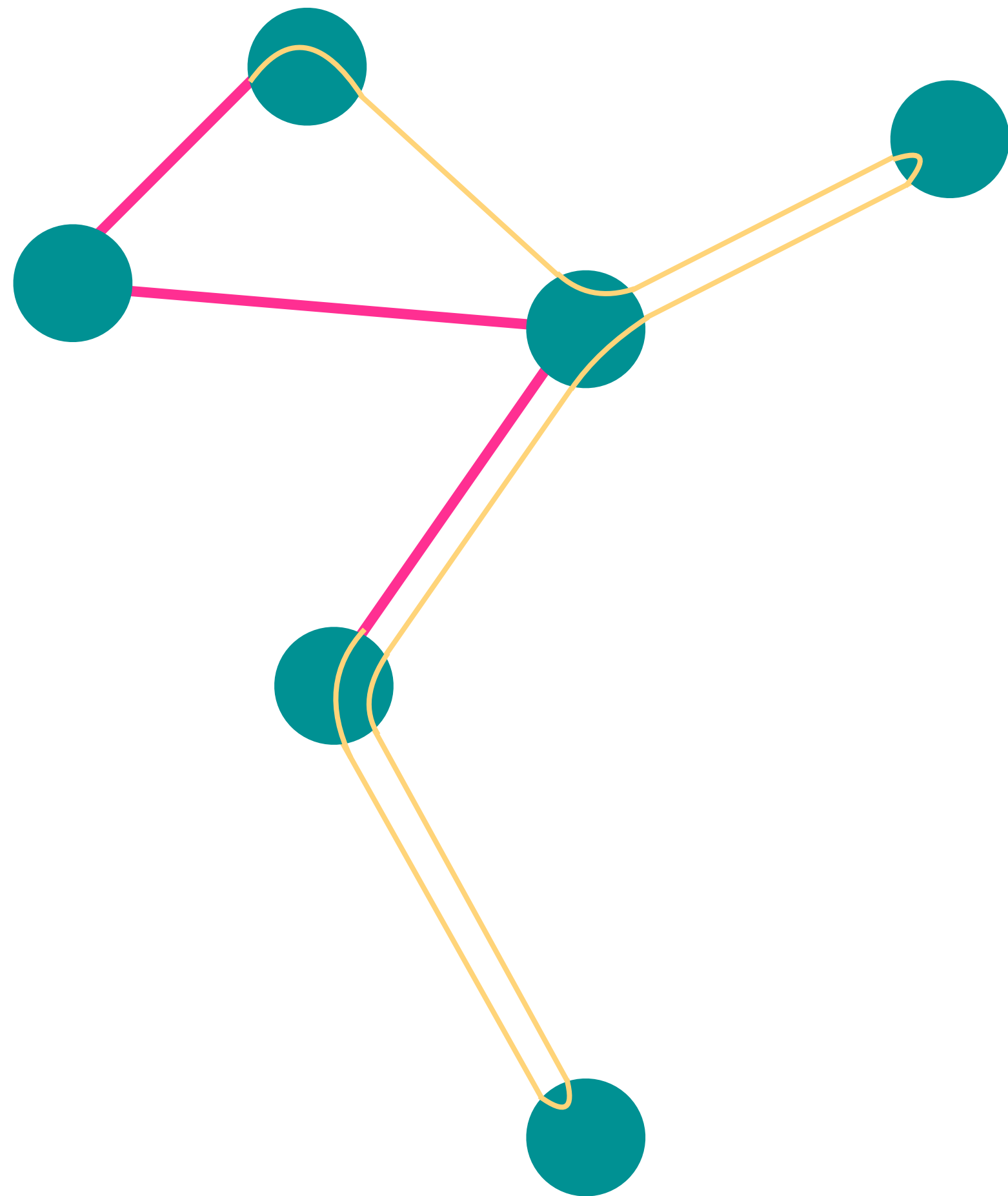
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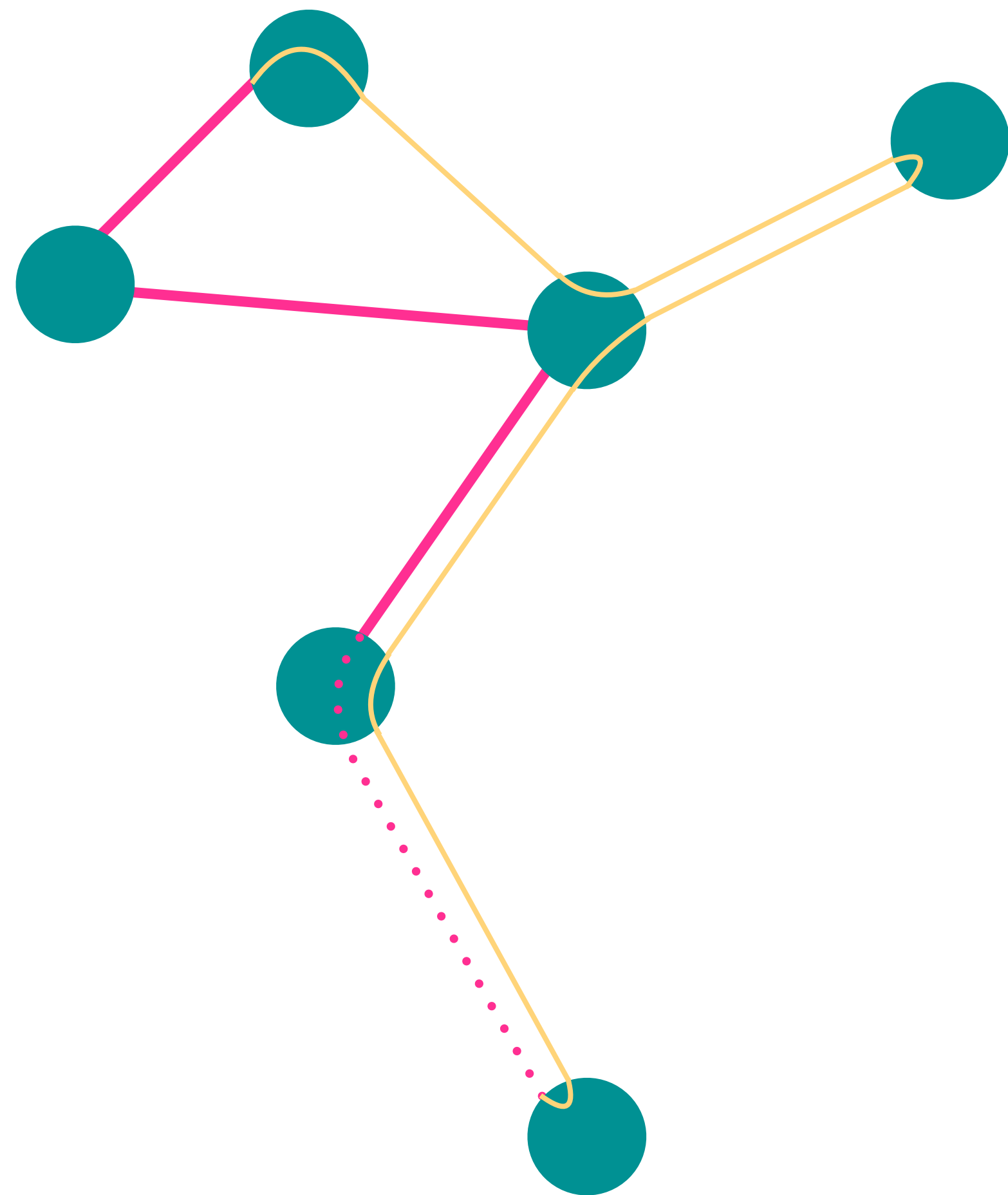
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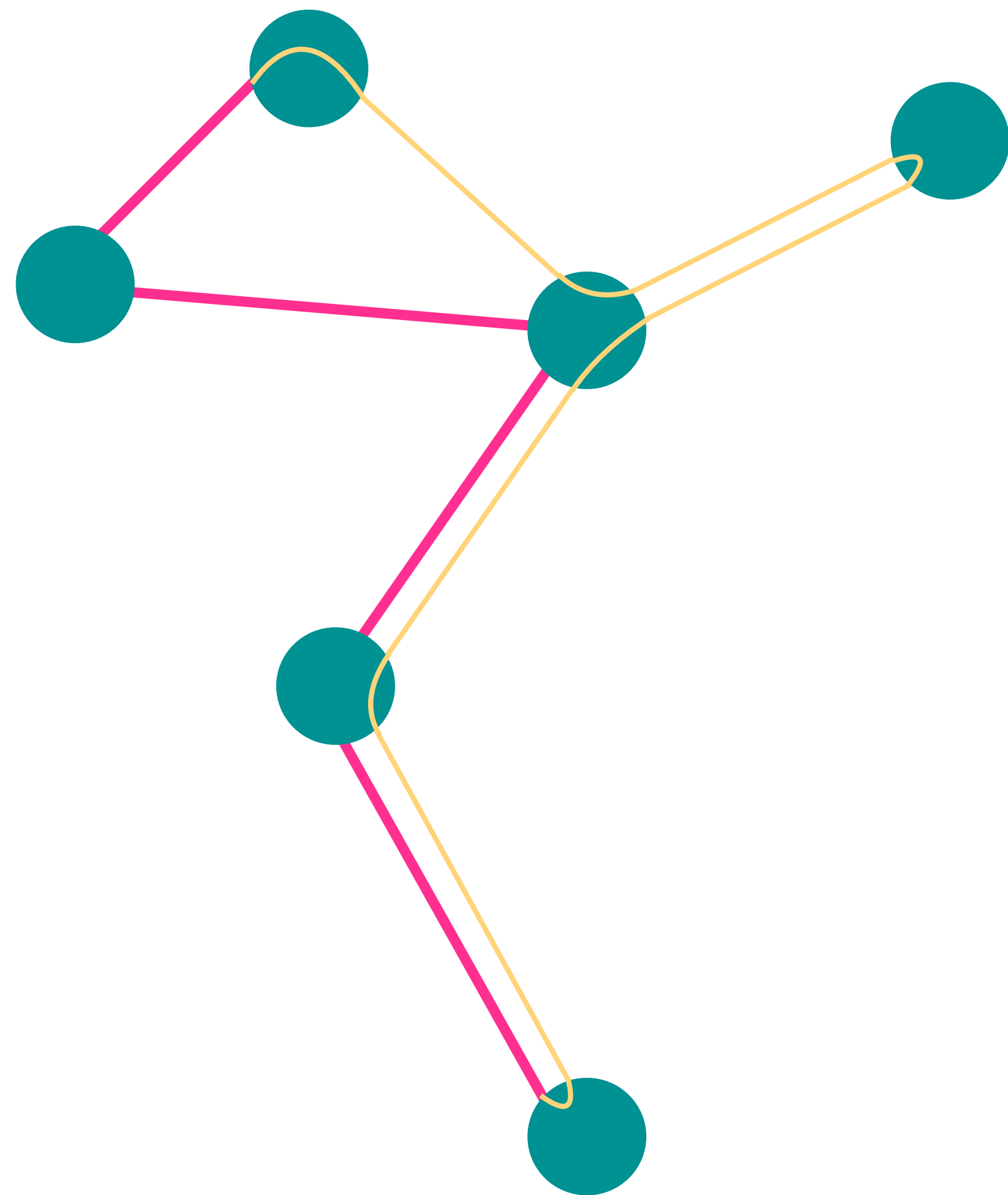
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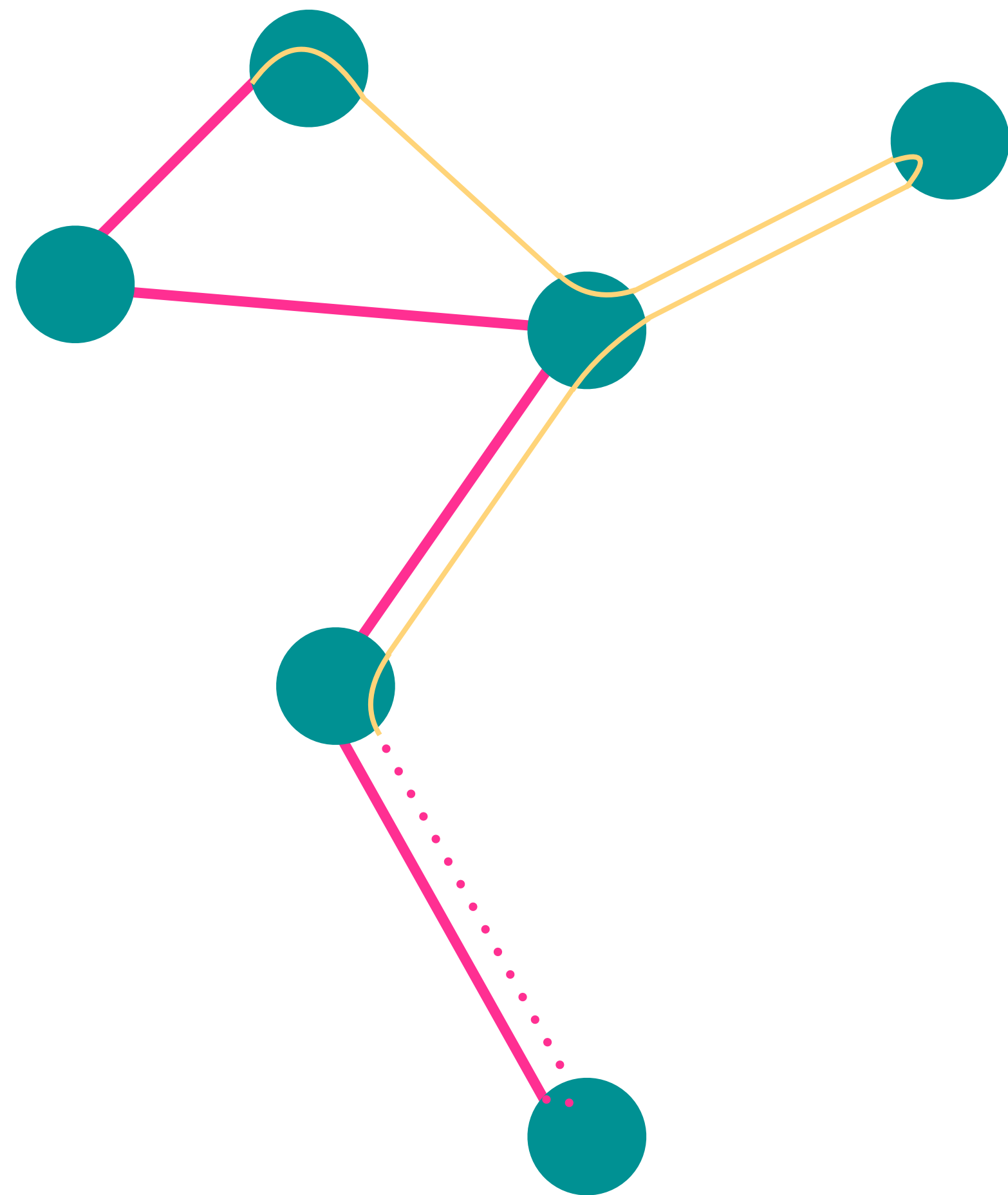
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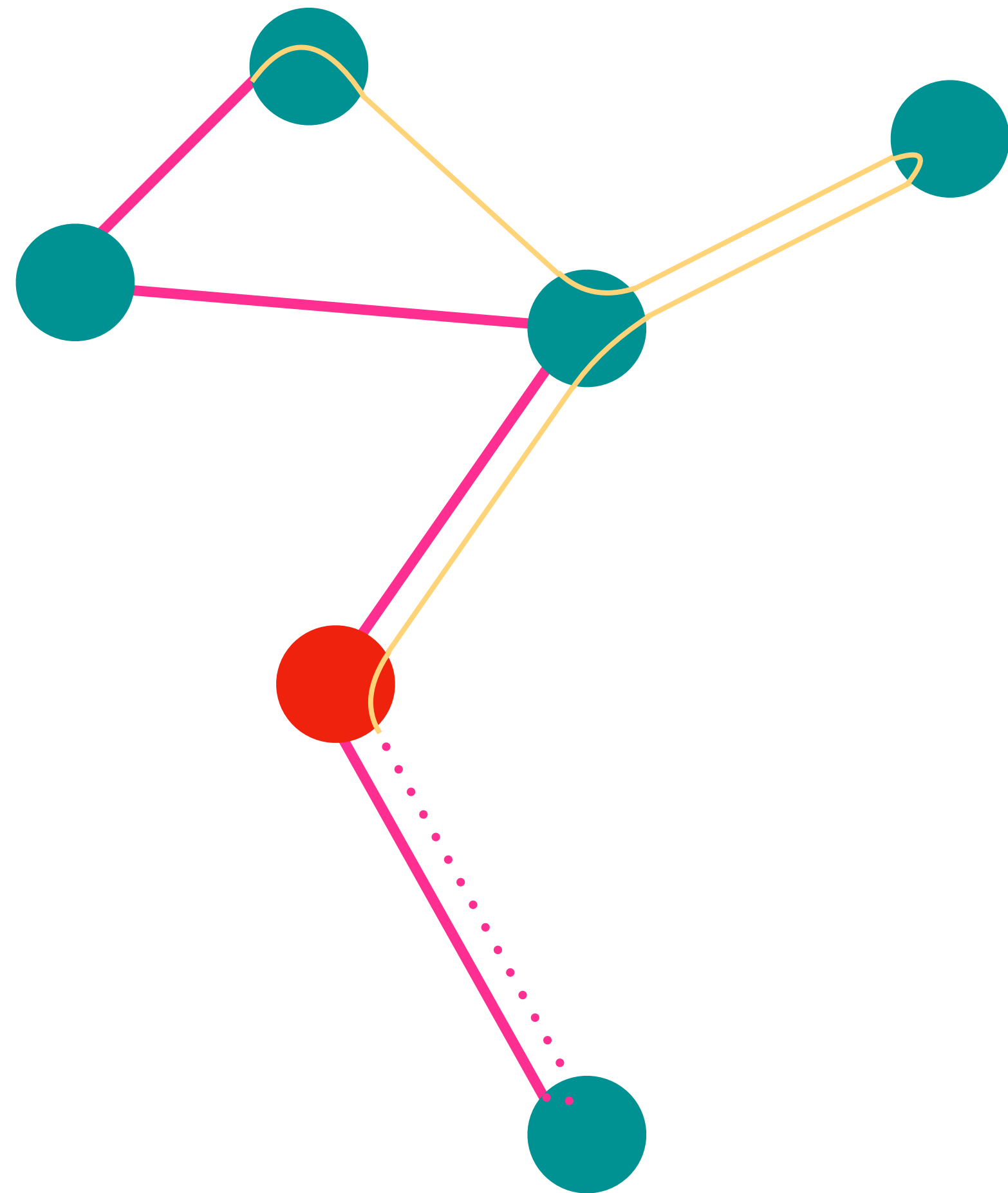
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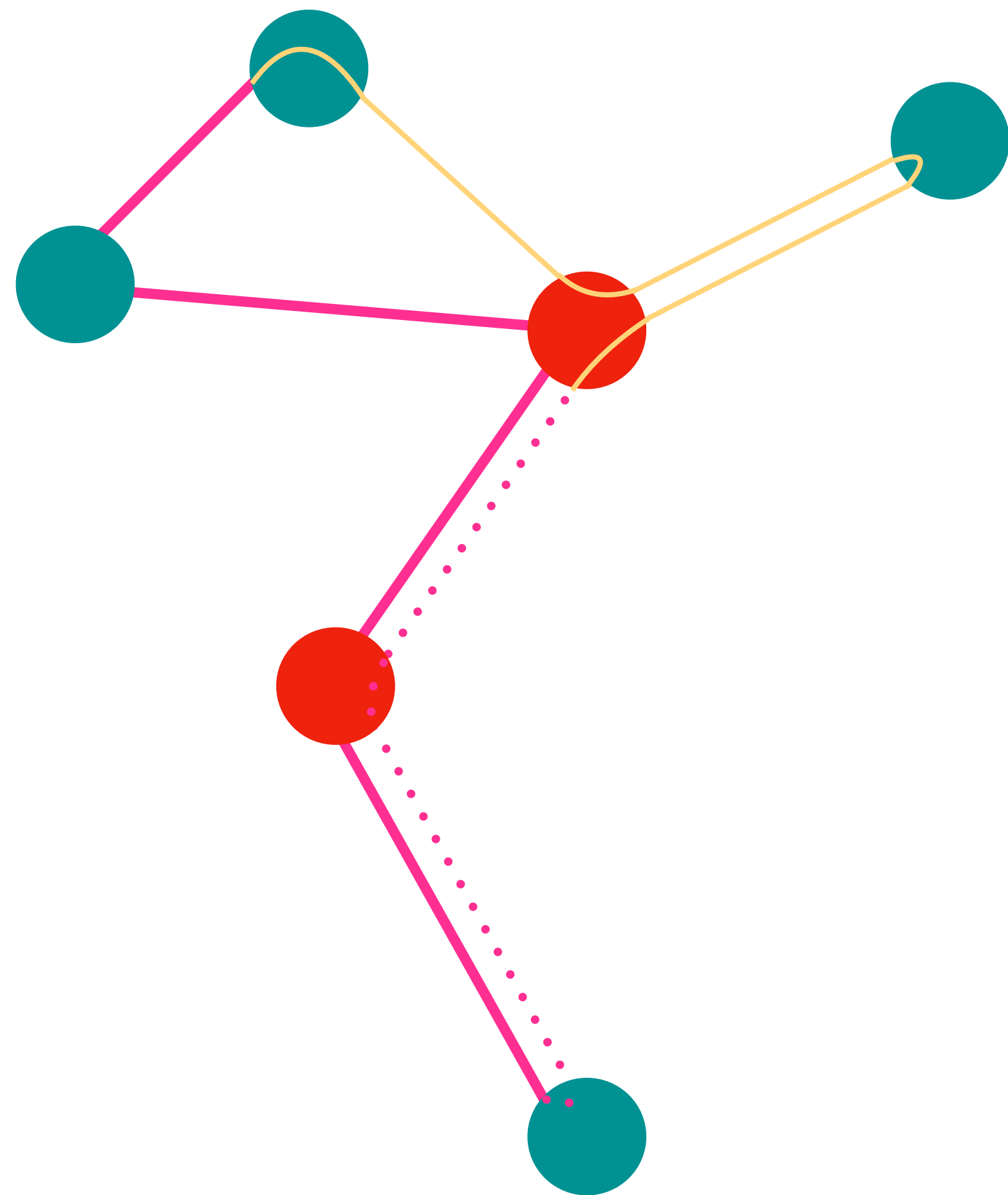
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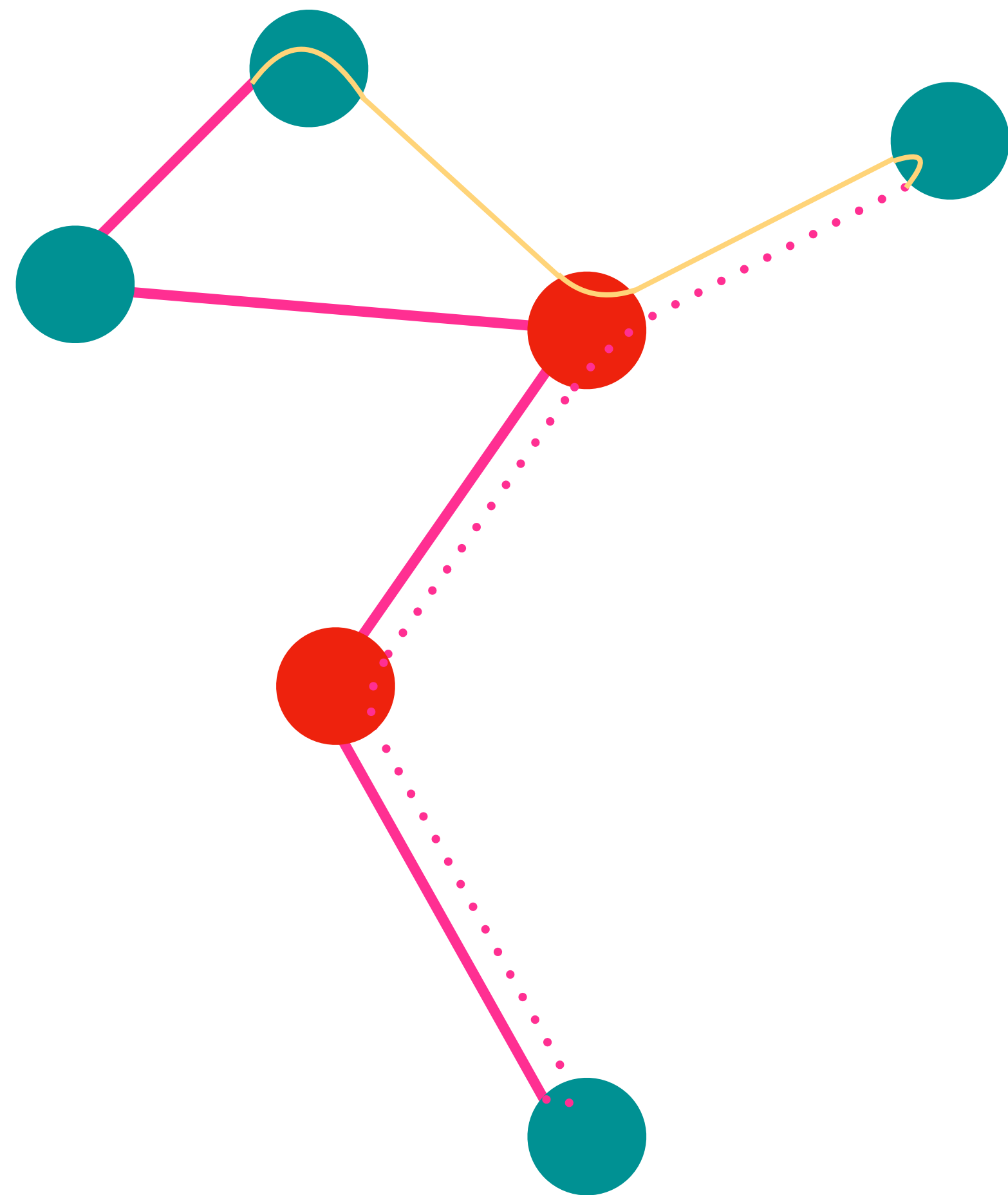
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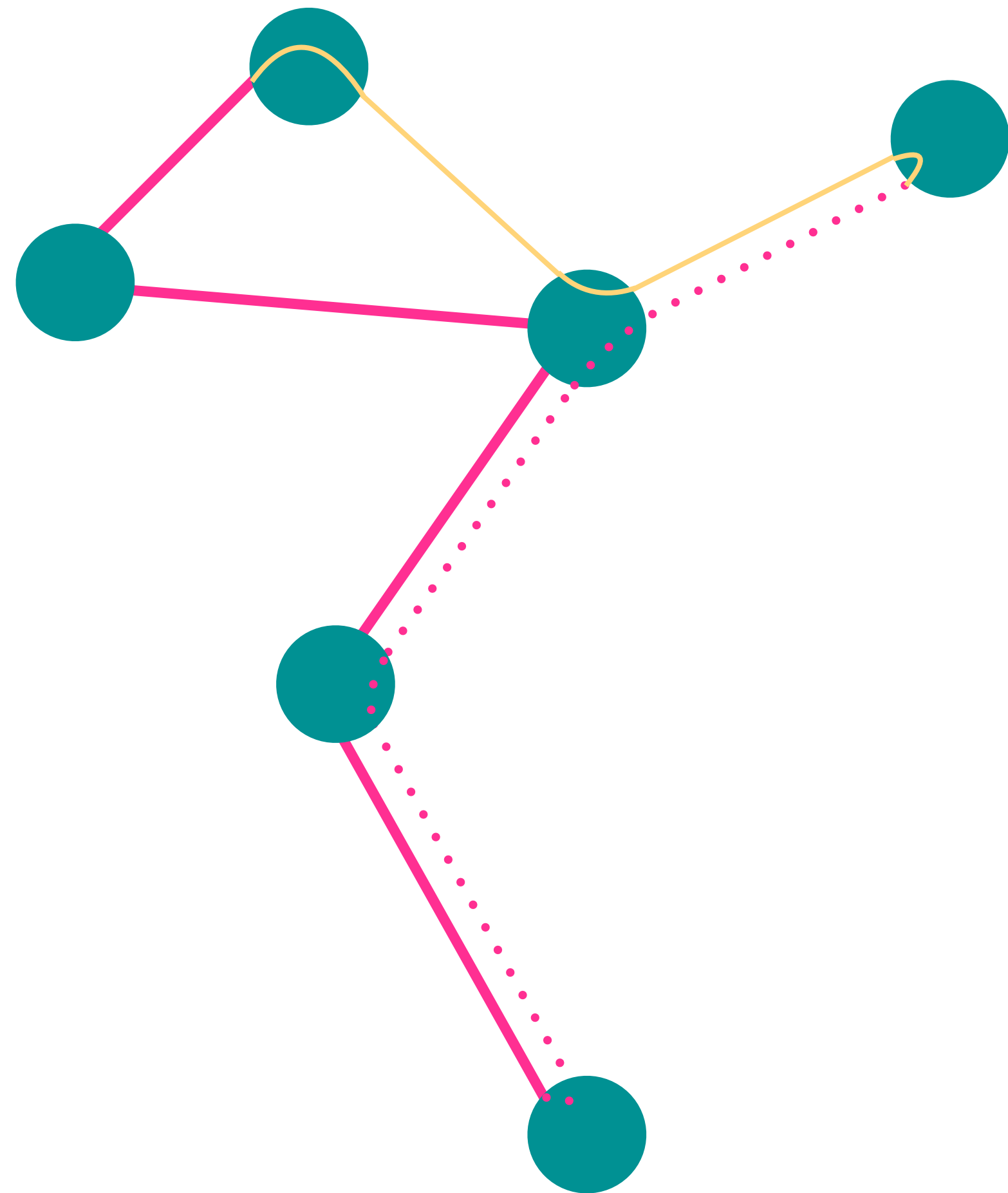
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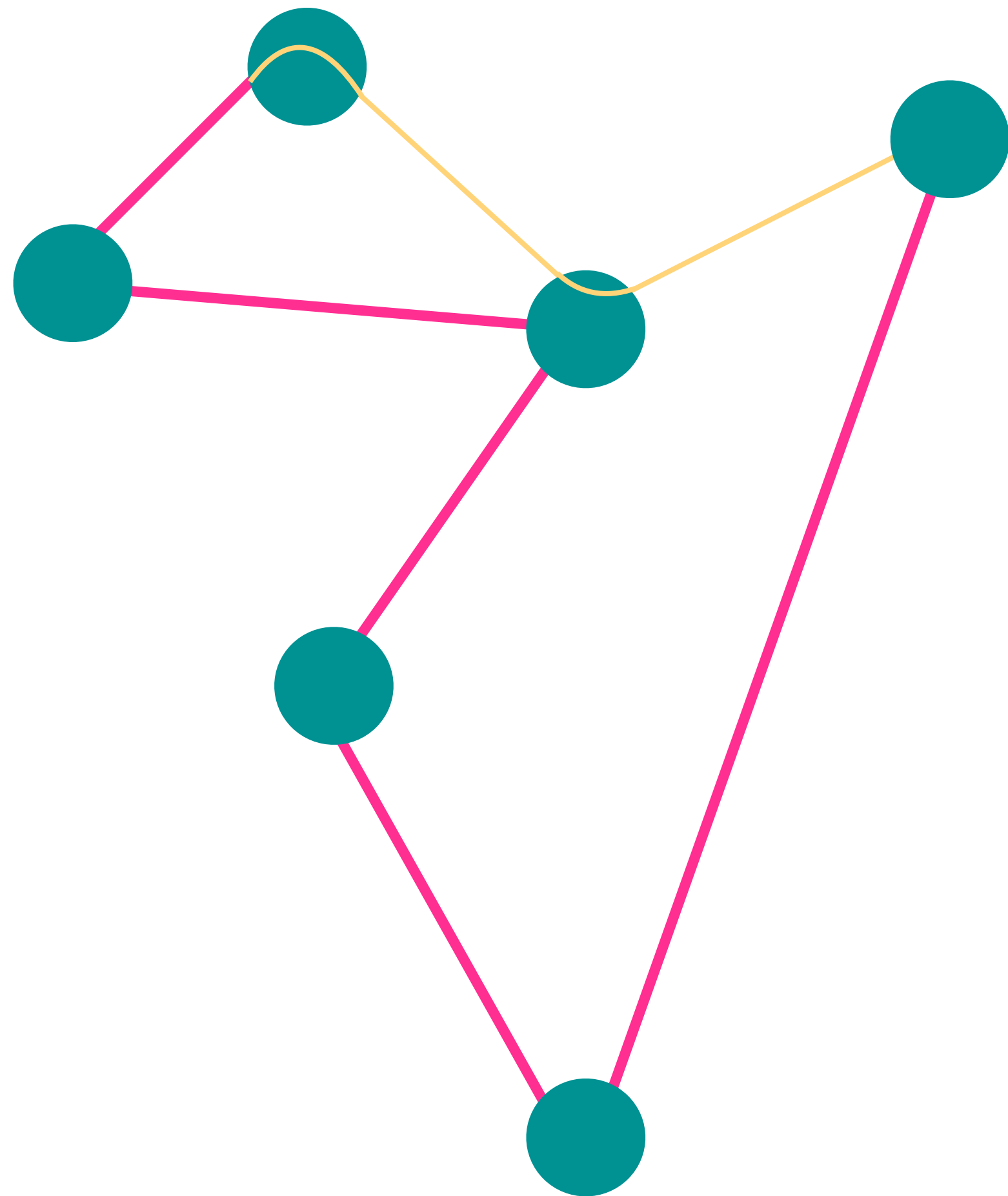
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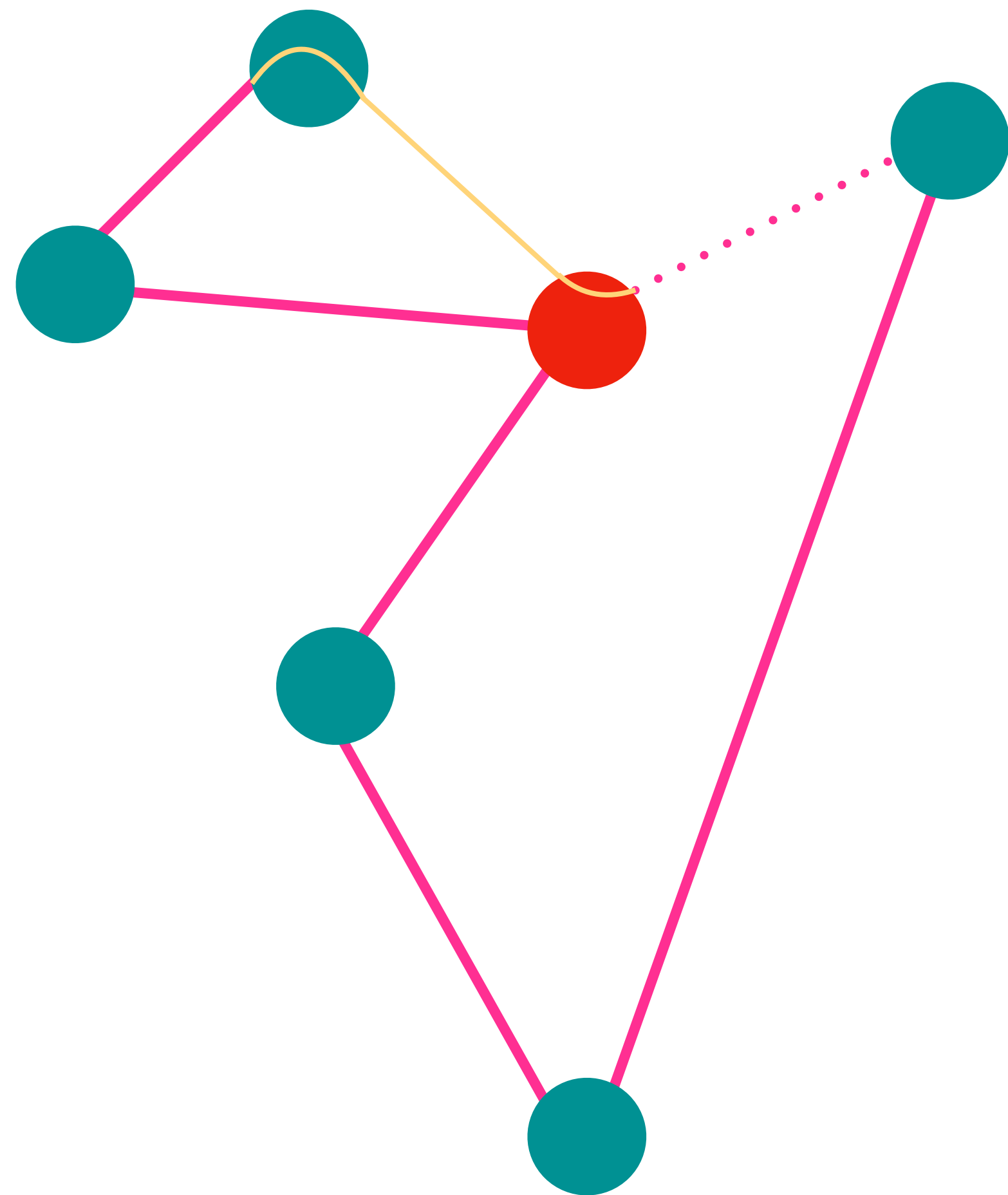
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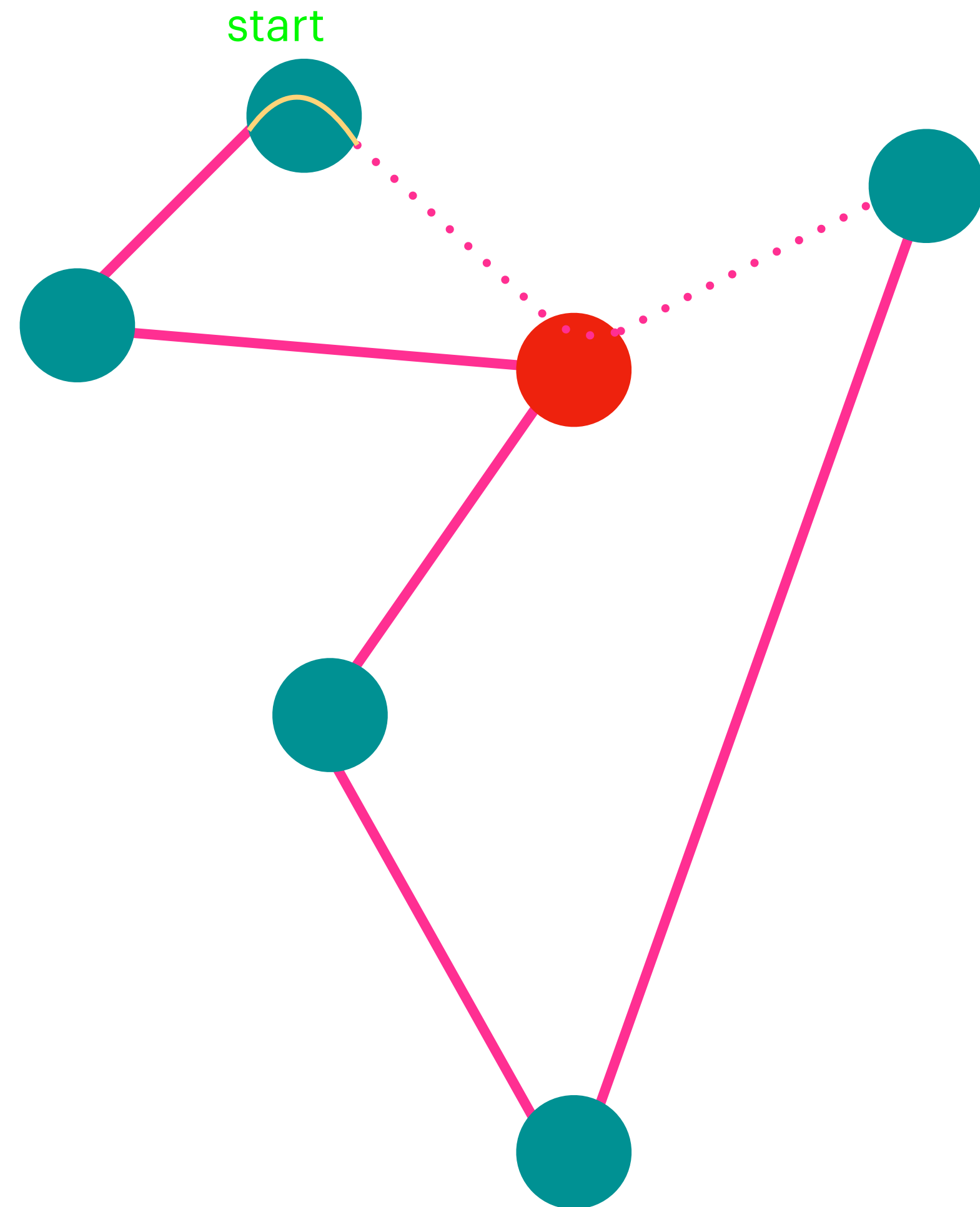
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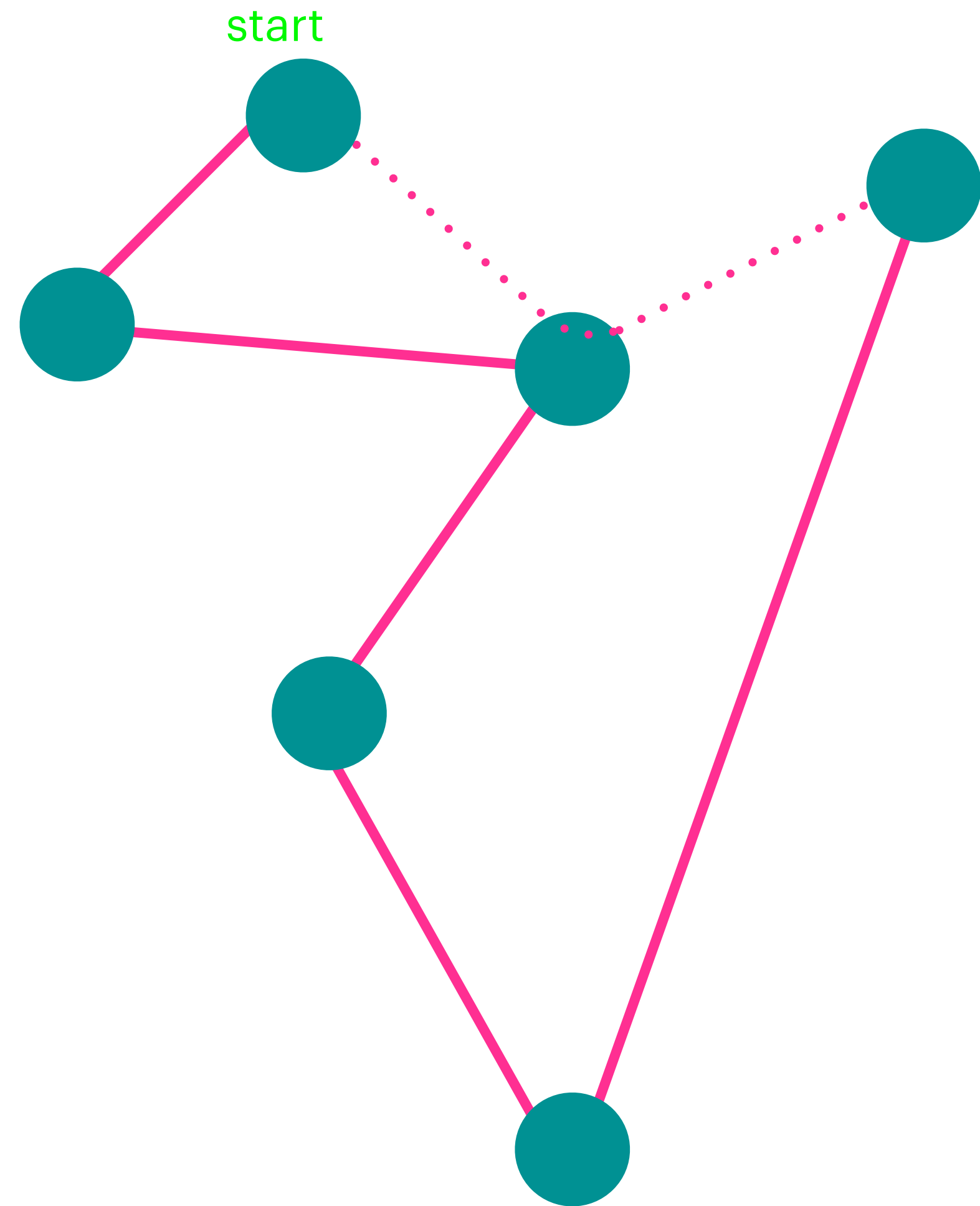
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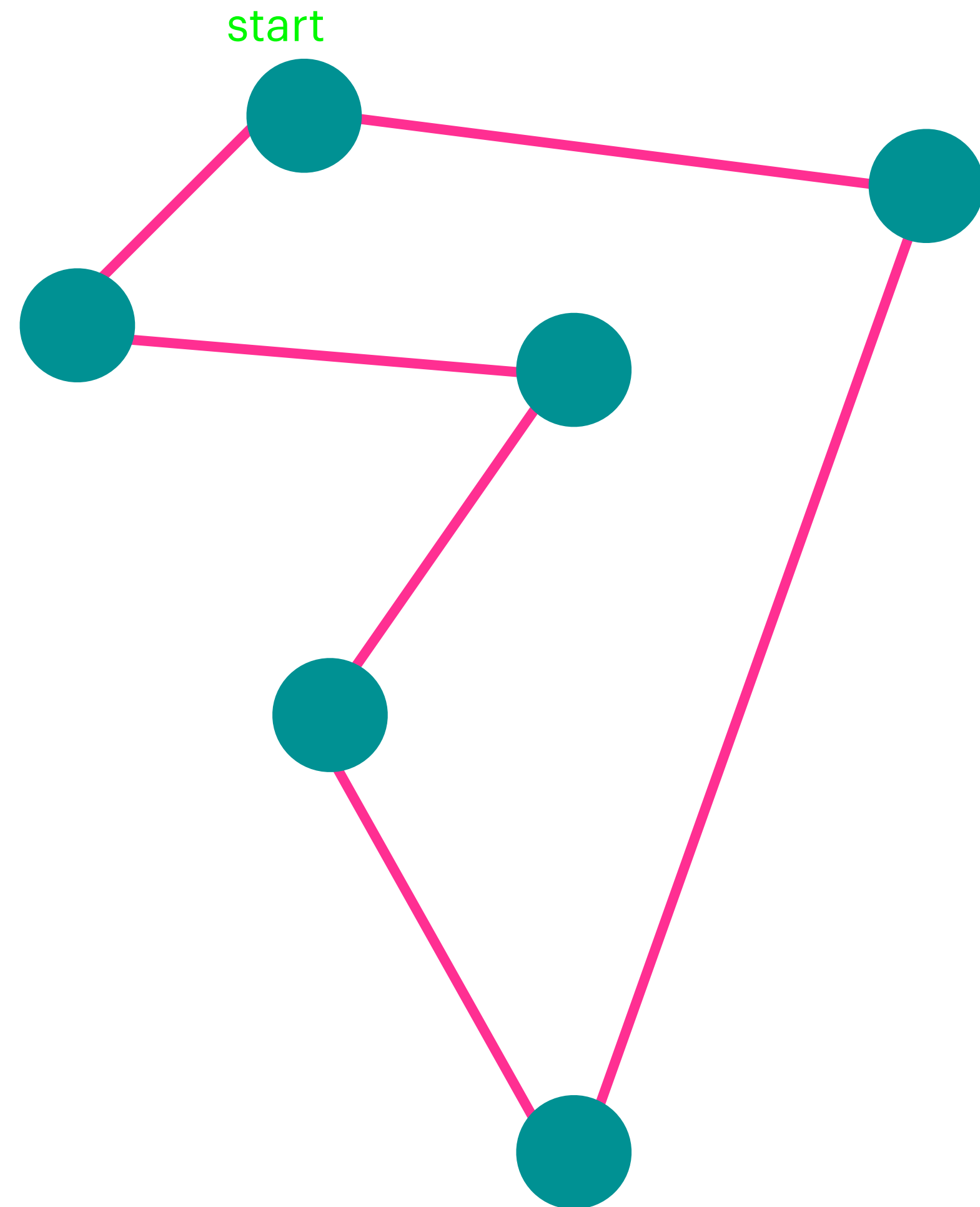
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4. Traverse W once using shortcuts s.t. each vertex is visited exactly once
 \Rightarrow Hamiltonian Cycle C

Metric TSP : 2-Approximation

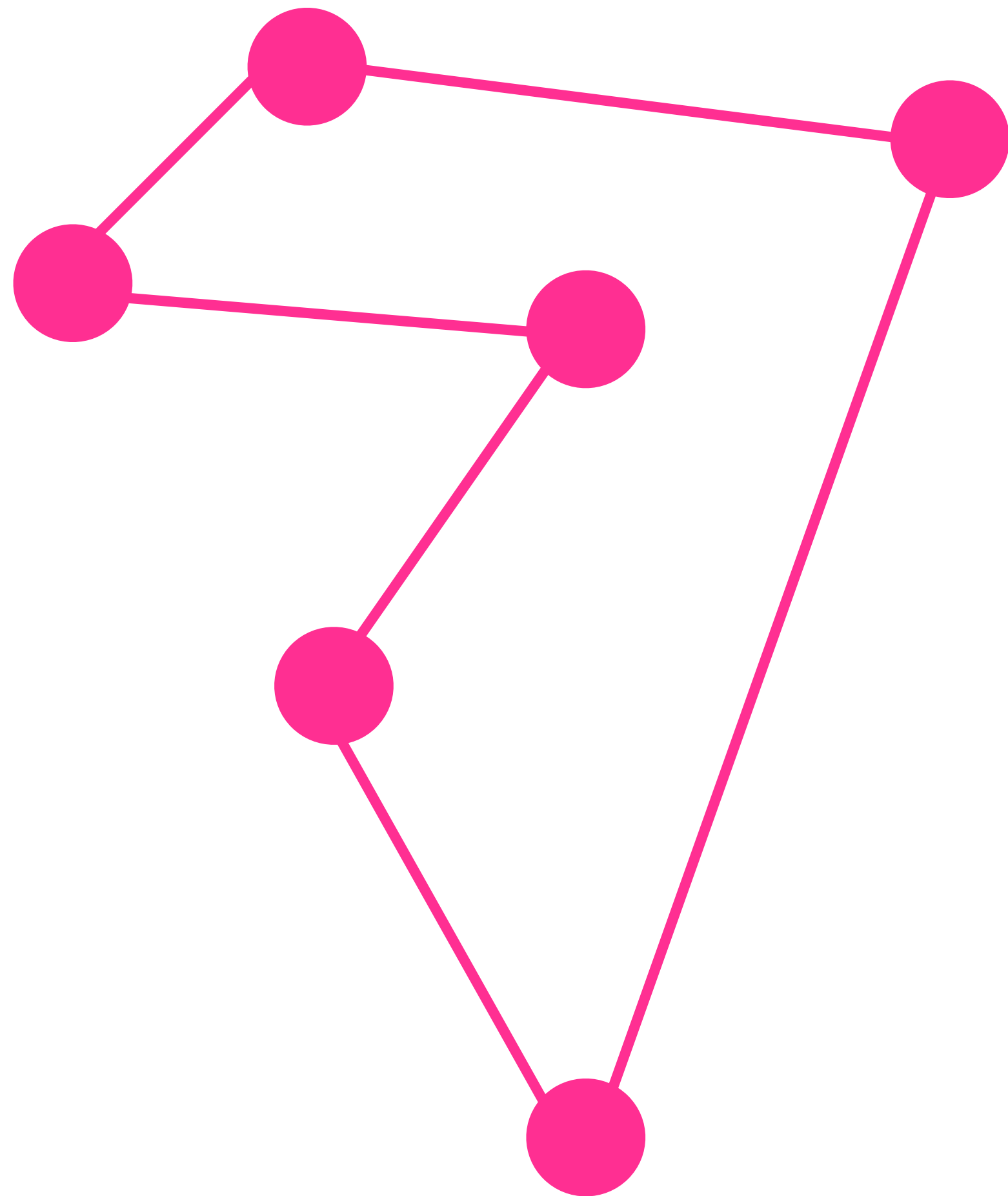
Algorithm



1. Find the MST T
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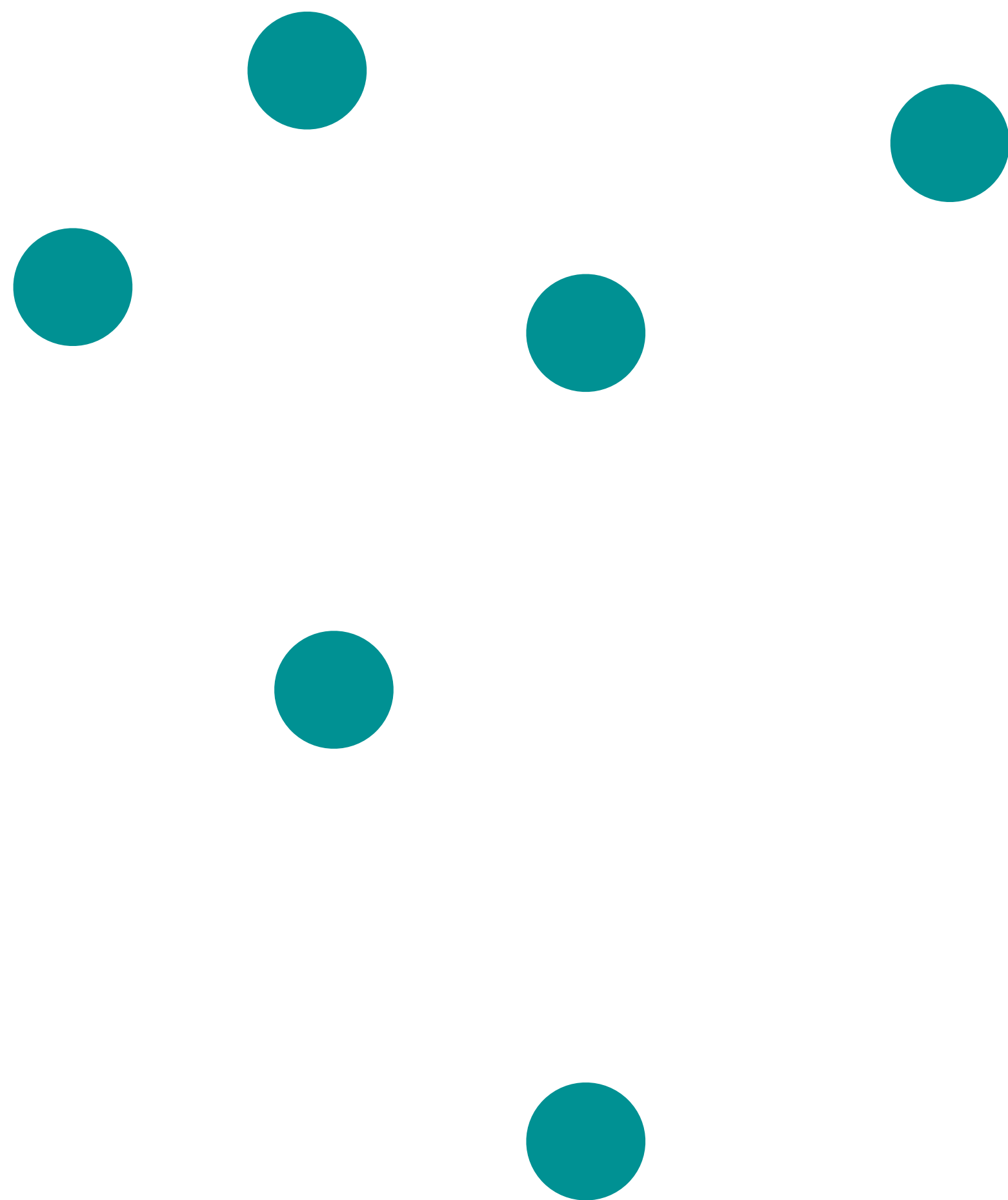
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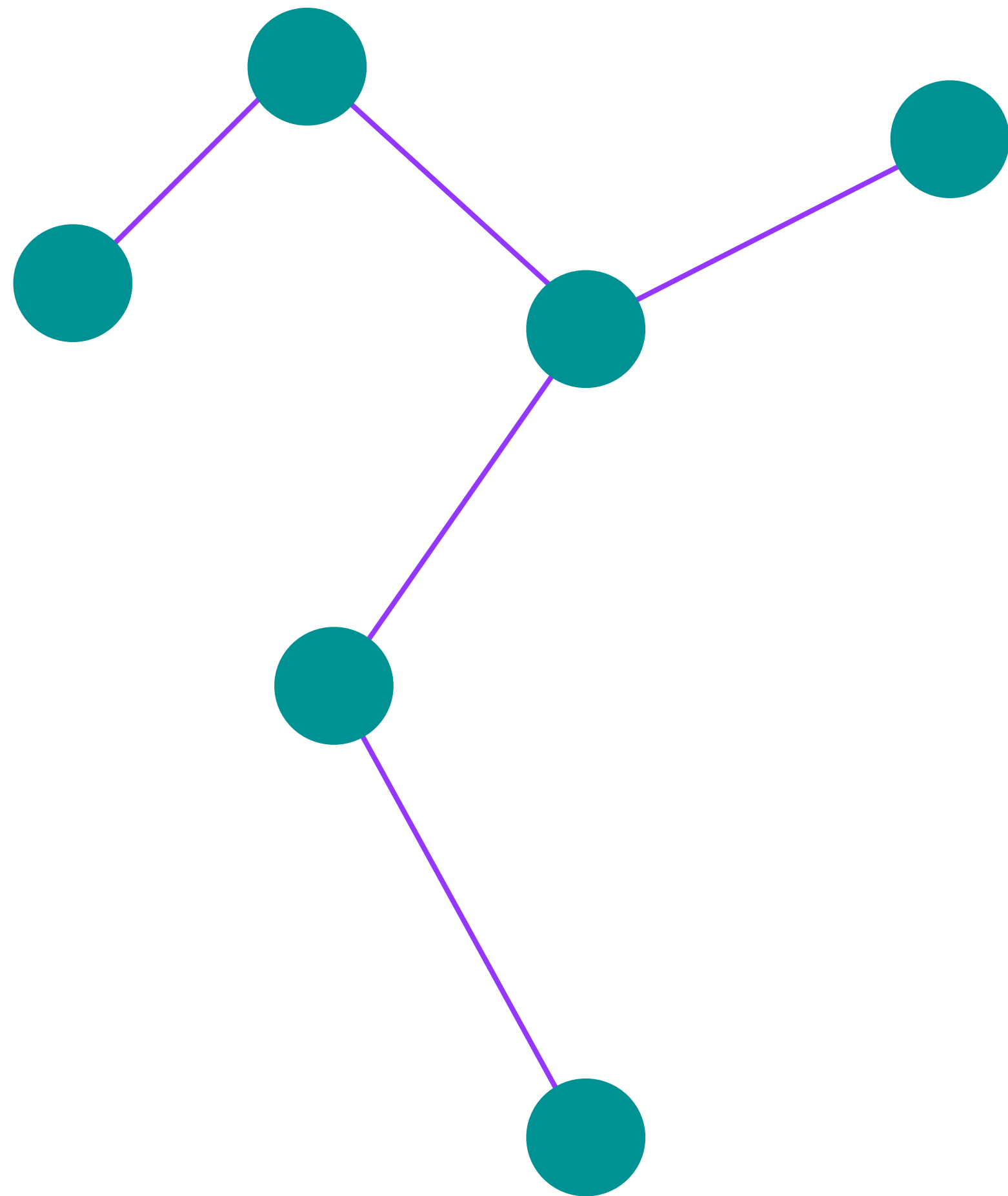
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Correctness



Metric TSP : 2-Approximation

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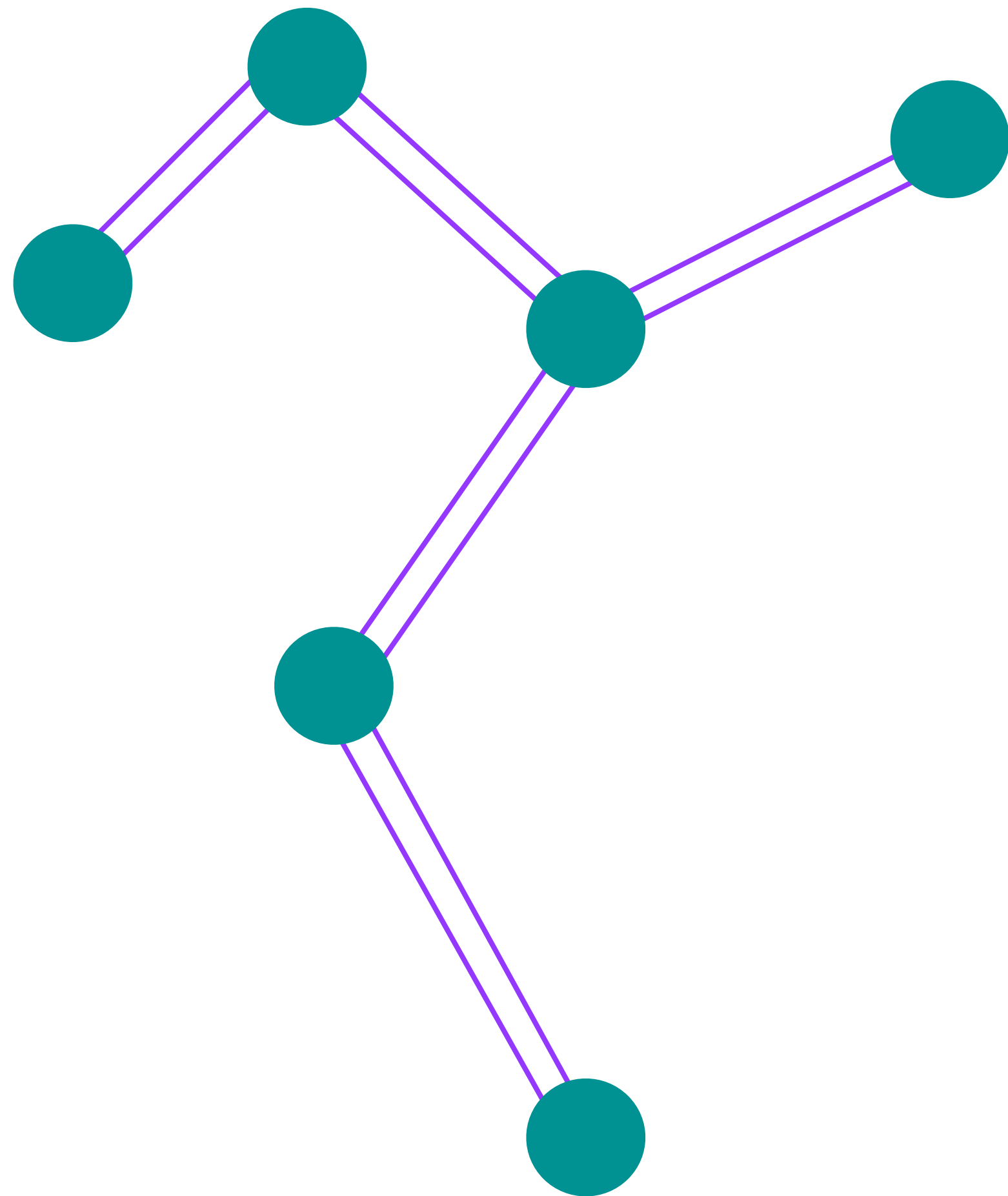


1. Find the MST T

$$l(T) \leq OPT(K_n, l)$$

Metric TSP : 2-Approximation

Correctness

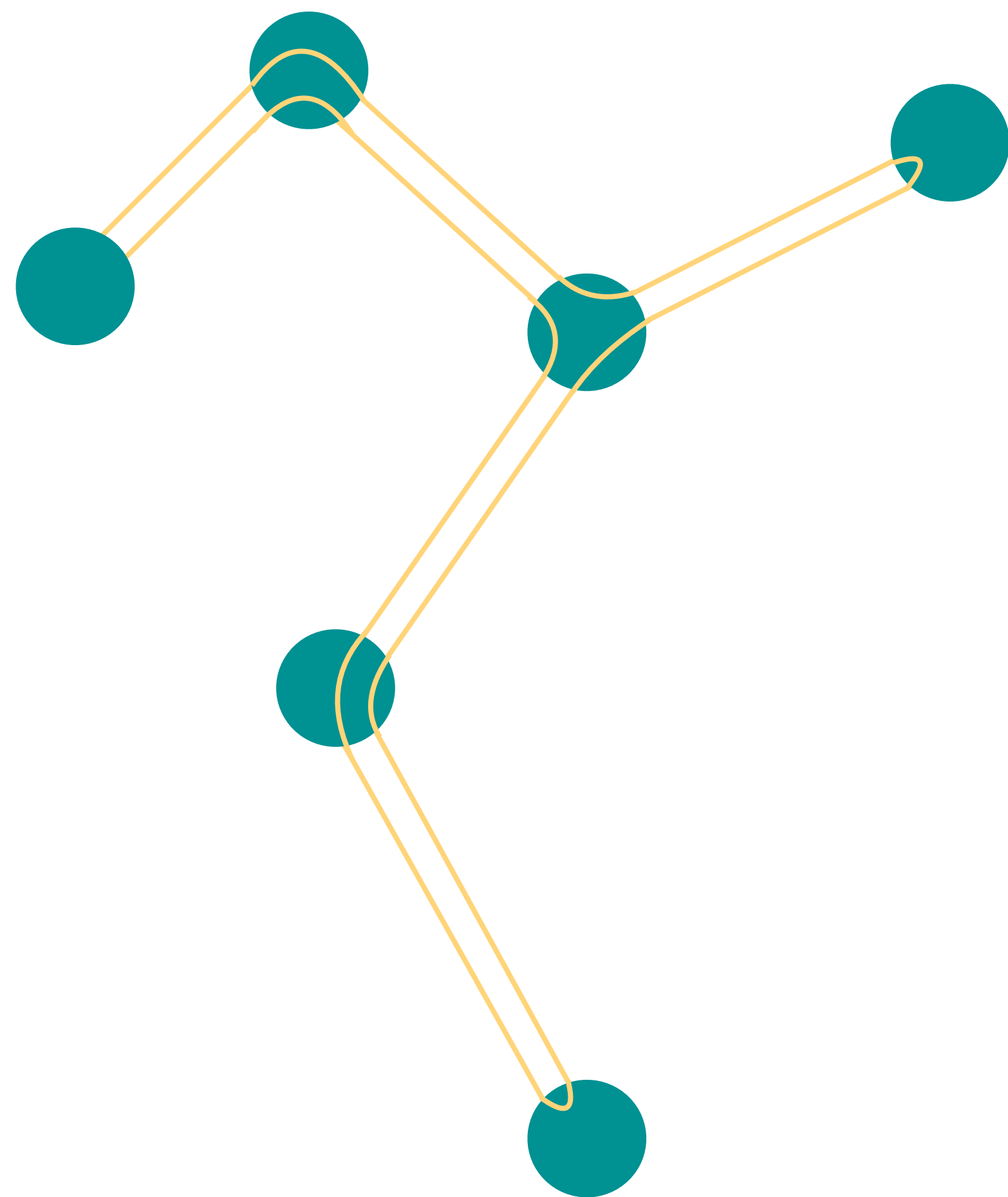


1. Find the MST T $l(T) \leq OPT(K_n, l)$

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Metric TSP : 2-Approximation

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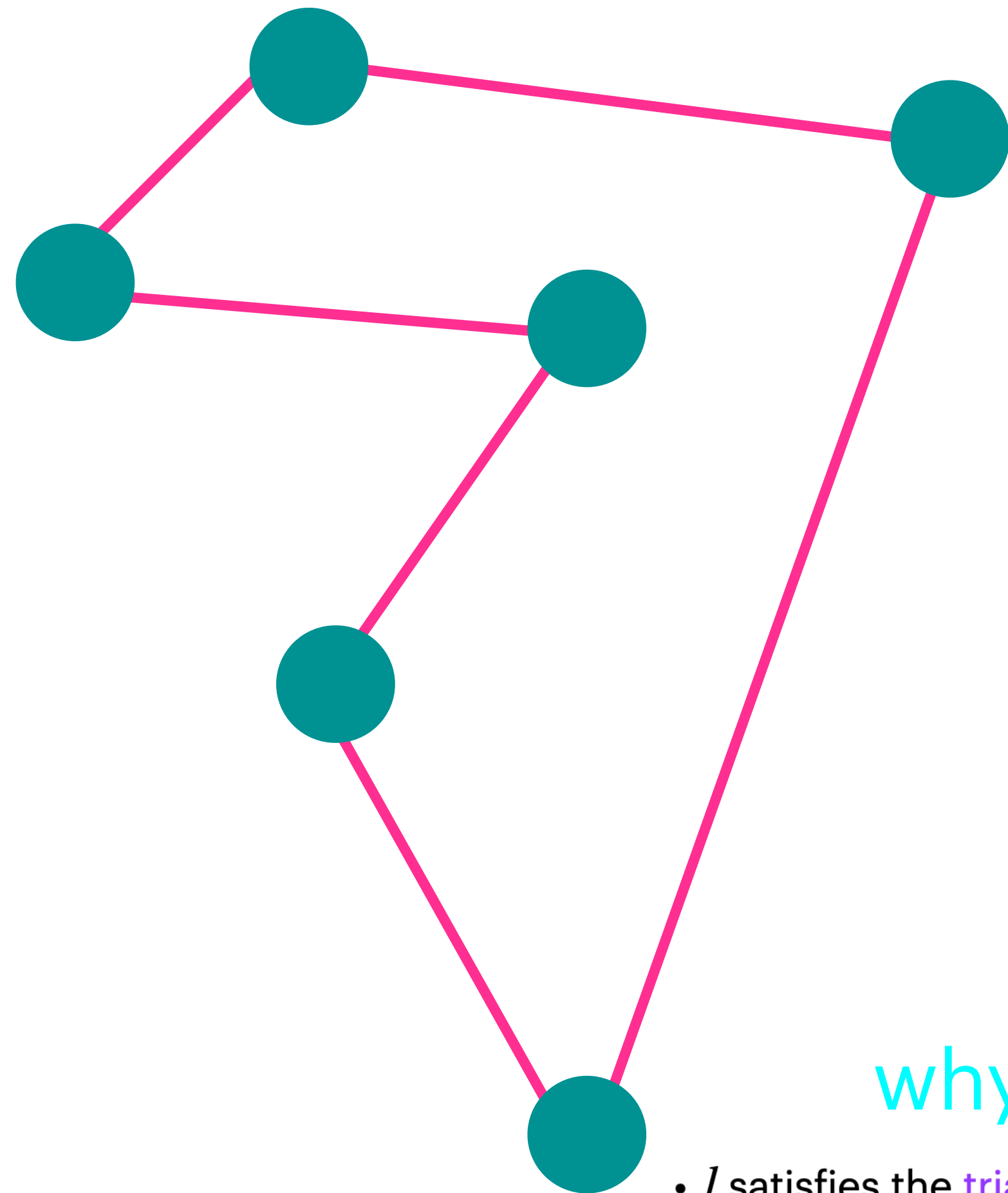
2. Duplicate all edges of T $2 l(T) \leq 2 OPT(K_n, l)$

3. Find Eulerian Tour W

$$l(W) = 2 l(T) \leq 2 OPT(K_n, l)$$

Metric TSP : 2-Approximation

Correctness



why ?

- l satisfies the triangle inequality
 $l(x, z) \leq l(x, y) + l(y, z)$

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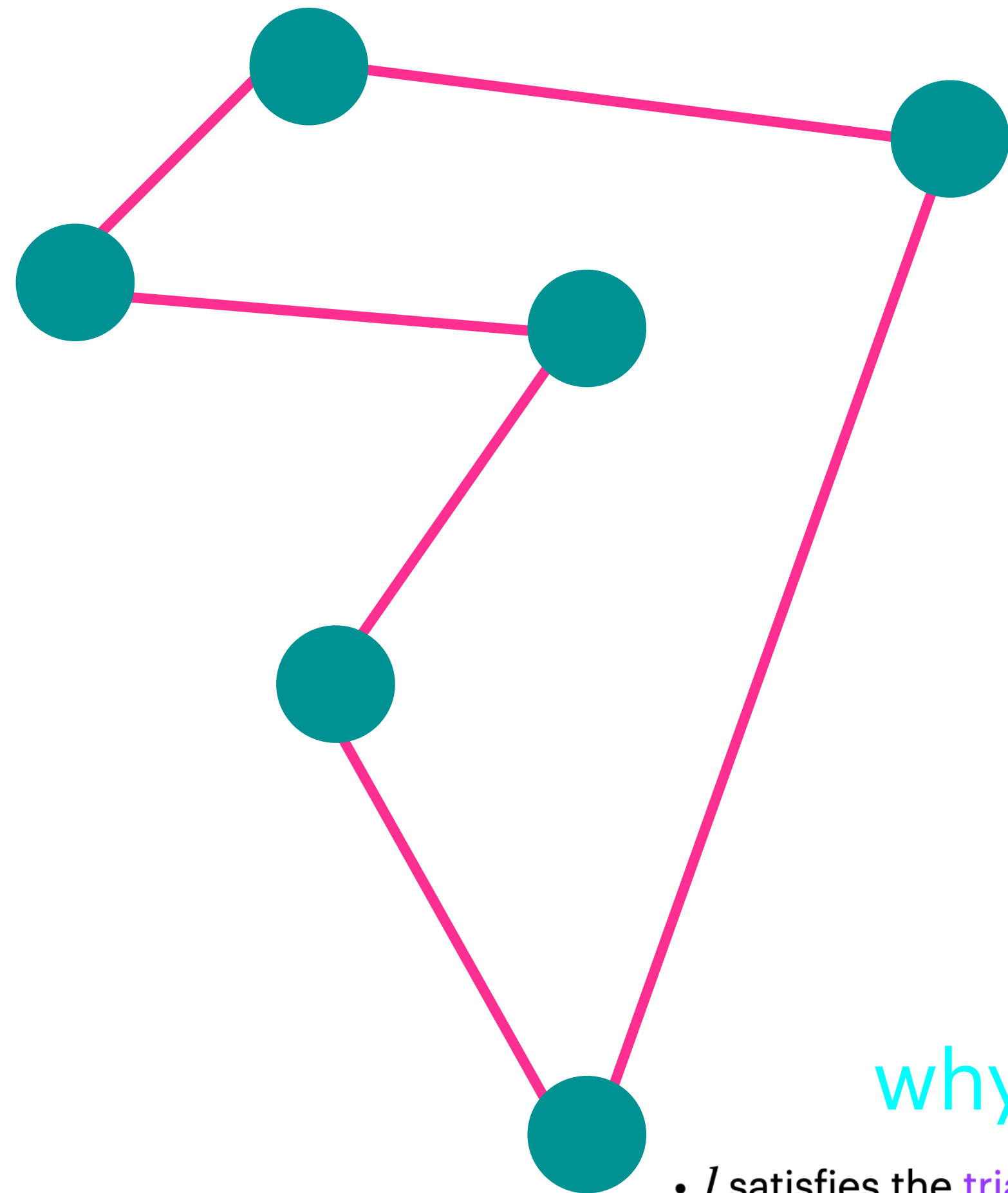
s.t. each vertex is visited exactly once

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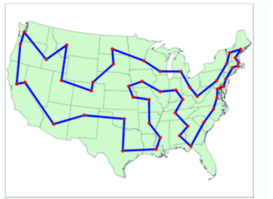
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Metric TSP : 2-Approximation

Correctness

Goal : Metric TSP : 2-Approximation
Problem Description

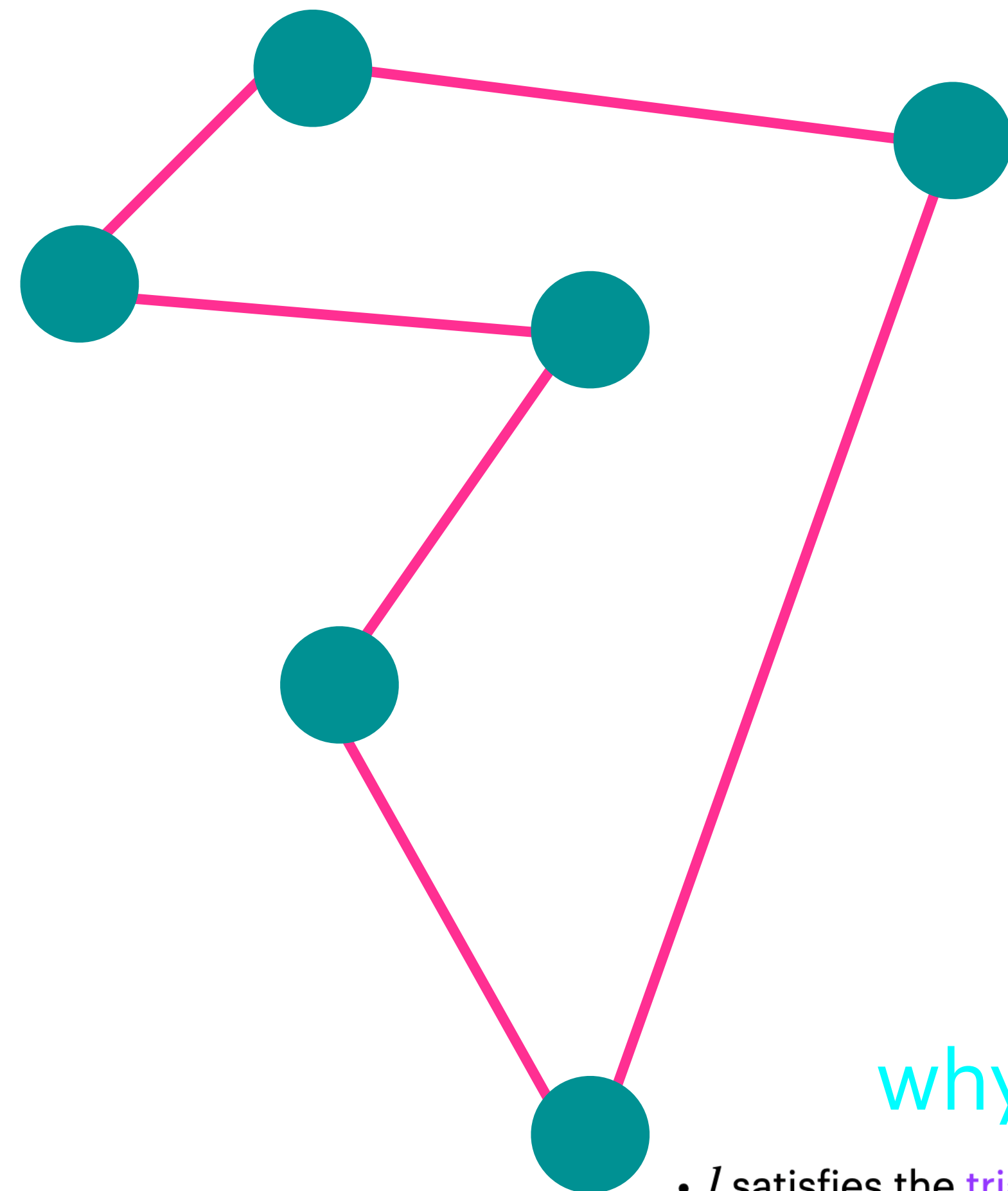


Given : • A complete Graph K_n of n vertices
• Distances l inbetween every 2 vertex $l : \binom{[n]}{2} \rightarrow \mathbb{R}$

To find : • Hamiltonian Cycle C s.t. l satisfies the triangle inequality
 $l(x, z) \leq l(x, y) + l(y, z)$

$$l(C) \leq 2 l(OPT)$$

$$\text{where } OPT = \min_{H : \text{Hamiltonian Cycle}} \sum_{e \in E(H)} l(e)$$



why ?

• l satisfies the triangle inequality
 $l(x, z) \leq l(x, y) + l(y, z)$

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A&W

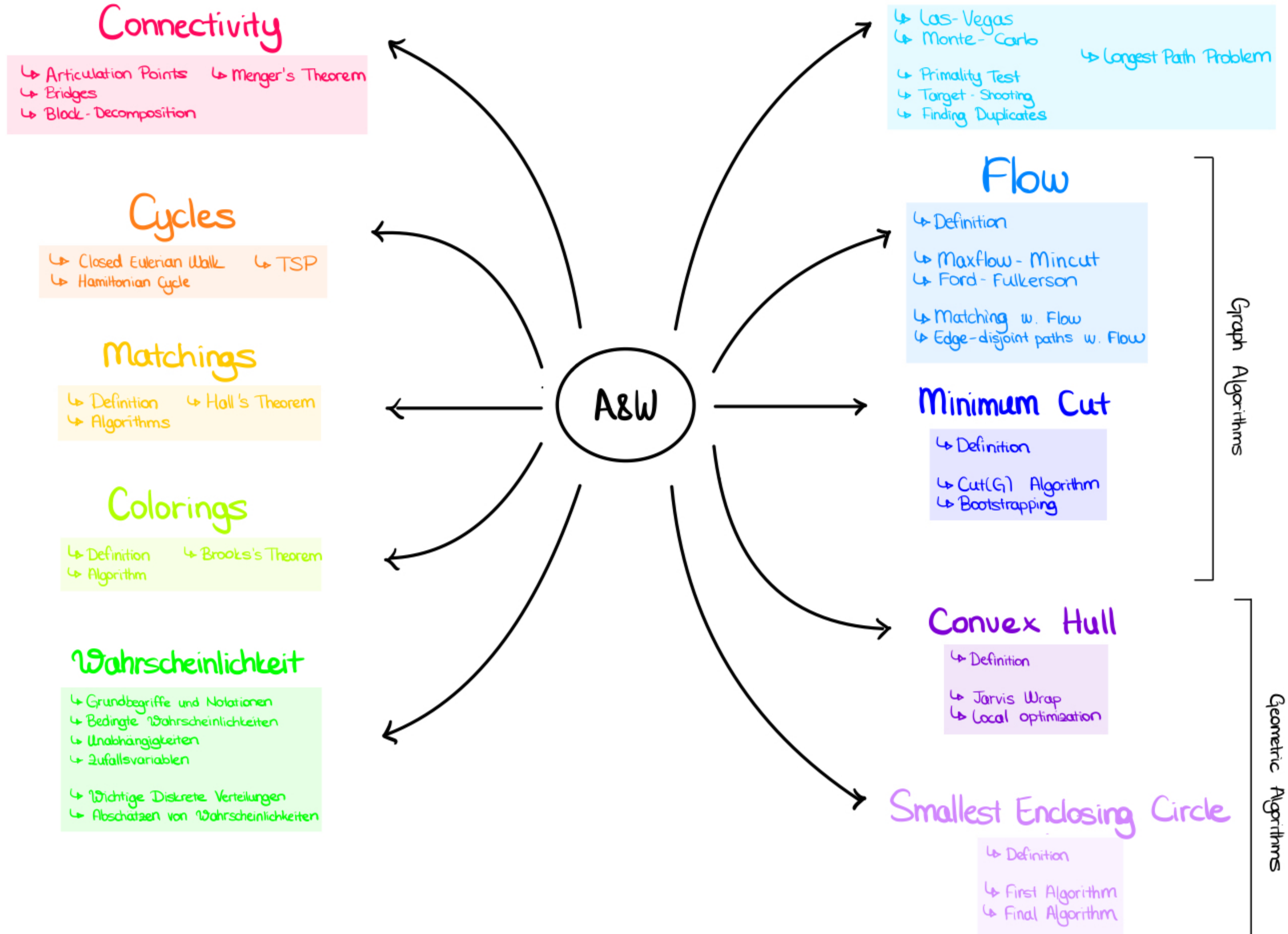
Exercise Session 4

Matching, TSP II

Nil Ozer

Minitest II

A&W Overview



Outline

- Minitest II
- Minitest II Discussion
- Matching
- TSP II

Matching

Matching

Definitions

- Matching :
 - A subset of edges $M \subseteq E$ in a Graph $G = (V, E)$ is called a Matching, if no vertex in the graph is incident to more than one edge from M

no two edges share common vertices

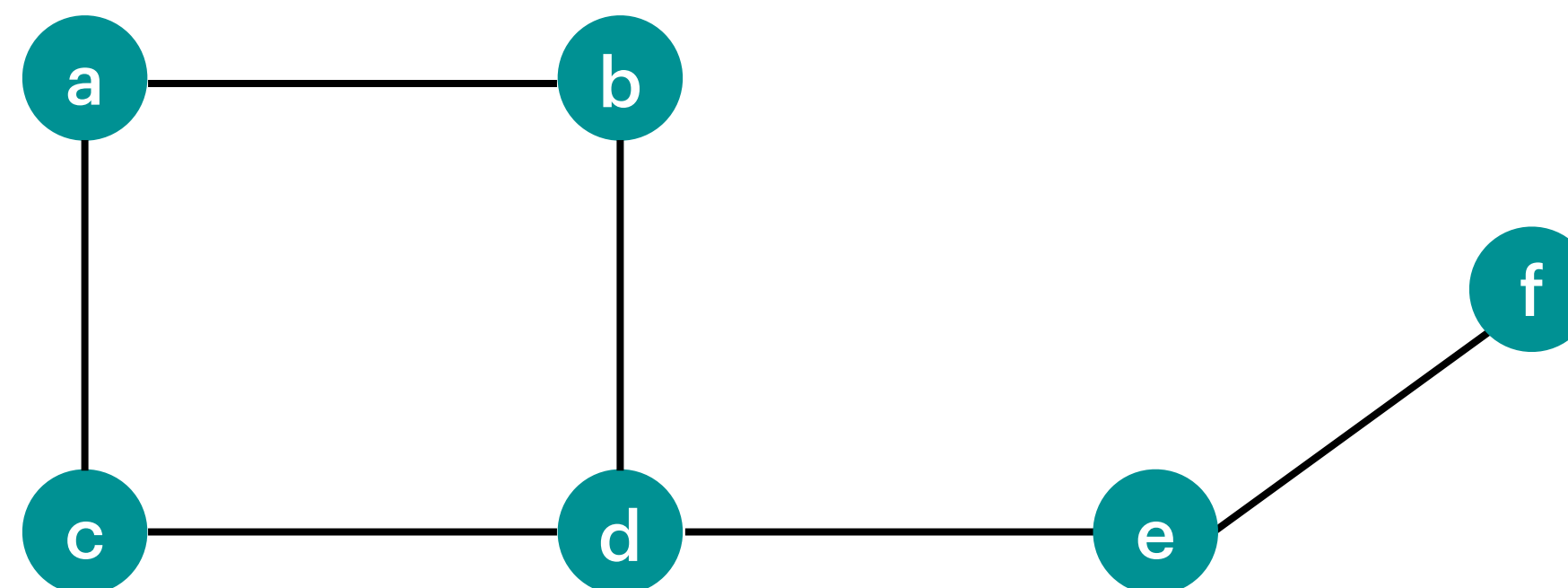
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Is this a matching ?



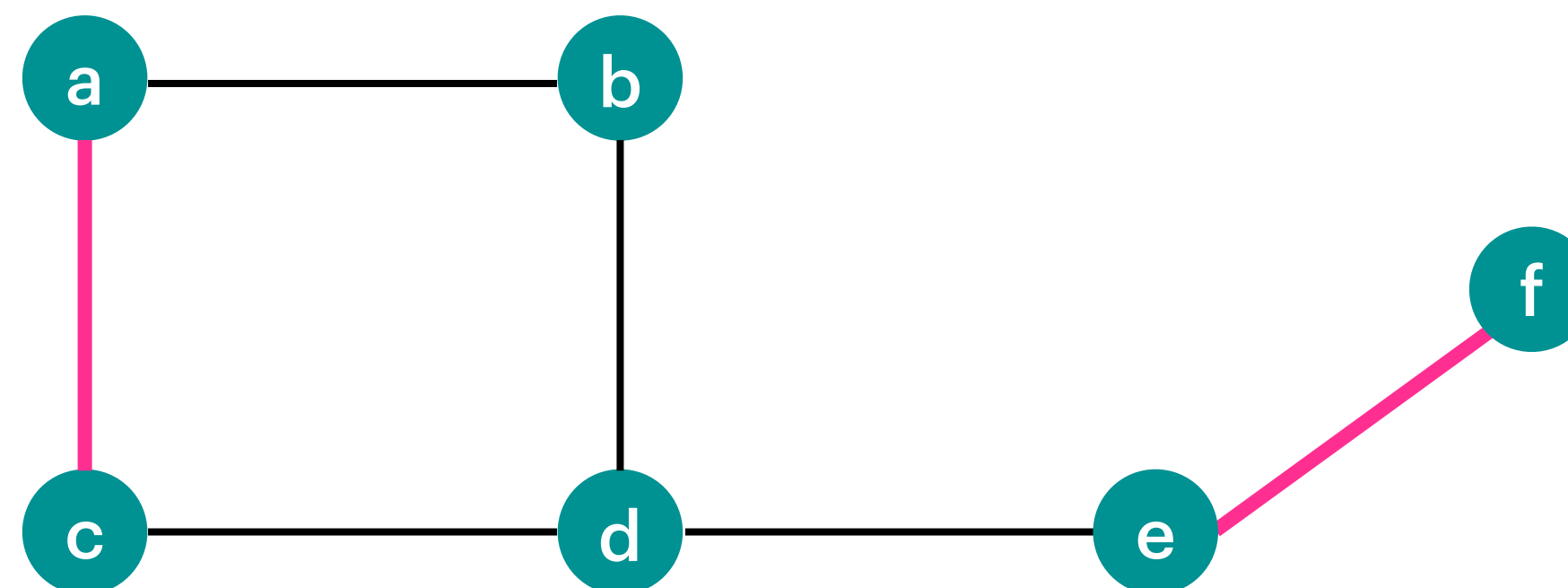
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$$M_1 = \{\{a,c\}, \{e,f\}\}$$



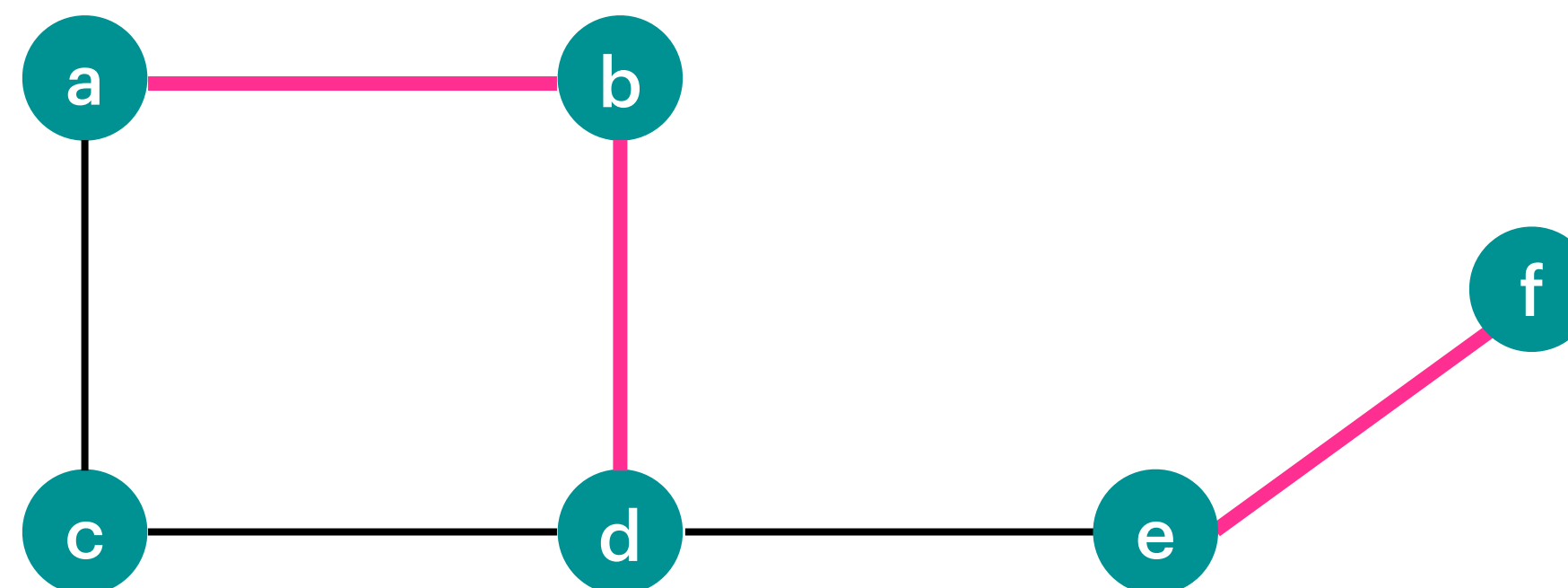
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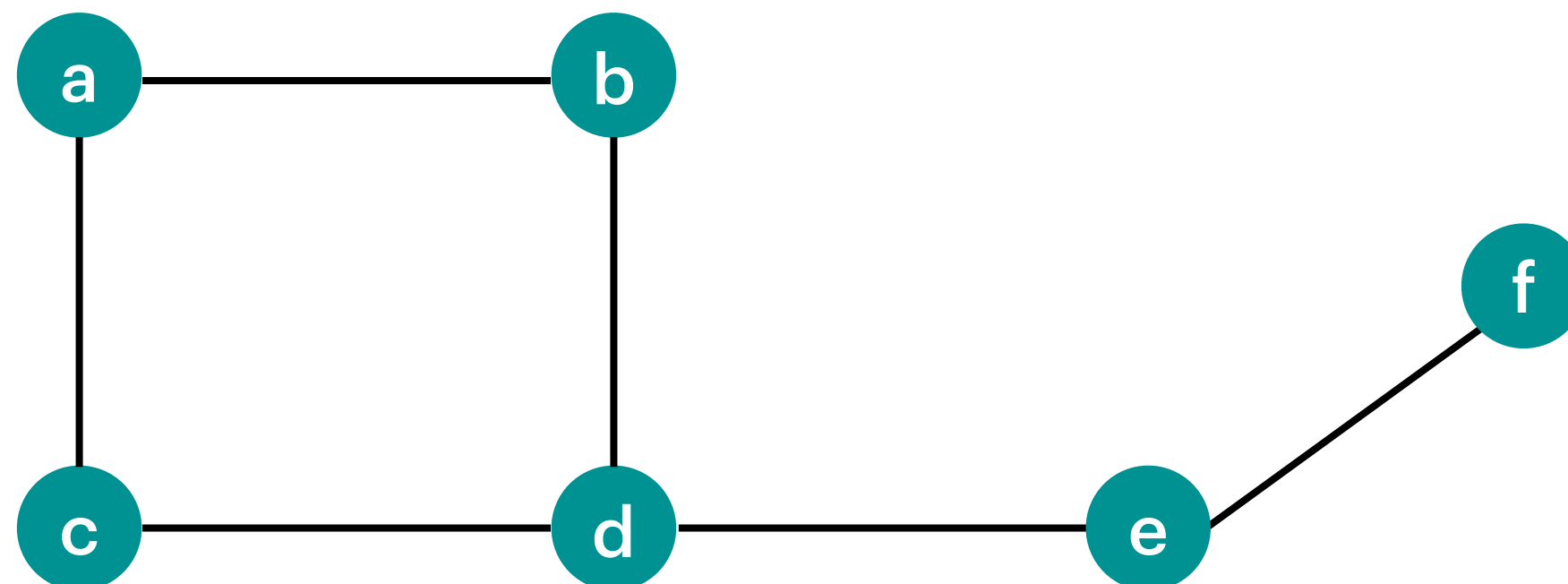


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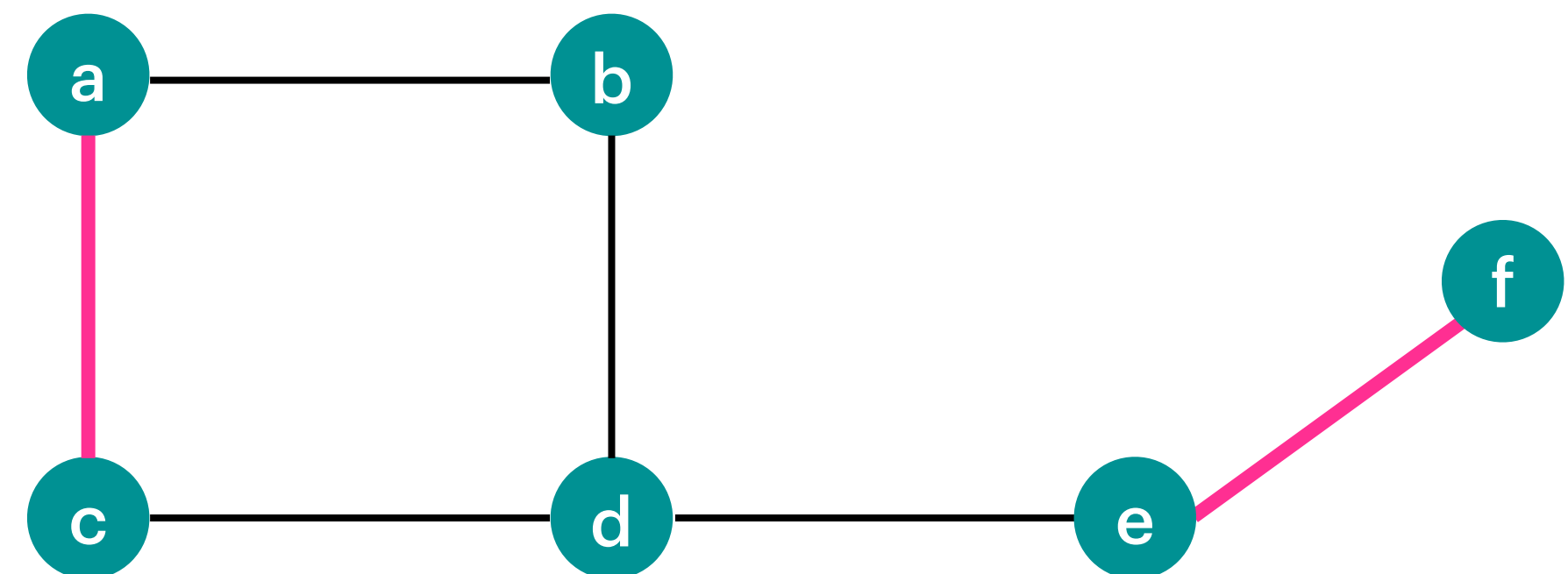
- covered (matched) :

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- Perfect Matching :

- A Matching M is called a Perfect Matching if every vertex is covered by exactly one edge from M
- equivalently, if

$$M = \frac{|V|}{2}$$

Matching

Definitions

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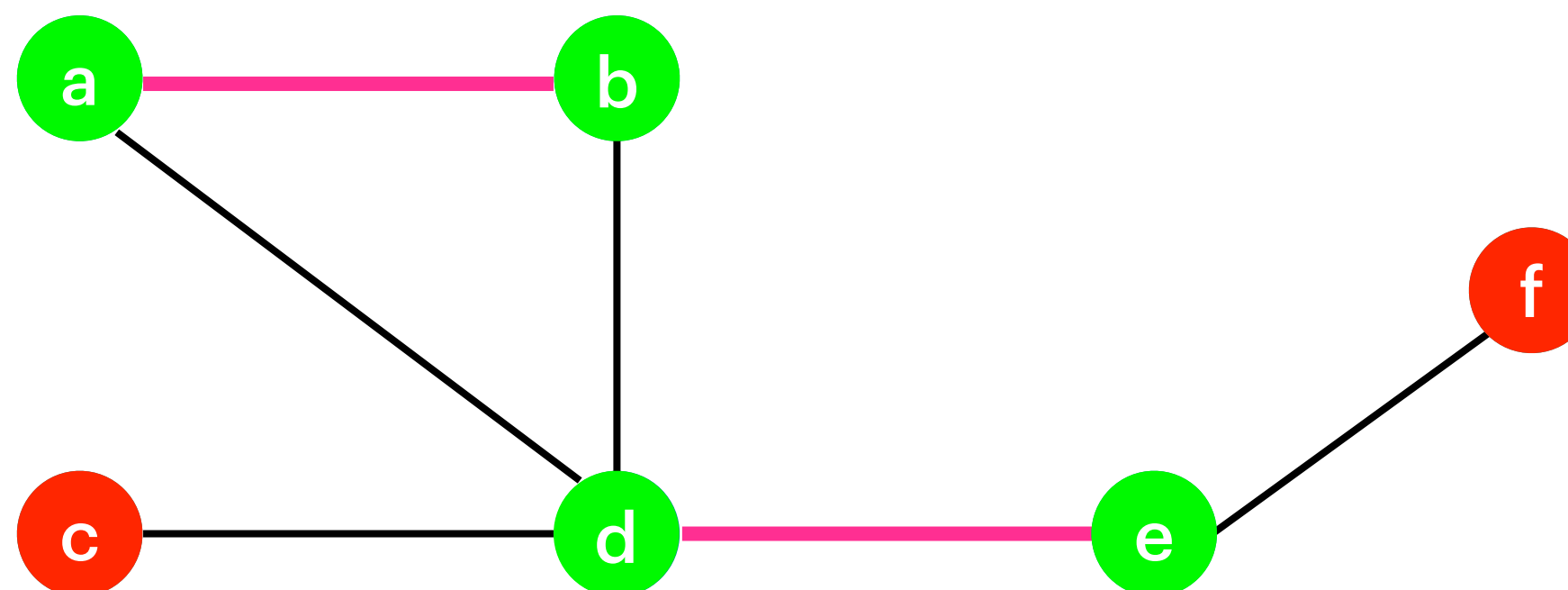
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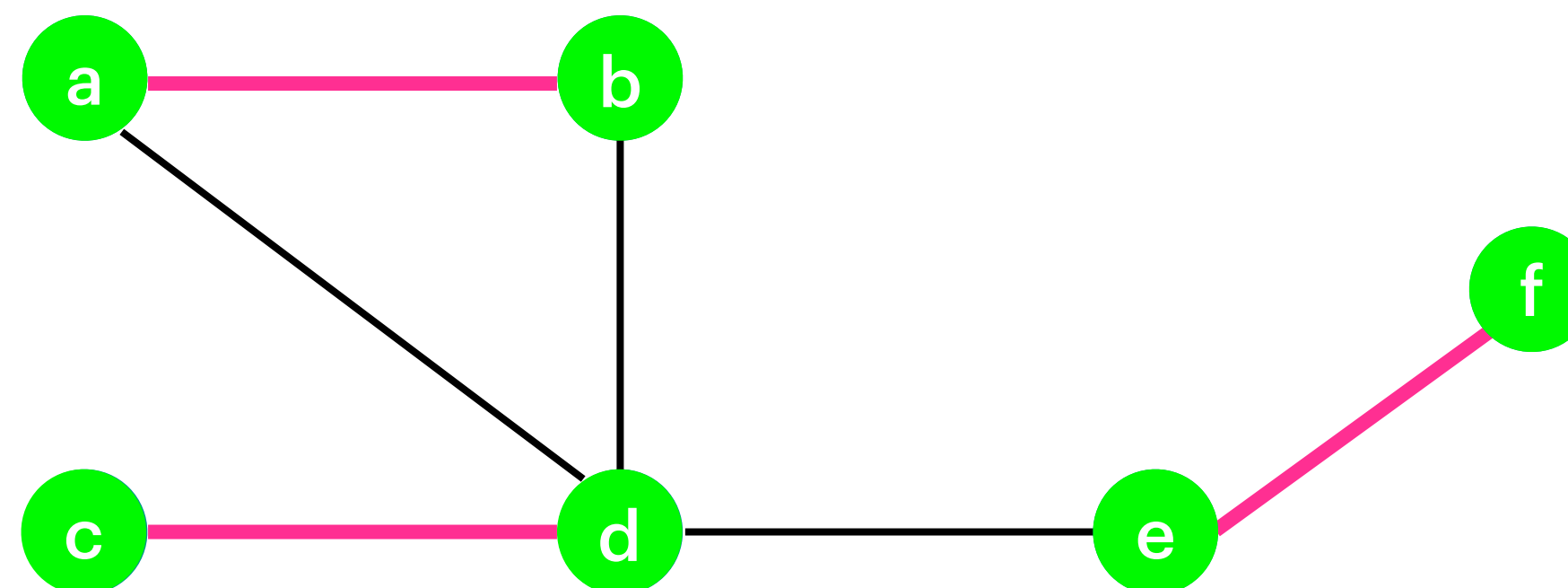
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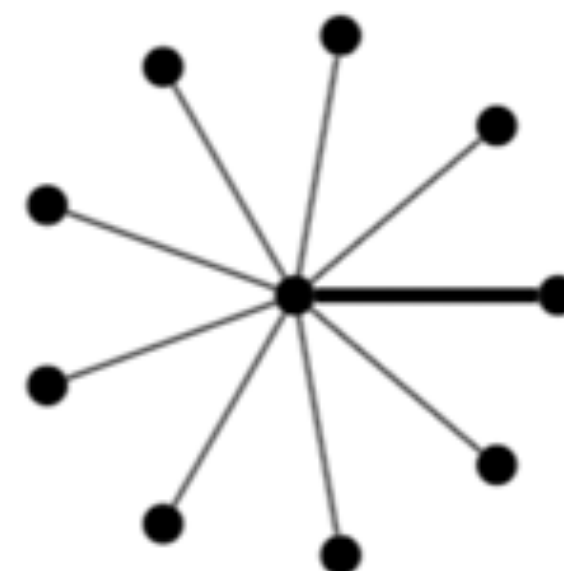
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Matching

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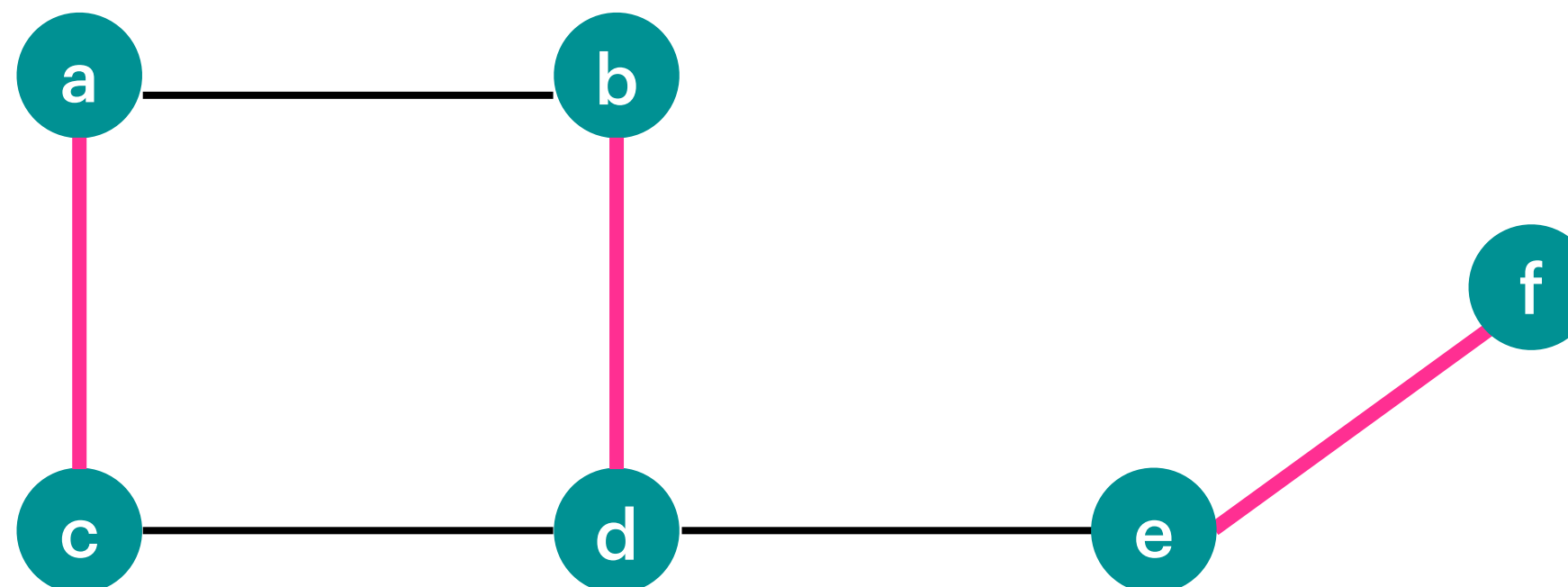
- **inclusion-maximal** : “no edge can be added to this matching”
 - A matching $M \subseteq E$ is **inclusion-maximal** , if there is no other matching M' s.t. $M \subsetneq M'$ (strict inclusion) and $|M'| > |M|$
- (cardinality-) **maximum** : “one can't find a bigger matching”
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Is this maximum ?



Is this inclusion-maximal ?

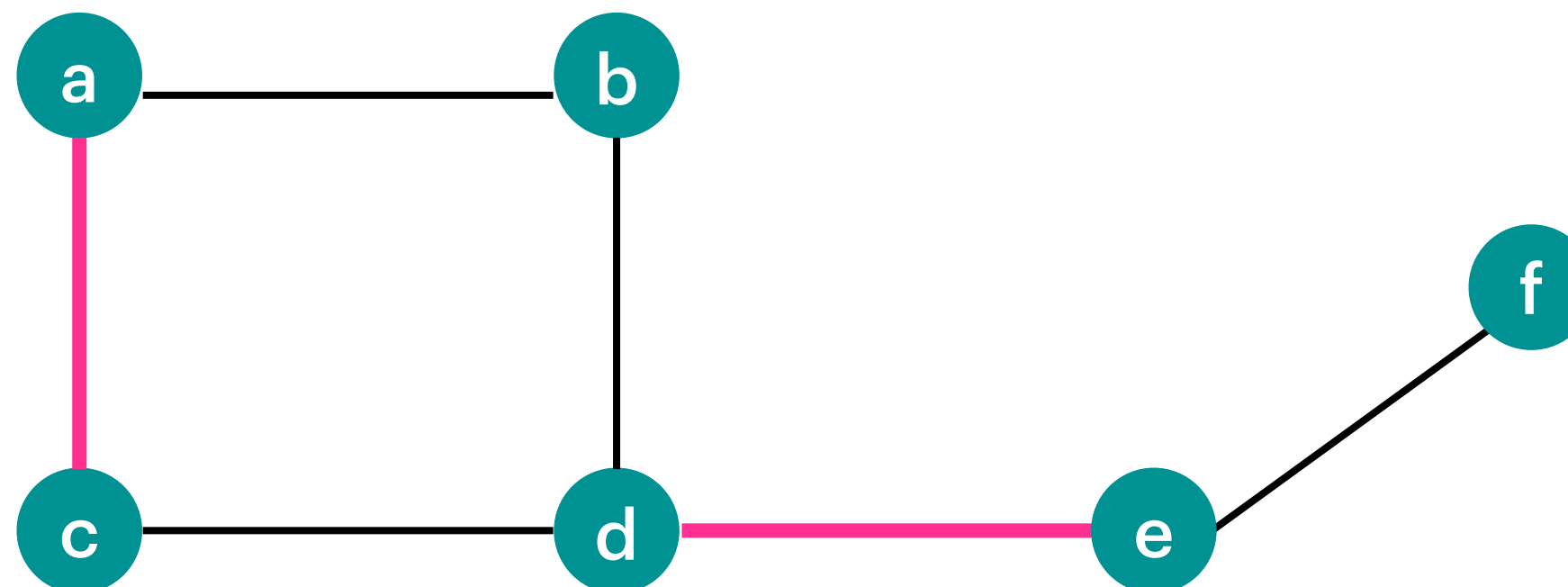


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Is this maximum ?



Is this inclusion-maximal ?



Matching

Propositions

- M_{inc} : inclusion-maximal Matching , M_{max} : cardinality-maximum Matching

$$|M_{inc}| \leq |M_{max}|$$

$$|M_{inc}| \geq |M_{max}| / 2$$

Why ?

Every edge in M_{max} must have at least one endpoint in M_{inc}

Otherwise, that edge would be added to M_{inc}

$$|M_{max}| \leq |Endpoints \text{ in } M_{inc}| = 2 |M_{inc}|$$

- M_{inc} : inclusion-maximal Matching , M_{max} : cardinality-maximum Matching

Matching

Greedy Algorithm

$$|M_{inc}| \leq |M_{max}|$$

$$|M_{inc}| \geq |M_{max}| / 2$$

GREEDY-MATCHING (G)

```

1:  $M \leftarrow \emptyset$ 
2: while  $E \neq \emptyset$  do
3:   pick an arbitrary edge  $e \in E$ 
4:    $M \leftarrow M \cup \{e\}$ 
5:   remove  $e$  and all incident edges in  $G$ 

```

$$|M_{Greedy}| \geq |M_{max}| / 2$$

in $O(|E|)$

why ?

M_{Greedy} is
inclusion-maximal

Matching

Augmenting ?

augment 1 of 2 **verb**

aug·ment (òg-'ment ▶)

augmented; augmenting; augments

[Synonyms of *augment* >](#)

transitive verb

- 1** : to make greater, more numerous, larger, or more **intense**
- | The impact of the report was *augmented* by its timing.

Synonyms & Similar Words

| | | |
|------------|------------|------------|
| increase | expand | accelerate |
| boost | enhance | extend |
| raise | multiply | reinforce |
| amplify | intensify | maximize |
| strengthen | add (to) | enlarge |
| aggrandize | swell | escalate |
| stoke | compound | build up |
| supplement | pump up | up |
| heighten | complement | supersize |
| develop | prolong | lengthen |
| hype | inflate | skyrocket |
| dilate | distend | elongate |

Matching

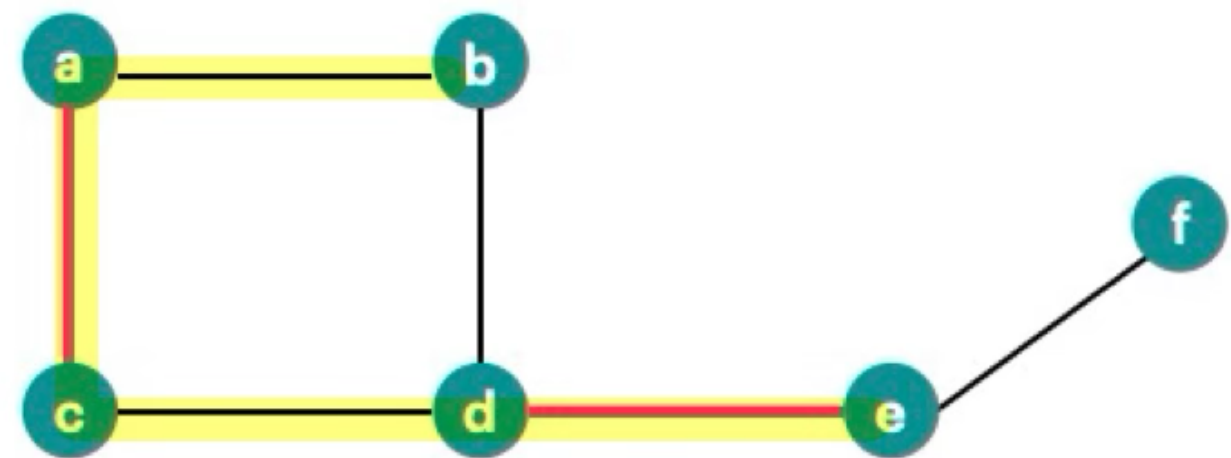
M - Augmenting Path

- Augmenting Path : “path with edges not in M, in M, ... , not in M ”
 - An augmenting path is an alternating path that starts from and ends on unmatched/not covered vertices
- Alternating Path :
 - An alternating path is a path that begins with an unmatched/not covered vertex whose edges belong alternately to the matching and not to the matching

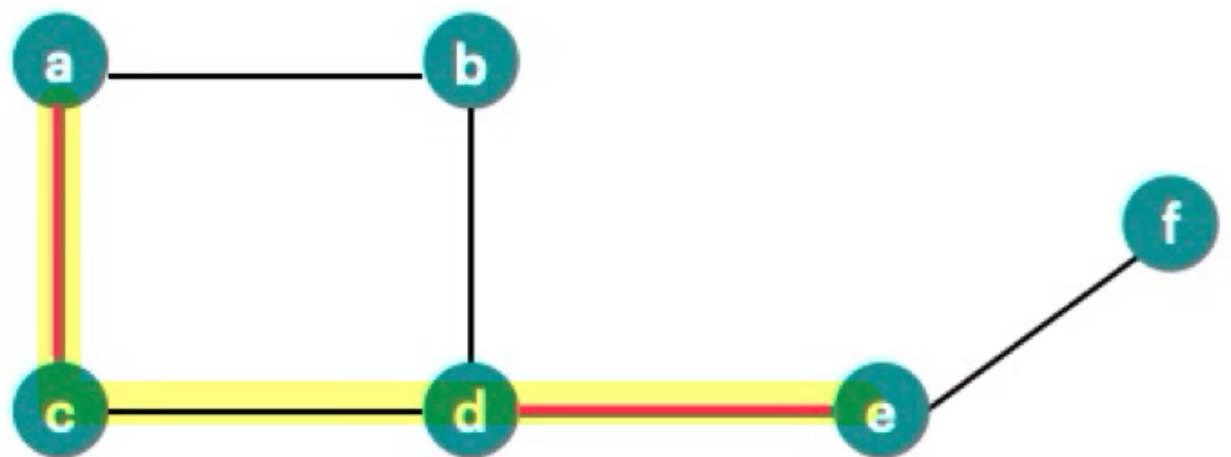
Matching

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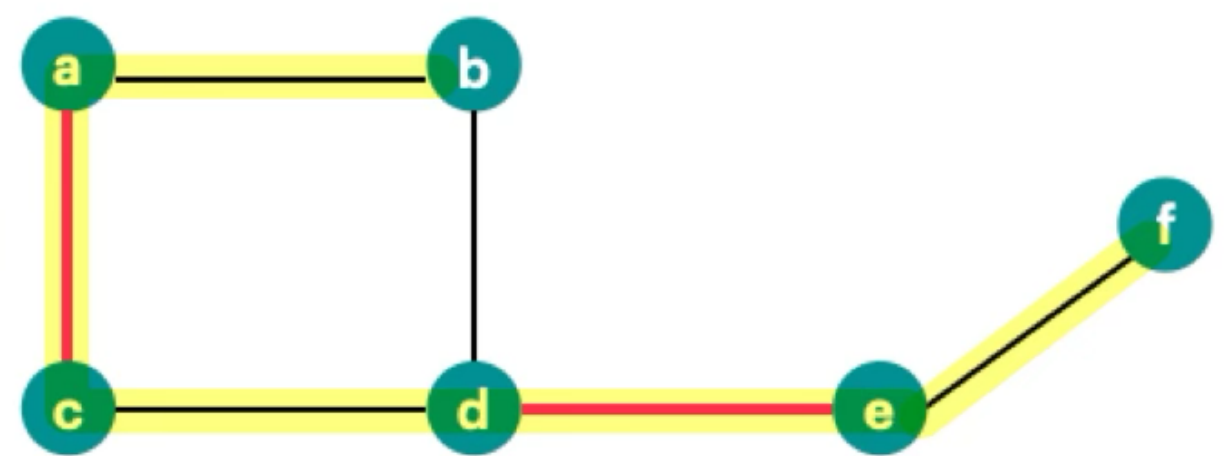
Is this an augmenting path ?



— Matching M



— Matching M



— Matching M



- Augmenting Path : “path with edges not in M, in M, ... , not in M ”

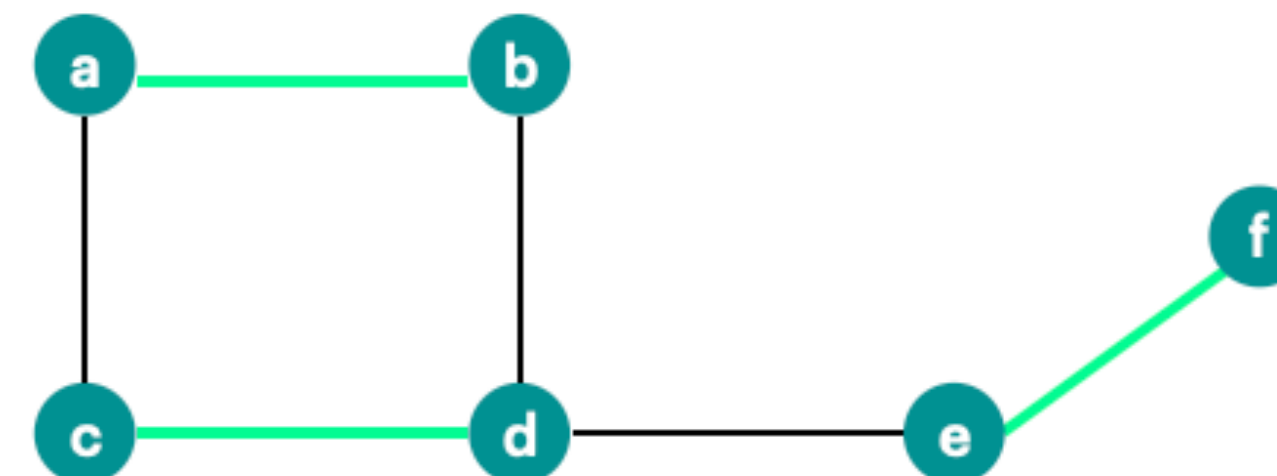
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Idea : By swapping along M we can improve the matching



— Matching M'

Matching

Swapping ?

$$A \oplus B$$

Elements that are in A or in B but **not in both**

$$A = \{1,2,3\}$$

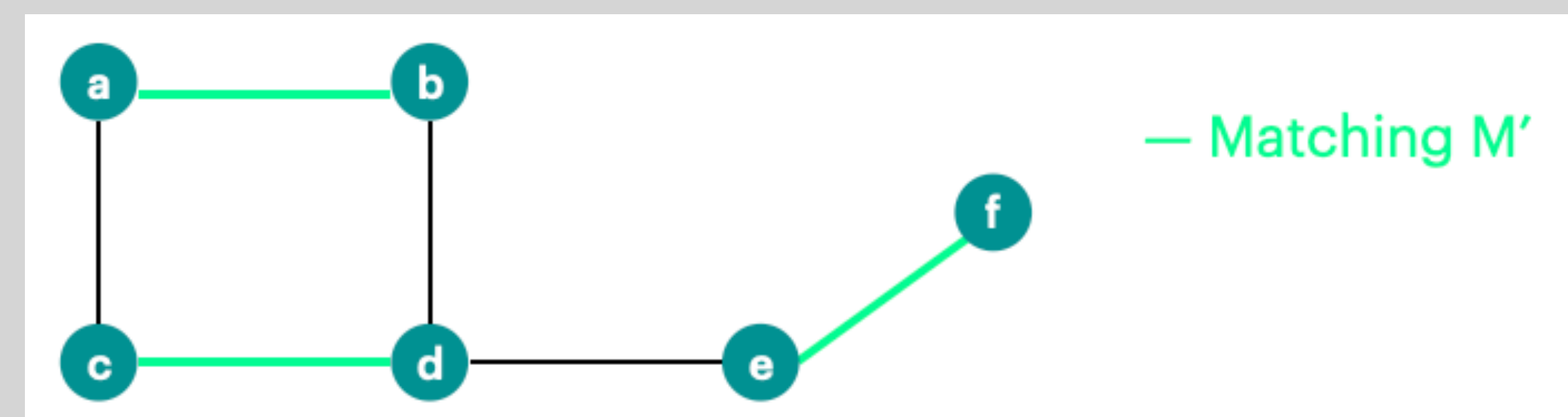
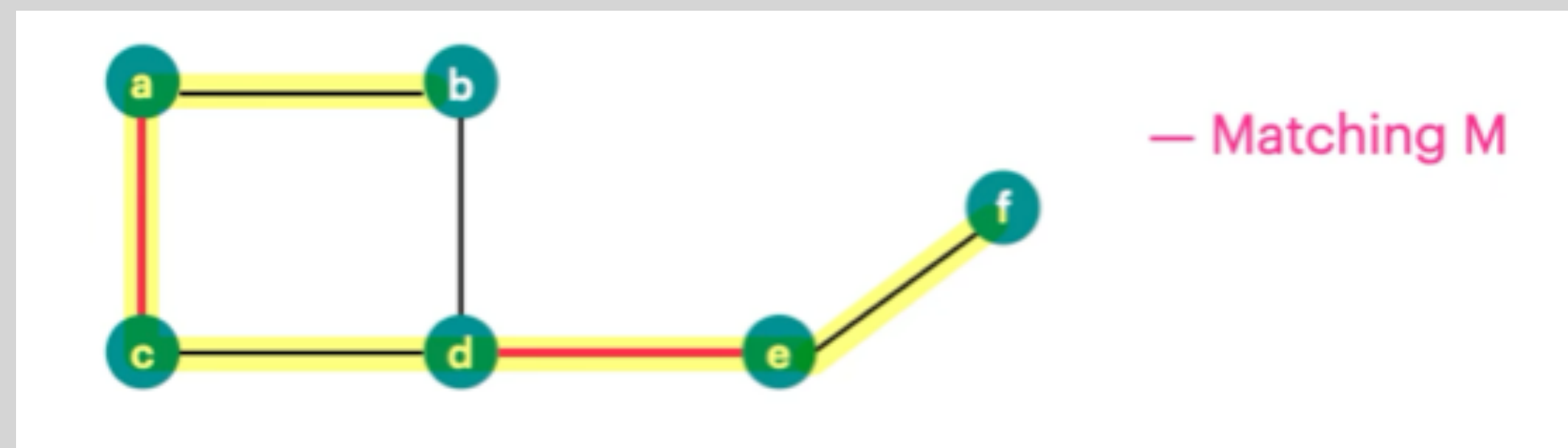
$$B = \{3,4,5\}$$

$$A \oplus B = \{1,2,4,5\}$$

Matching

Swapping ?

$$M' := M \oplus P$$



— M-augmenting path P

Matching

Berge's Theorem

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A Matching M is
(cardinality-) maximum



There's no M-augmenting path



Idea : To find the maximum matching, update/improve the matching until there is no augmenting path left

Matching

Algorithm



Idea : Update/improve the matching until there is no augmenting path left

Input : $G = (V, E)$

Output : maximum matching M

Algorithm :

Start with $M = \emptyset$

while \exists augmenting path P

$$M = M \oplus P$$

return M

How do we find the
augmenting path P ?

bipartite Gs : with BFS

general Gs in $O(|V||E|)$

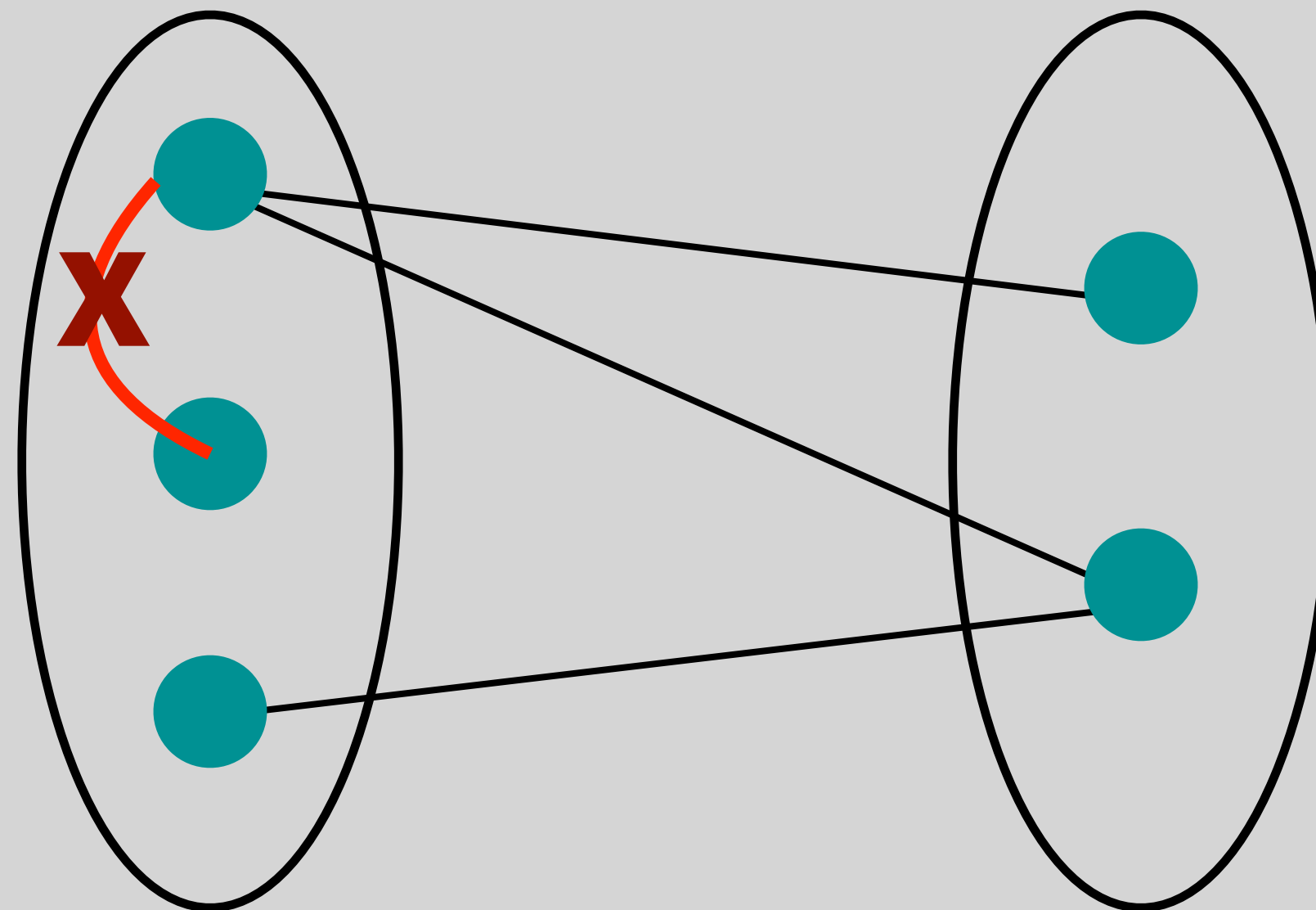
Matching

Definitions

- Bipartite Graph :

- A graph G is **bipartite** , if you can split the set of vertices V into two sets U, V s.t. :

$$E \subseteq \{ \{u, v\} : u \in U, v \in V \}$$



Matching




Definitions

- k -regular
 - A graph G is k -regular , if every vertex has a degree of k

$$\deg(v) = k \quad \forall v \in V$$

Matching

Perfect Matching finding

| bipartite ? | k-regular | runtime |
|---|-----------|--------------------|
|  | 2^k | $O(E)$ |
|  | k | $O(E)$ |
|  | - | $O(V \cdot E)$ |

Matching

Hall's Marriage Theorem

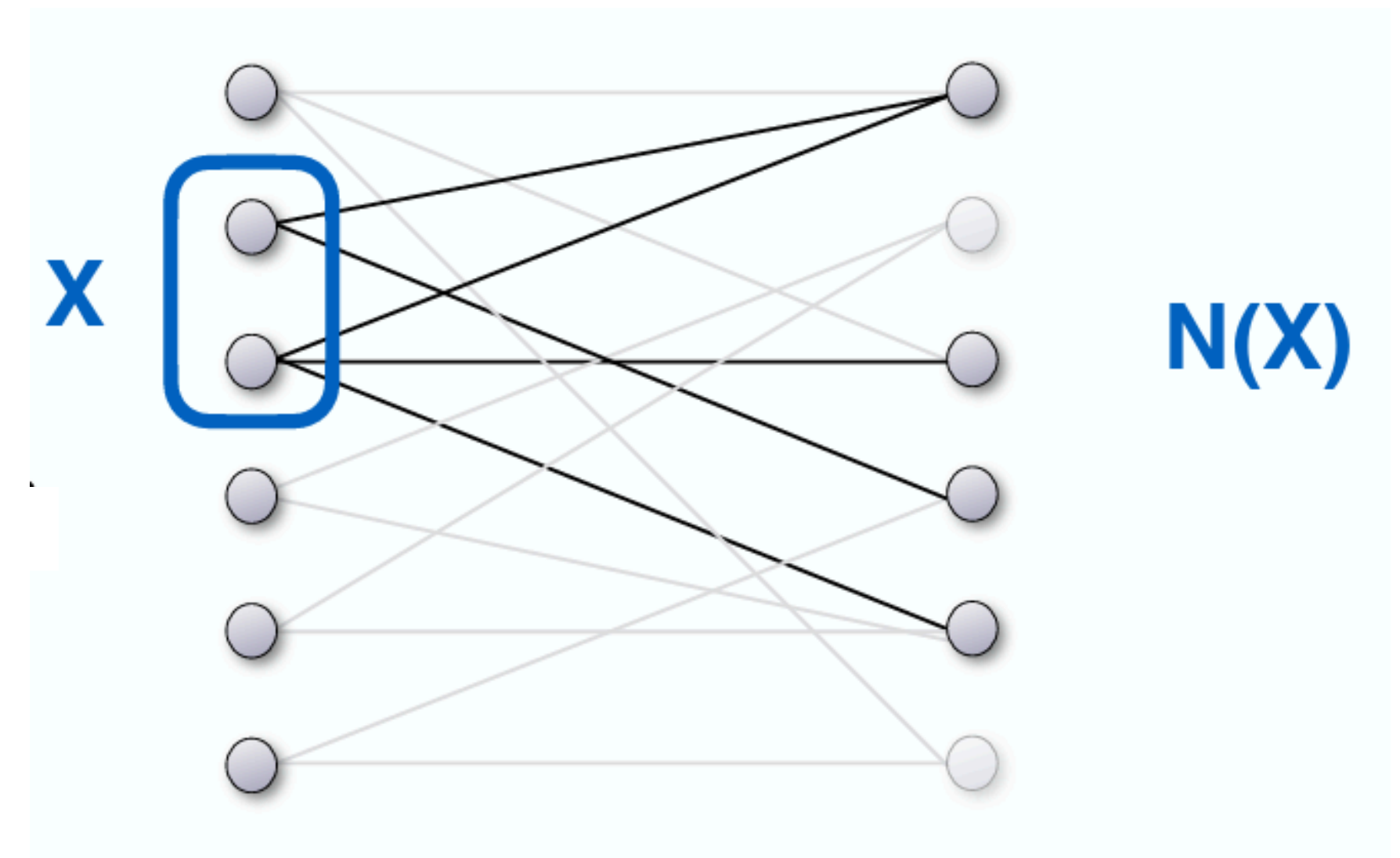
A bipartite $G = (A \cup B, E)$

has a Matching M with cardinality $|M| = |A|$



$$\forall X \subseteq A : |X| \leq |N(X)|$$

Corollary : Every k -regular bipartite G has a perfect matching



$N(X) :=$ "neighbours of vertices in X "

Matching

Algorithm - revisit



Idea : Update/improve the matching until there is no augmenting path left

Input : $G = (V, E)$

Output : maximum matching M

Algorithm :

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BFS + this $\rightarrow O(|V||E|)$

Matching

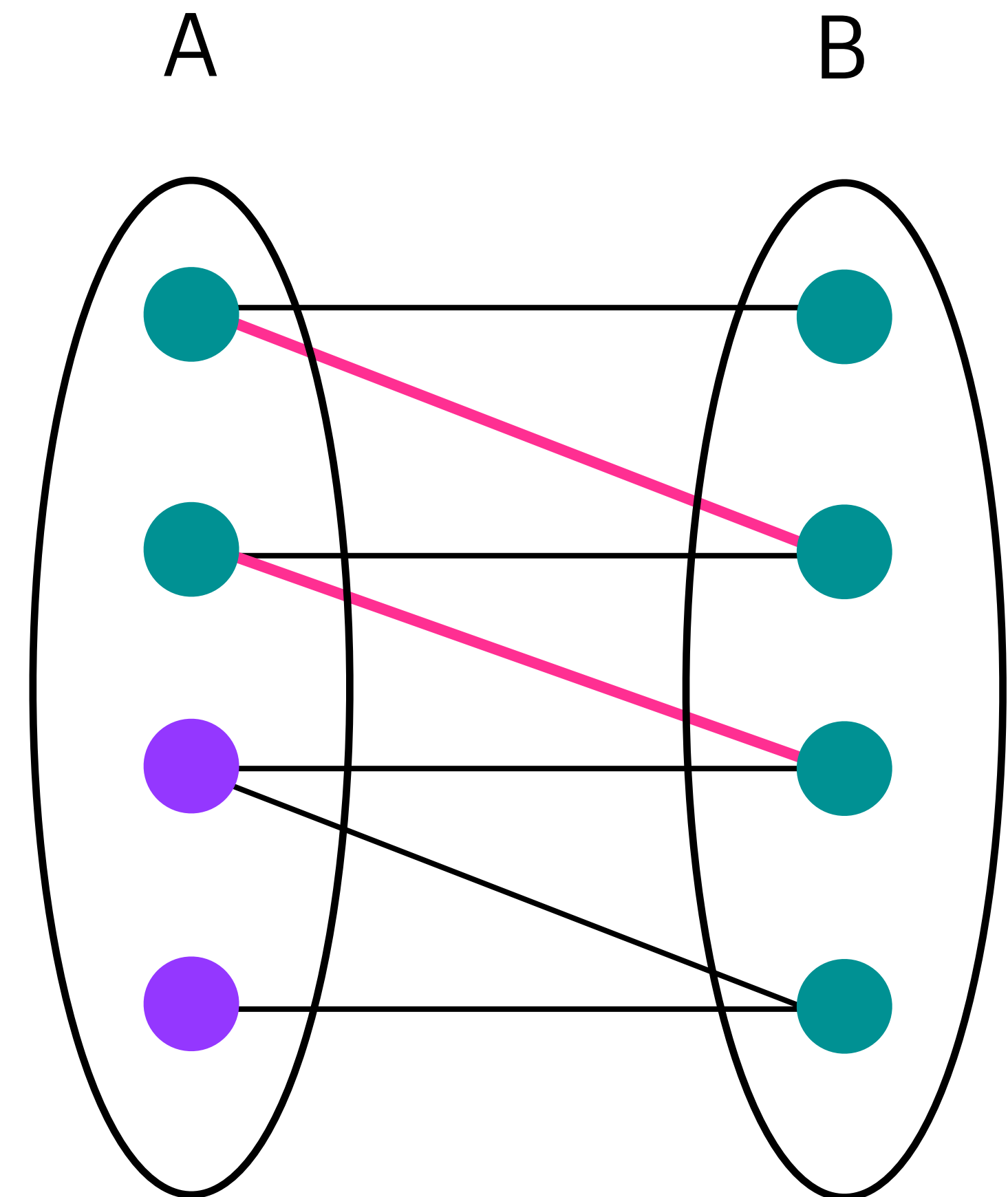
BFS for augmenting paths

Algorithm :

$L_0 := \{\text{uncovered vertices from } A\}$

Input : A bipartite $G = (A \cup B, E)$, Matching M

Output : (shortest) augmenting path (if there is one)



Matching

BFS for augmenting paths

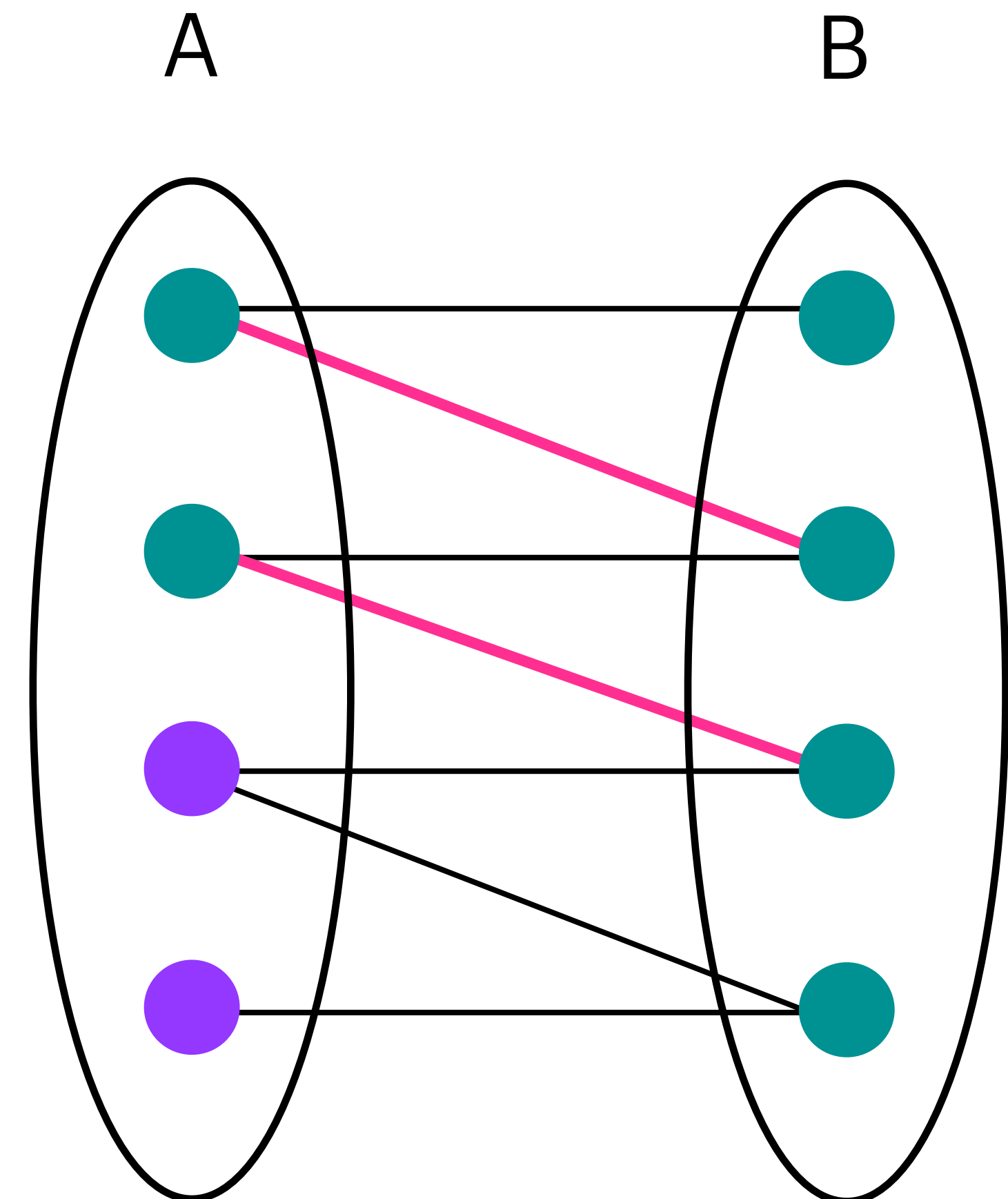
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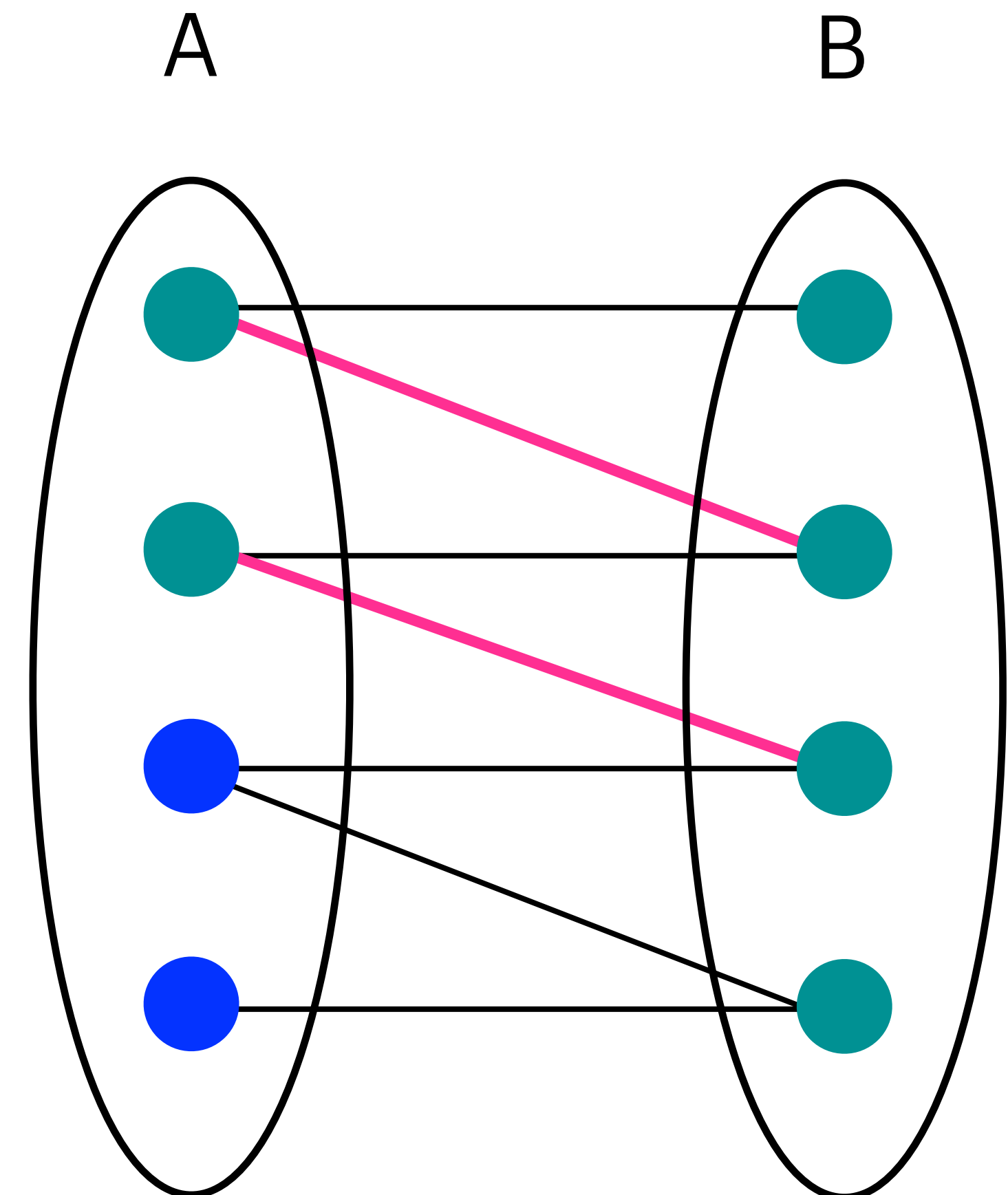
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Matching

BFS for augmenting paths

Algorithm :

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Mark L_0 as **visited**

for $i = 1$ to n

if i is odd then

$L_i := \{\text{unvisited neighbours of } L_{i-1} \text{ via edges in } E \setminus M\}$

if i is even then

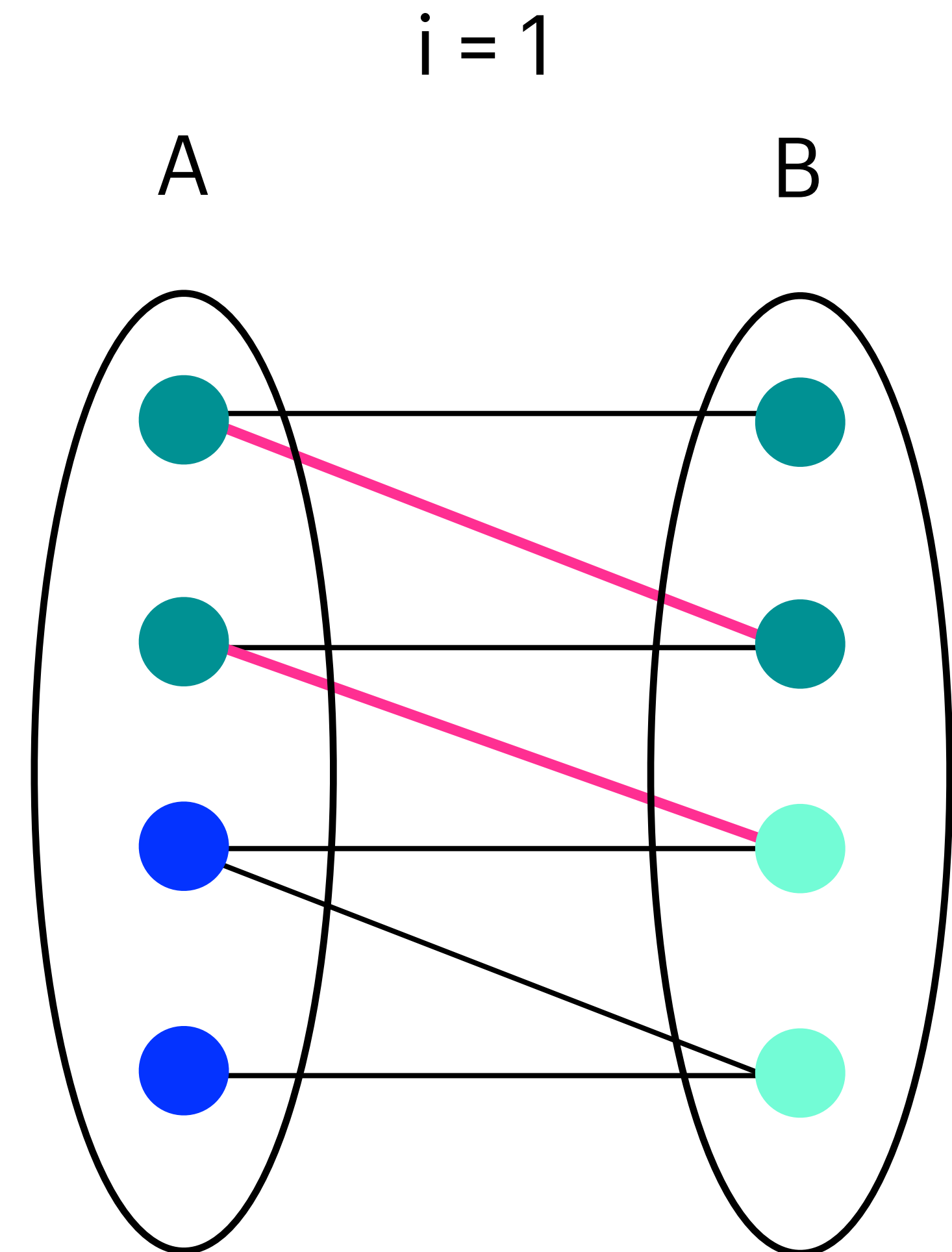
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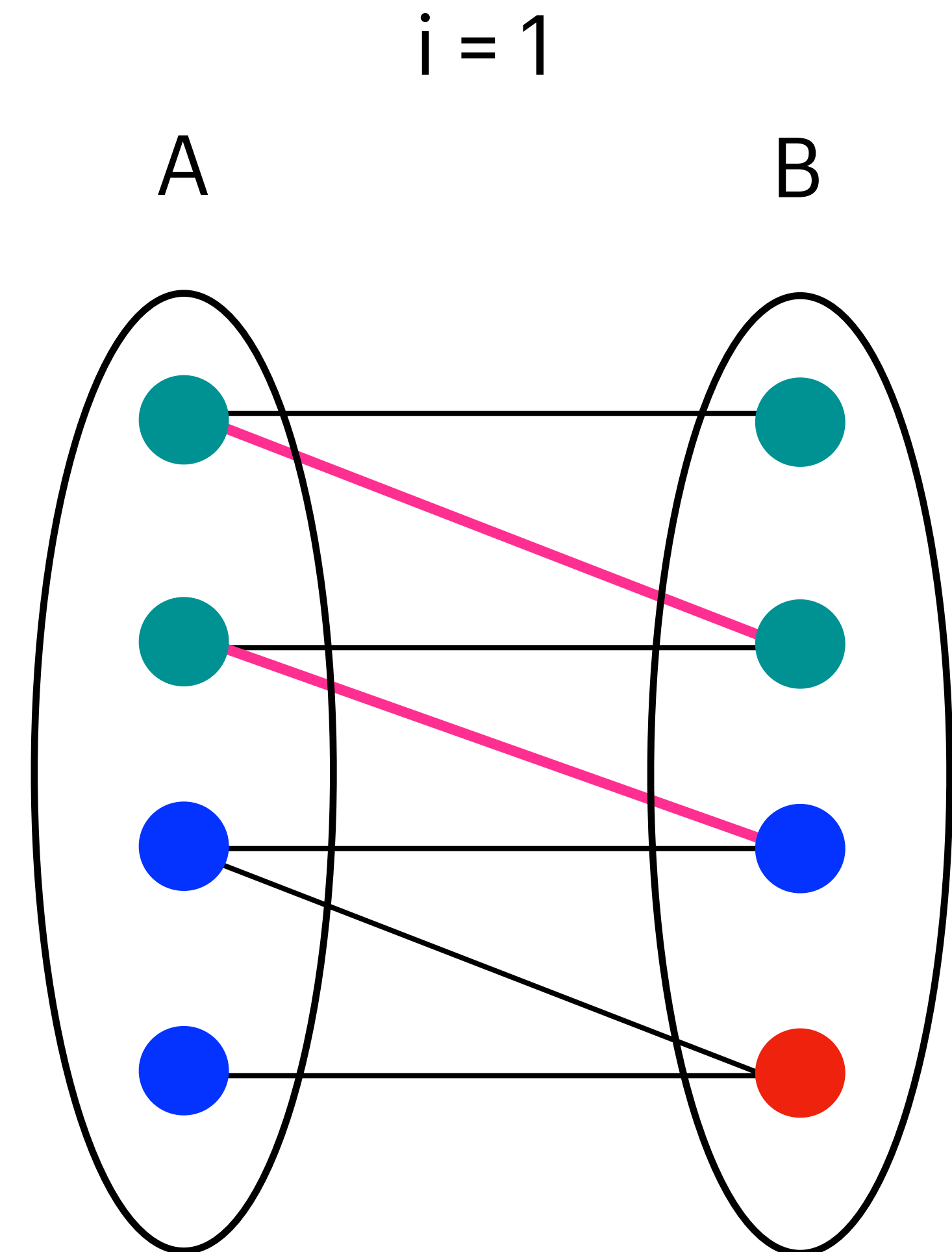
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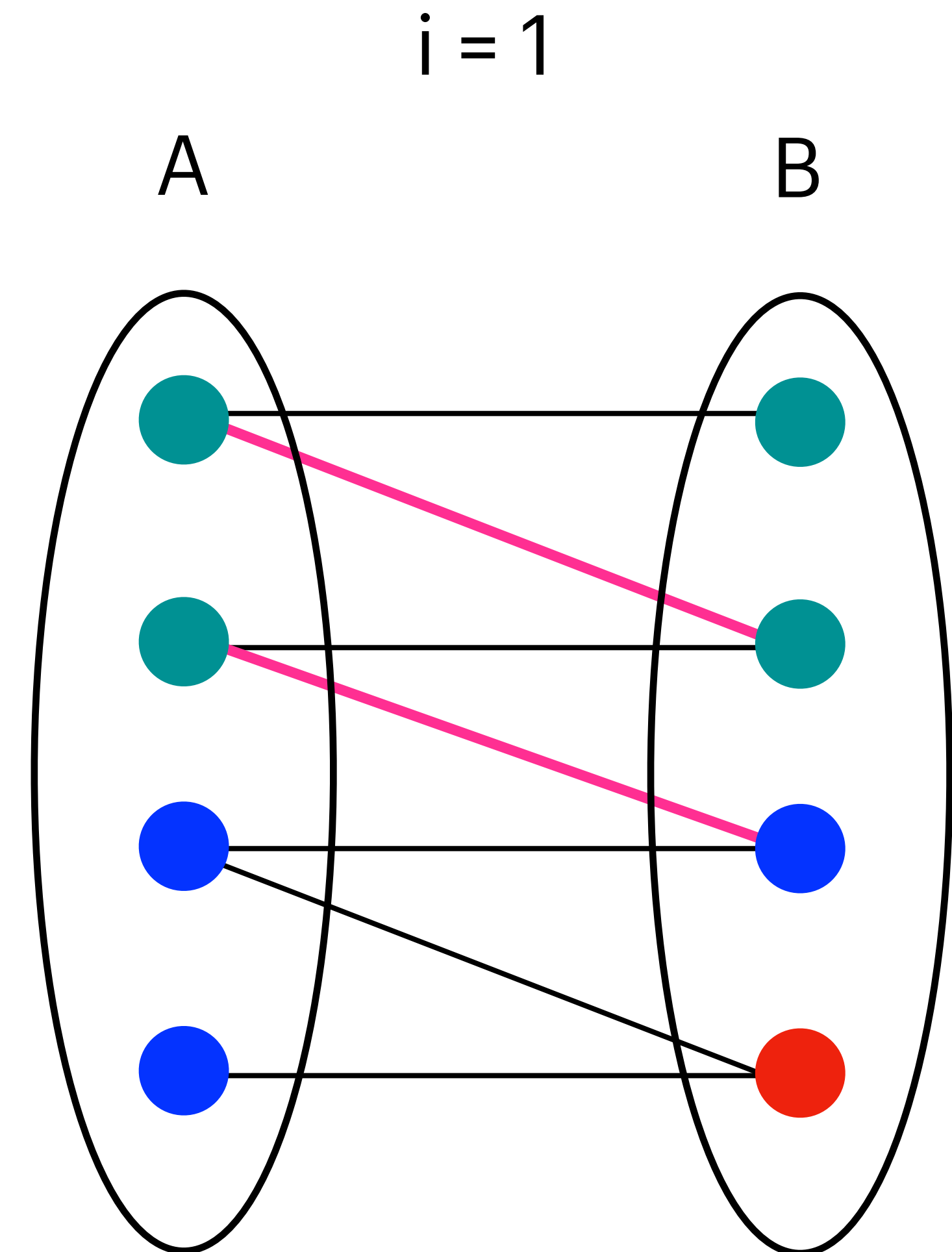
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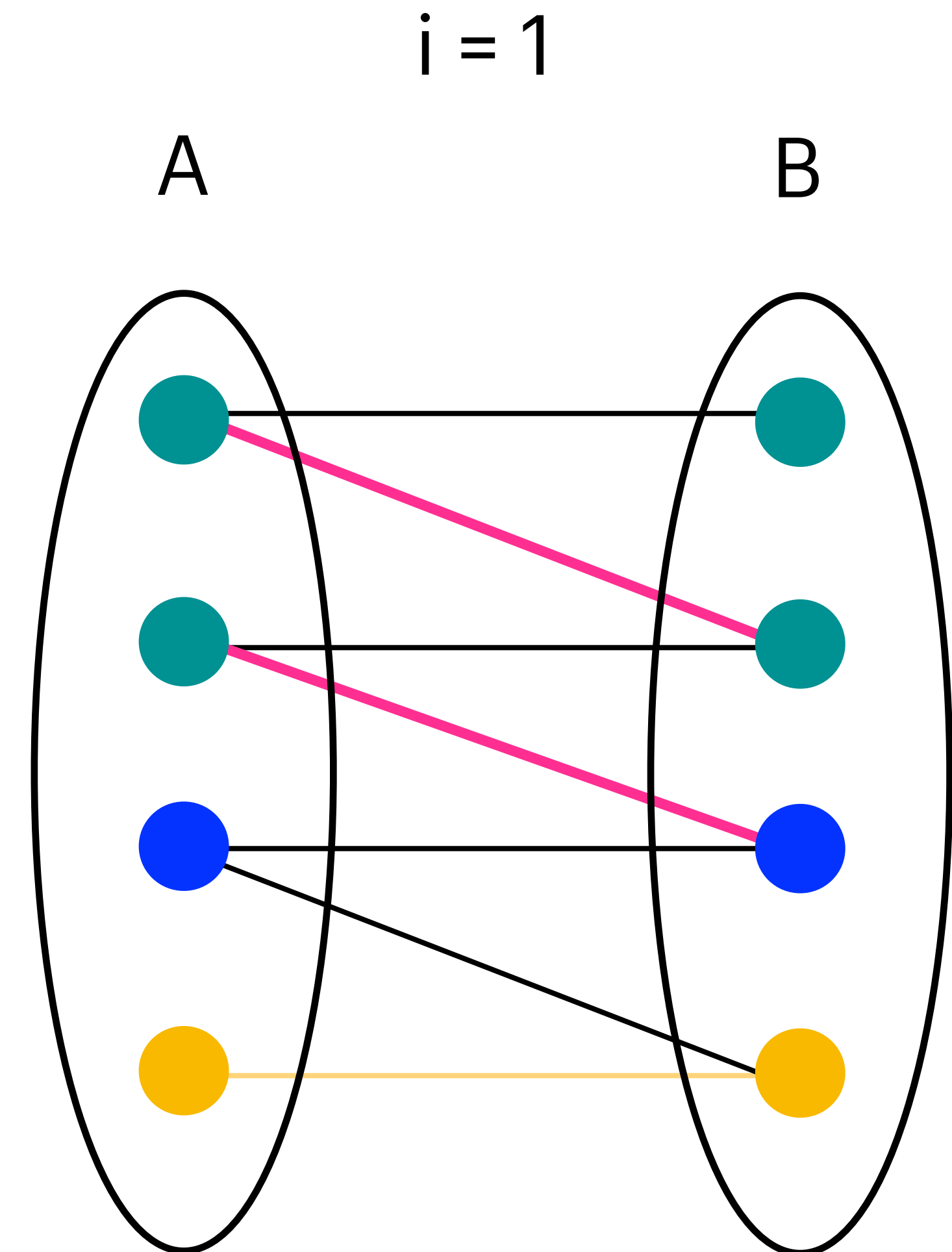
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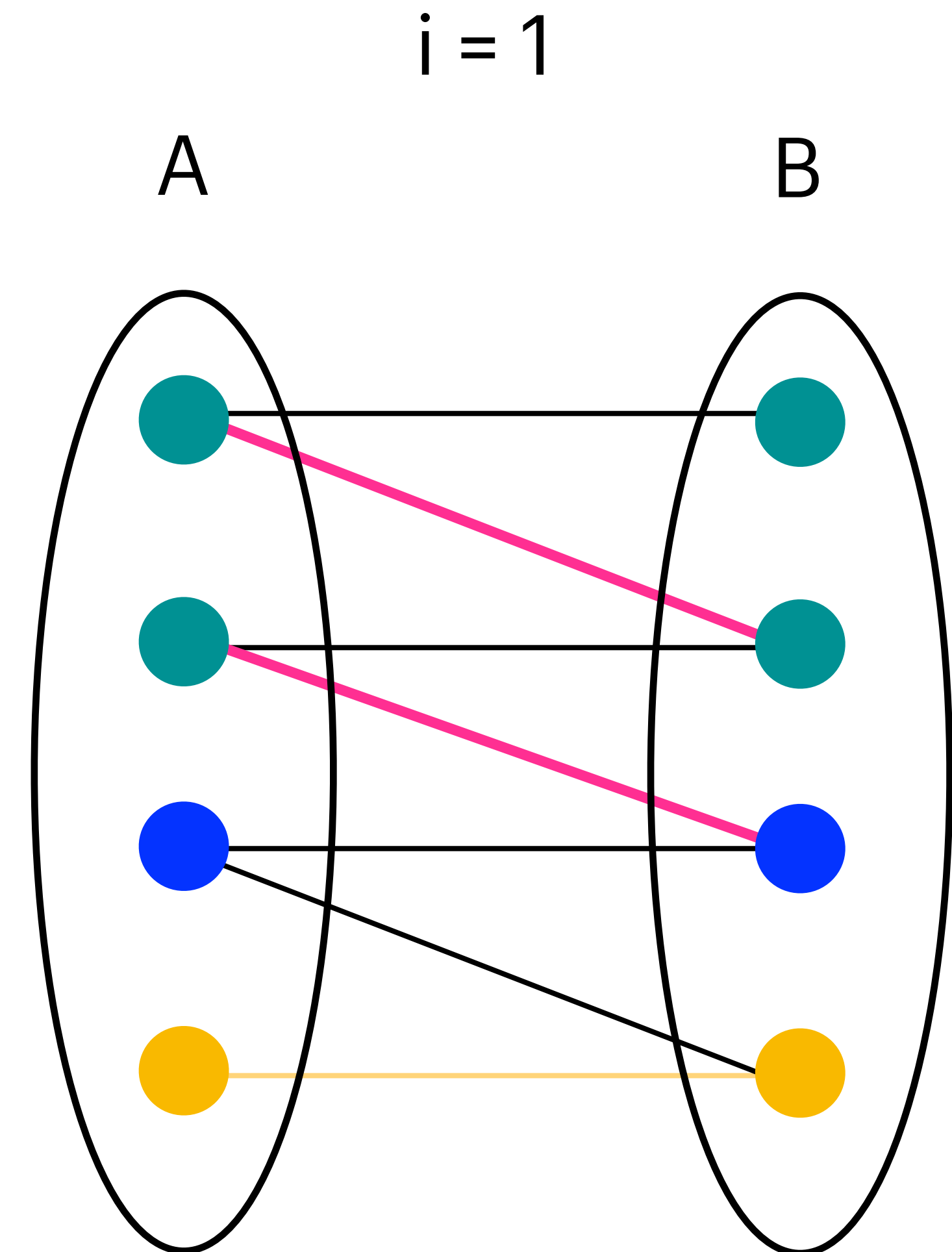
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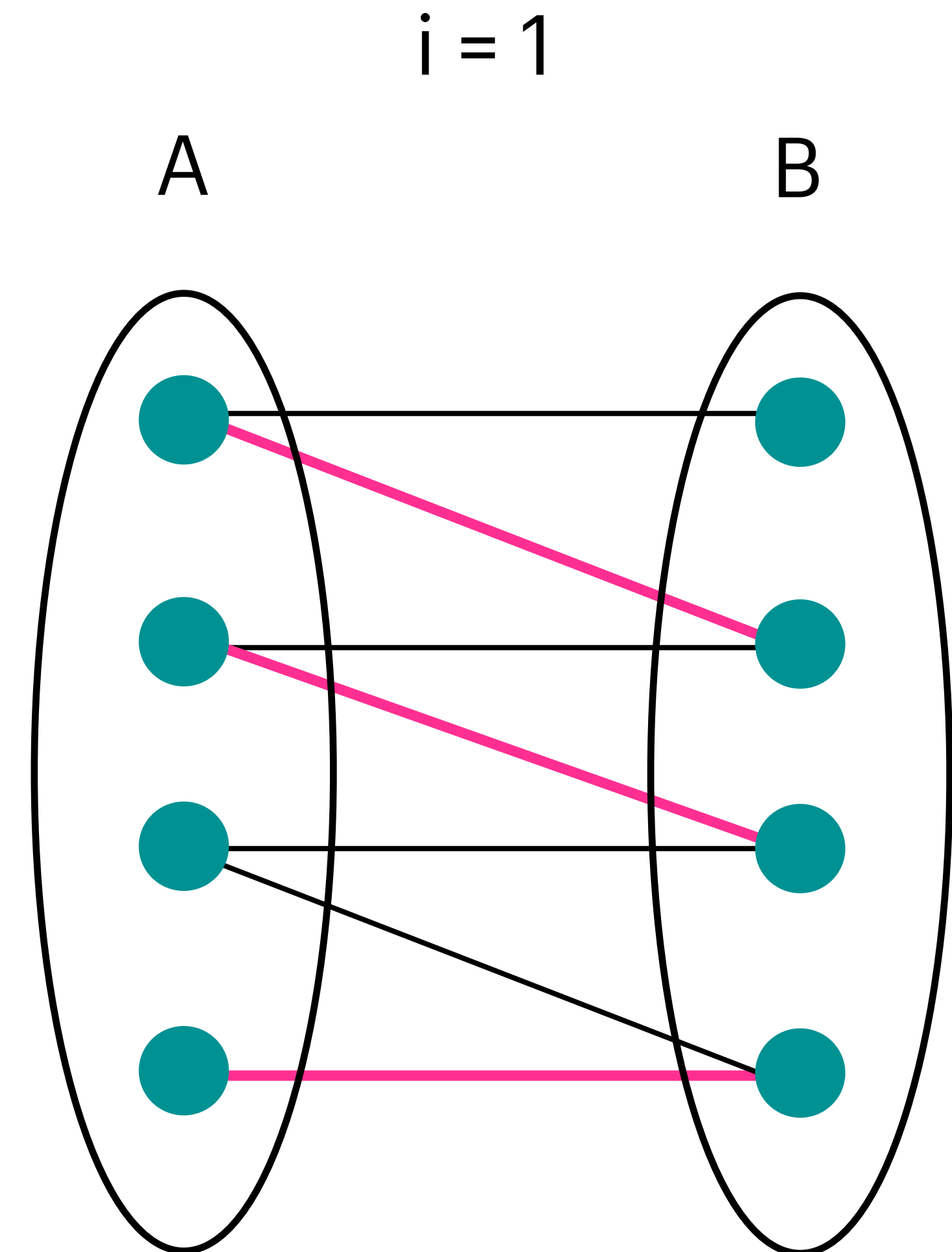
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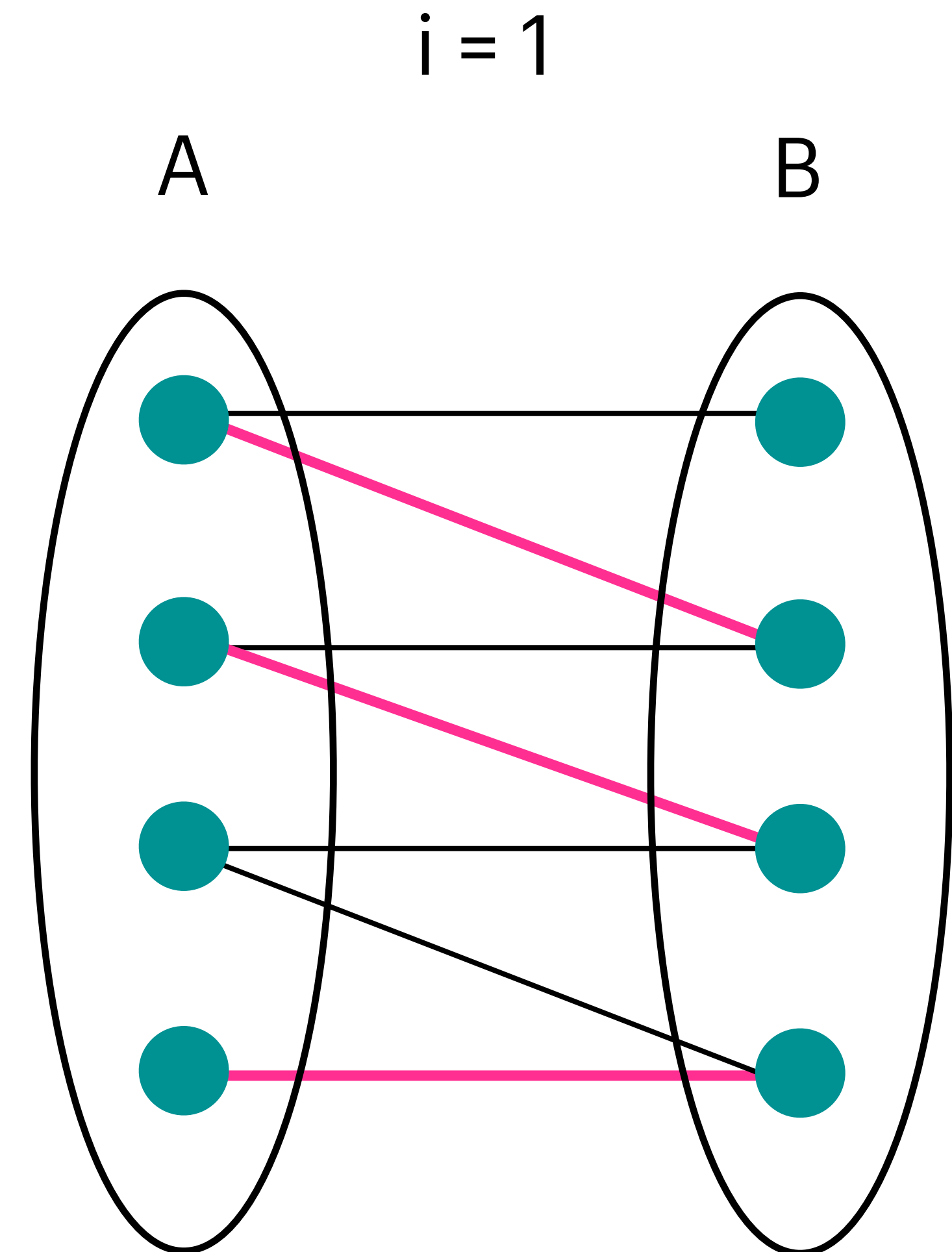
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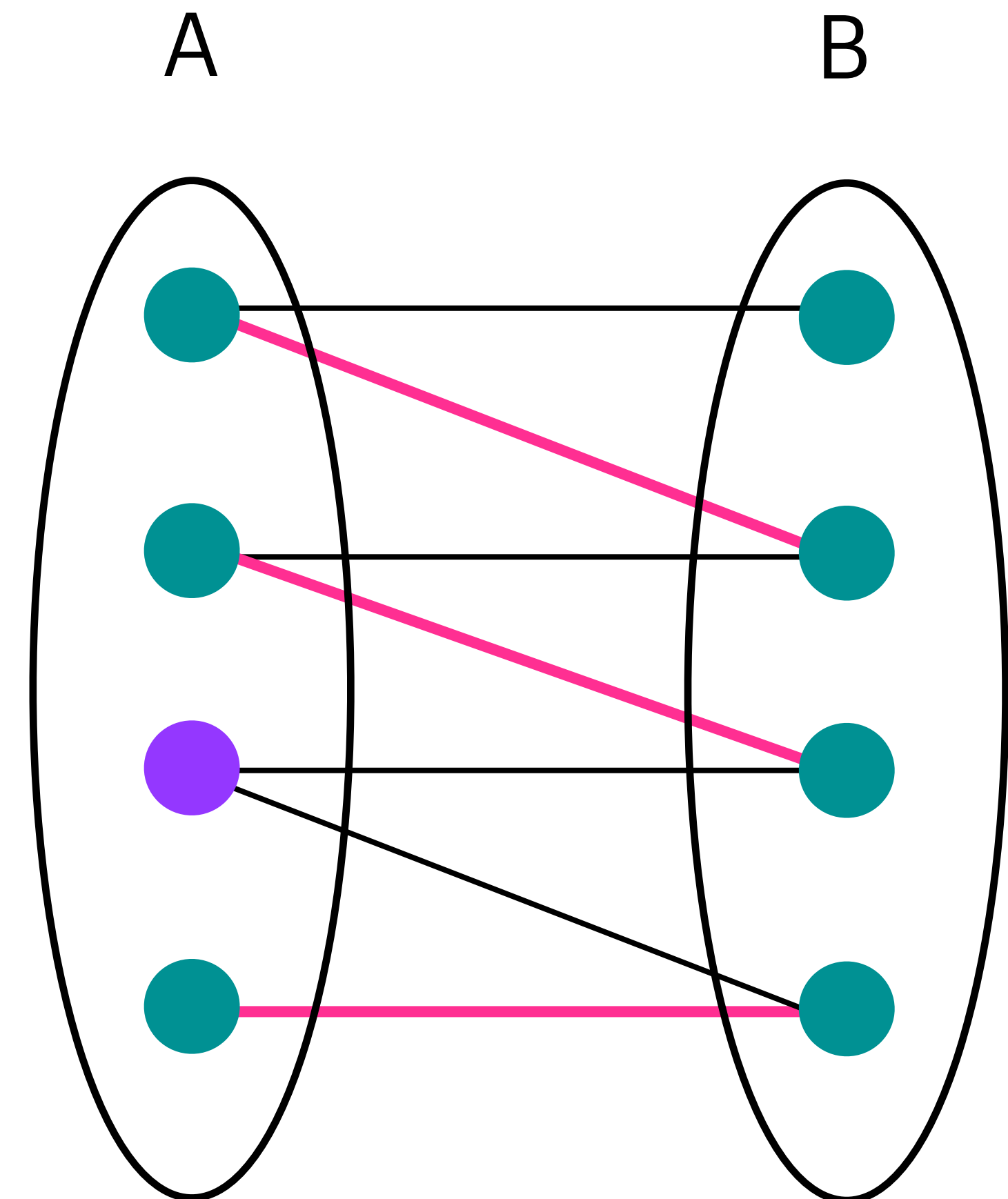
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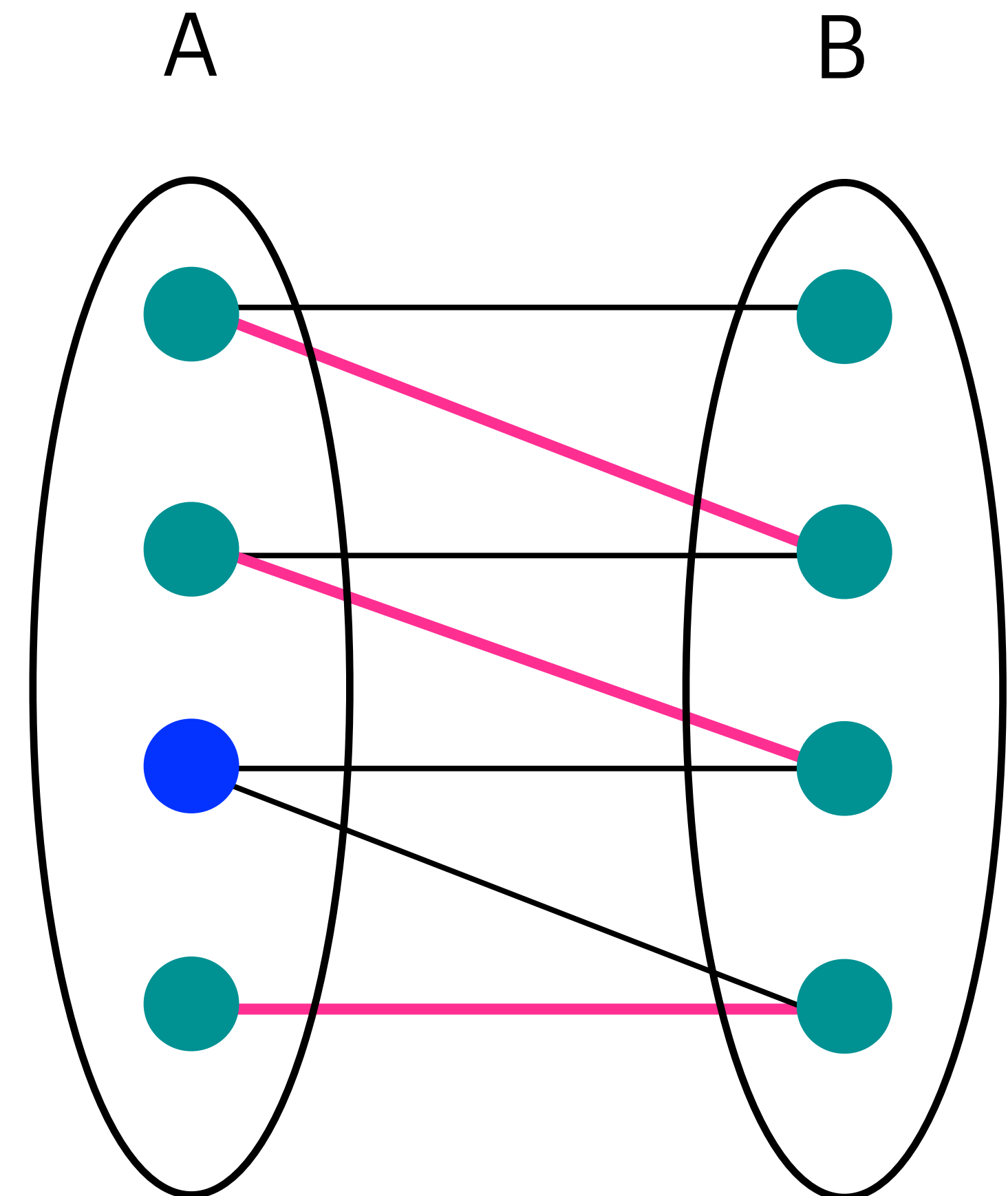
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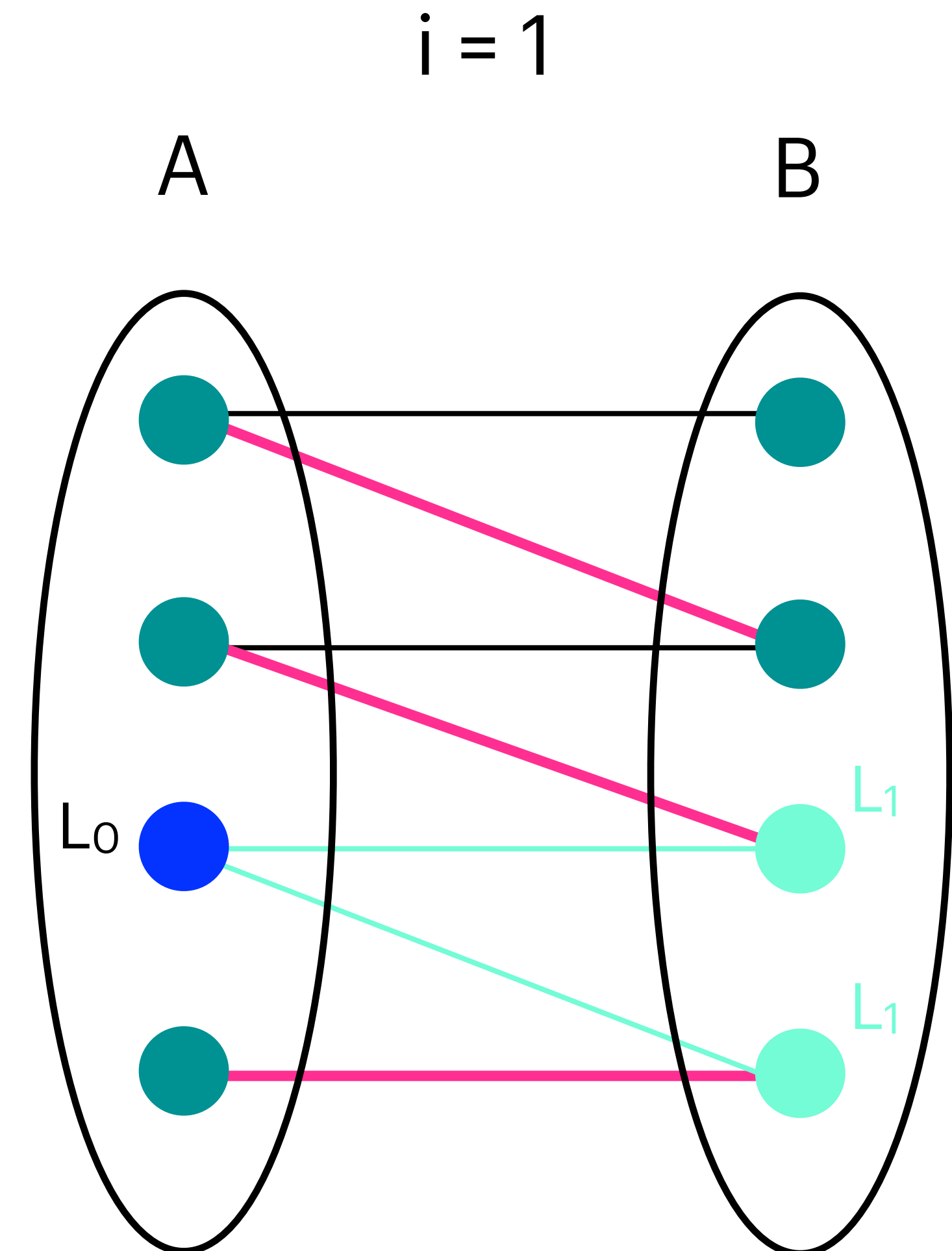
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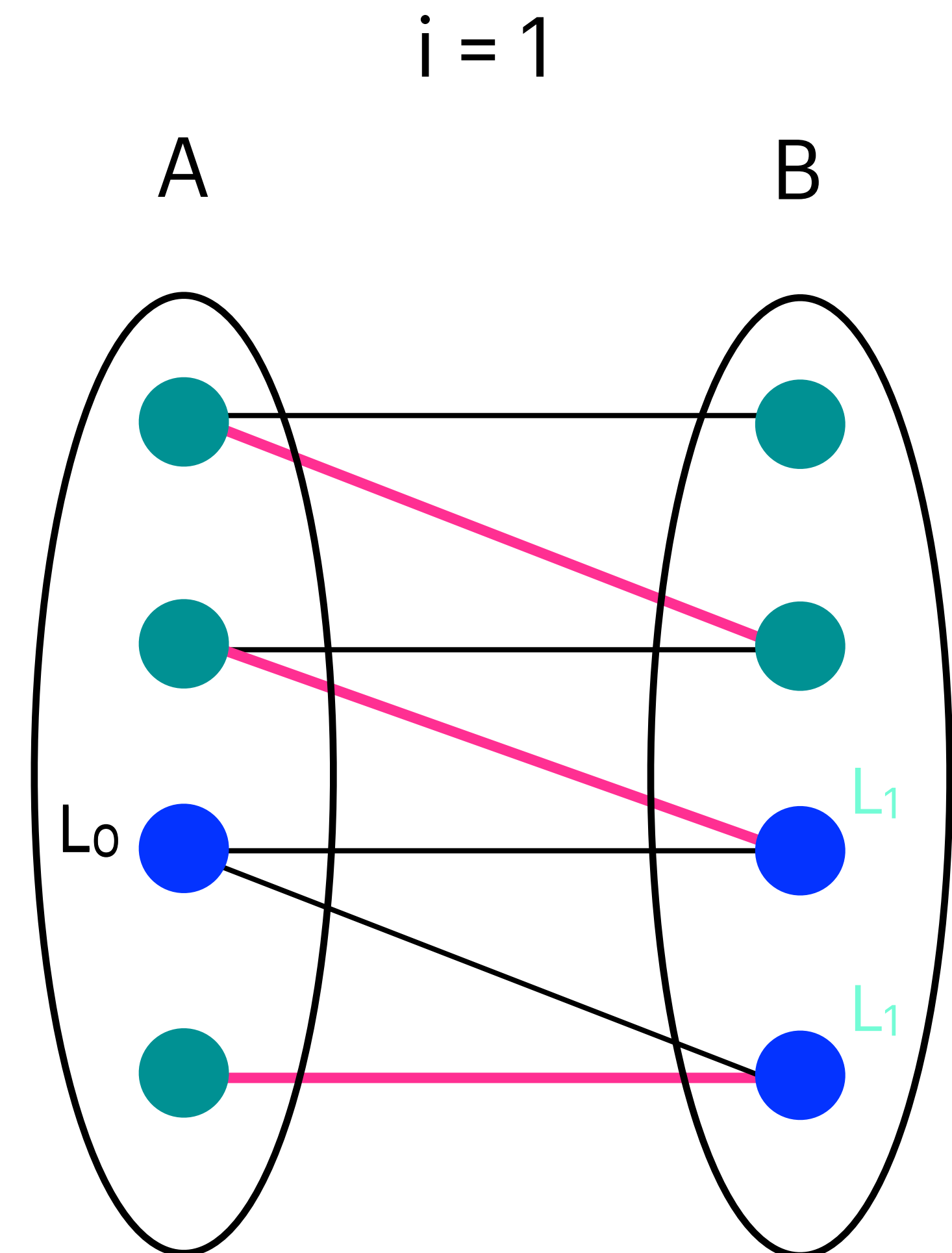
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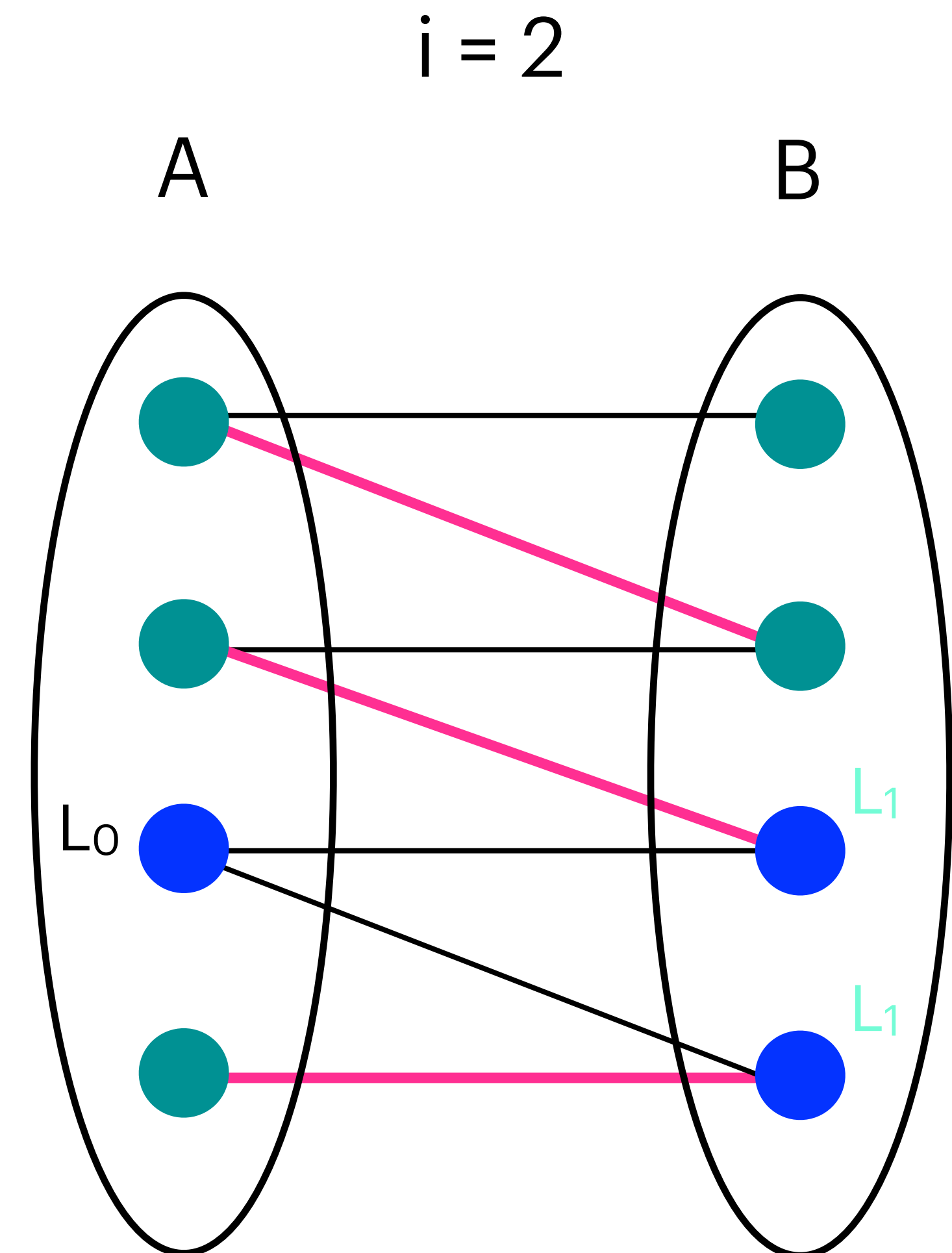
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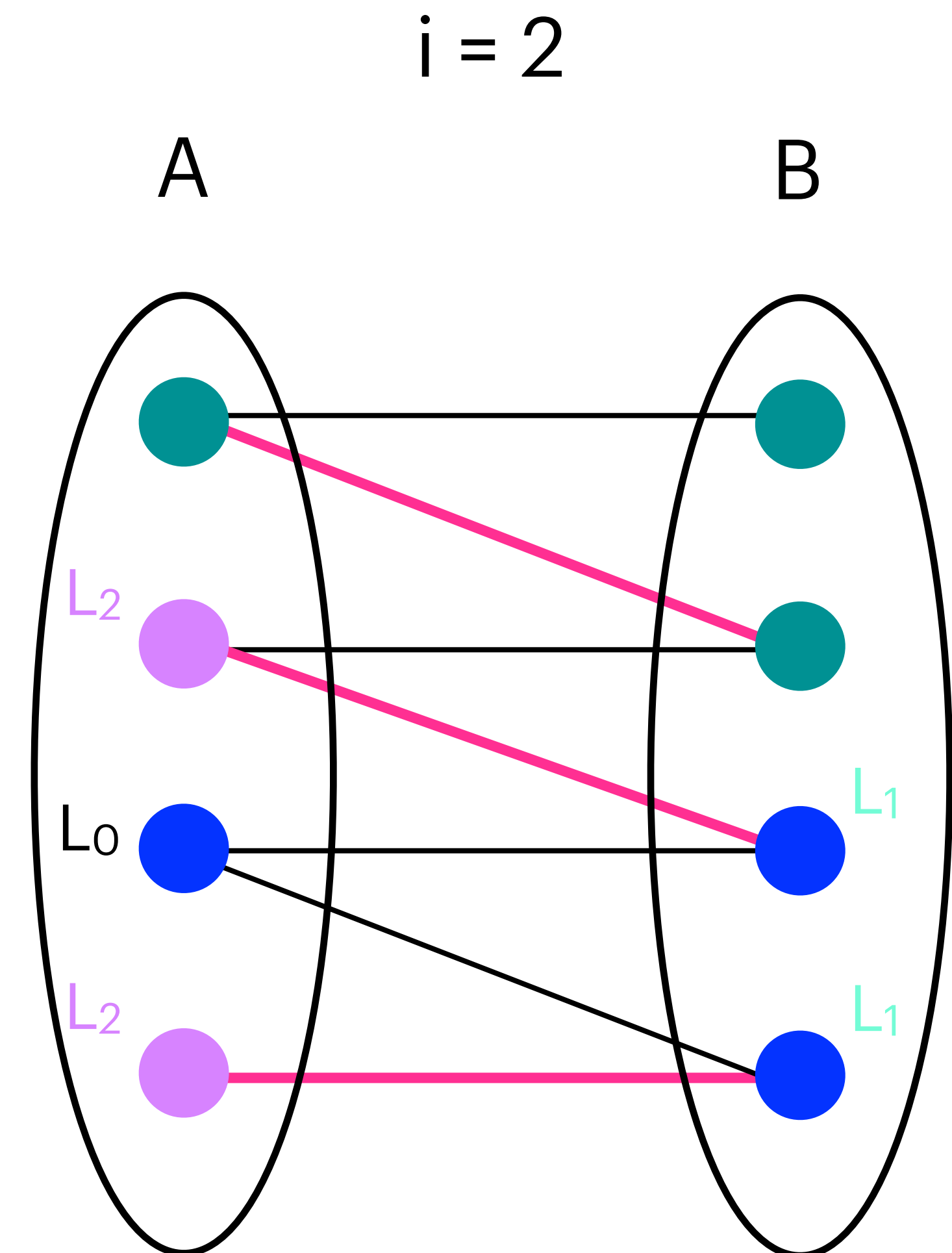
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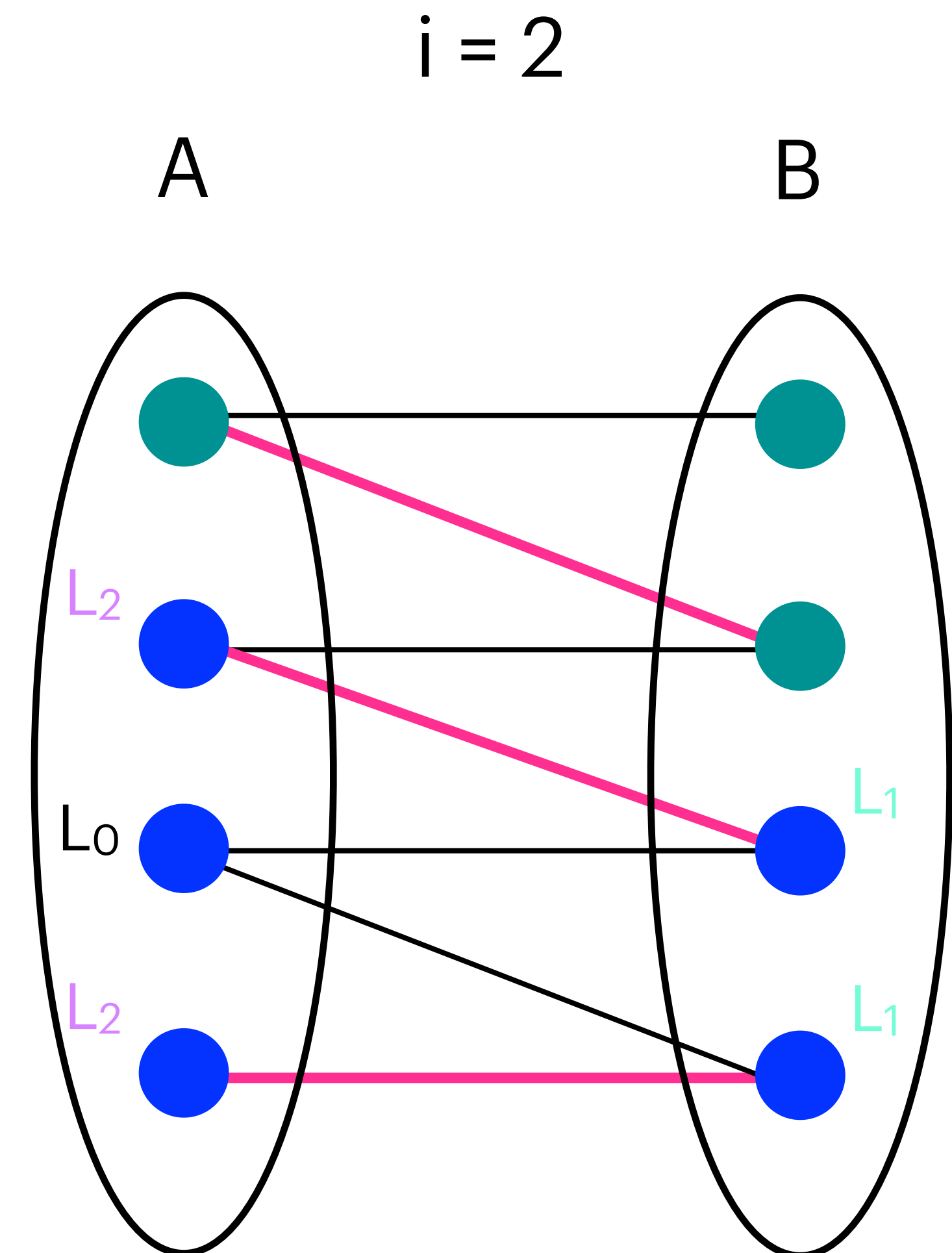
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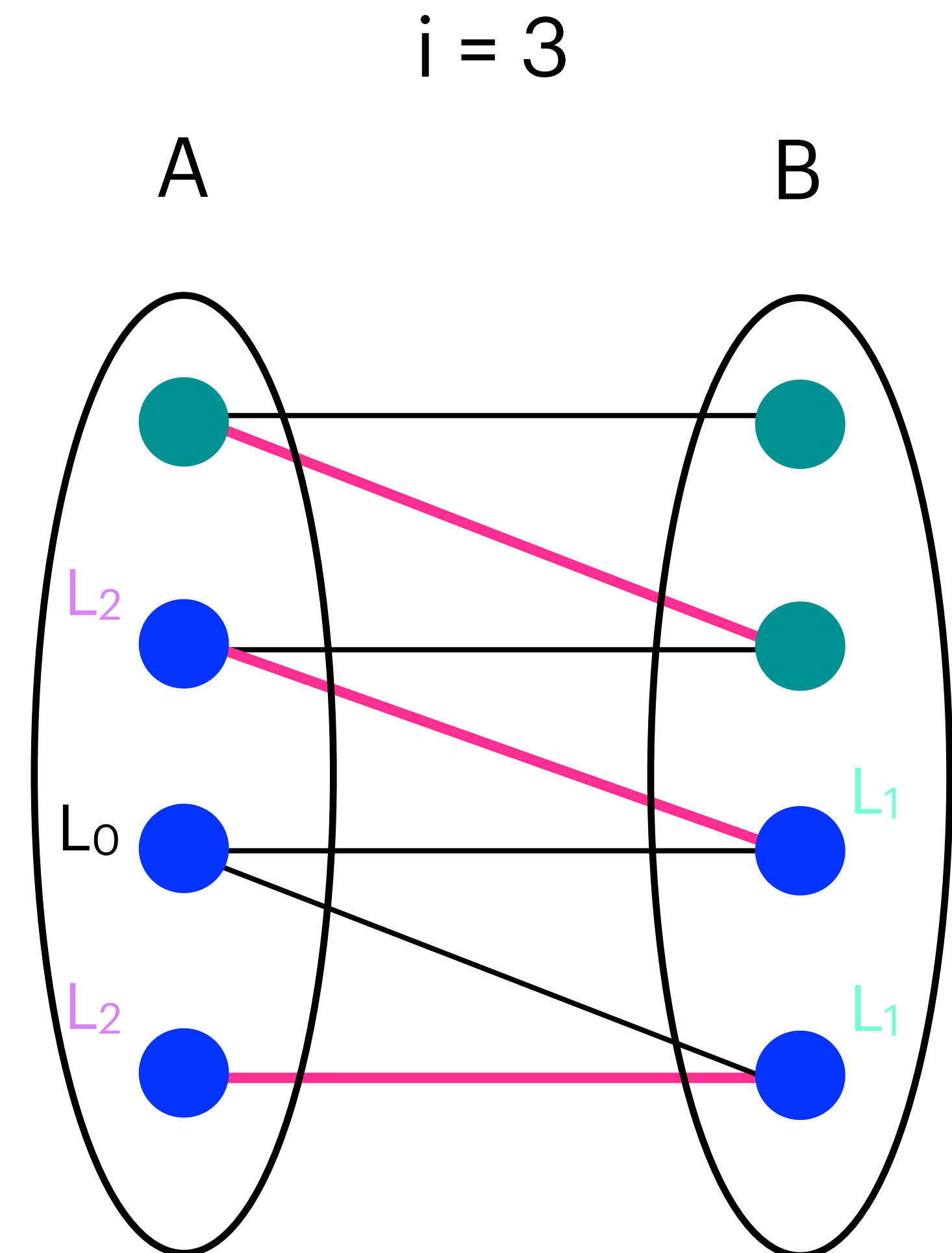
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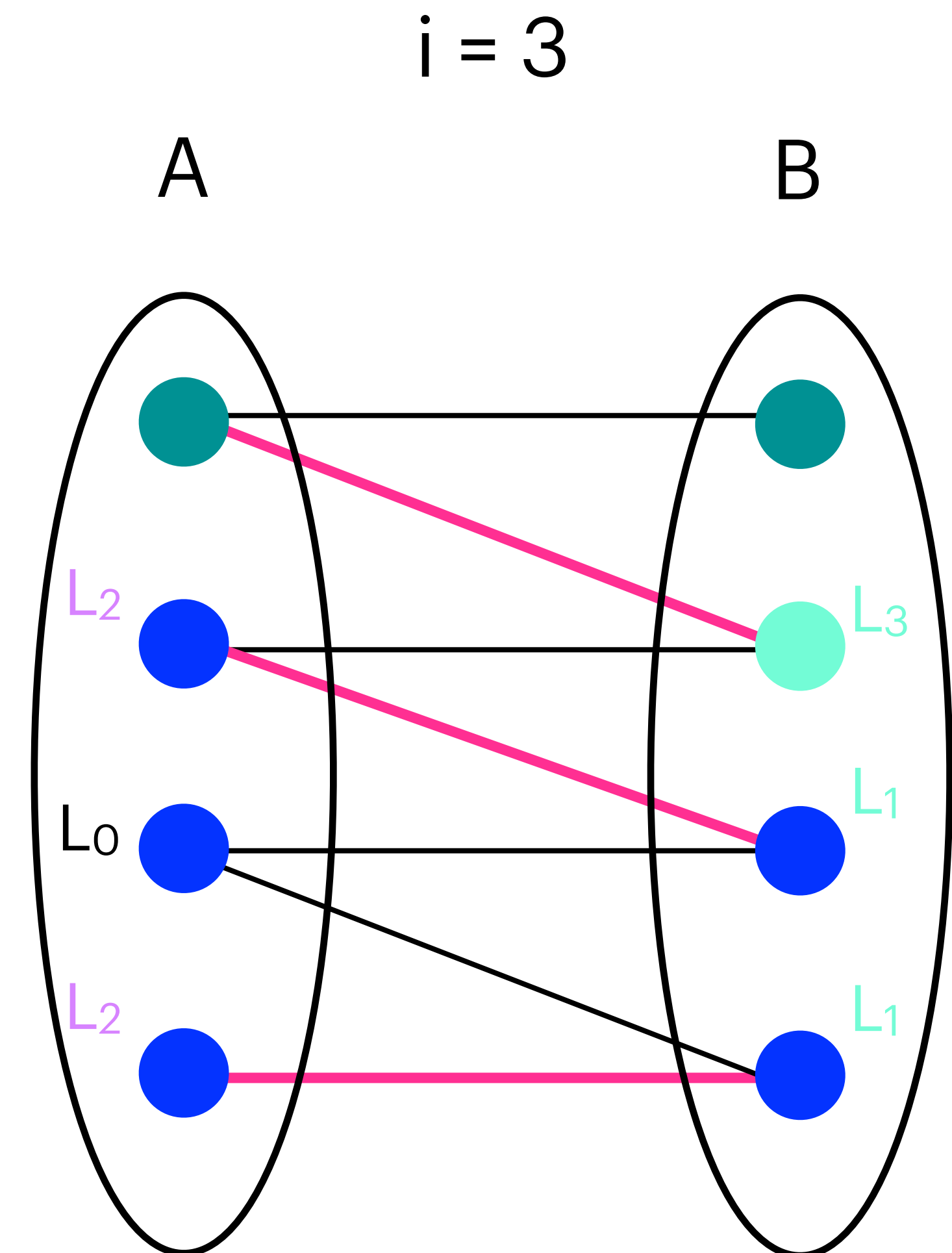
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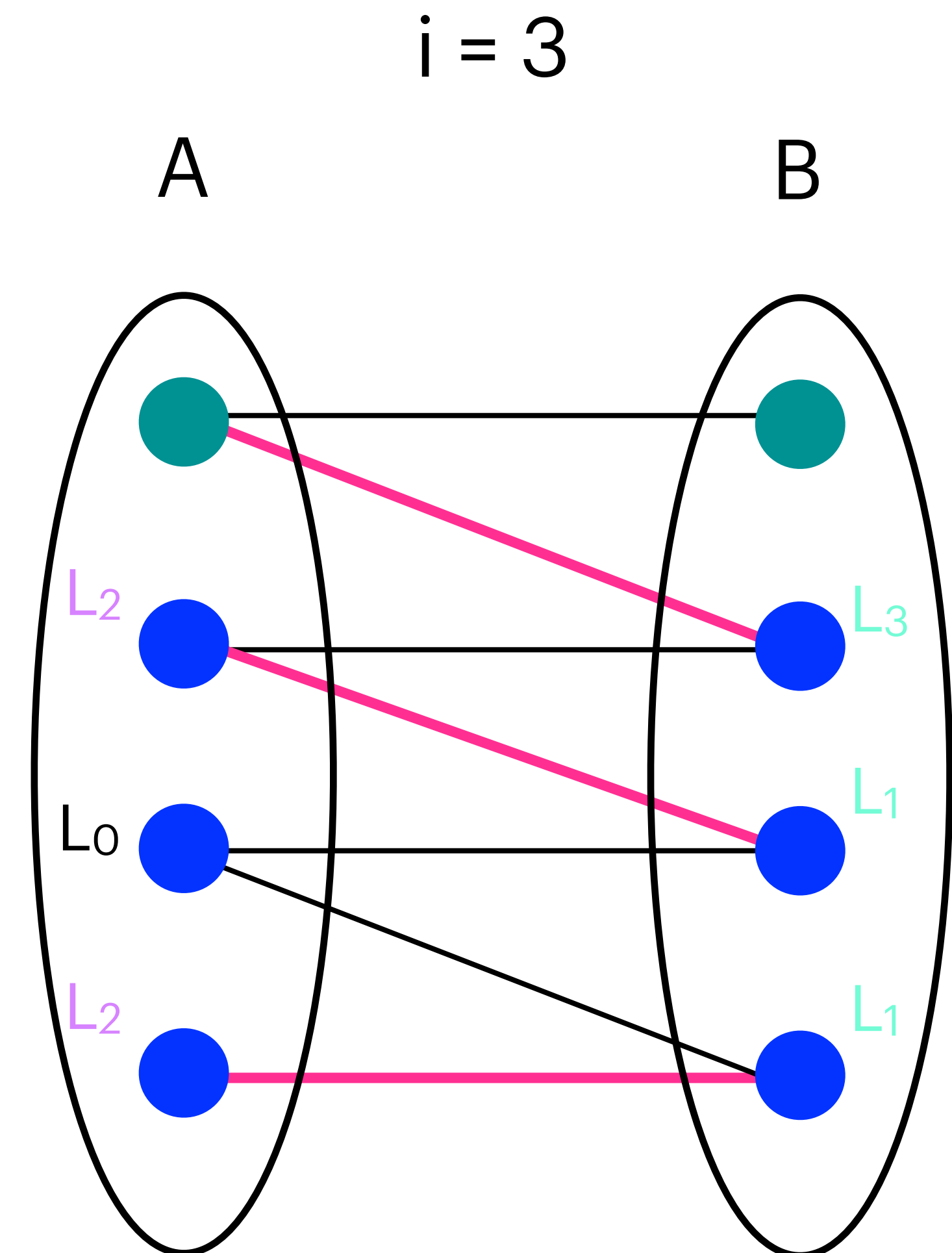
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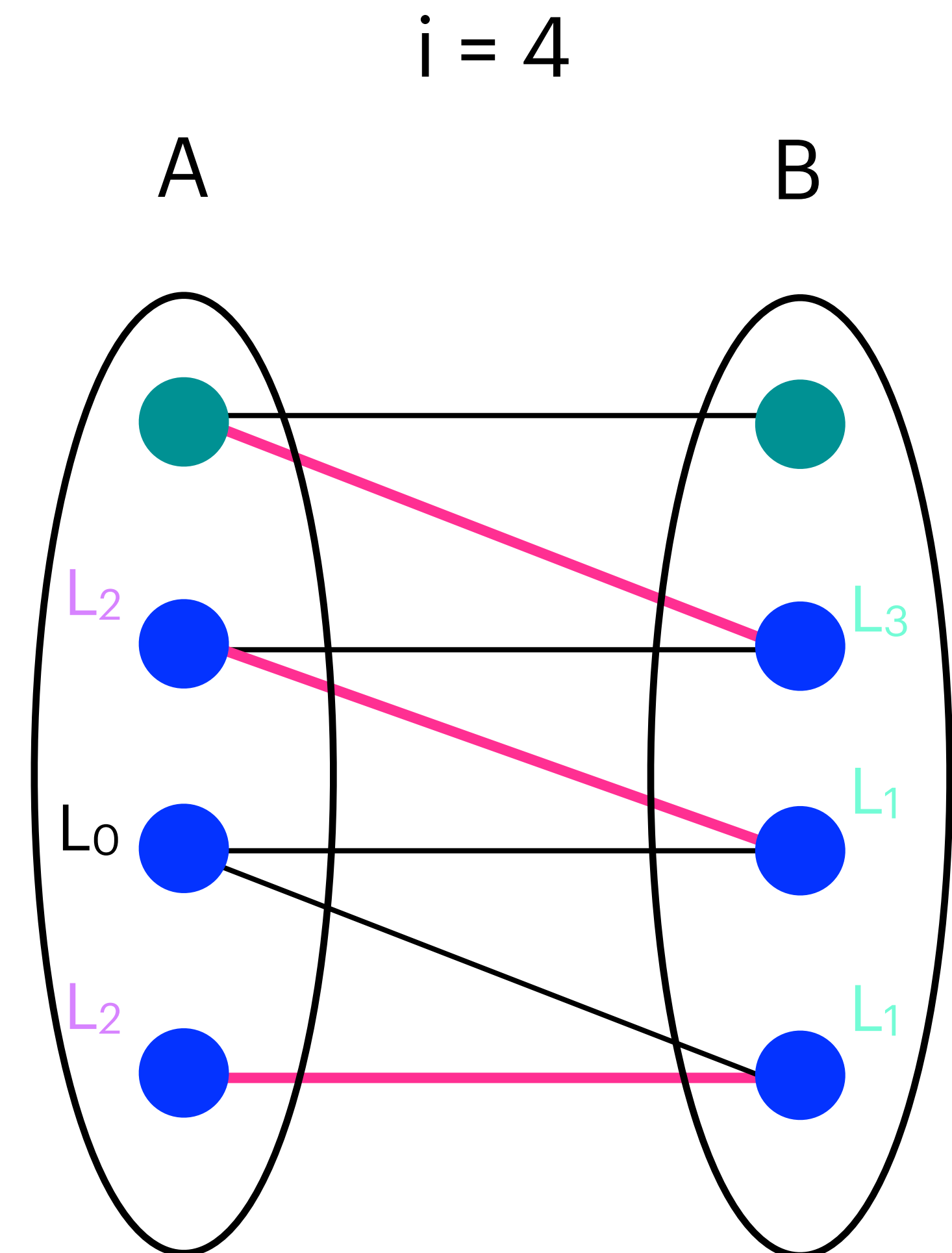
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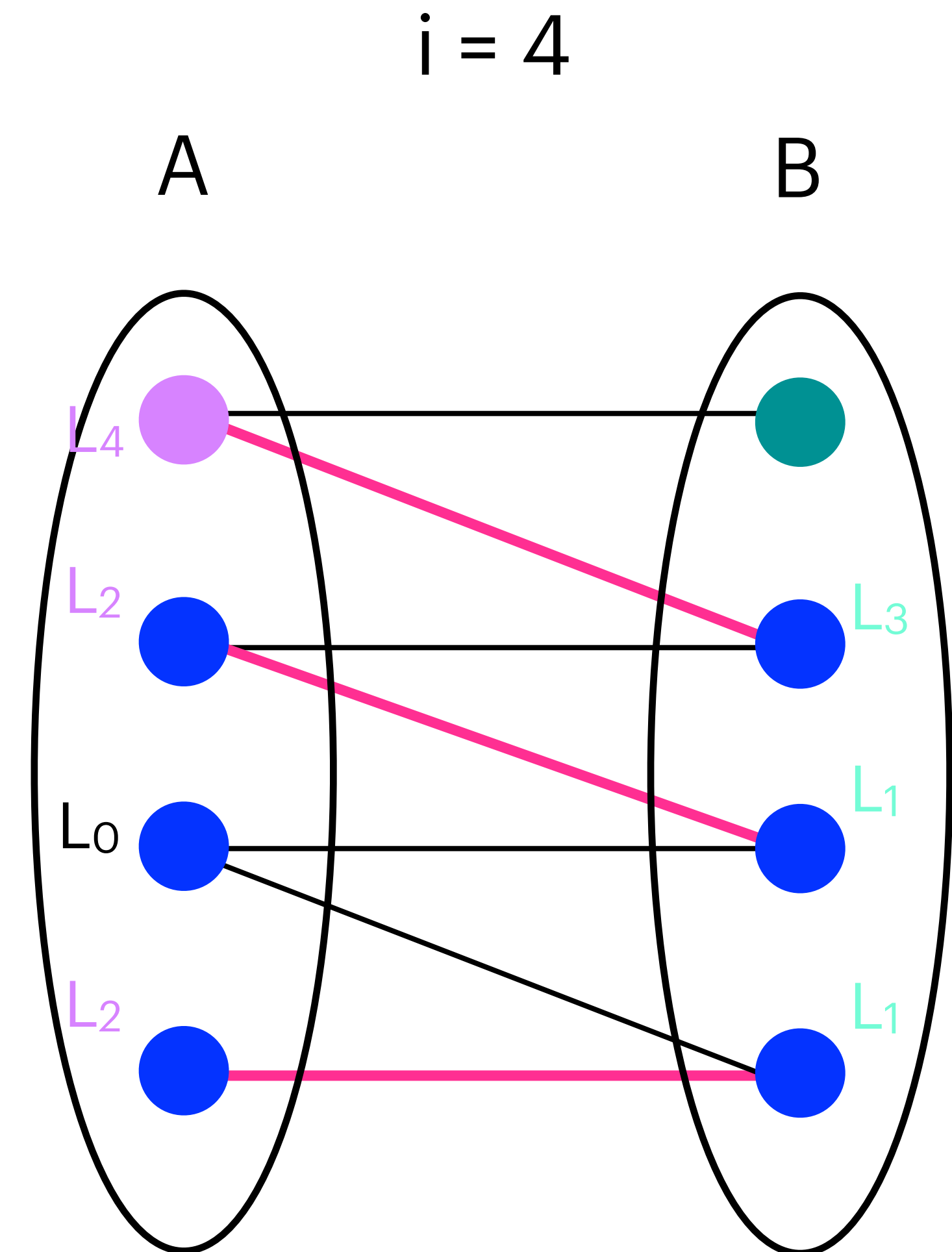
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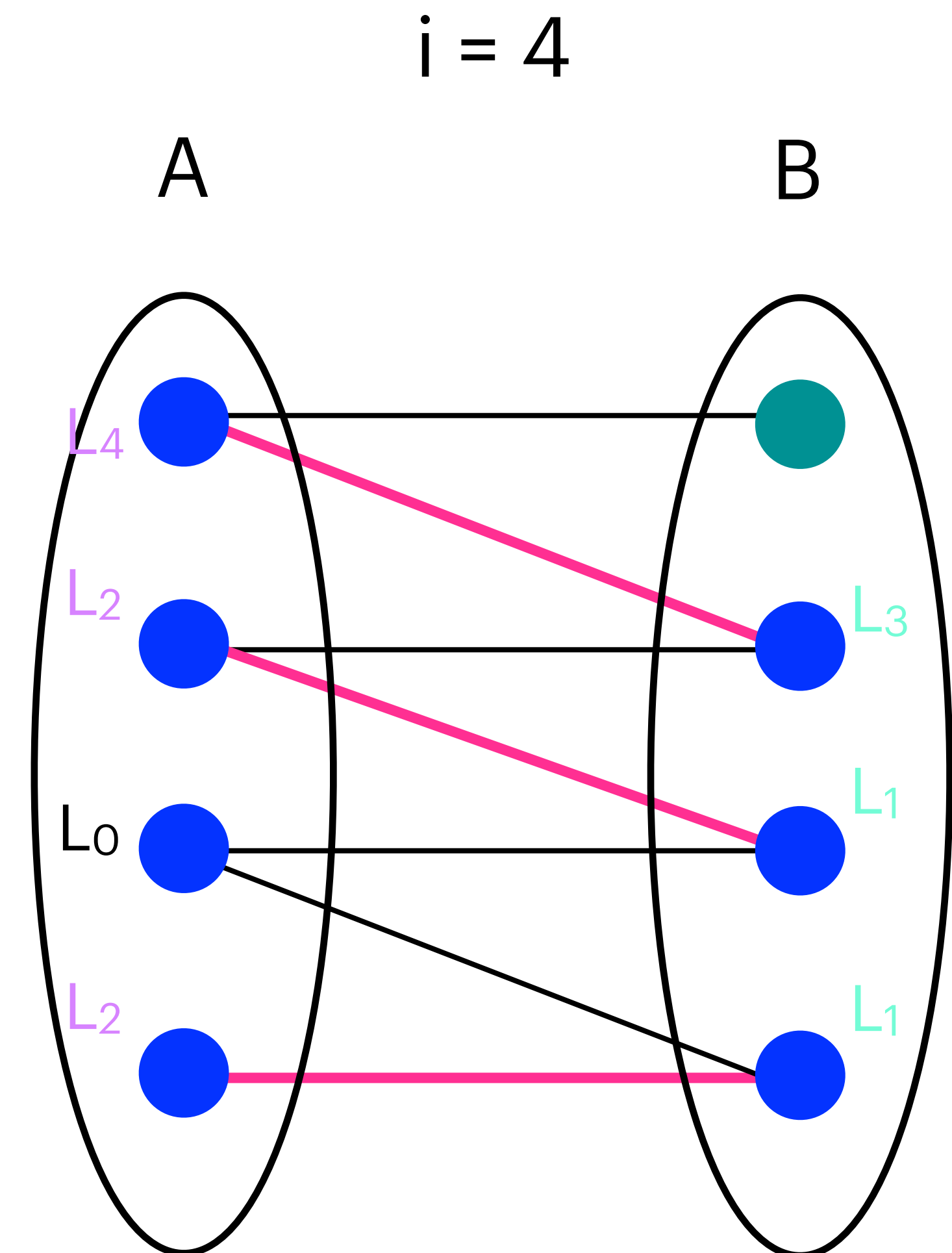
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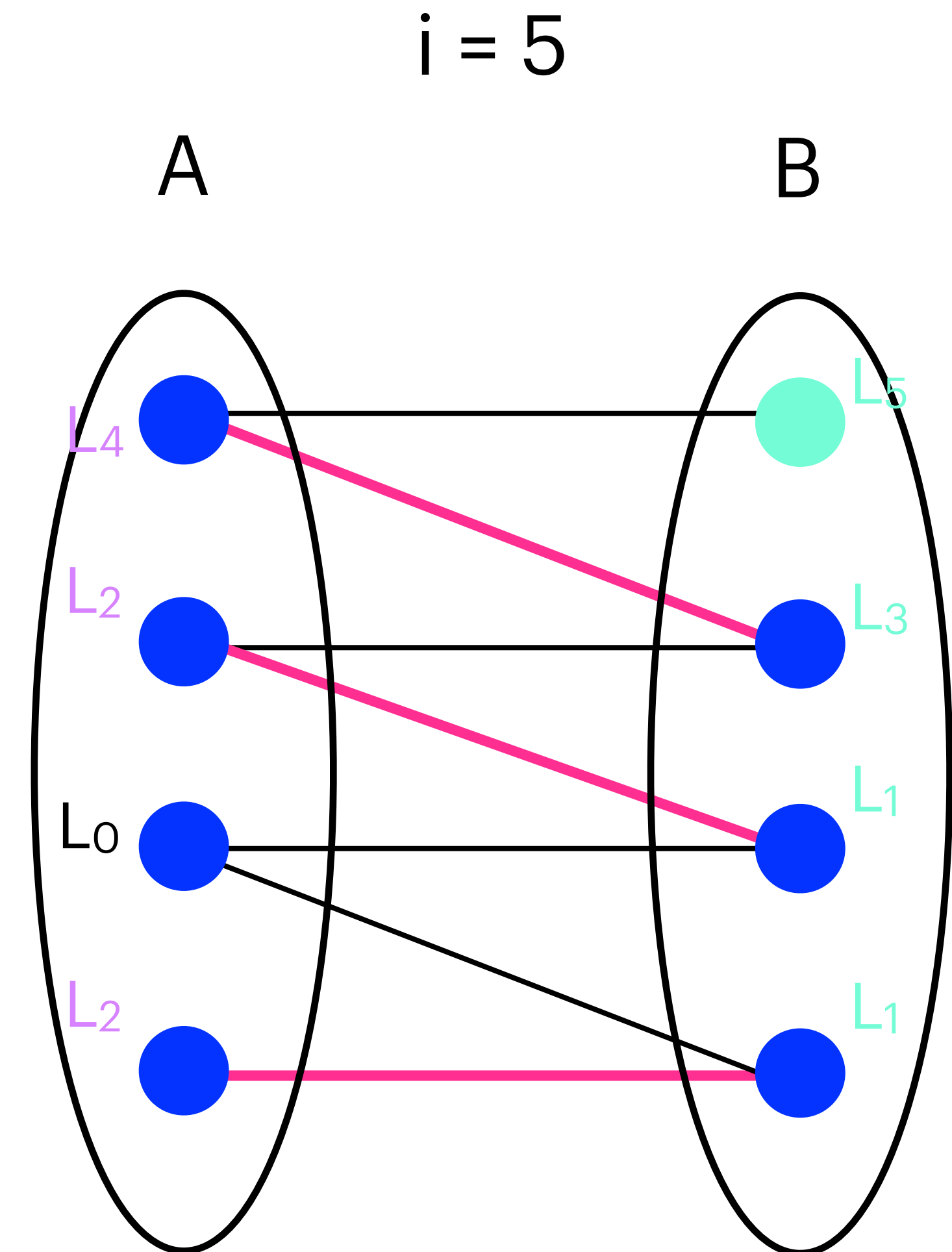
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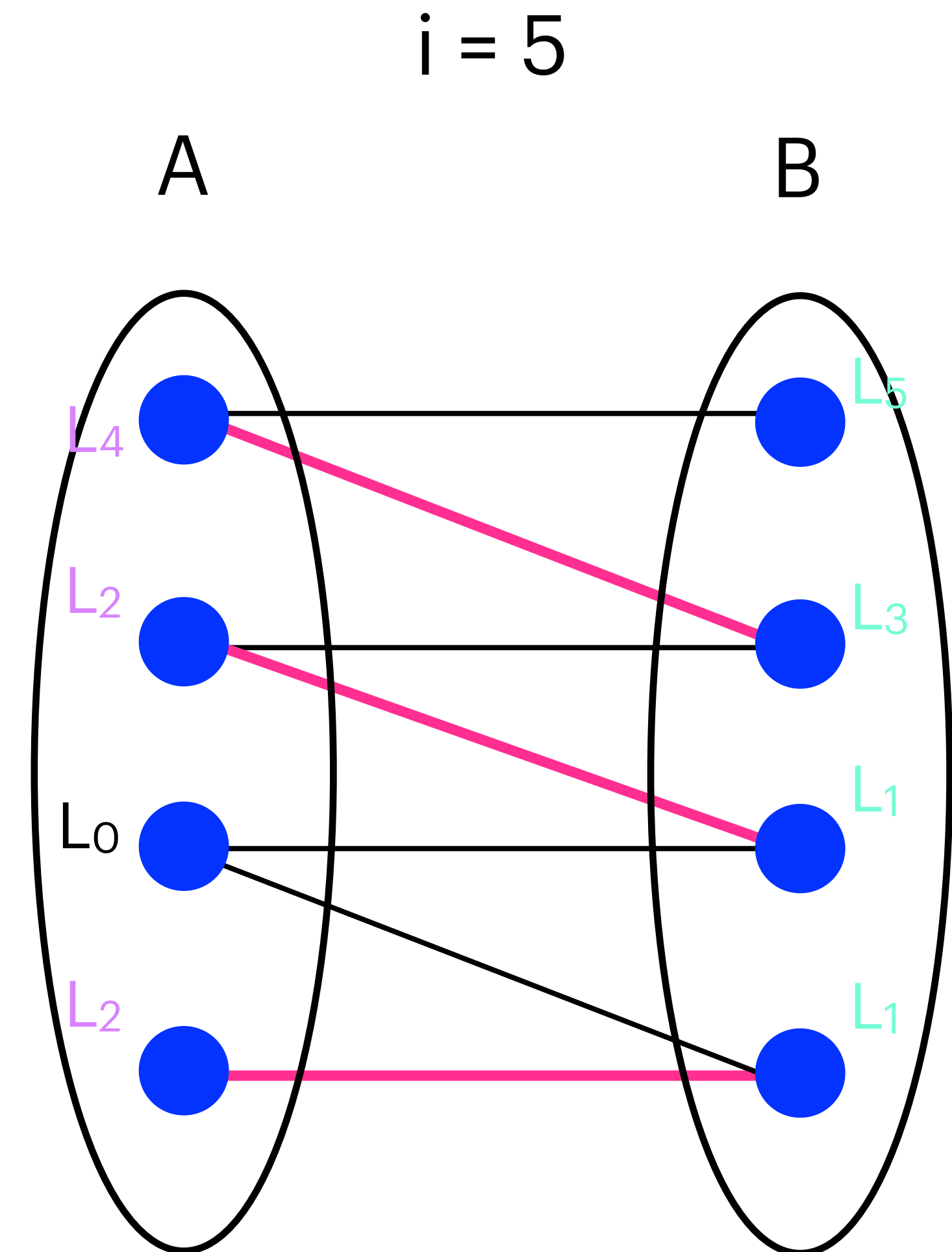
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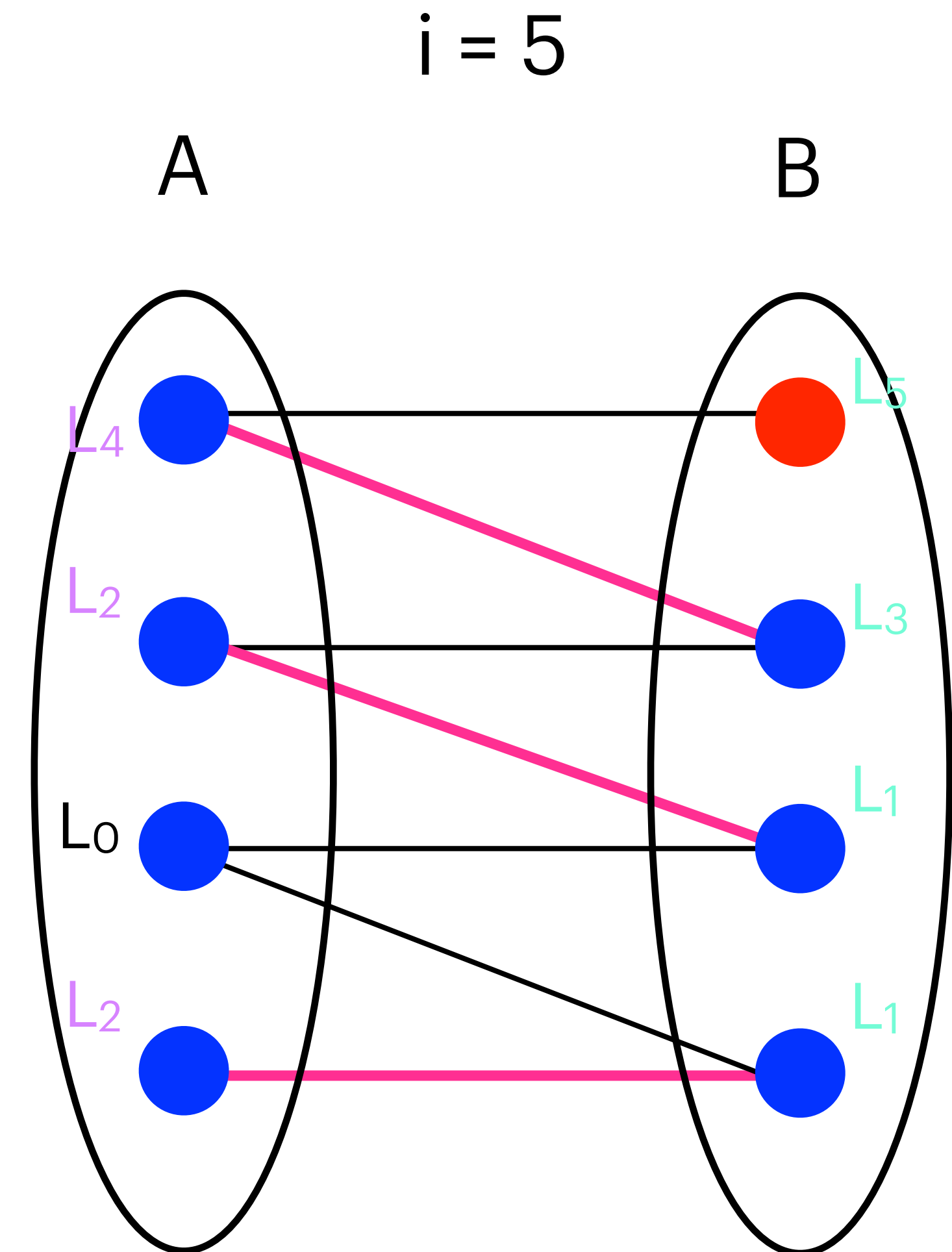
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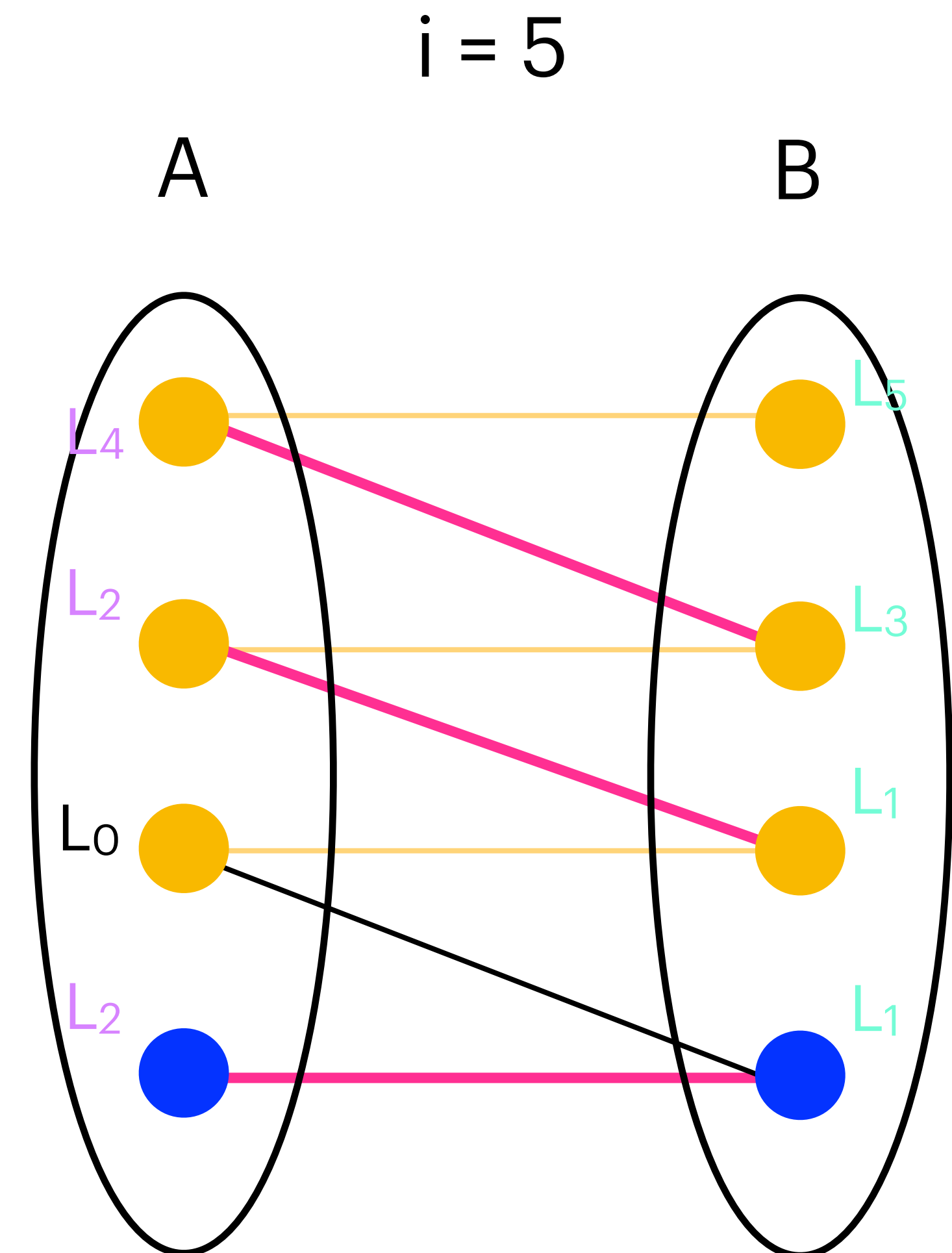
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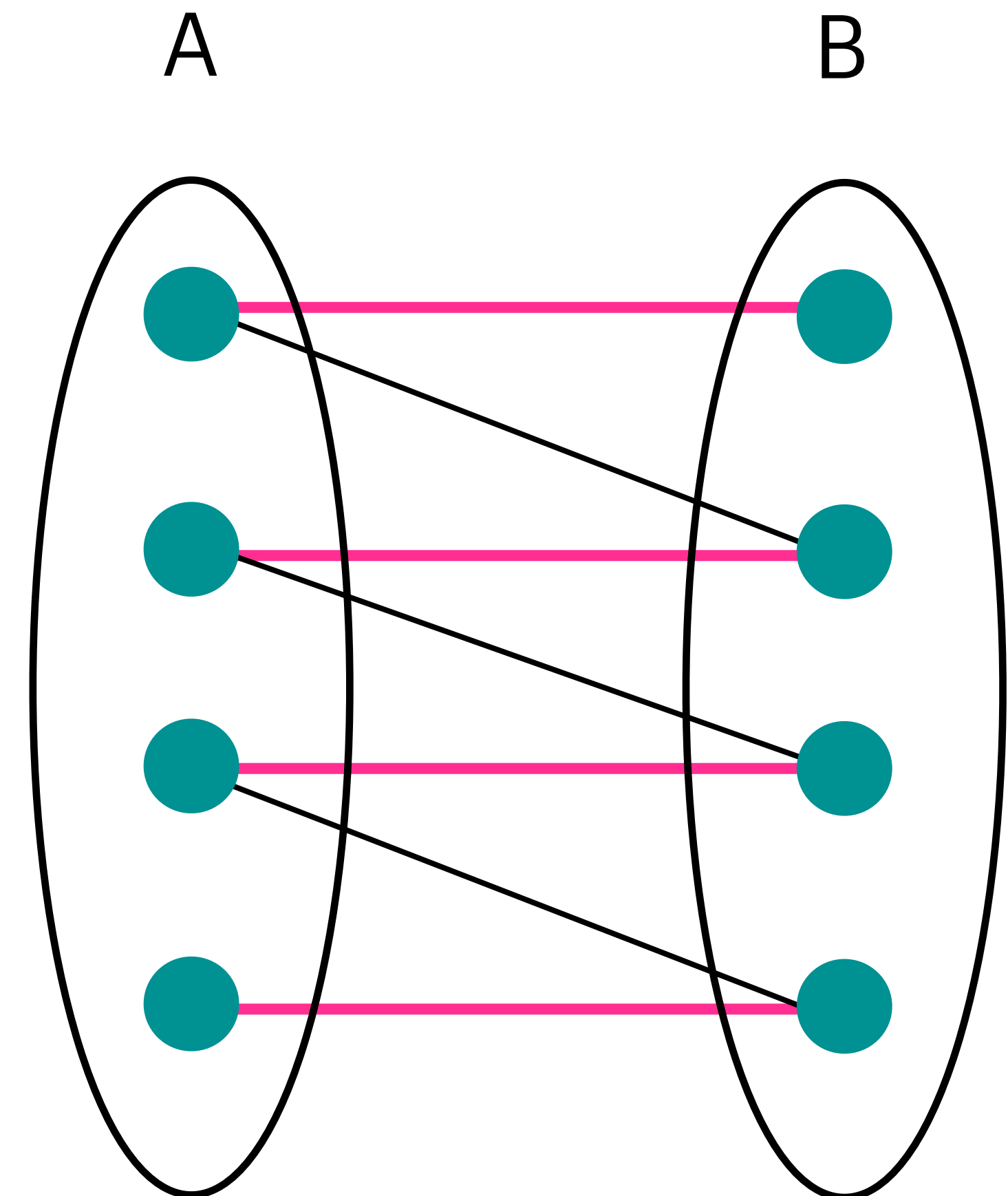
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Input : A bipartite $G = (A \cup B, E)$, Matching M

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Matching

Improvement : Hopcroft Karp Algorithm

Input : A bipartite $G = (A \cup B, E)$

Output : Maximum Matching M

Algorithm :

Start with $M = \emptyset$
while \exists augmenting path P with BFS
 $M = M \oplus P$
return M

Hopcroft-Karp :

Start with $M = \emptyset$
while \nexists augmenting path P
 $k :=$ length of the shortest augmenting path
 find more vertex disjoint augmenting paths of length k
 until we have a inclusion-maximal set S of those paths
 for all P in S :

$$M = M \oplus P$$

$$O(|V|^{1/2} \cdot (|V| + |E|))$$

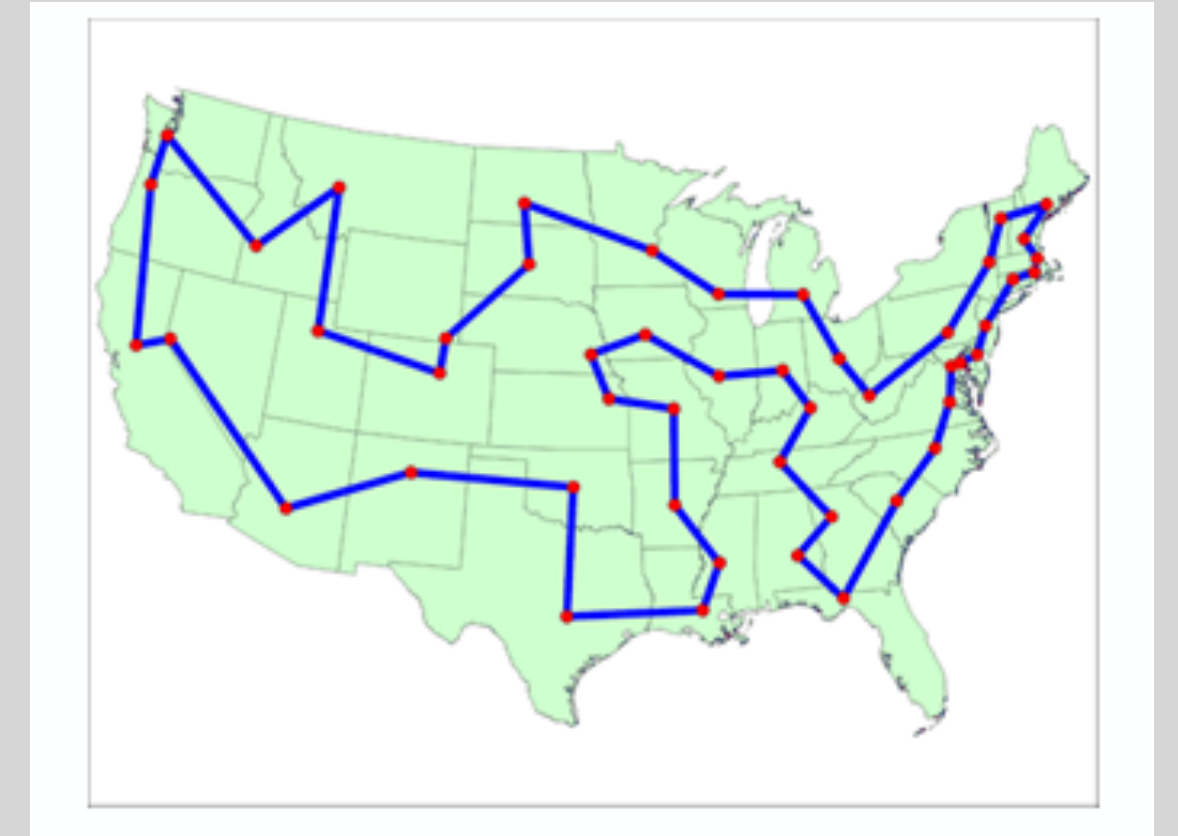
Let's take a break



TSP II

Metric TSP : 2-Approximation

Problem Description



Given : • A complete Graph K_n of n vertices

• Distances l inbetween every 2 vertex $l : \binom{[n]}{2} \rightarrow R$

To find : • Hamiltonian Cycle C s.t.

• l satisfies the triangle inequality

$$l(x, z) \leq l(x, y) + l(y, z)$$

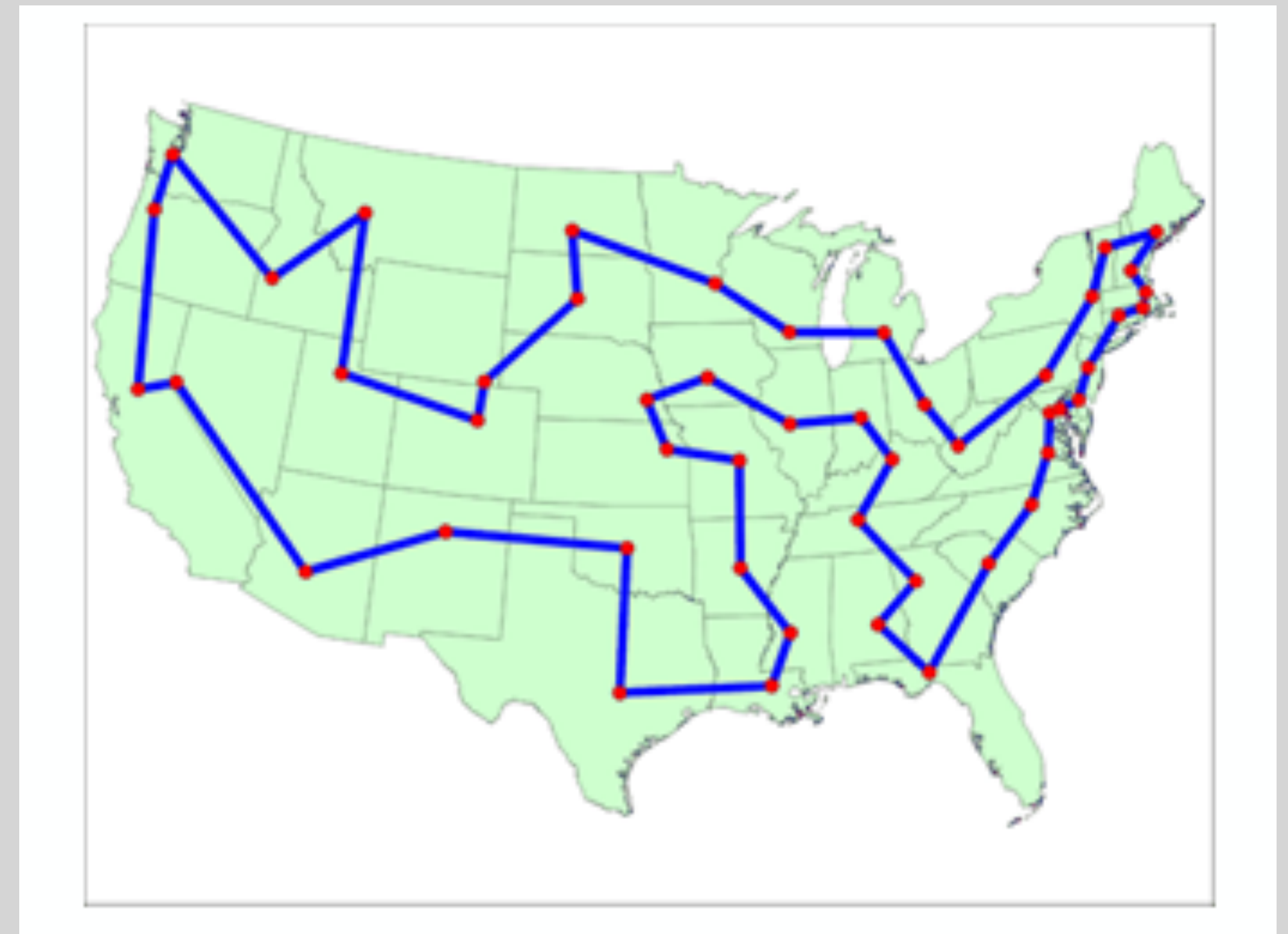
$$l(C) \leq 2 l(OPT)$$

where $OPT =$

$$\min_{H : \text{Hamiltonian Cycle}} \sum_{e \in E(H)} l(e)$$

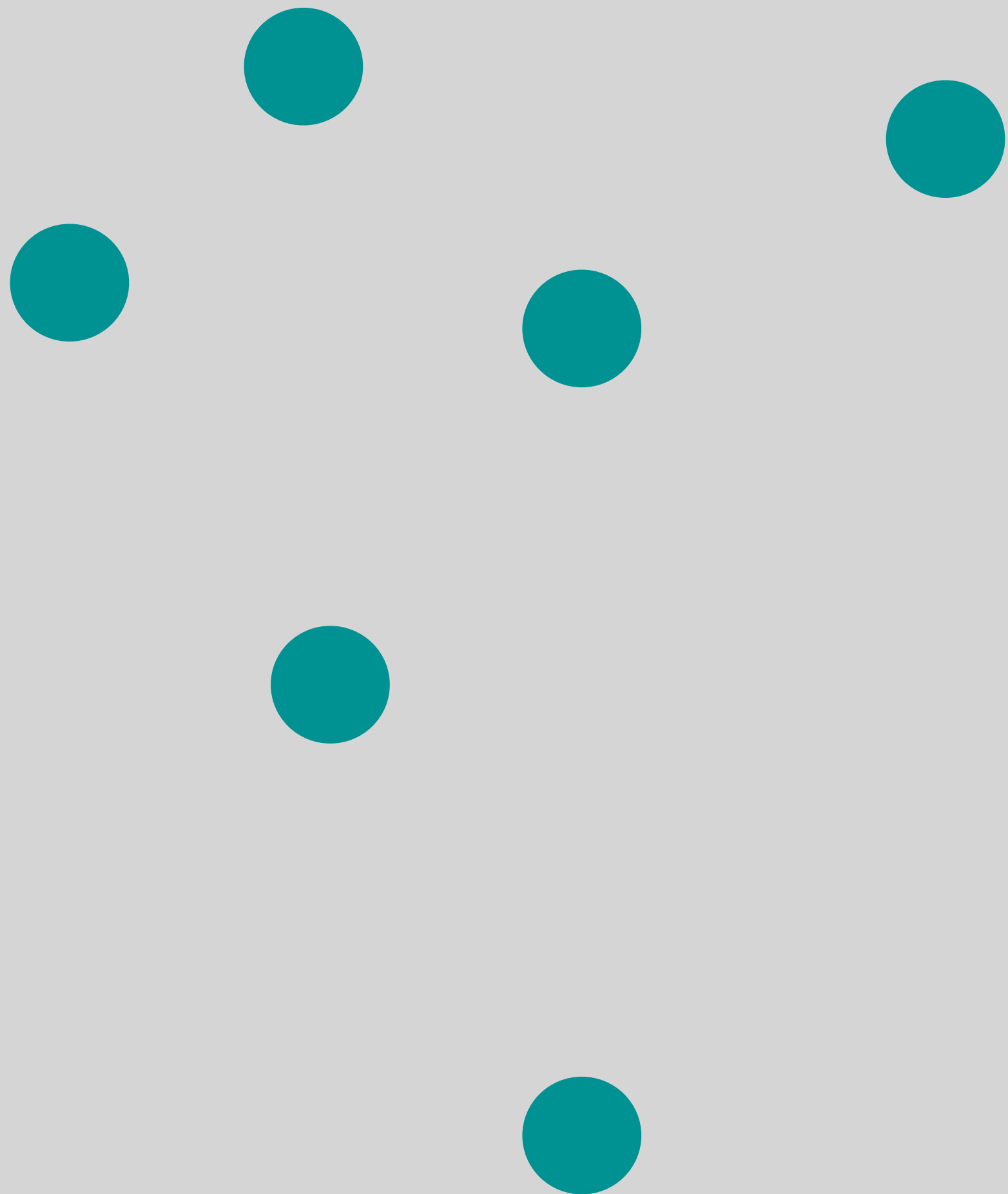
Metric TSP : 2-Approximation

Algorithm



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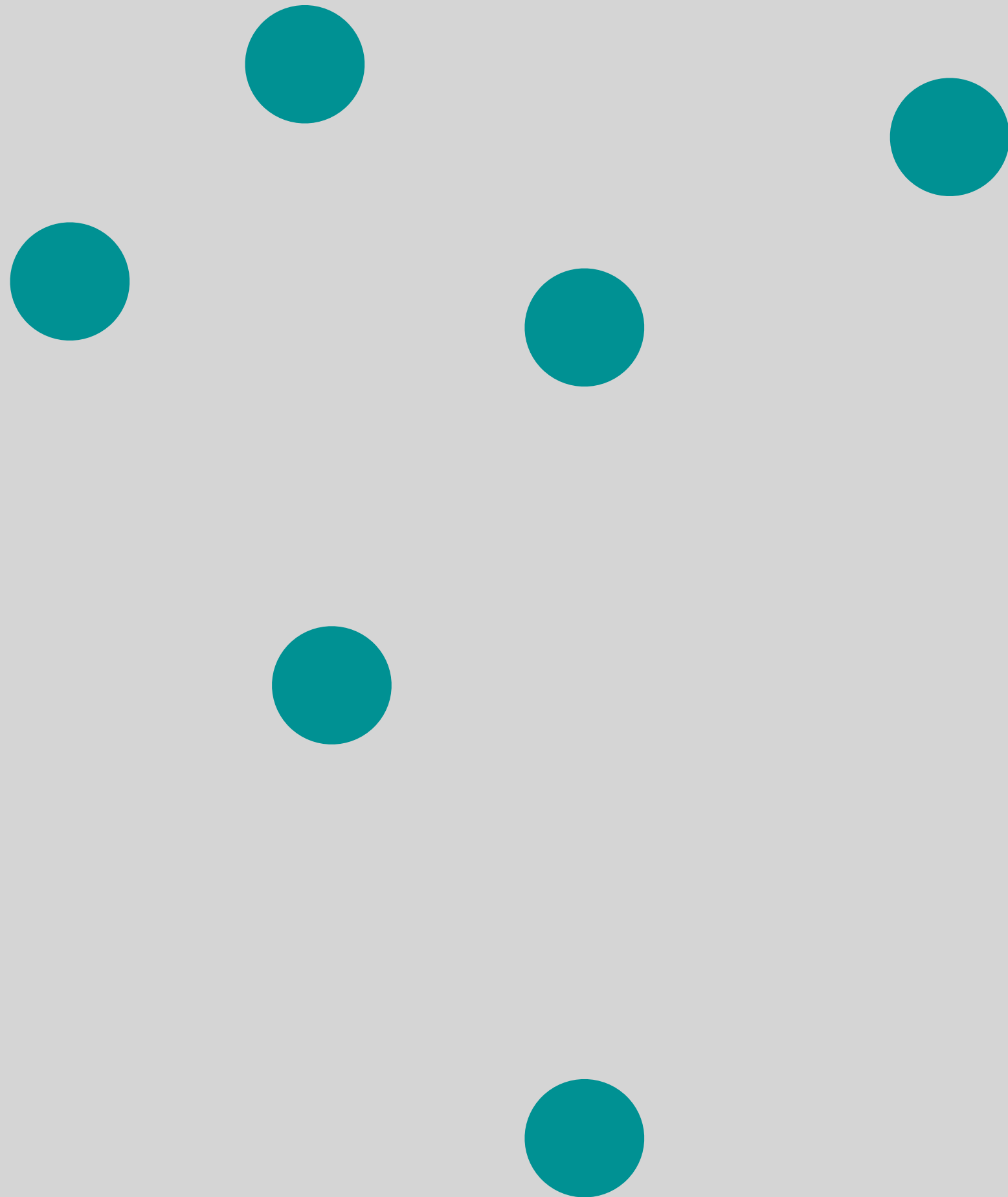
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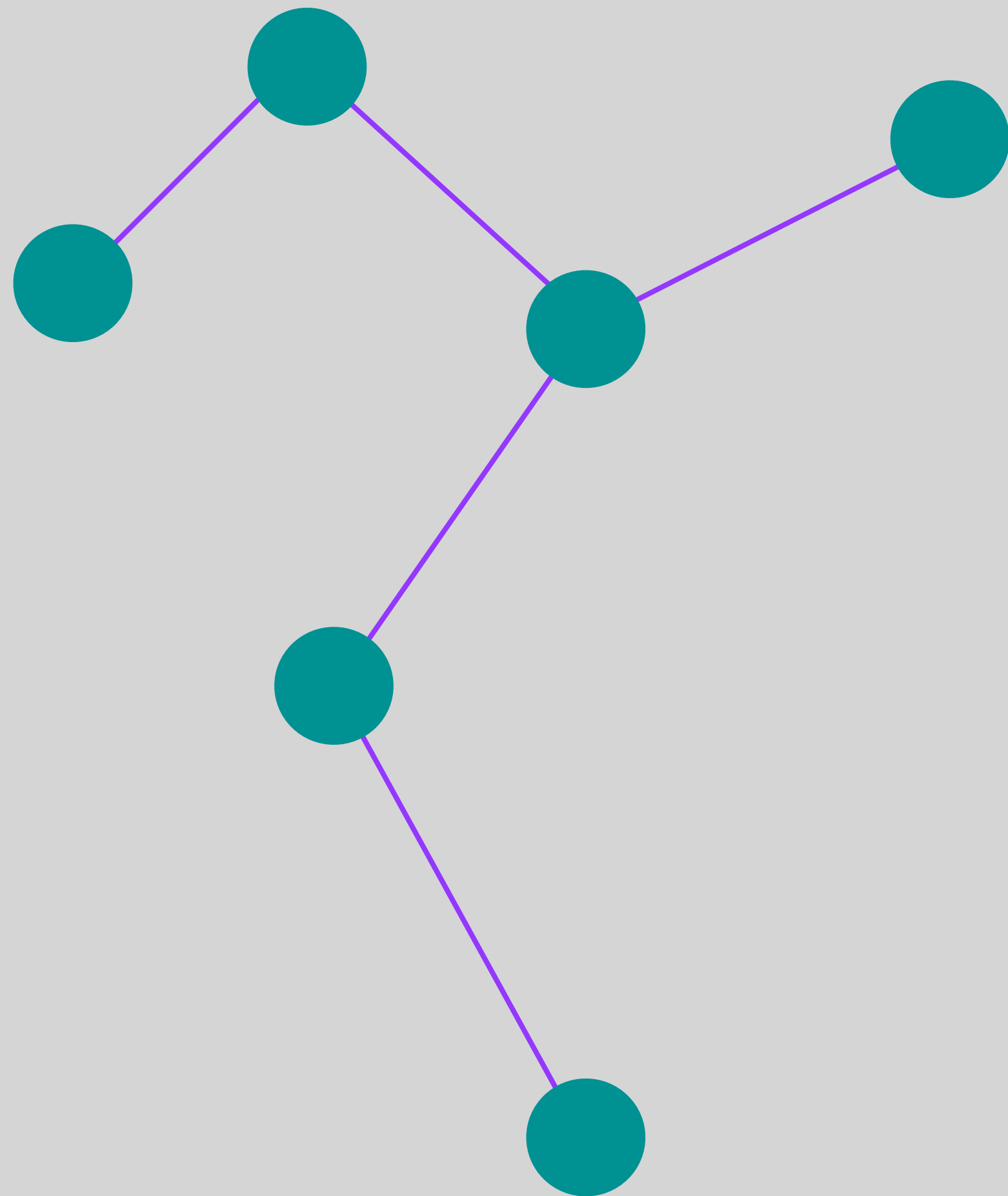
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Metric TSP : 2-Approximation

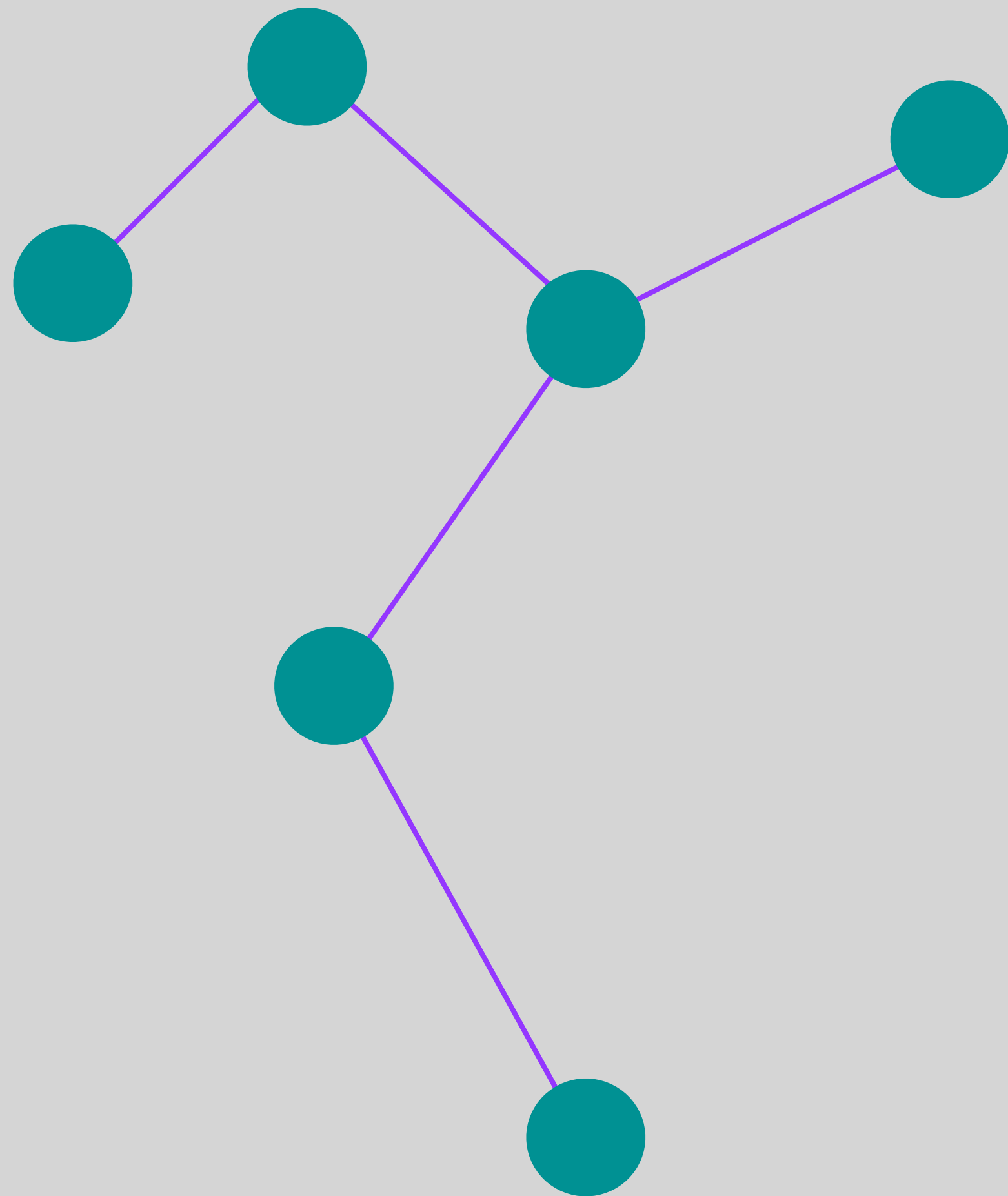
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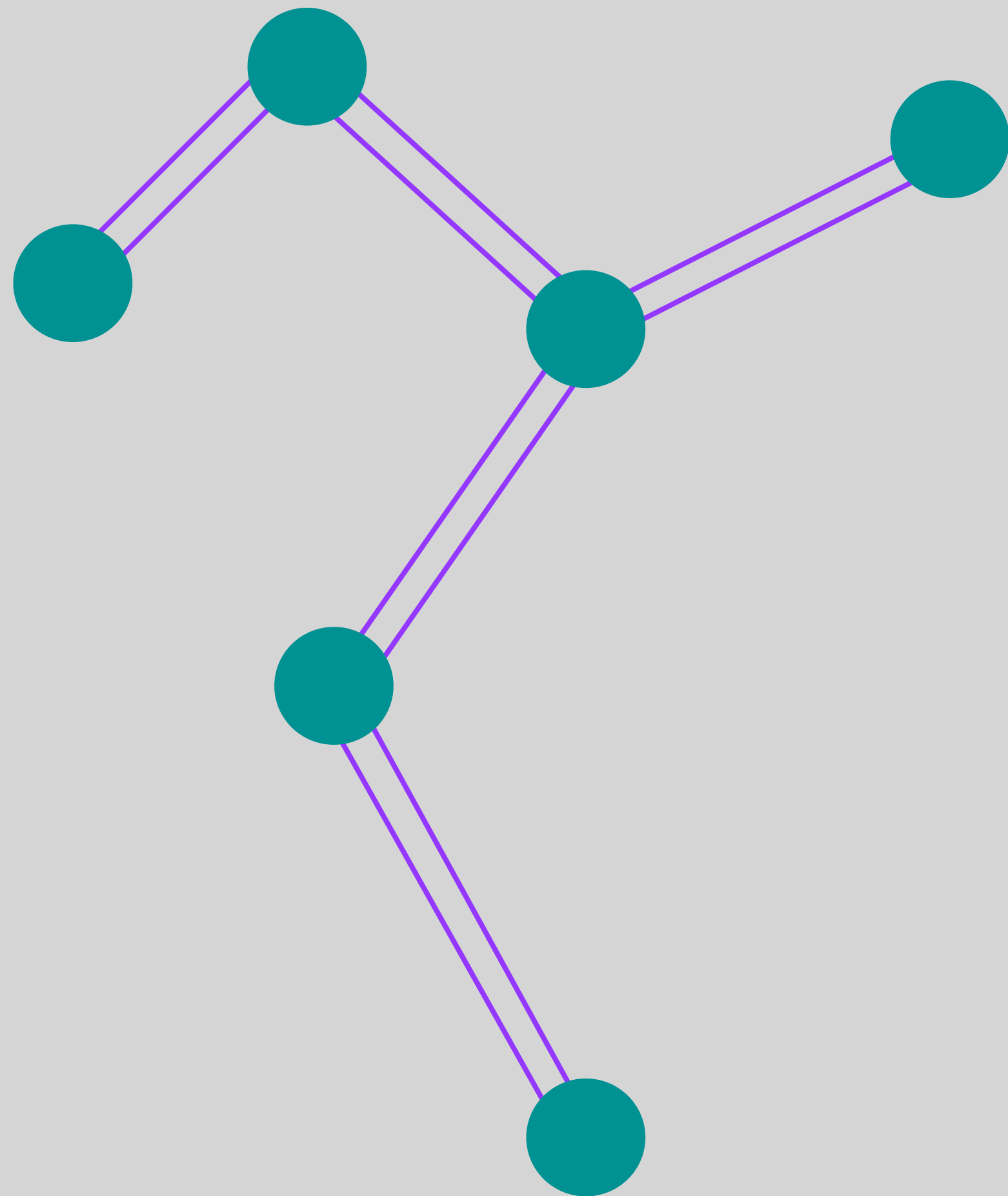


1. Find the MST T

2. Duplicate all edges of T

Metric TSP : 2-Approximation

Algorithm

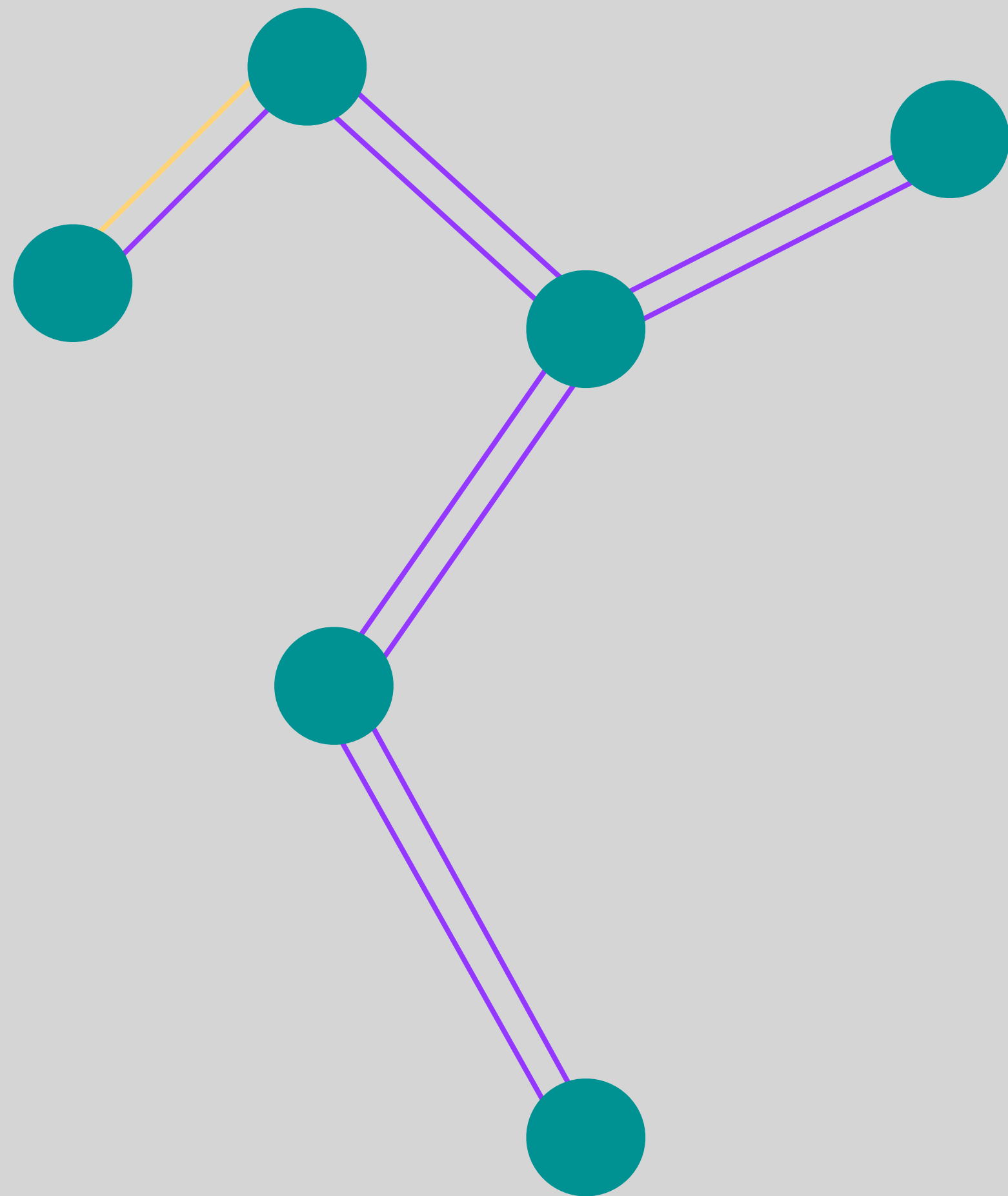


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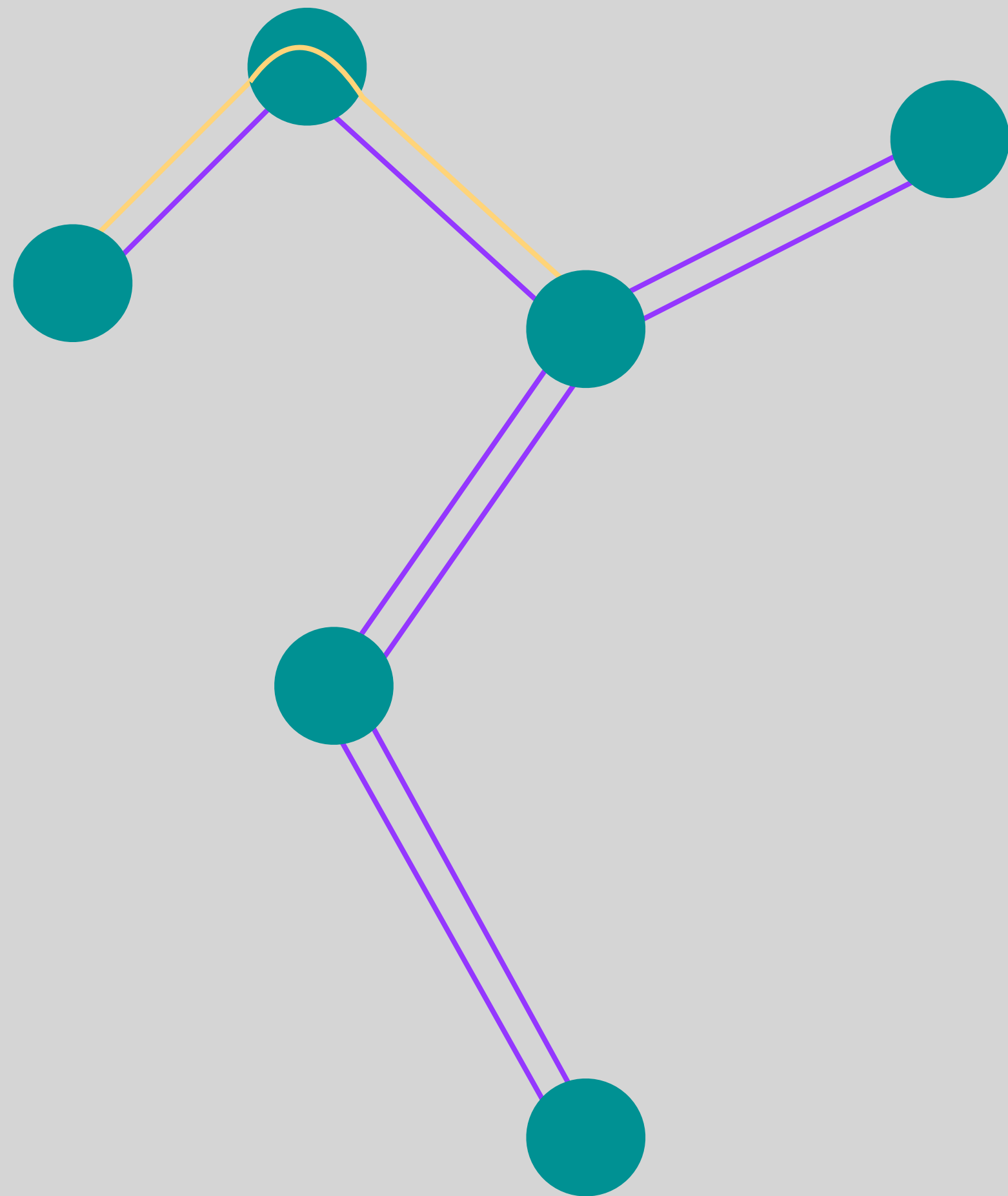
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1. Find the MST T
2. Duplicate all edges of T
3. Find Eulerian Tour W

Metric TSP : 2-Approximation

Algorithm



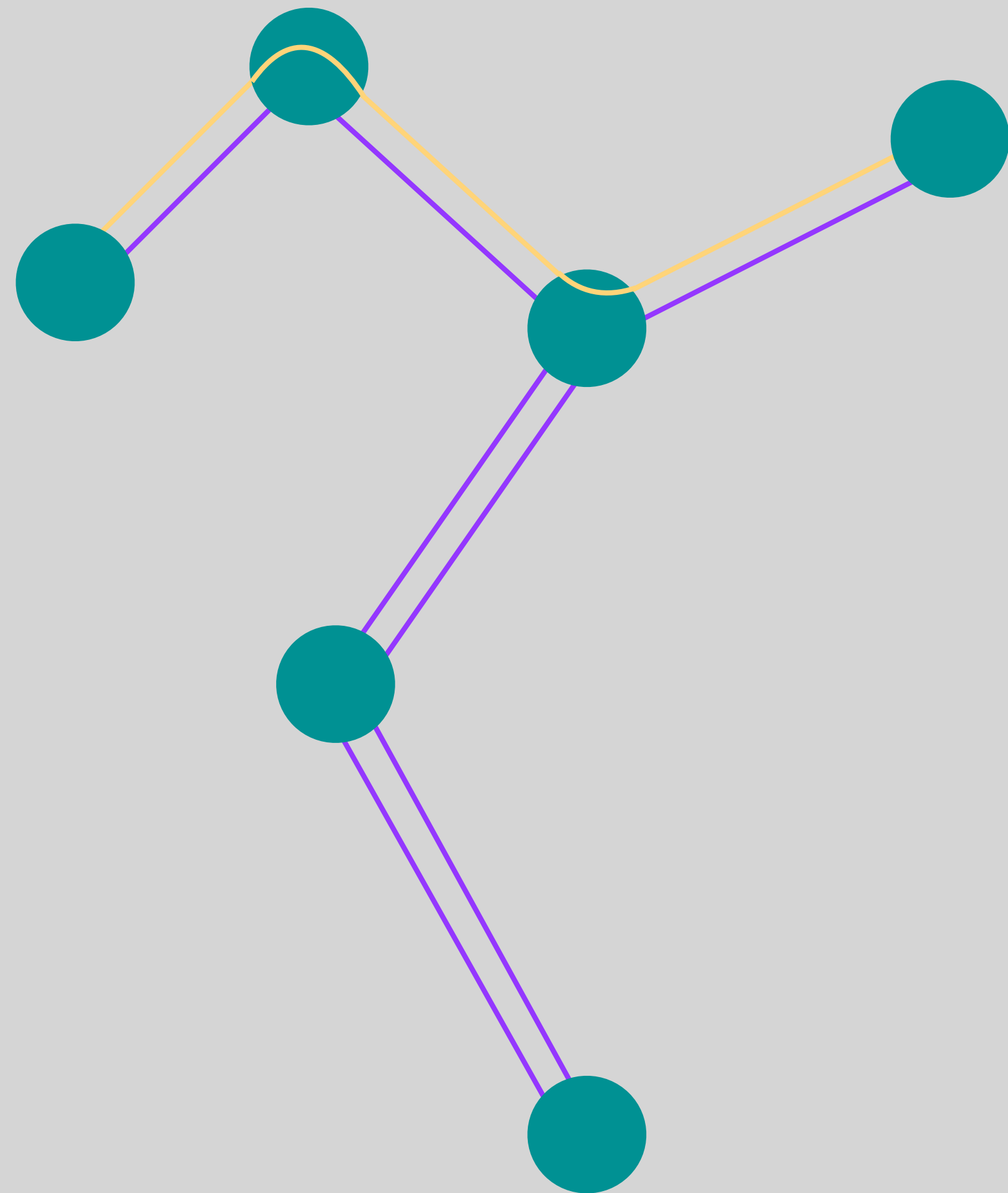
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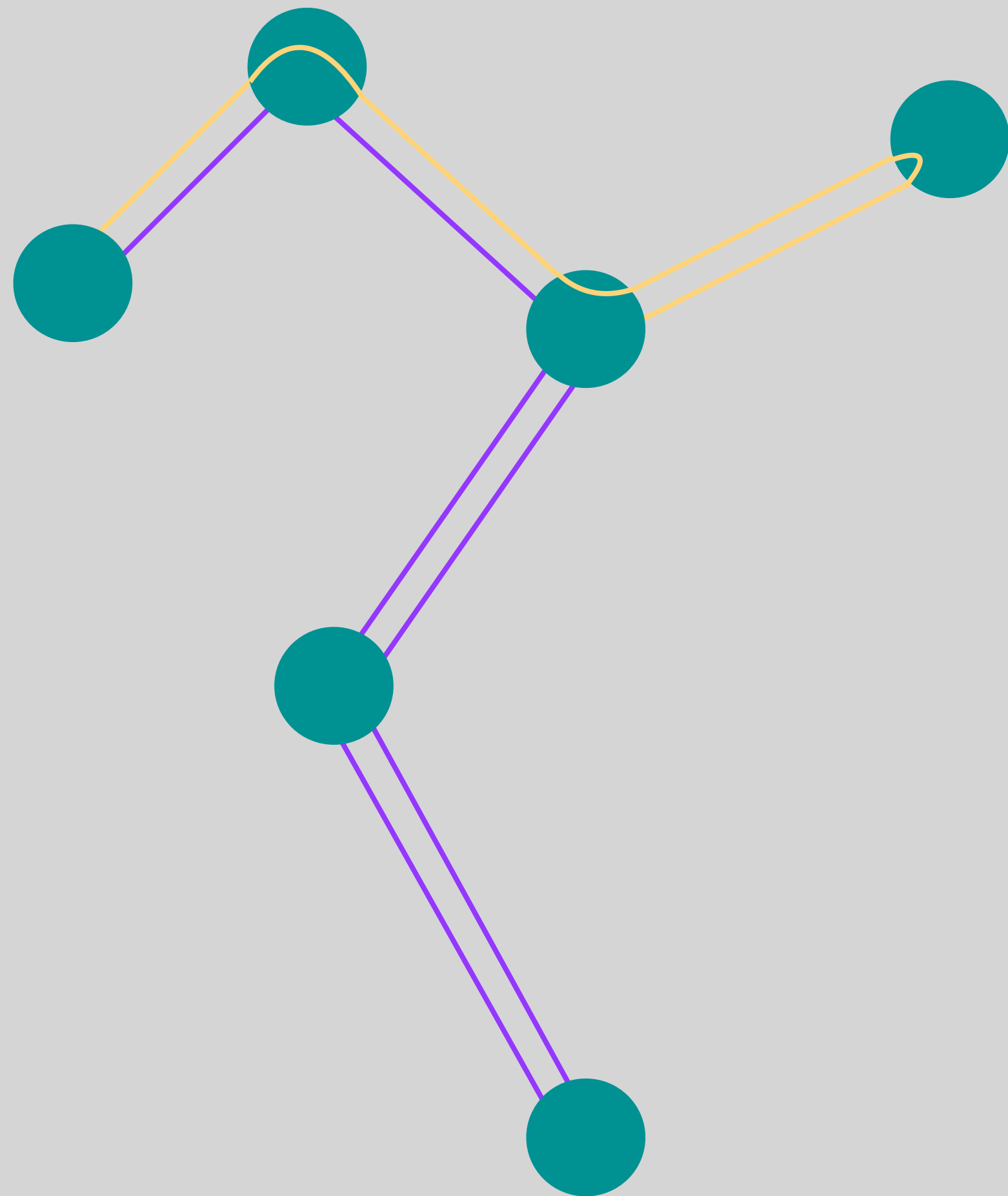
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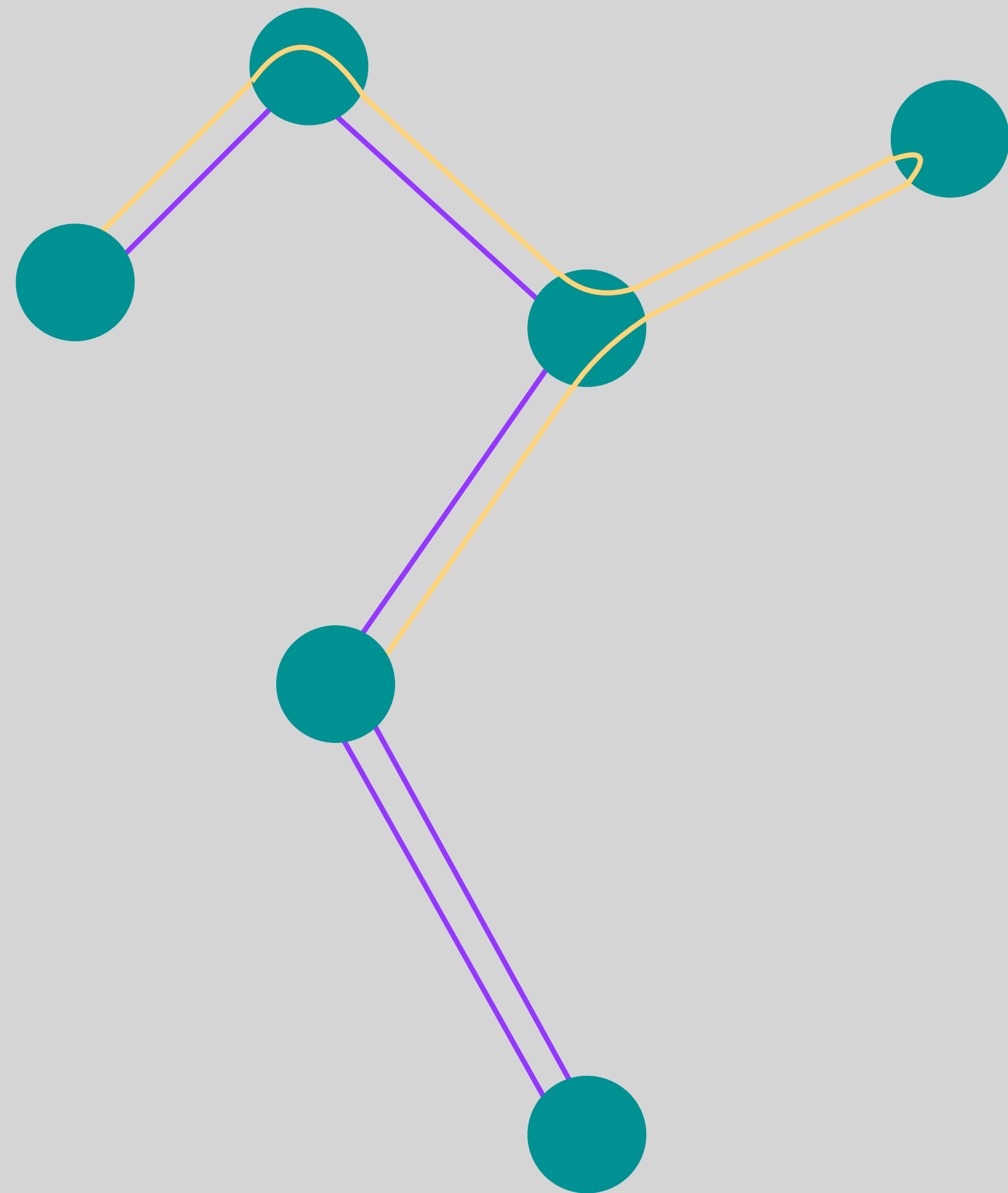
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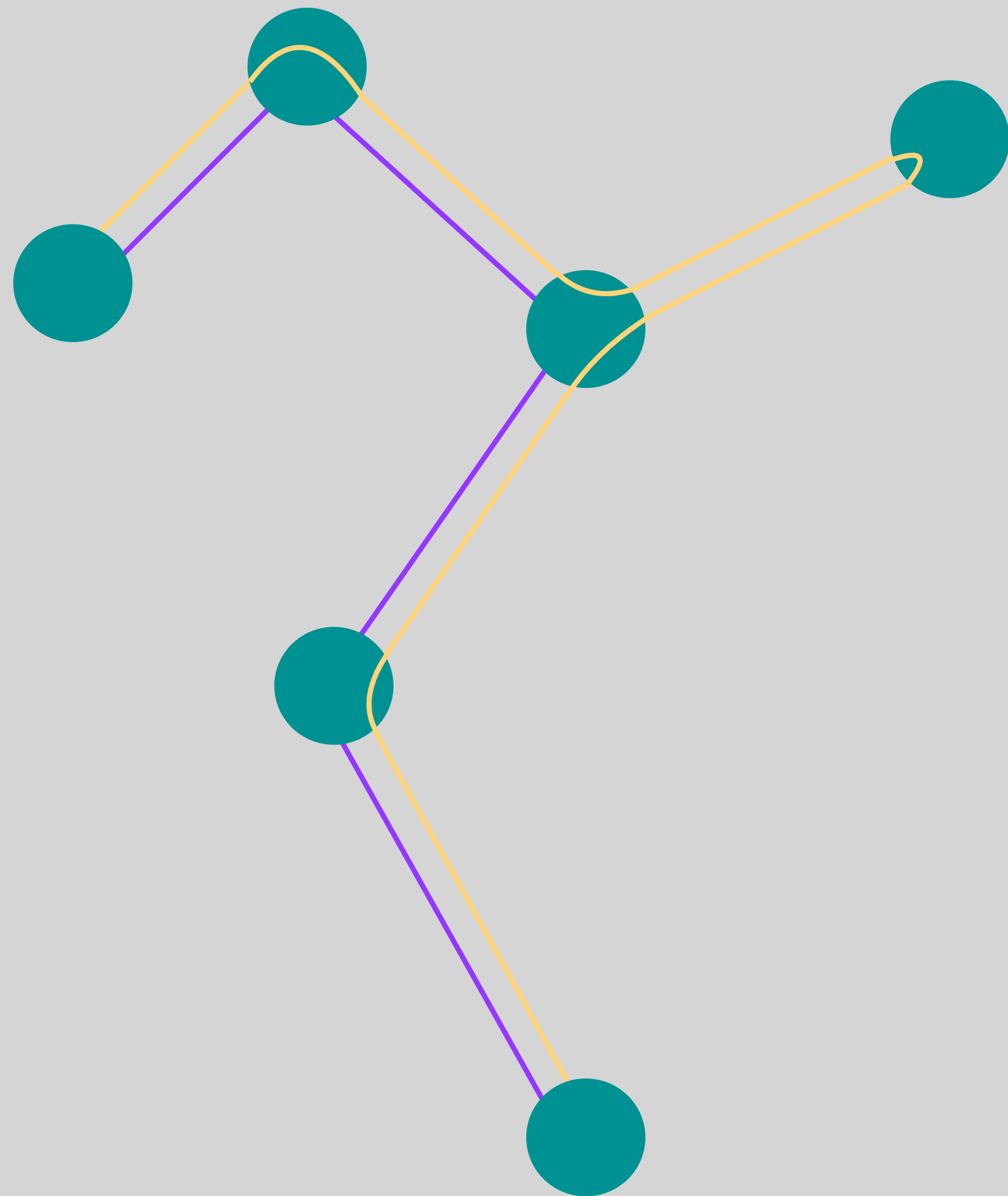
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1. Find the MST T
2. Duplicate all edges of T
3. Find Eulerian Tour W

Metric TSP : 2-Approximation

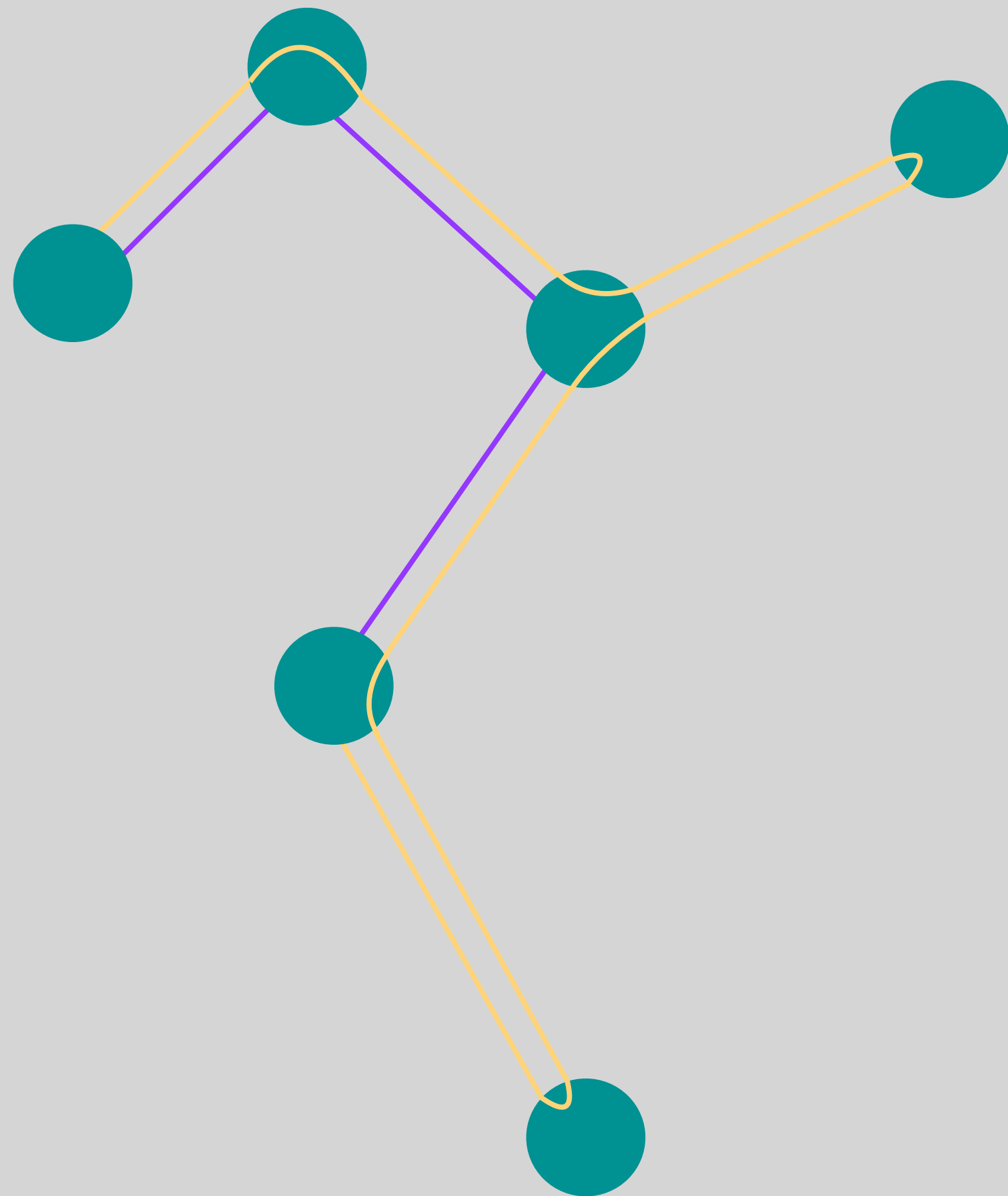
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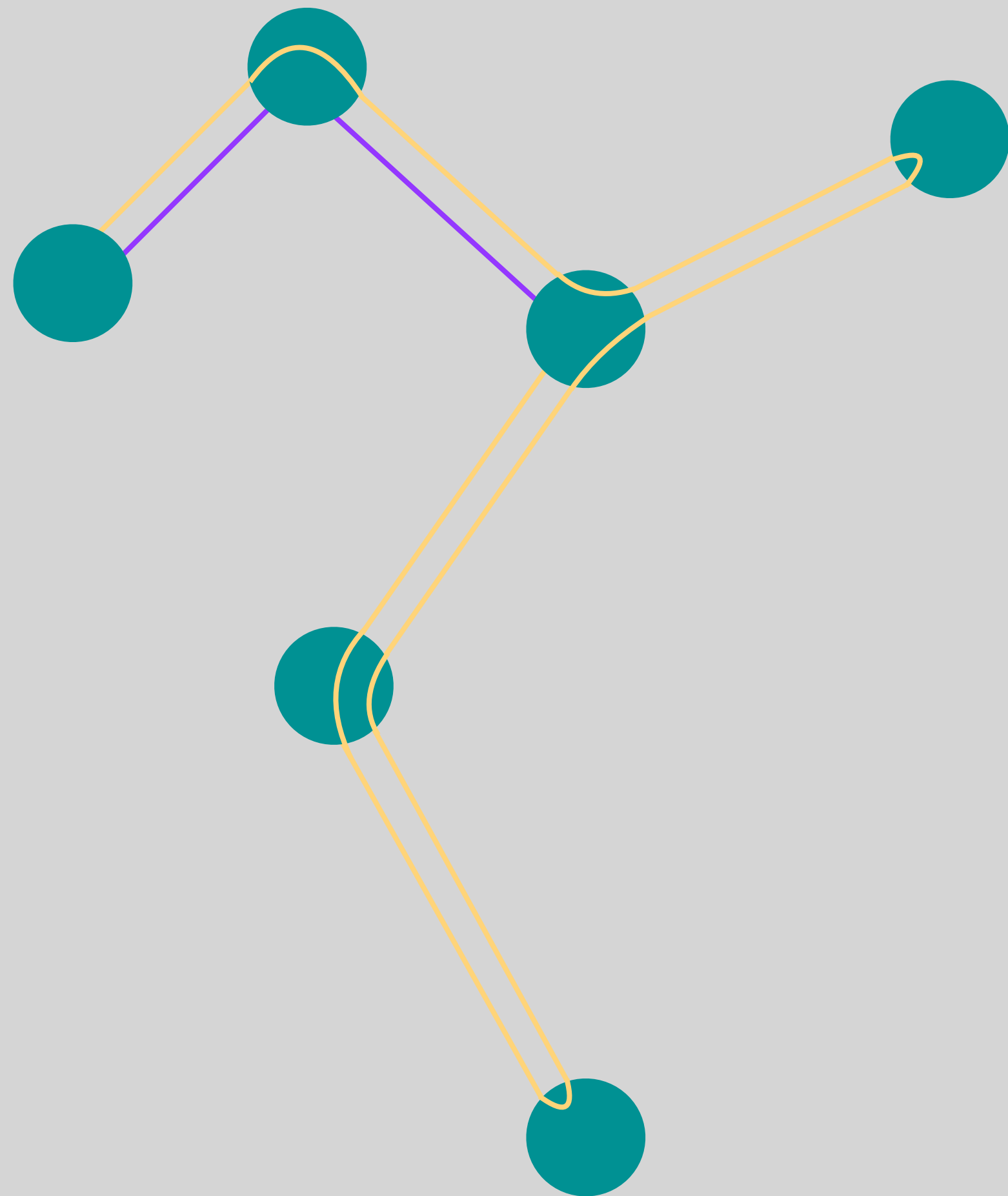
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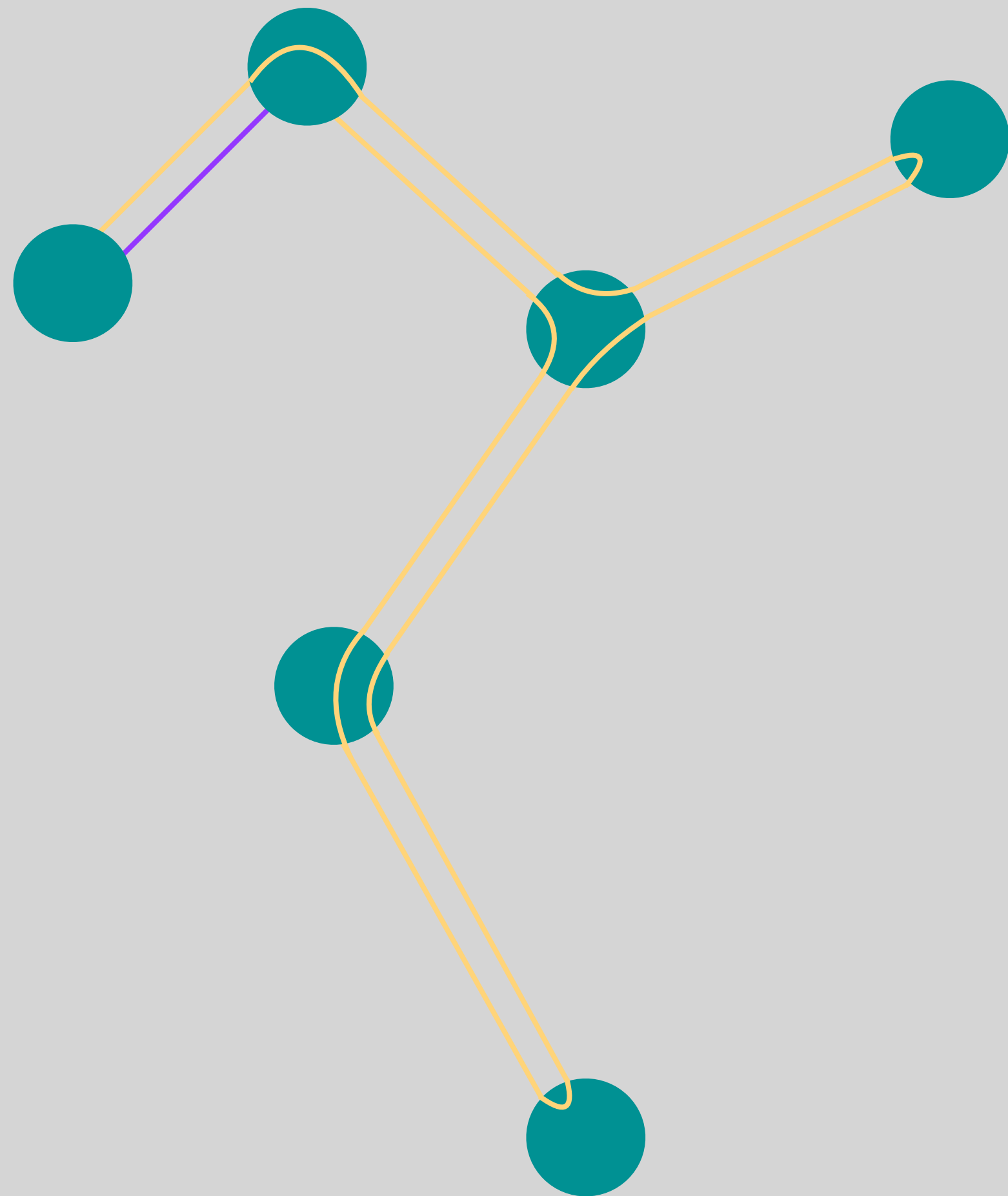
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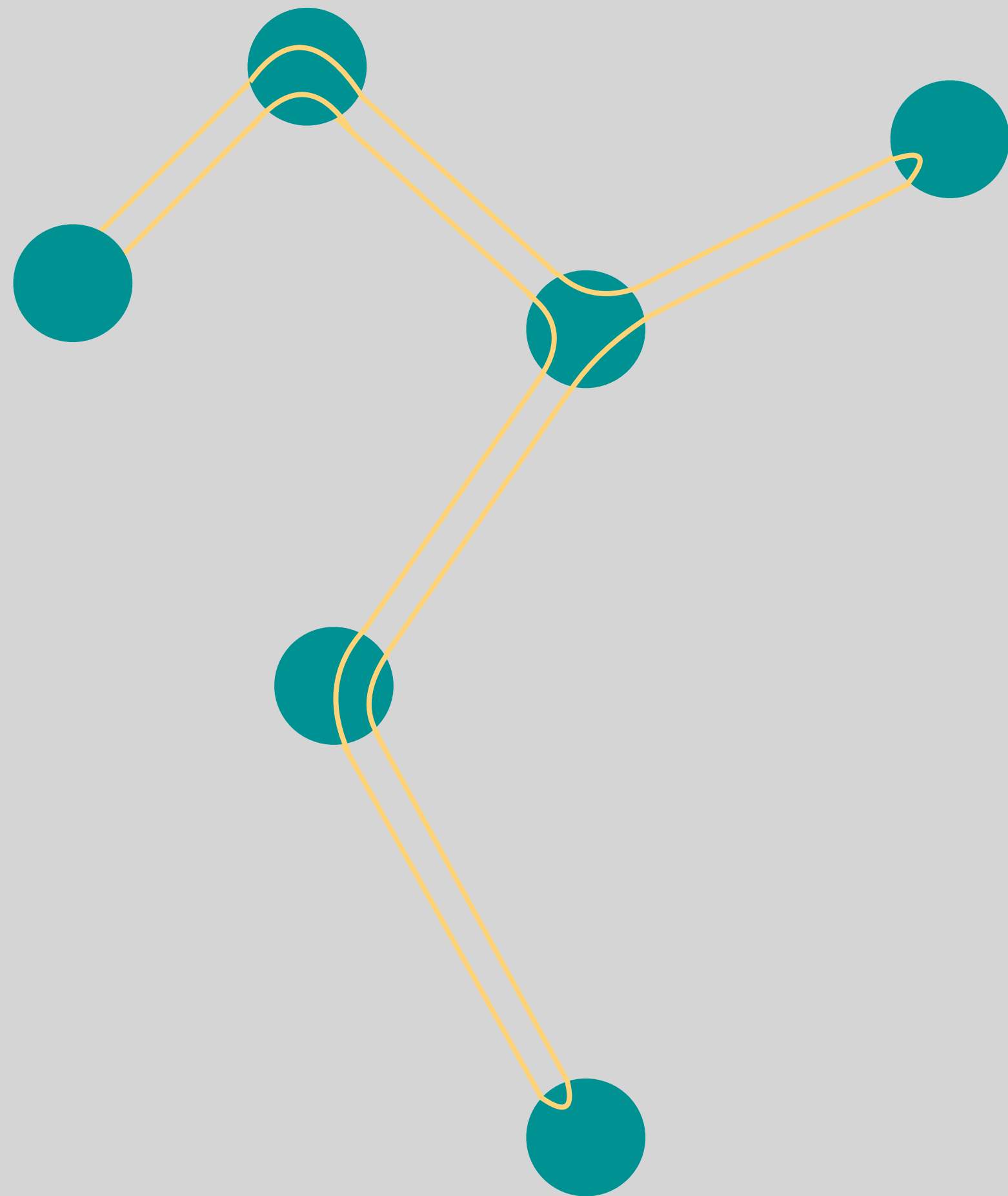
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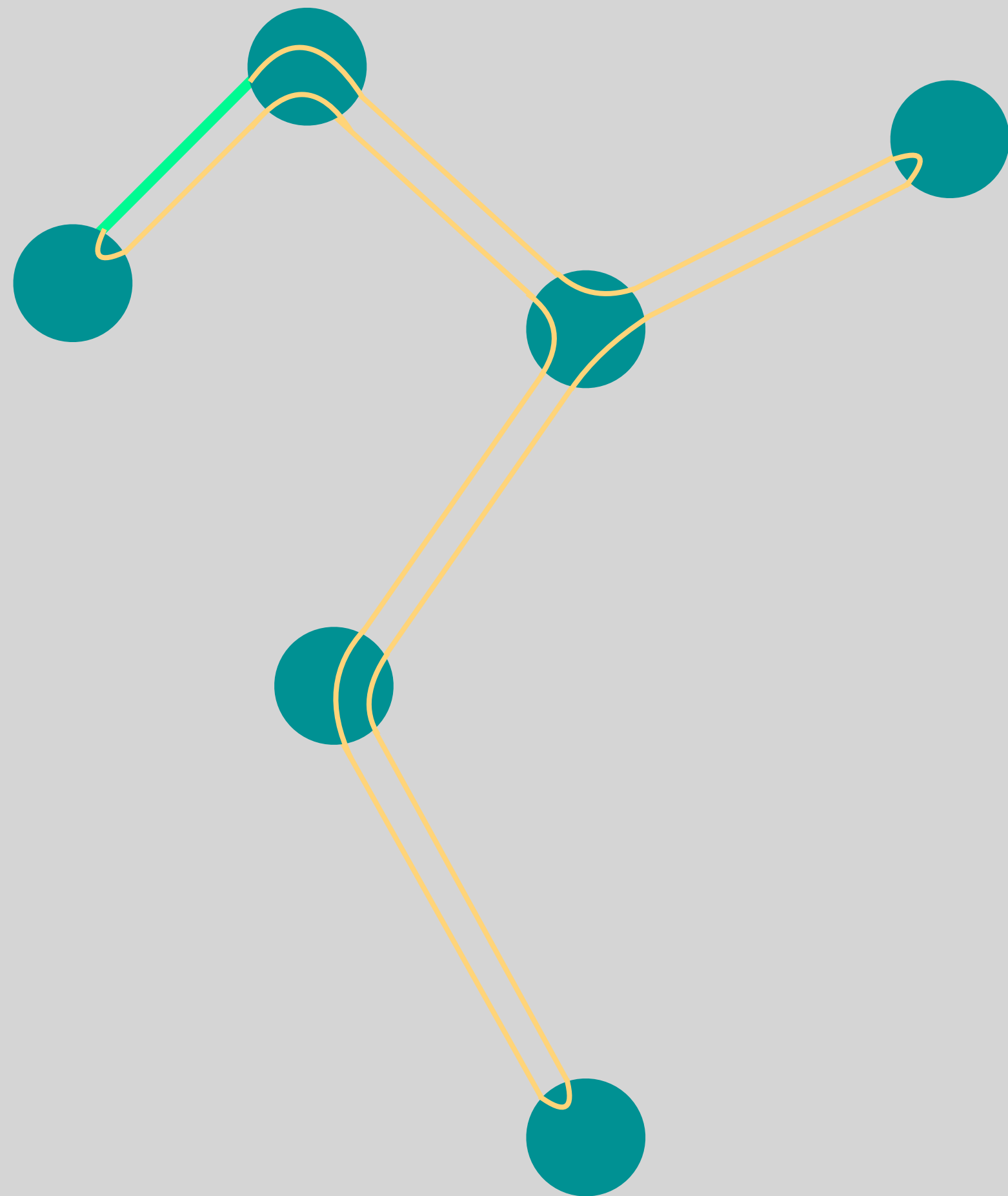
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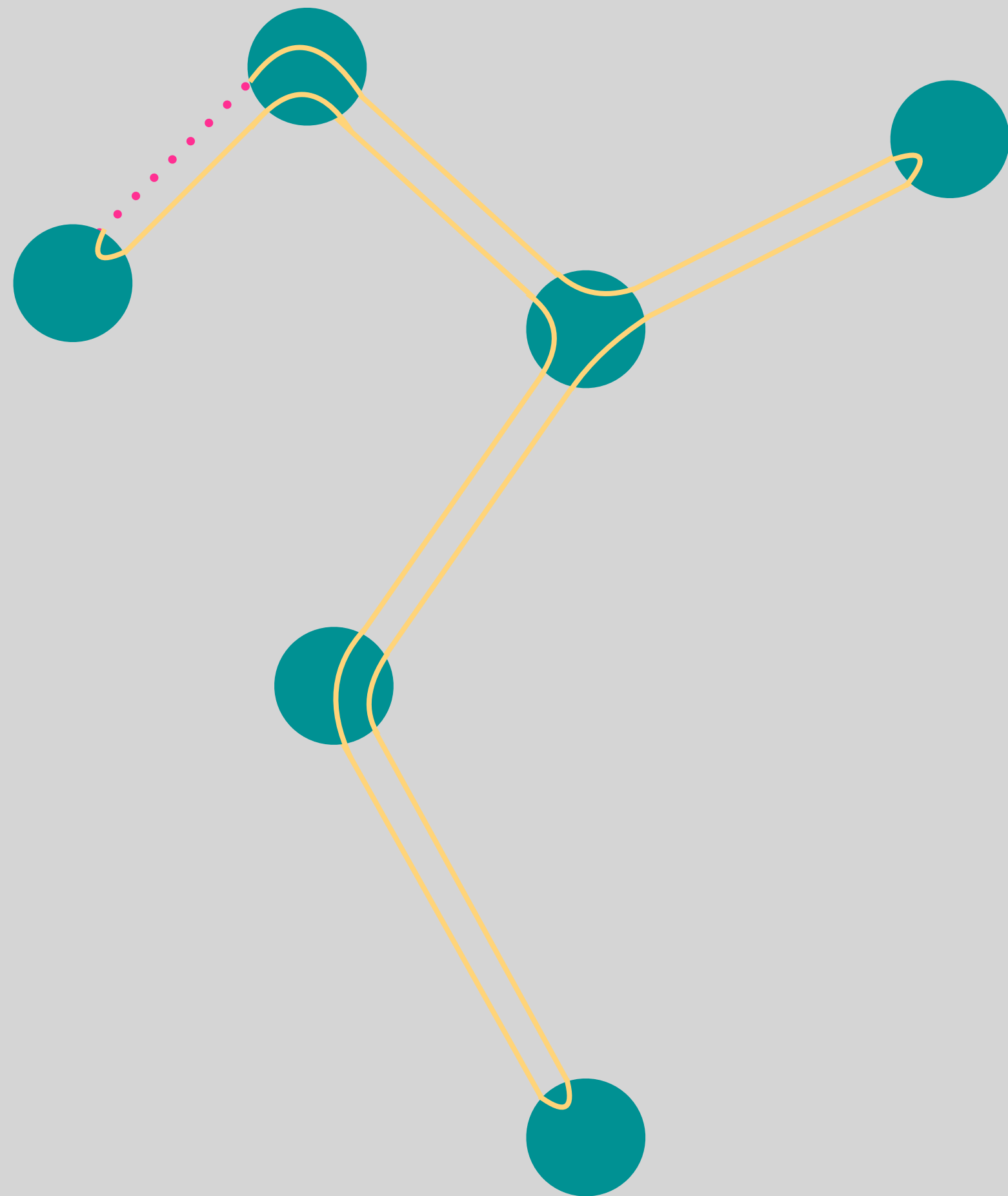
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Metric TSP : 2-Approximation

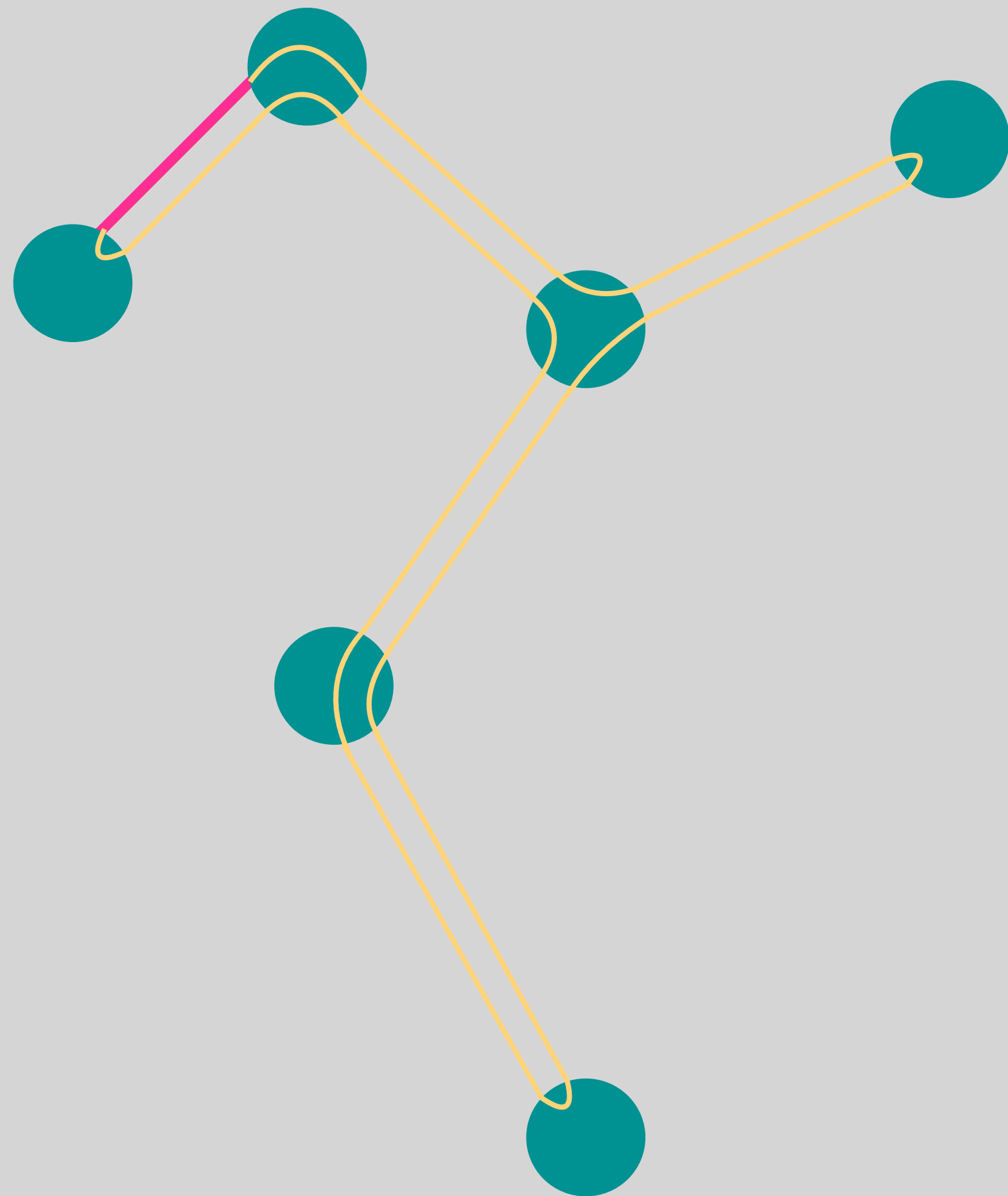
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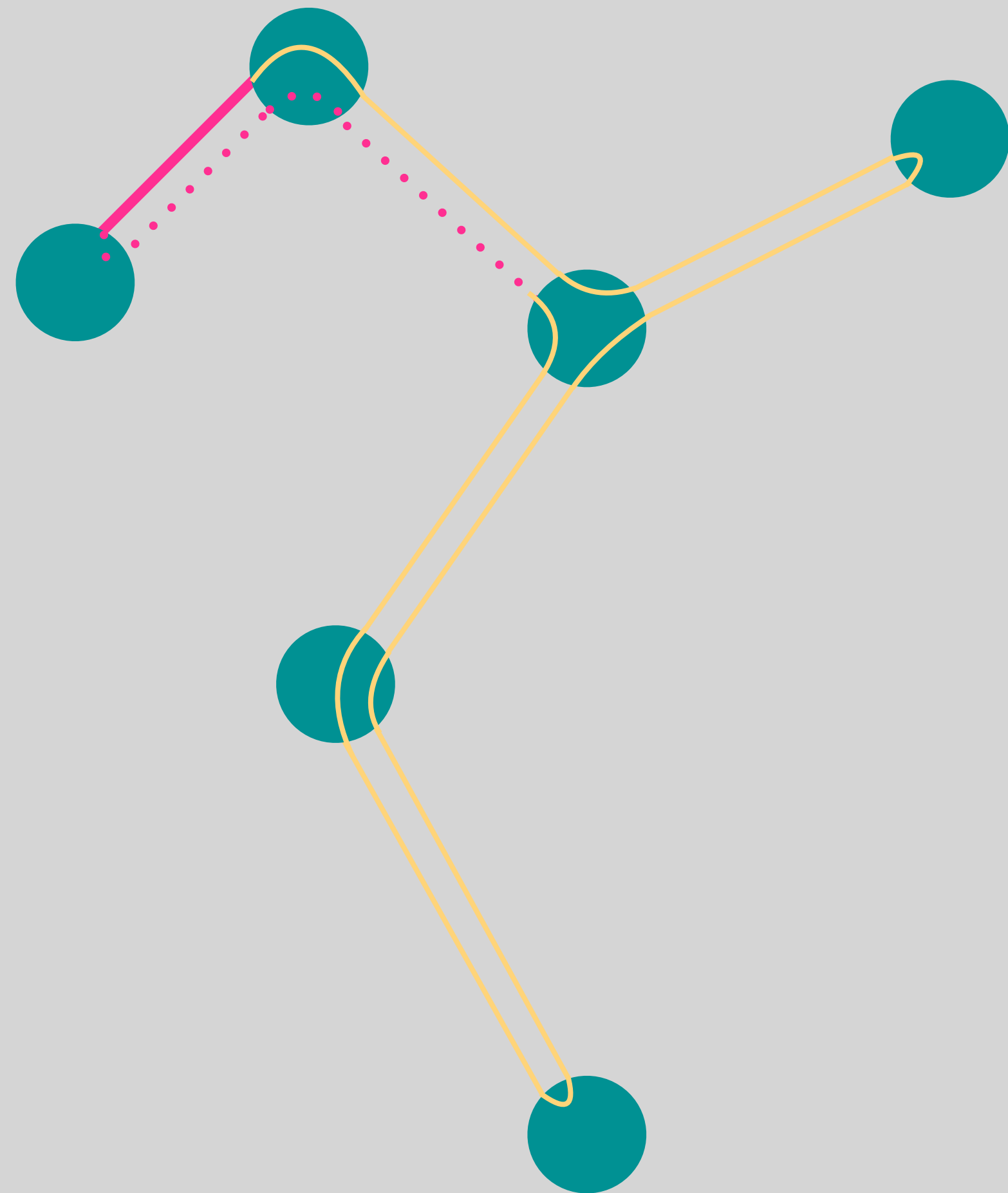
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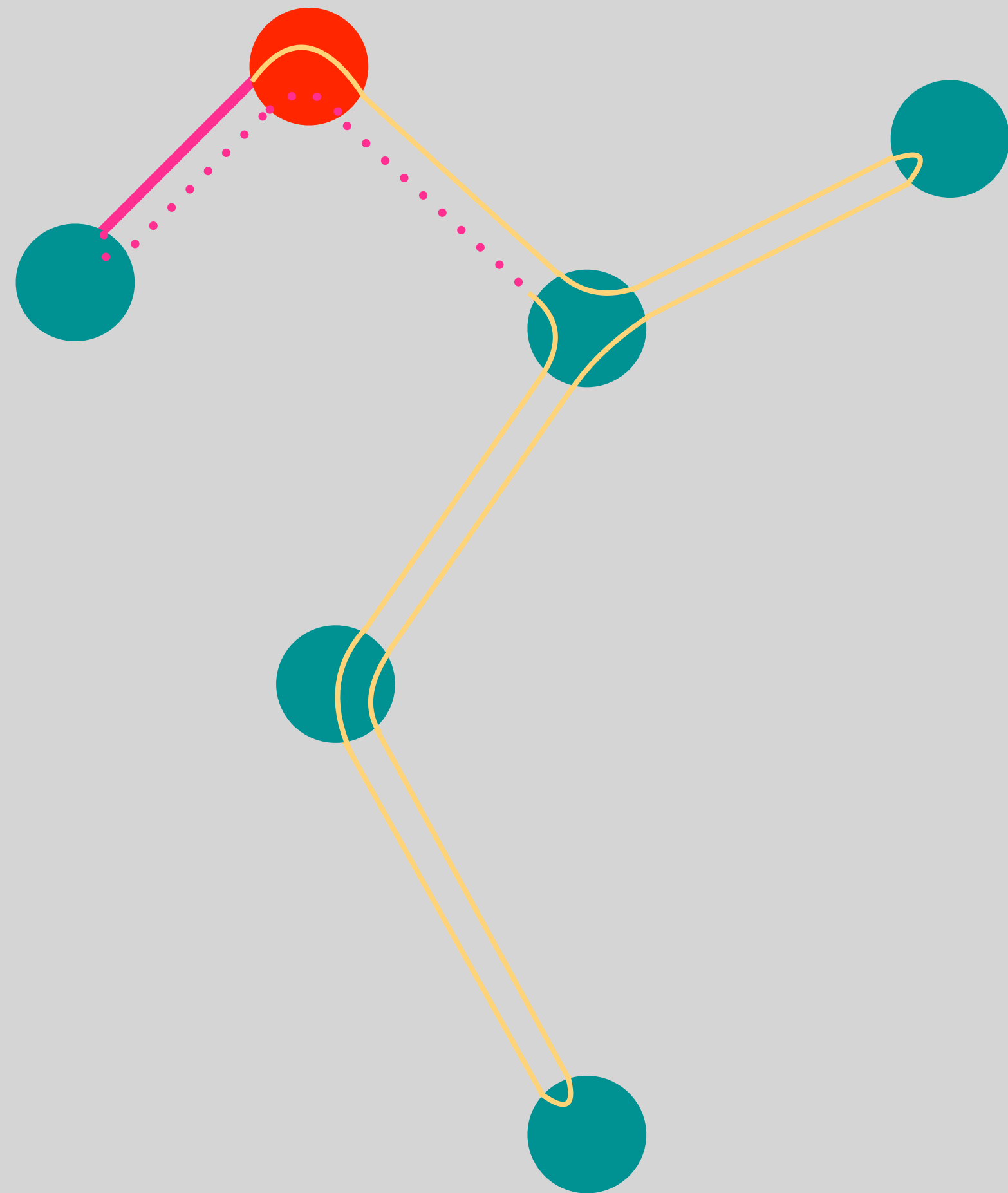
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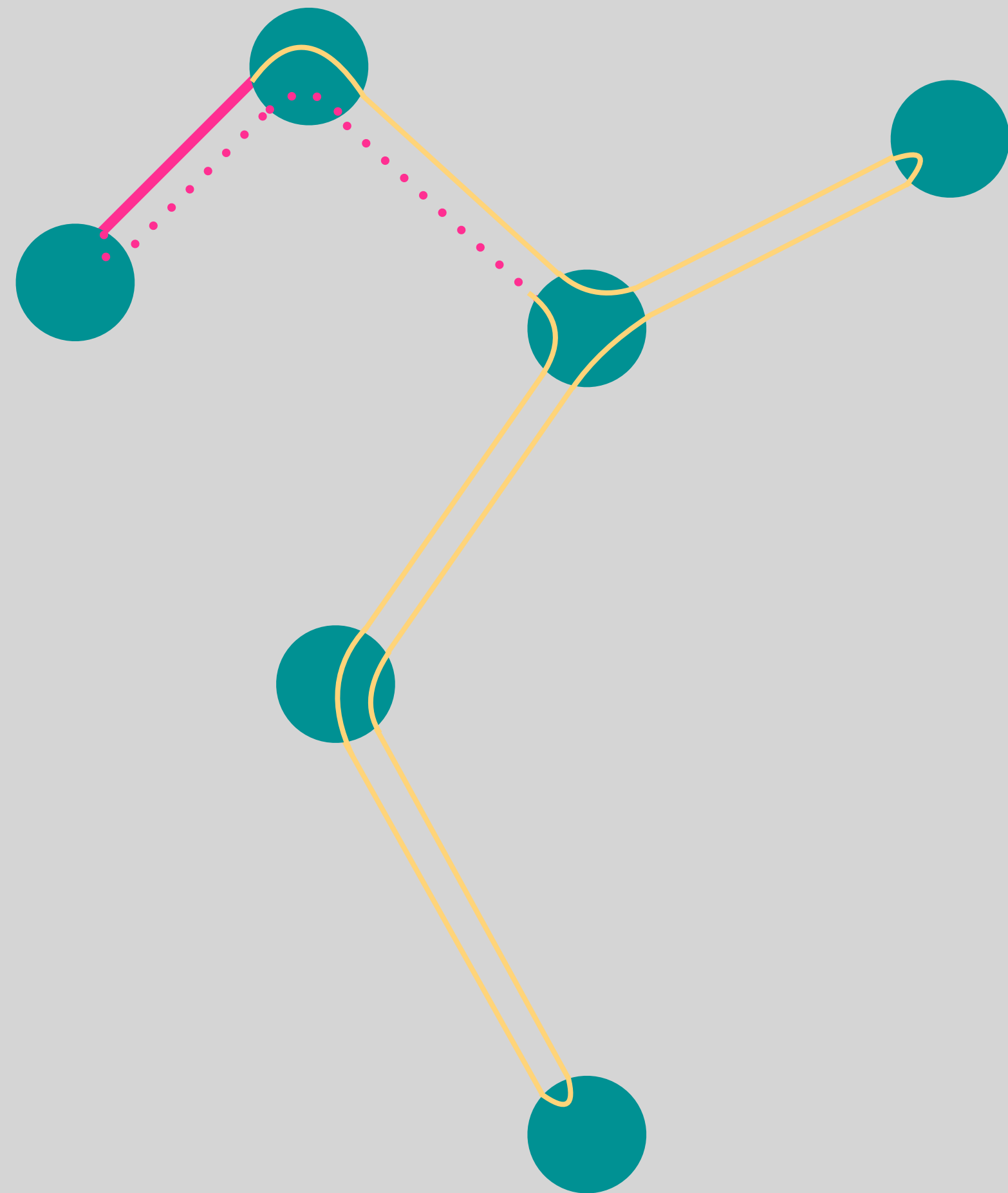
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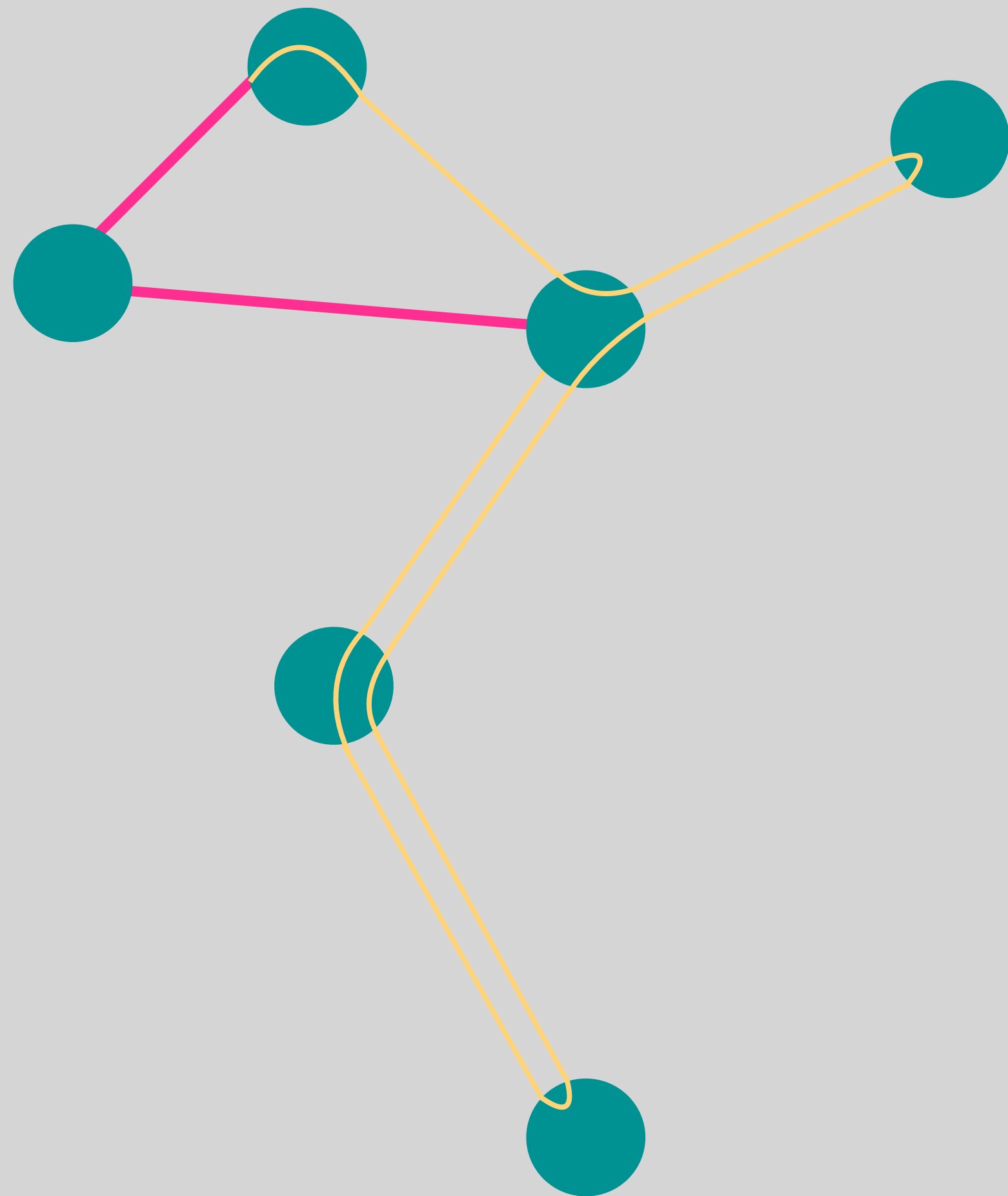
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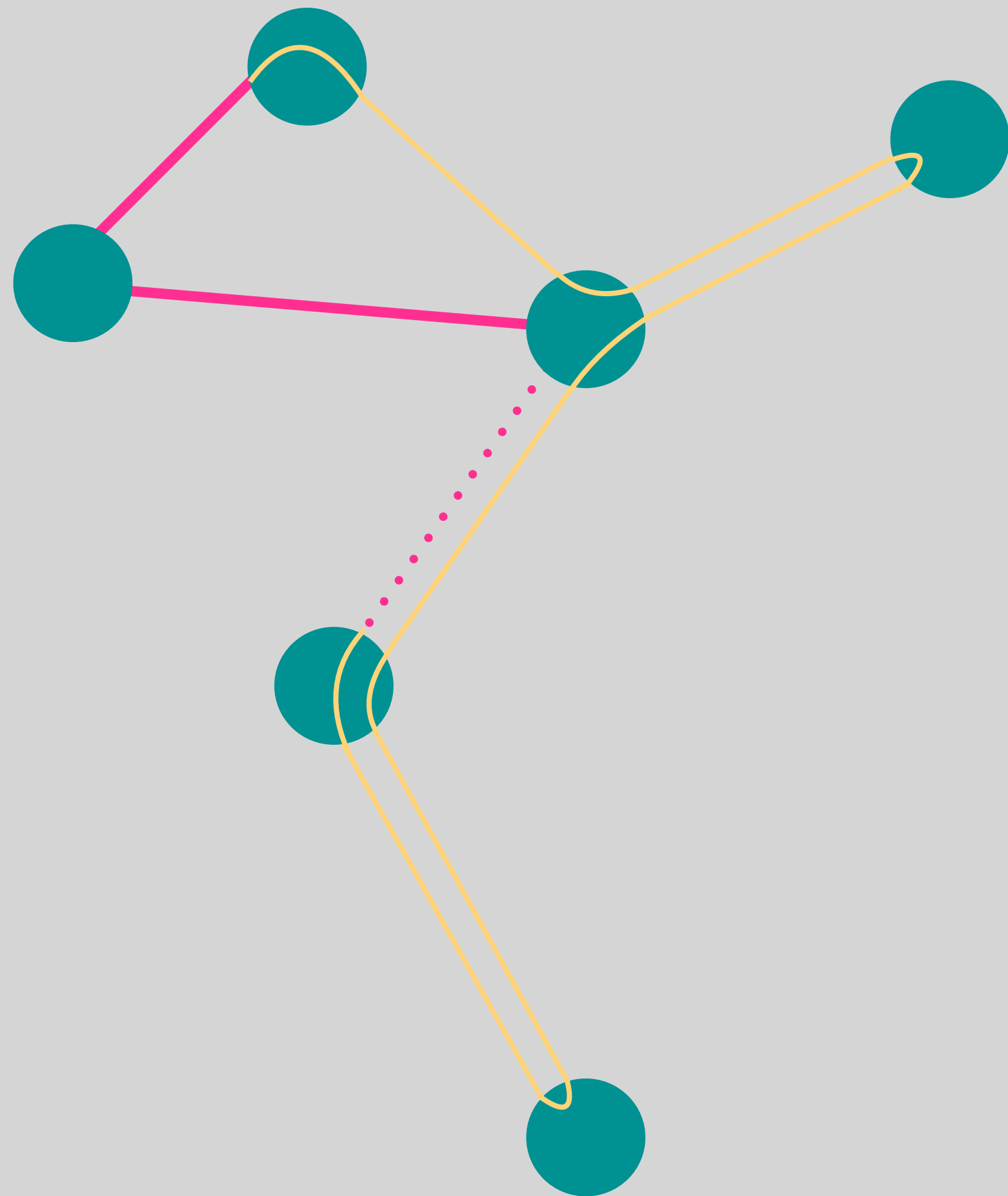
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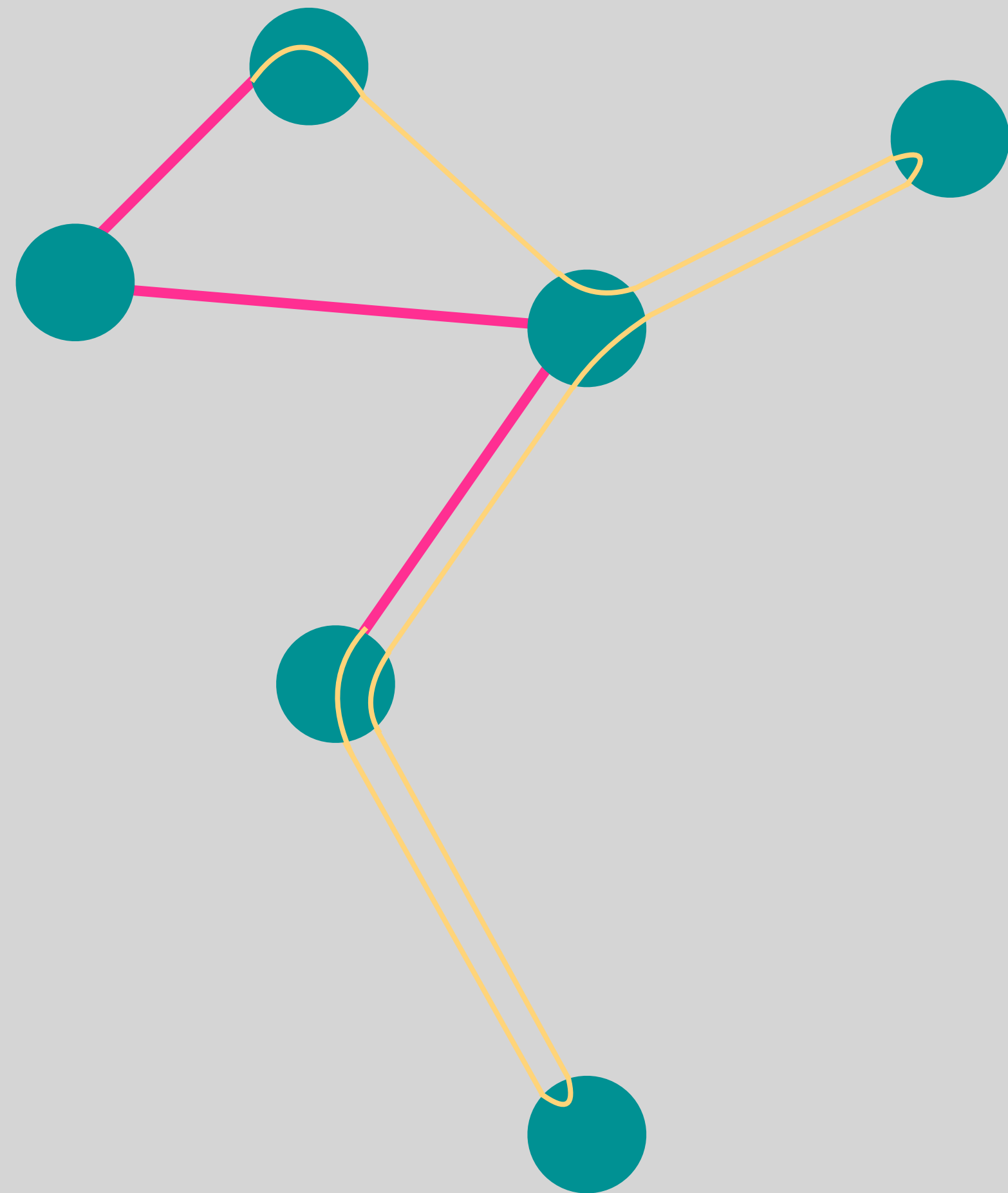
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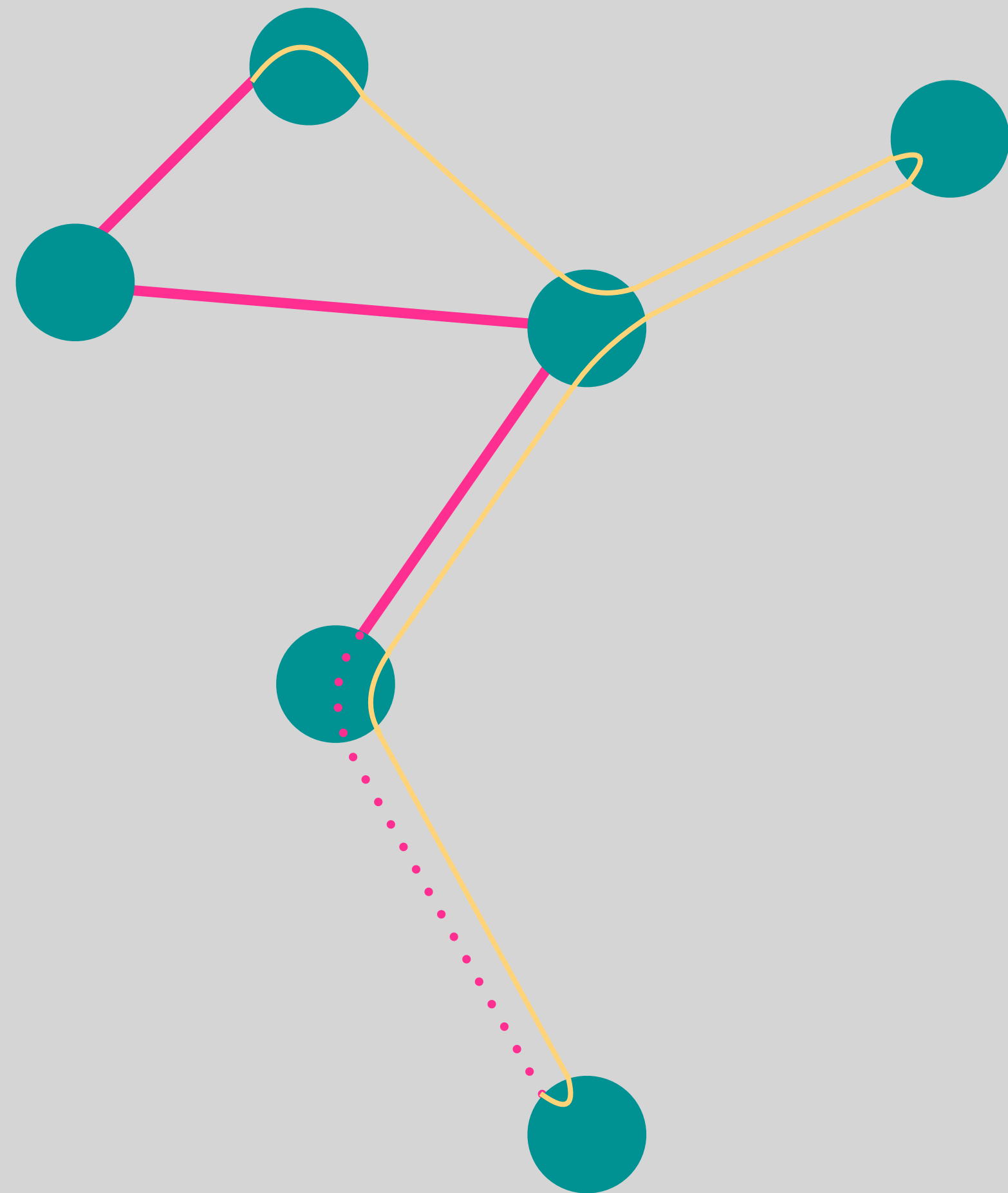
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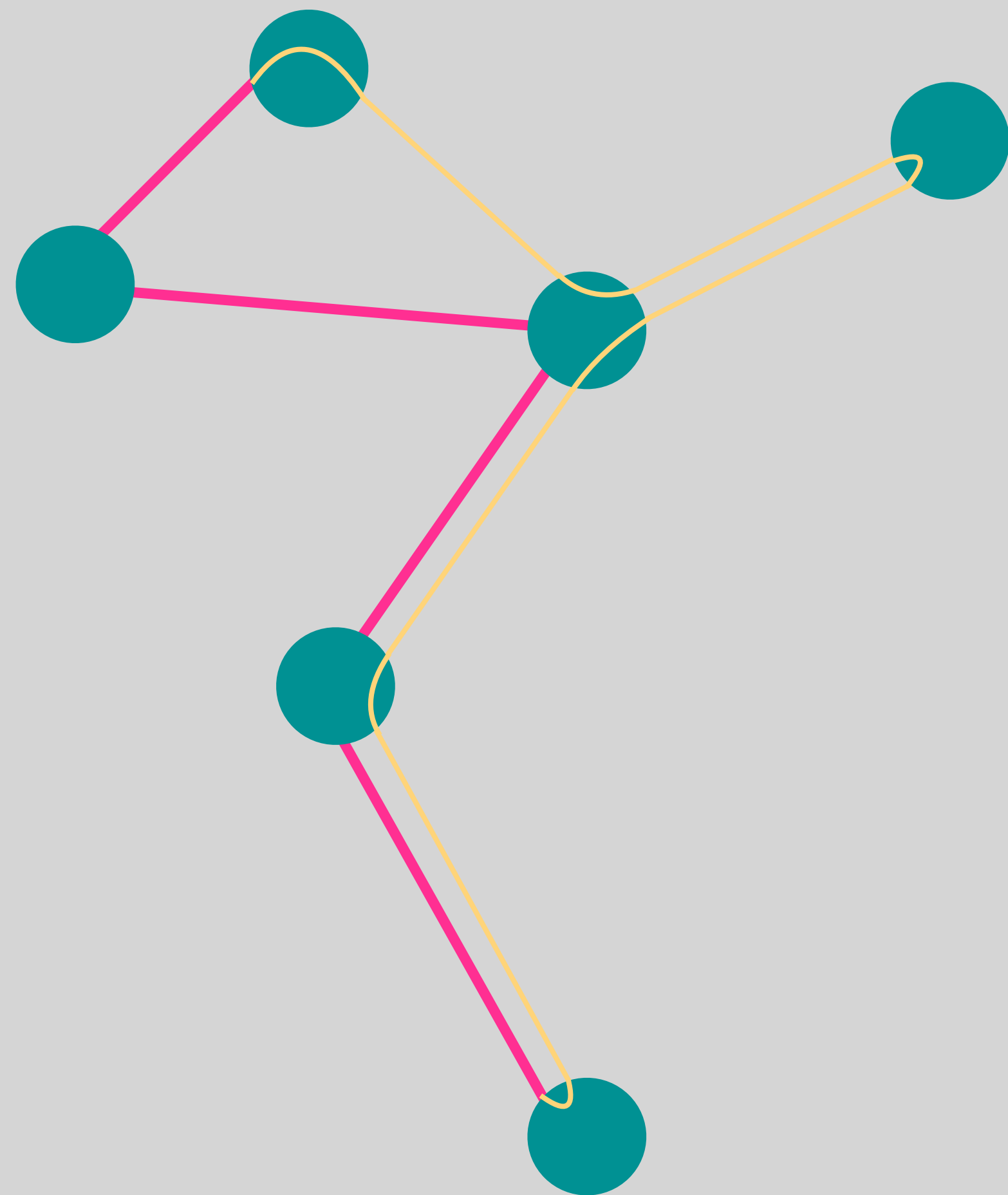
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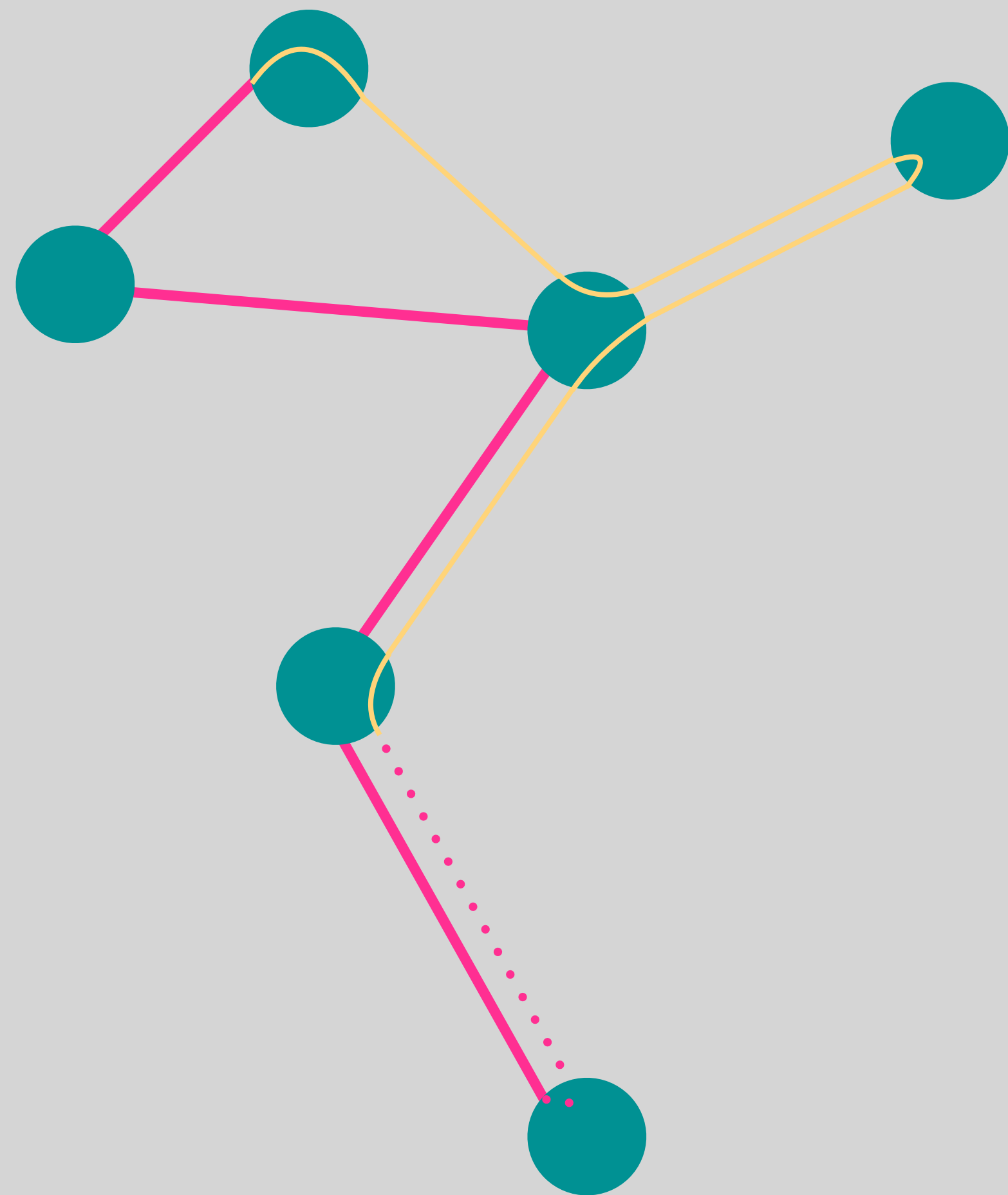
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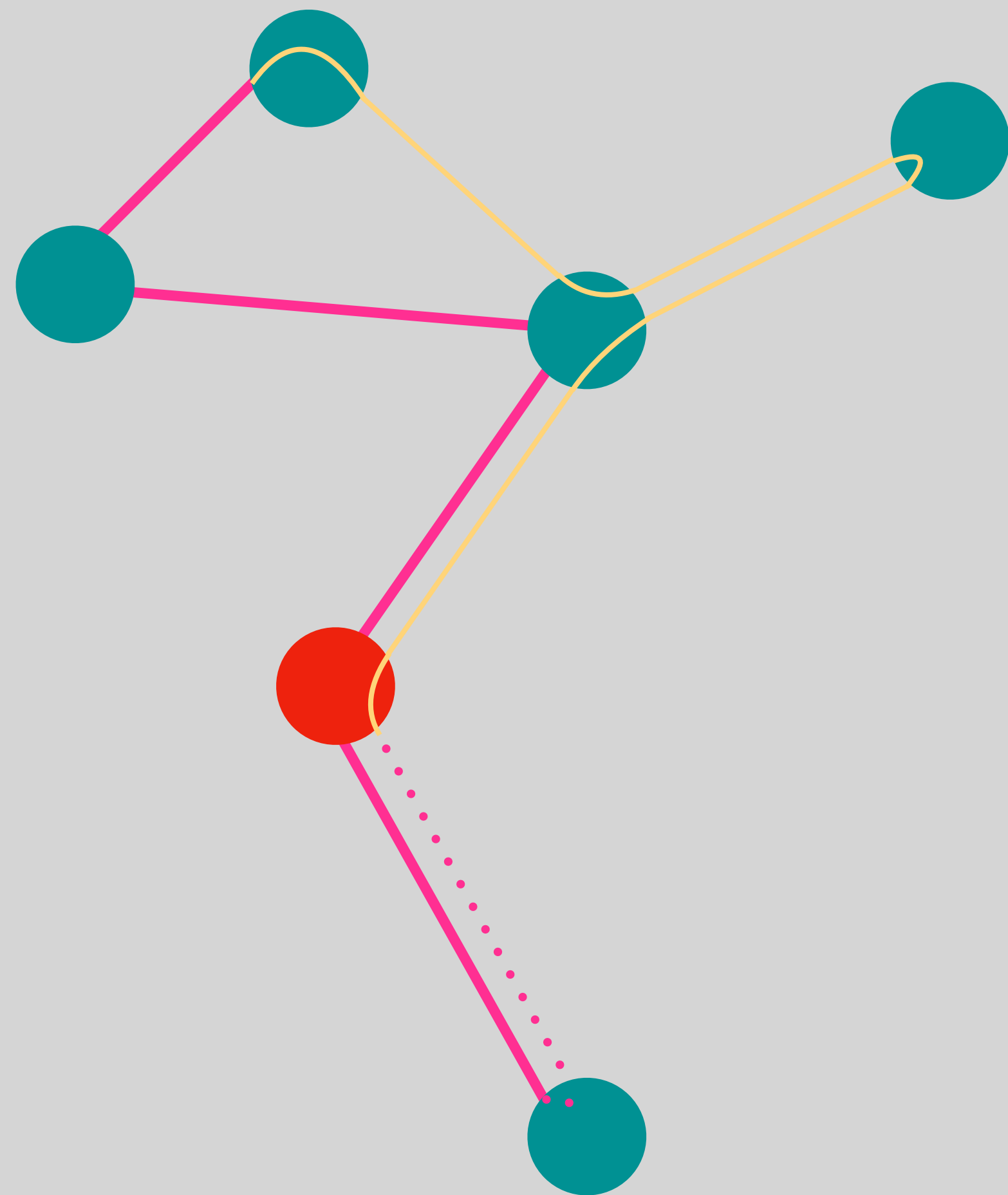
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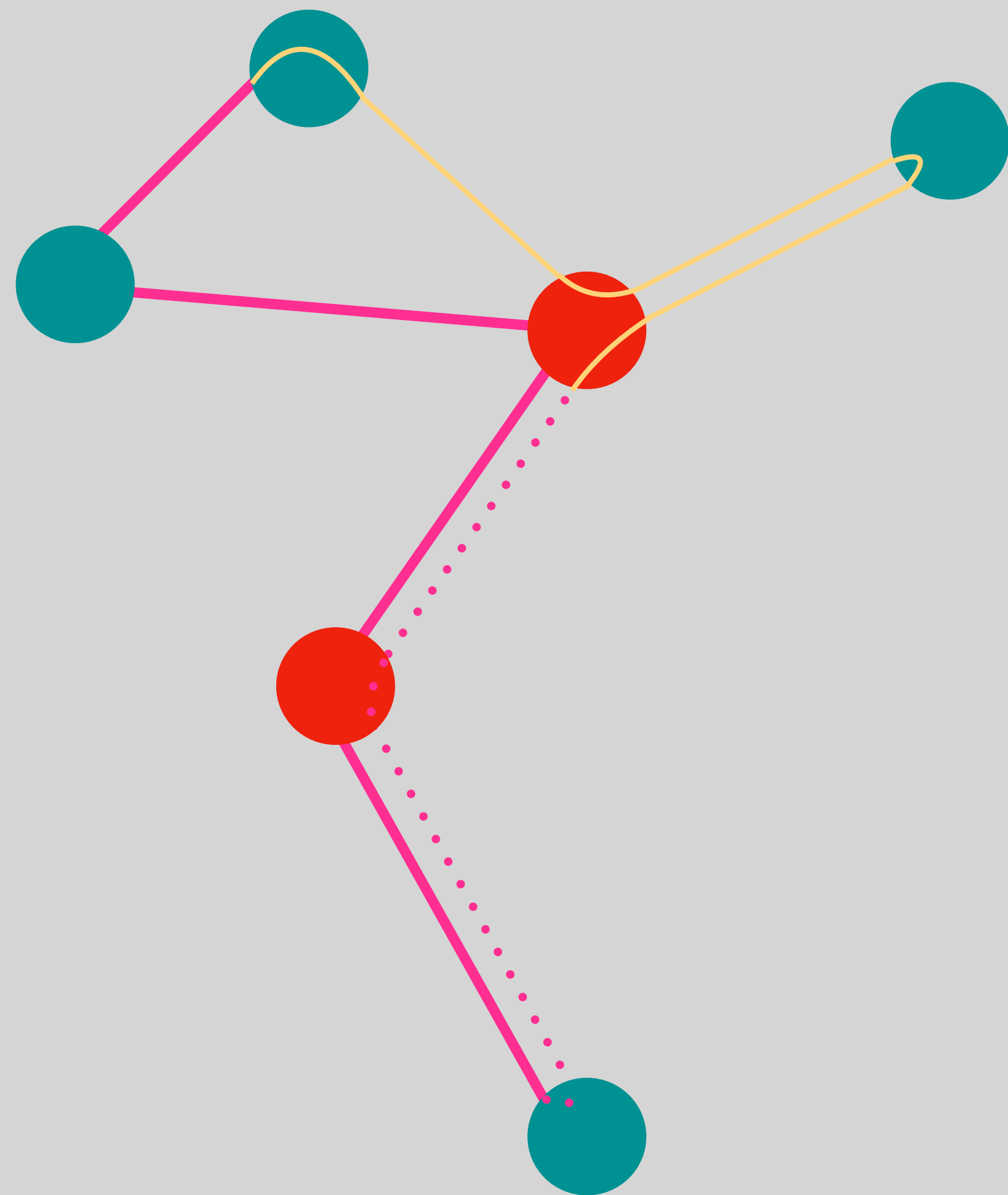
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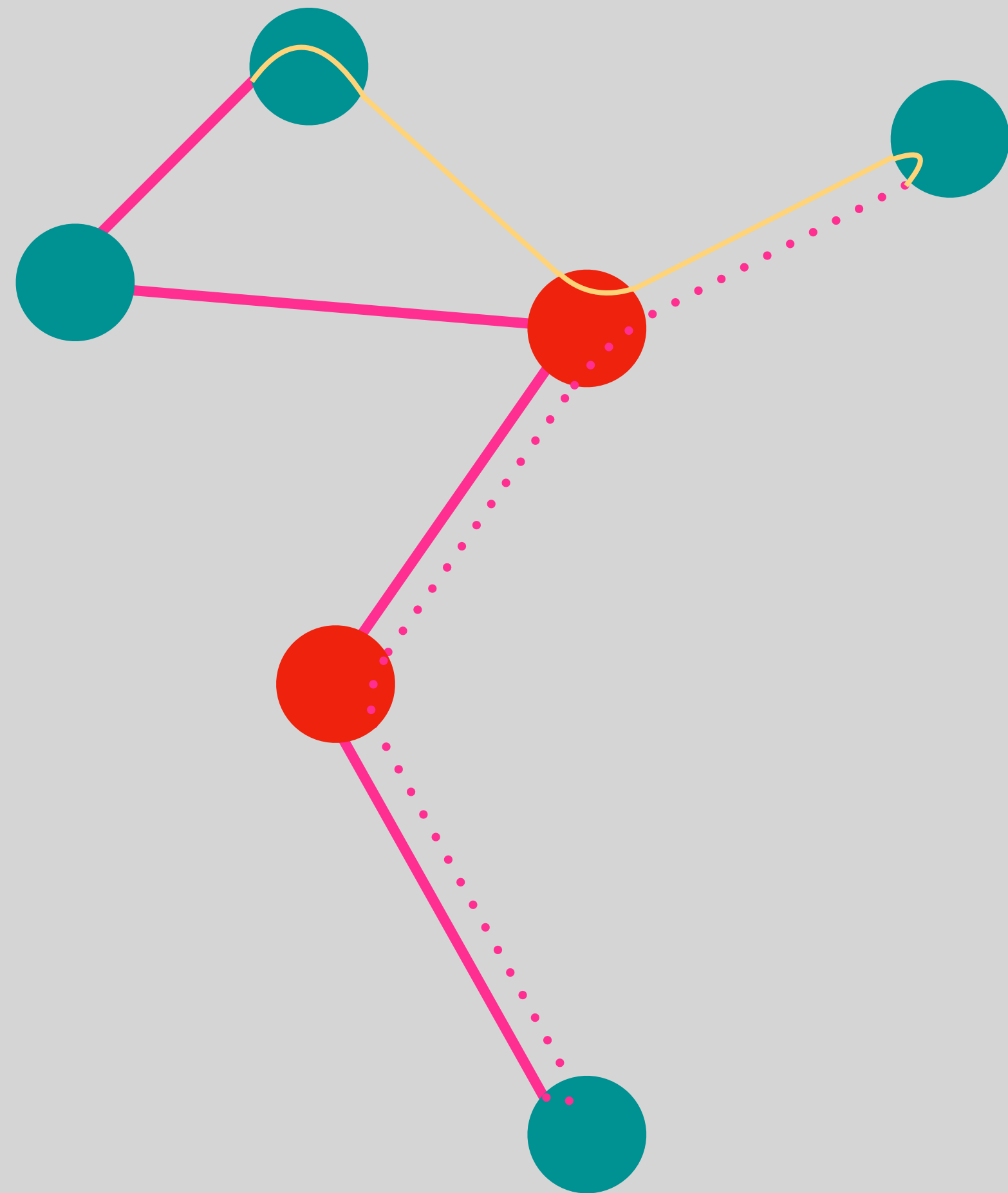
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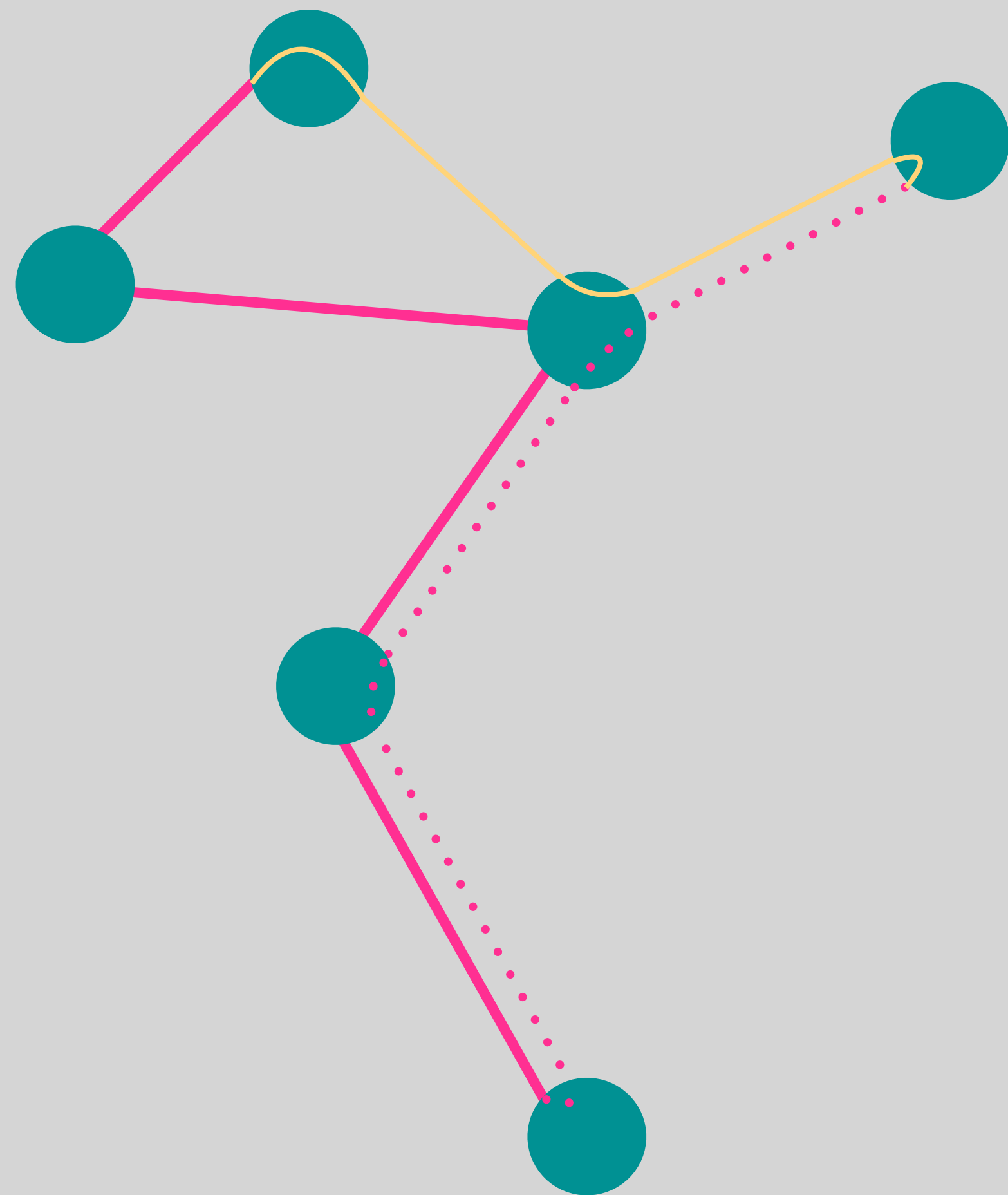
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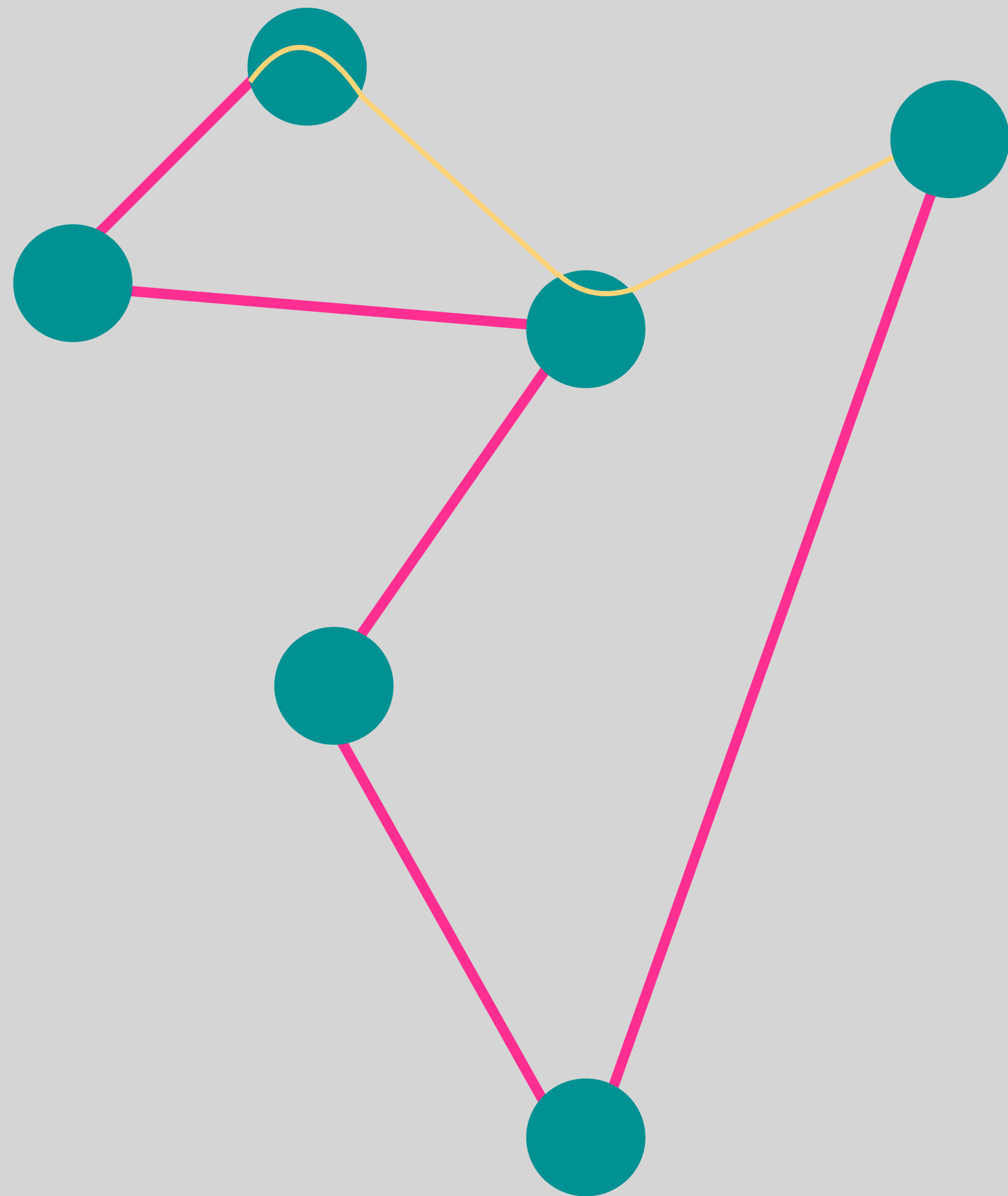
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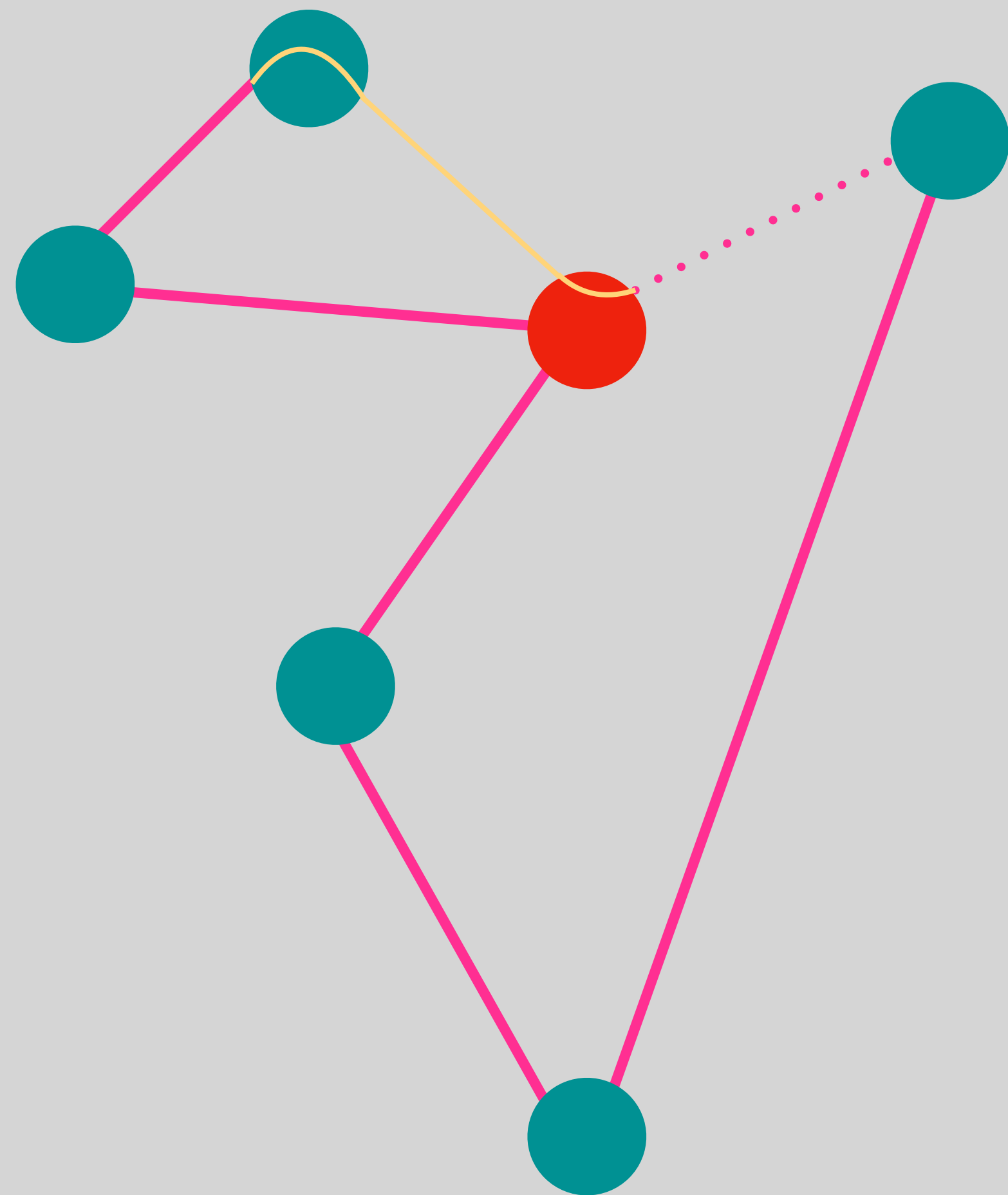
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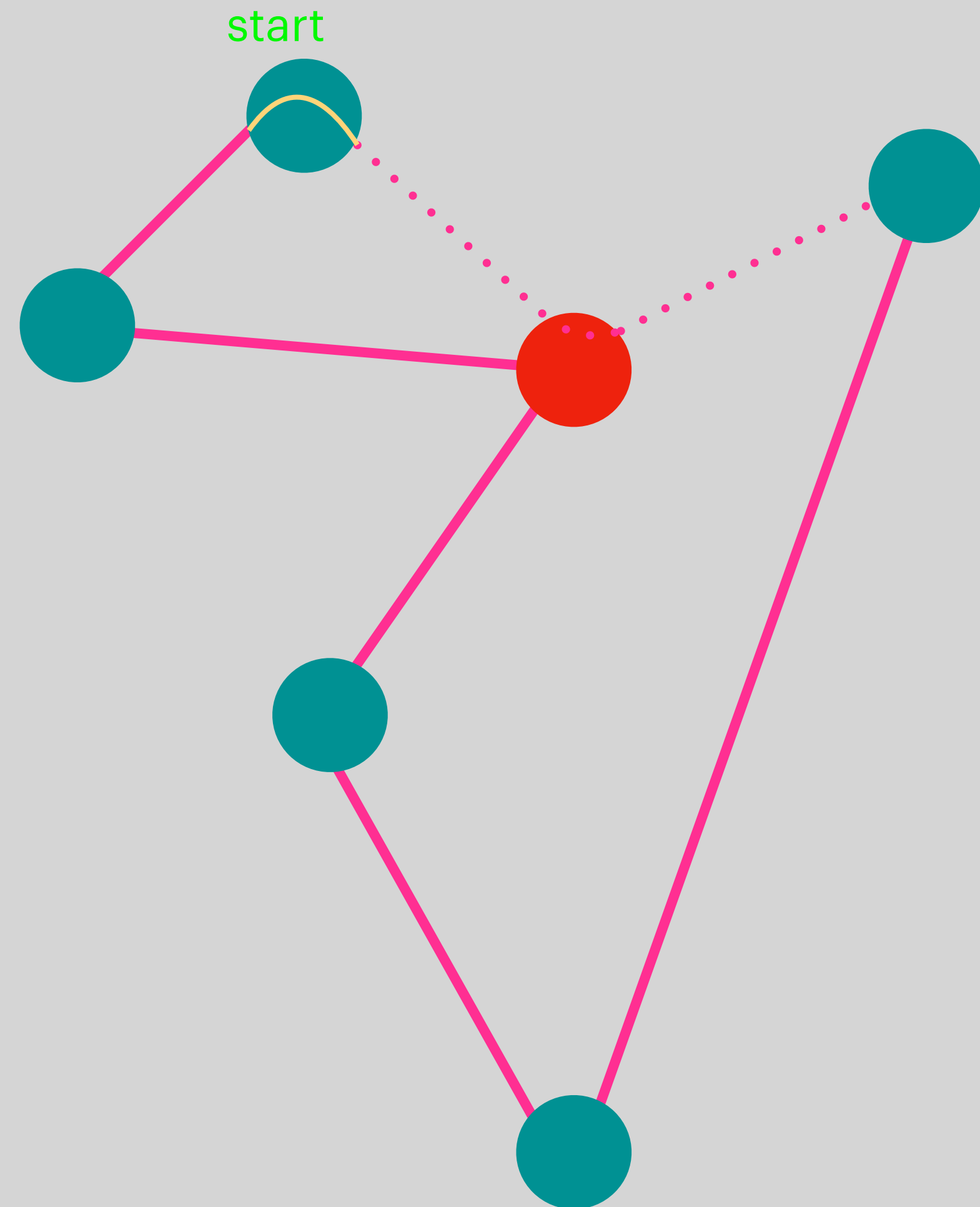
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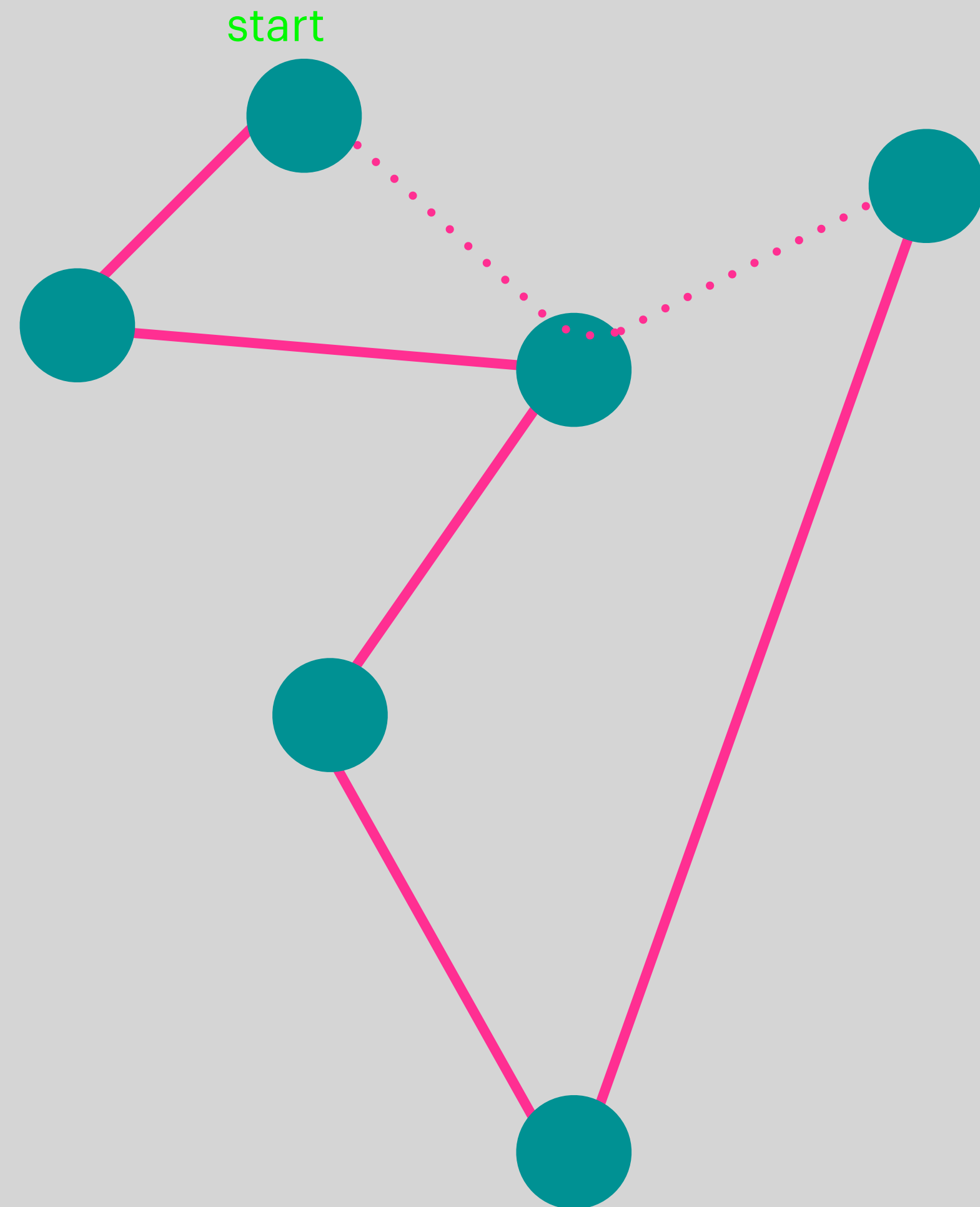
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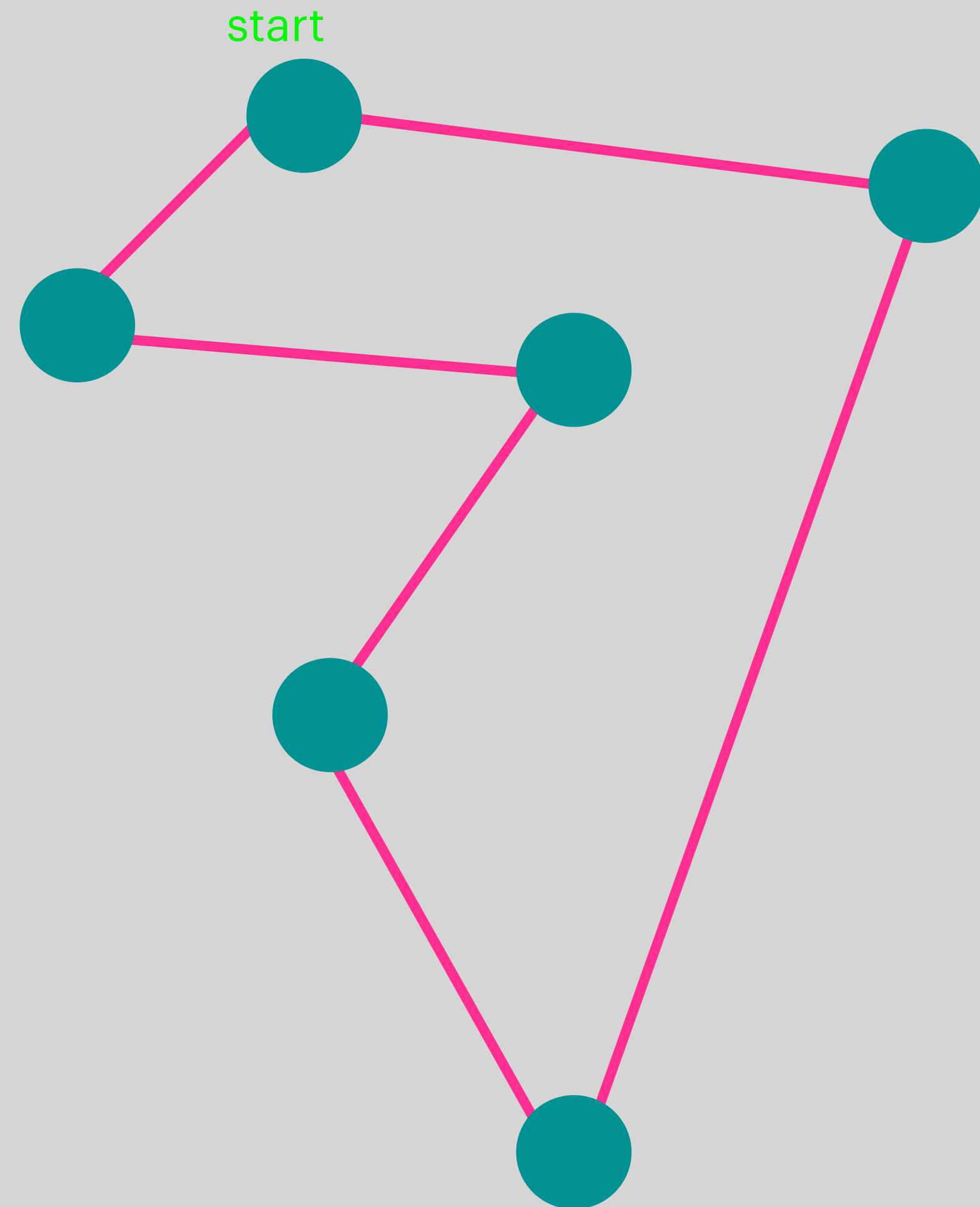
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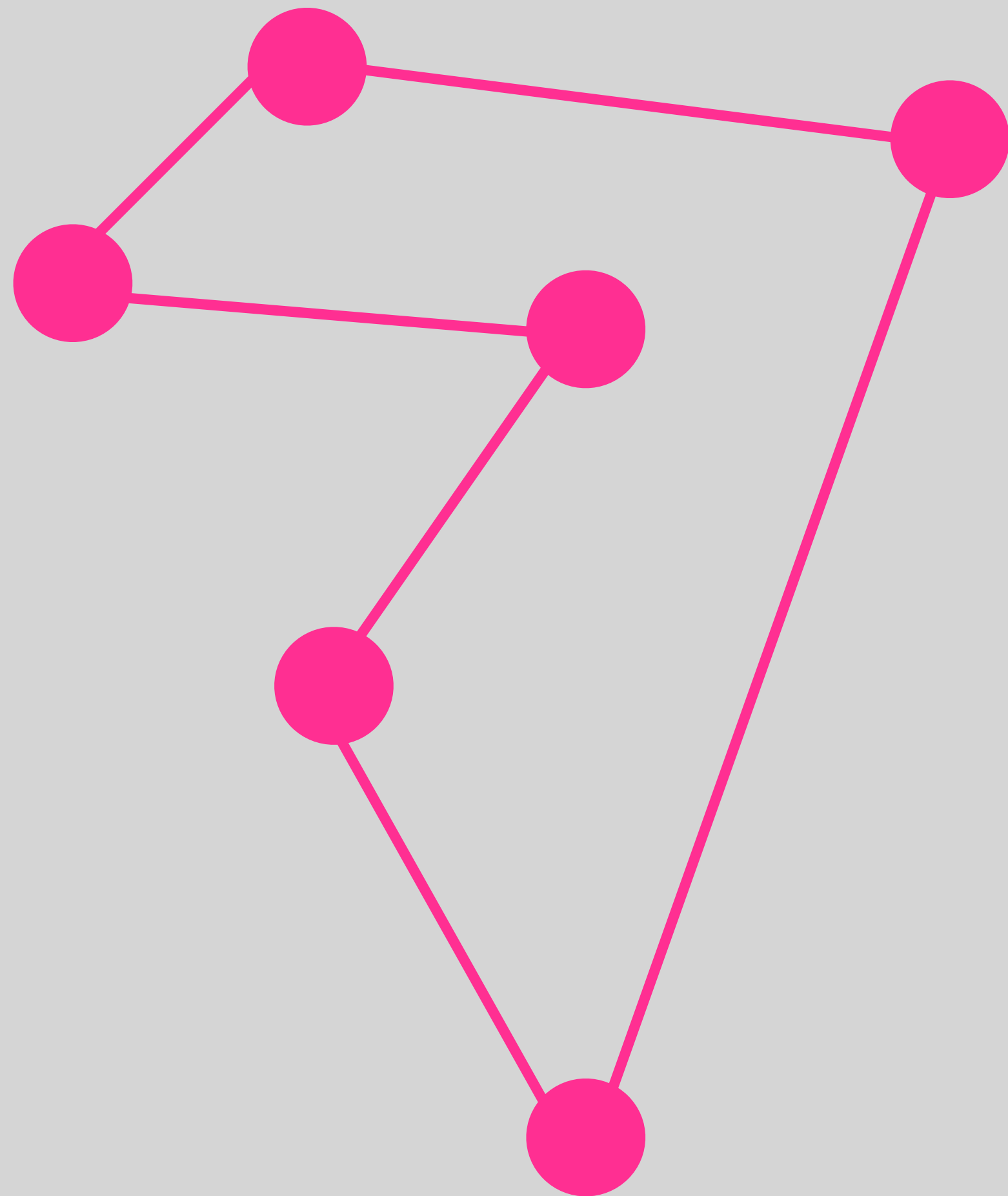
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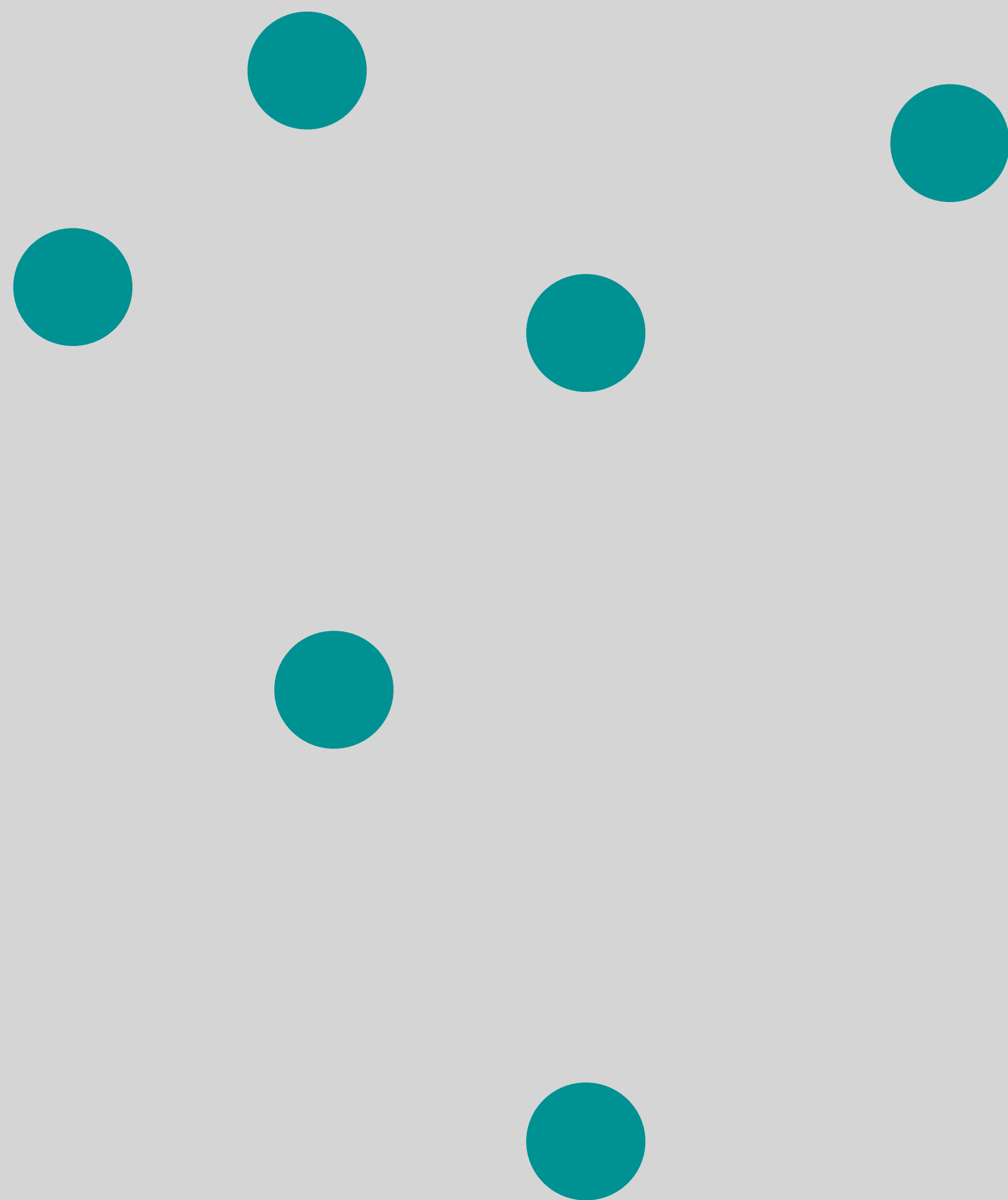
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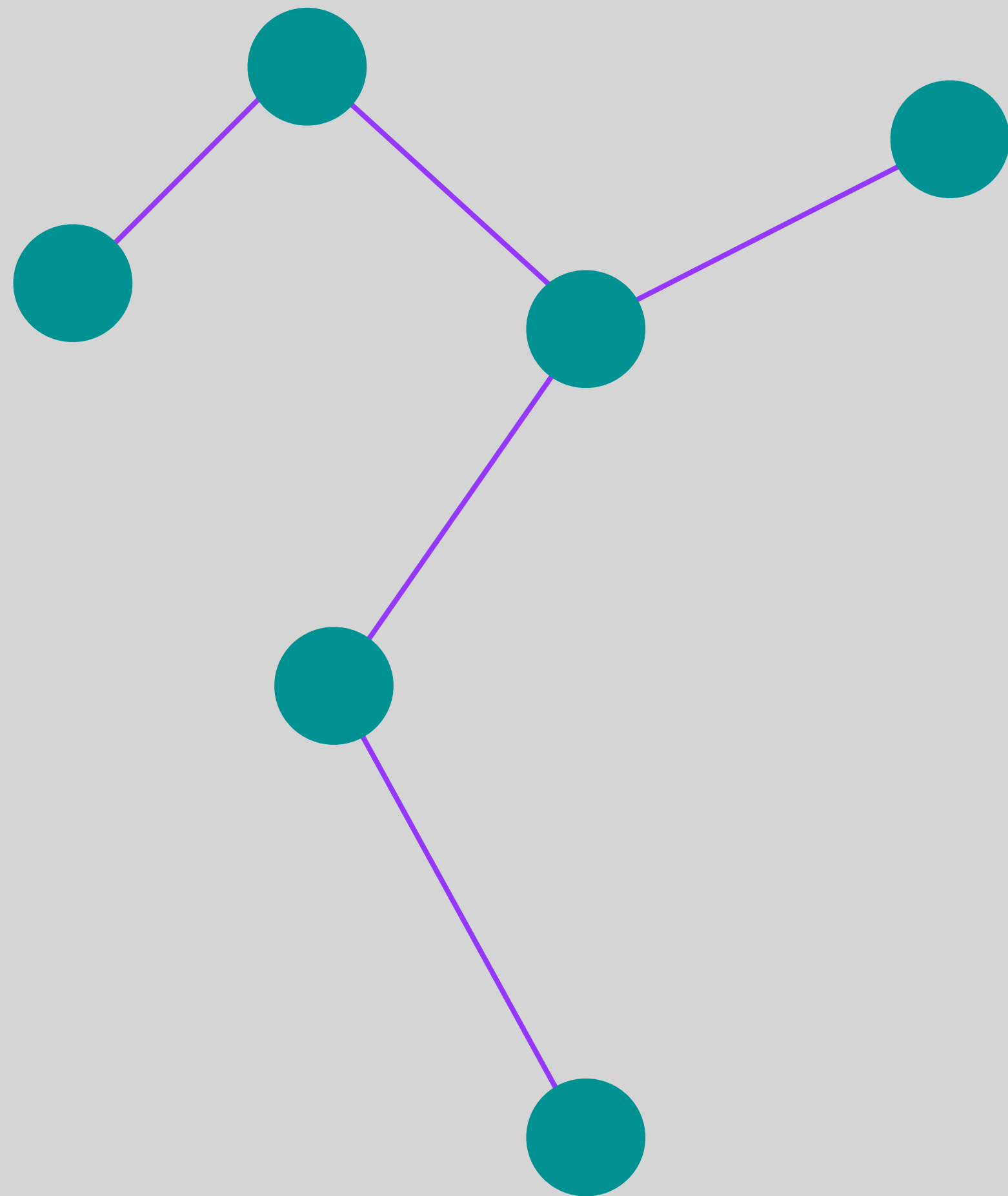
Metric TSP : 2-Approximation

Correctness



Metric TSP : 2-Approximation

Correctness

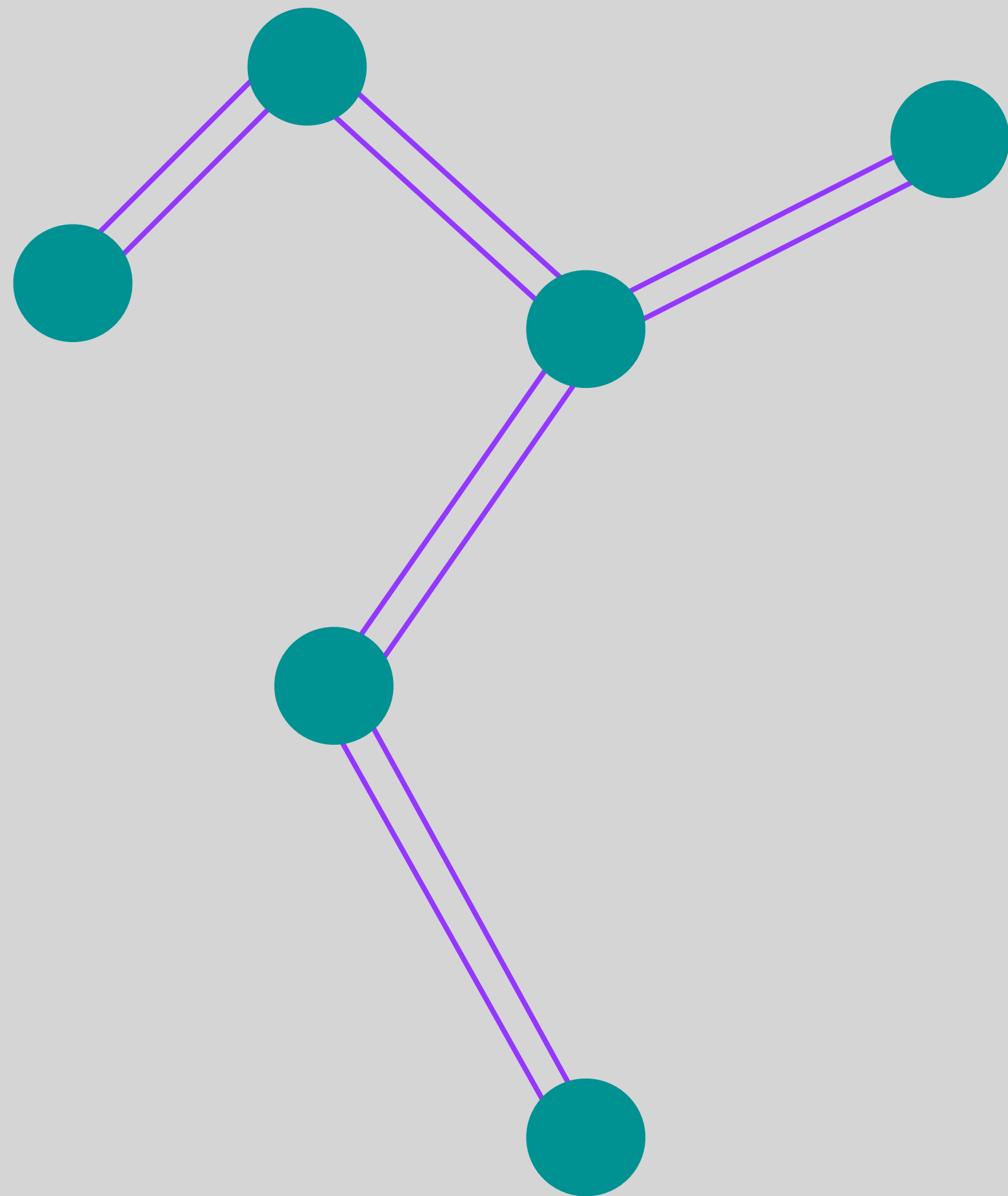


1. Find the MST T

$$l(T) \leq OPT(K_n, l)$$

Metric TSP : 2-Approximation

Correctness

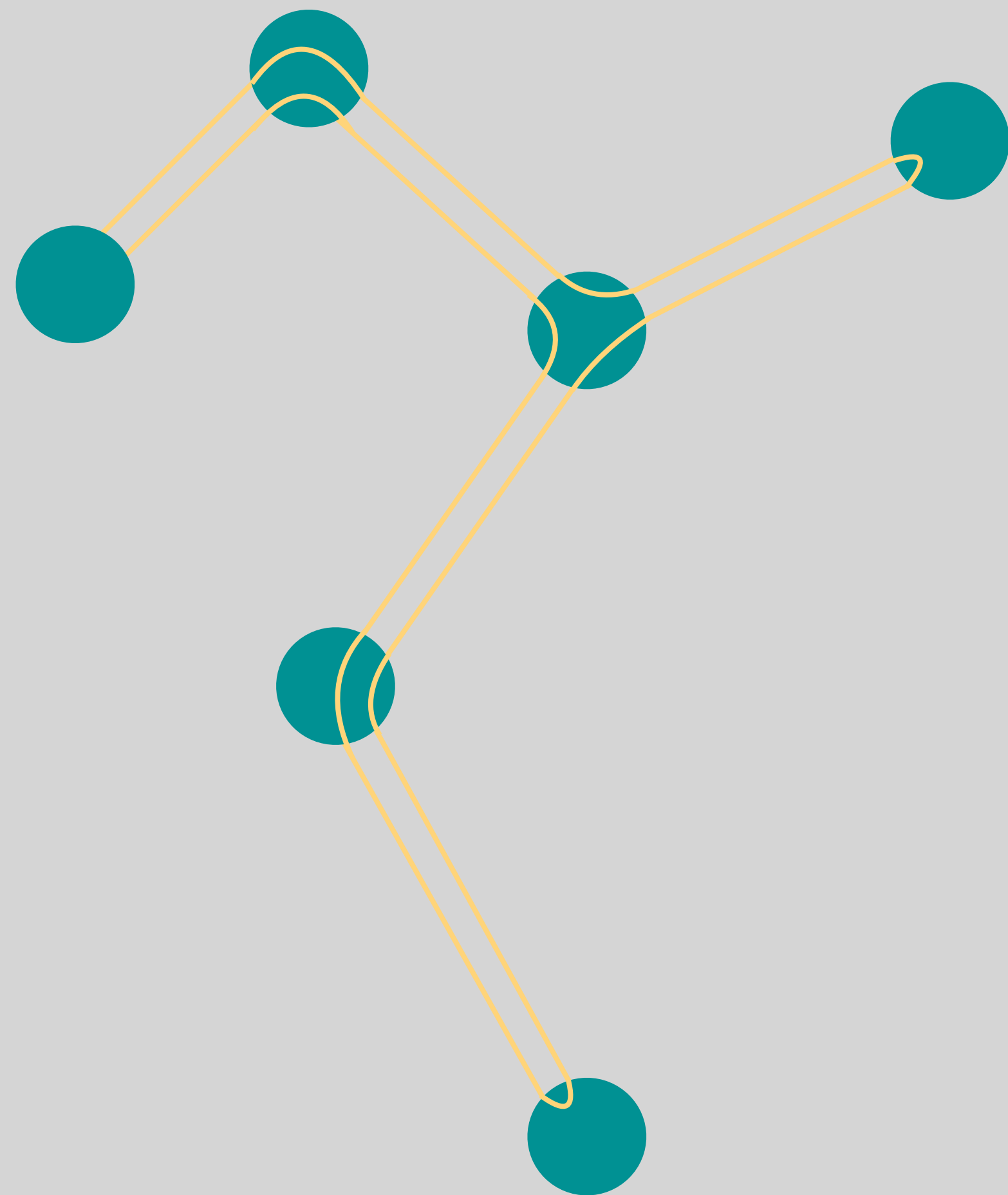


1. Find the MST T $l(T) \leq OPT(K_n, l)$

2. Duplicate all edges of T $2 l(T) \leq 2 OPT(K_n, l)$

Metric TSP : 2-Approximation

Correctness



1. Find the MST T $l(T) \leq OPT(K_n, l)$

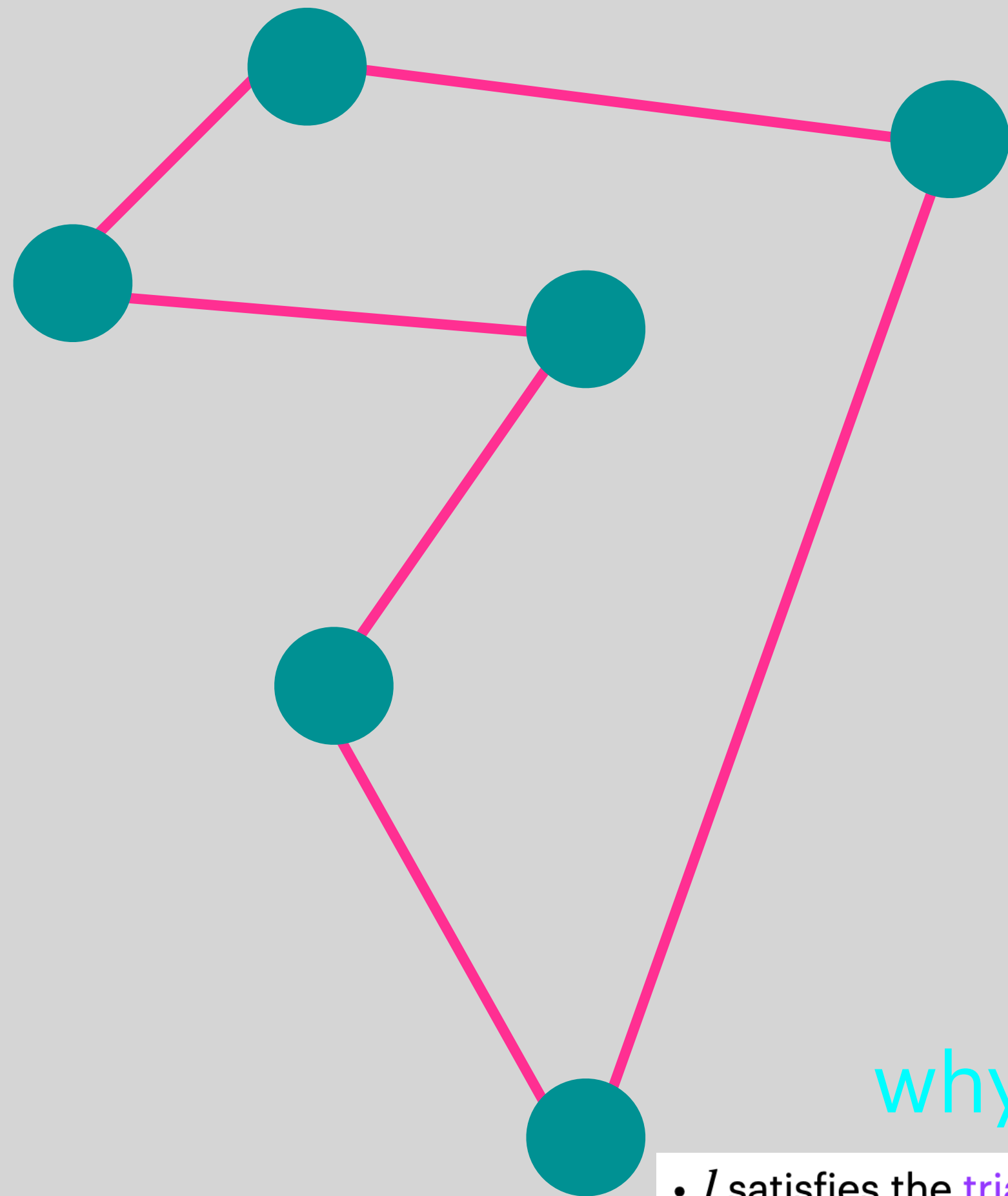
2. Duplicate all edges of T $2 l(T) \leq 2 OPT(K_n, l)$

3. Find Eulerian Tour W

$$l(W) = 2 l(T) \leq 2 OPT(K_n, l)$$

Metric TSP : 2-Approximation

Correctness



why ?

- l satisfies the triangle inequality
 $l(x, z) \leq l(x, y) + l(y, z)$

1. Find the MST T $l(T) \leq OPT(K_n, l)$

2. Duplicate all edges of T $2 l(T) \leq 2 OPT(K_n, l)$

3. Find Eulerian Tour W

$$l(W) = 2 l(T) \leq 2 OPT(K_n, l)$$

4. Traverse W once using shortcuts

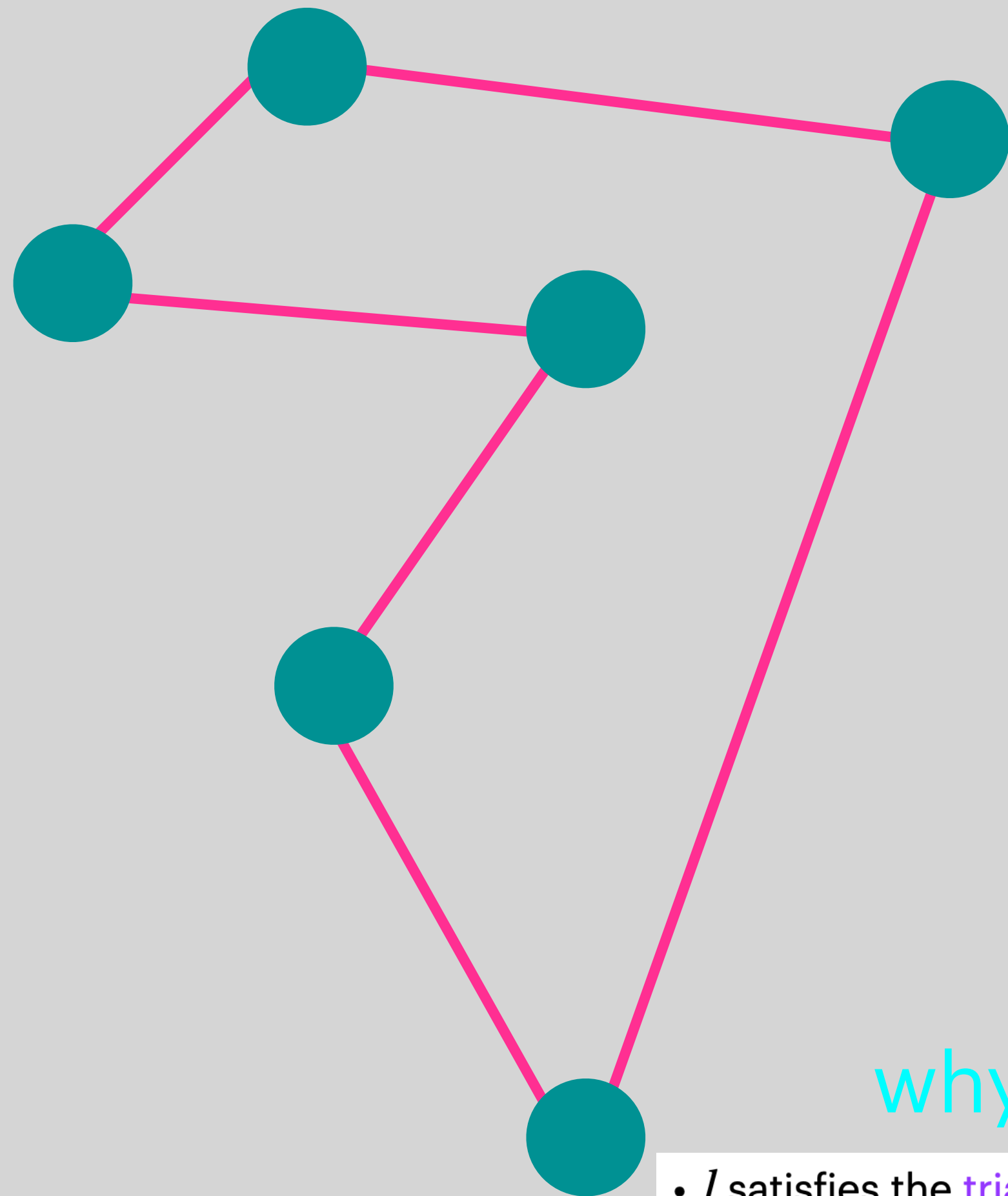
s.t. each vertex is visited exactly once

\Rightarrow Hamiltonian Cycle C

$$l(C) \leq l(W) = 2 l(T) \leq 2 OPT(K_n, l)$$

Metric TSP : 2-Approximation

Correctness



why ?

- l satisfies the triangle inequality
 $l(x, z) \leq l(x, y) + l(y, z)$

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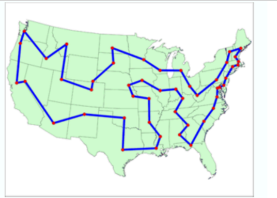
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Metric TSP : 2-Approximation

Correctness

Goal :

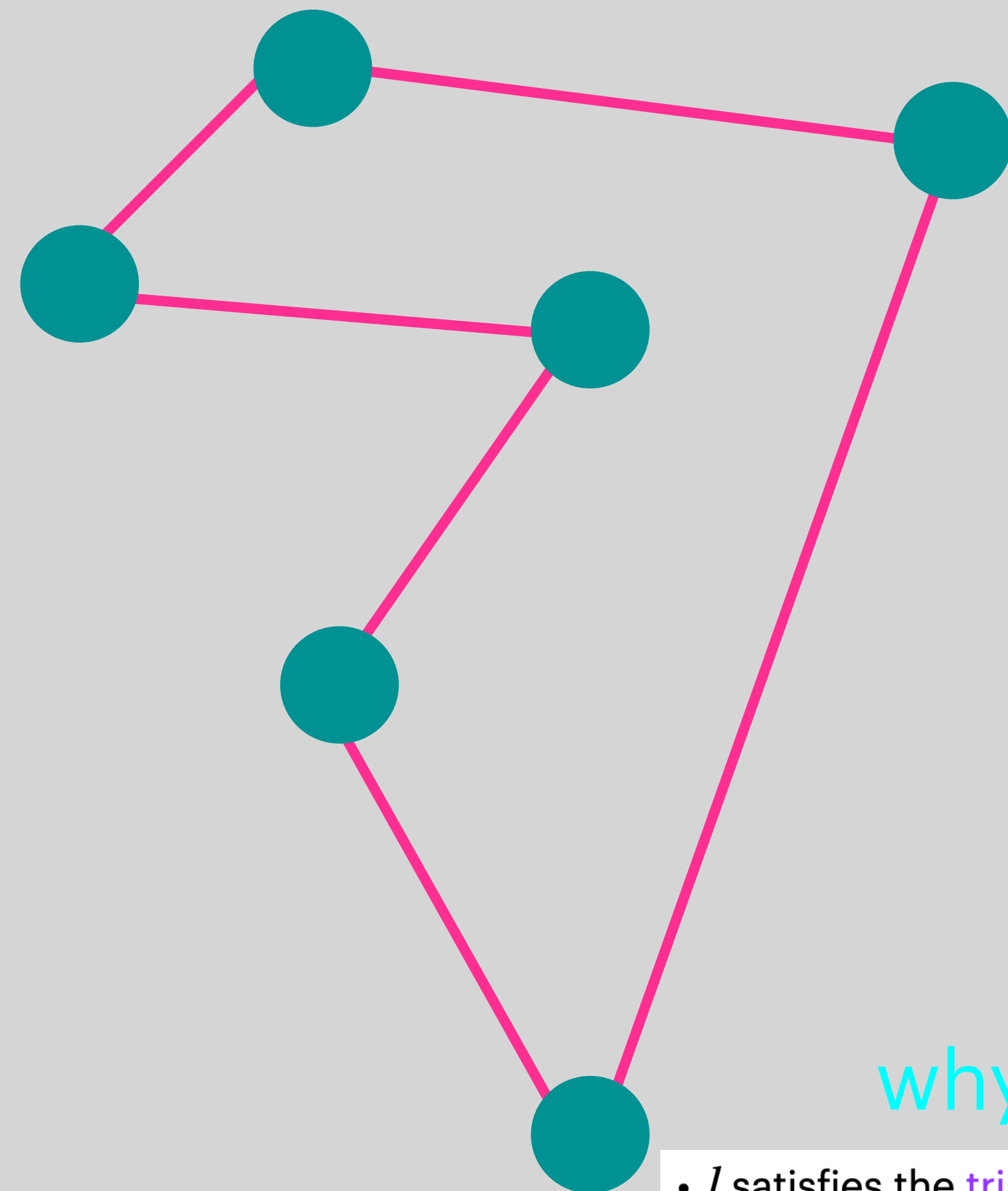
Metric TSP : 2-Approximation
Problem Description



Given : • A complete Graph K_n of n vertices
• Distances l inbetween every 2 vertex $l : \binom{[n]}{2} \rightarrow \mathbb{R}$
To find : • Hamiltonian Cycle C s.t. • l satisfies the triangle inequality
 $l(x, z) \leq l(x, y) + l(y, z)$

$$l(C) \leq 2 l(OPT)$$

$$\text{where } OPT = \min_{H : \text{Hamiltonian Cycle}} \sum_{e \in E(H)} l(e)$$



why ?

- l satisfies the triangle inequality
 $l(x, z) \leq l(x, y) + l(y, z)$

1. Find the MST T $l(T) \leq OPT(K_n, l)$

2. Duplicate all edges of T $2 l(T) \leq 2 OPT(K_n, l)$

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$$l(W) = 2 l(T) \leq 2 OPT(K_n, l)$$

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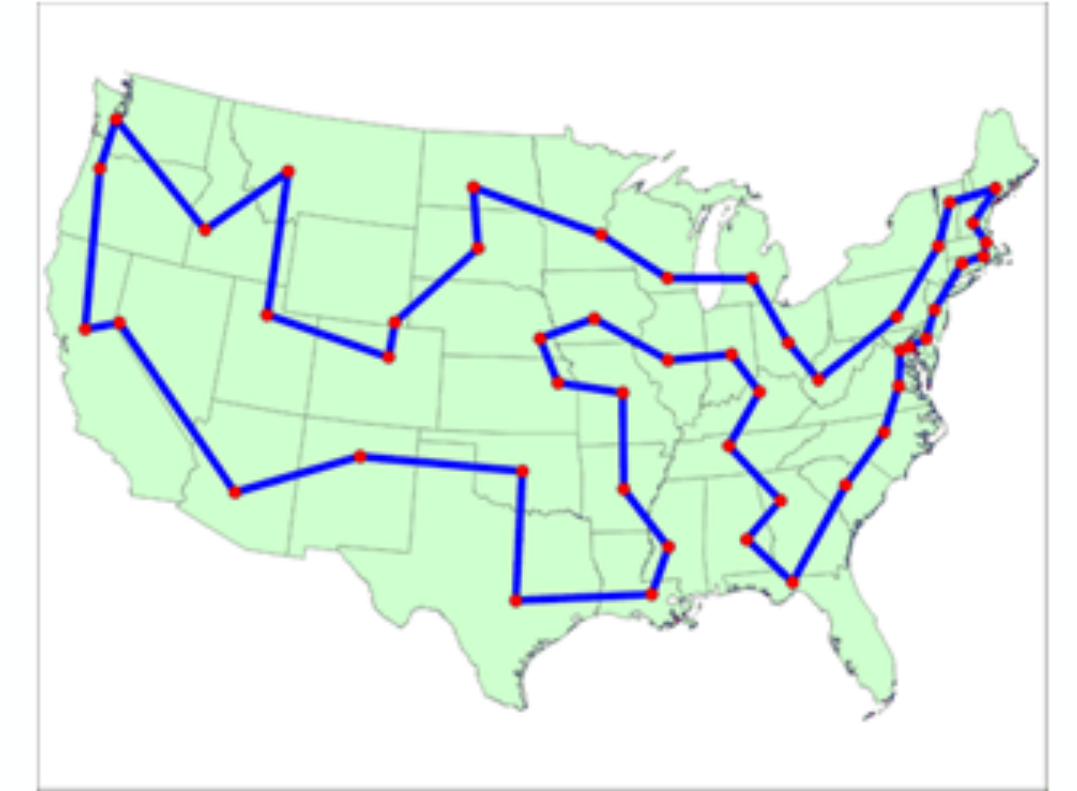
s.t. each vertex is visited exactly once

\Rightarrow Hamiltonian Cycle C

$$l(C) \leq l(W) = 2 l(T) \leq 2 OPT(K_n, l)$$

Metric TSP : 1.5-Approximation

Problem Description



Given : • A complete Graph K_n of n vertices

• Distances l inbetween every 2 vertex $l : \binom{[n]}{2} \rightarrow R$

To find : • Hamiltonian Cycle C s.t.

• l satisfies the triangle inequality

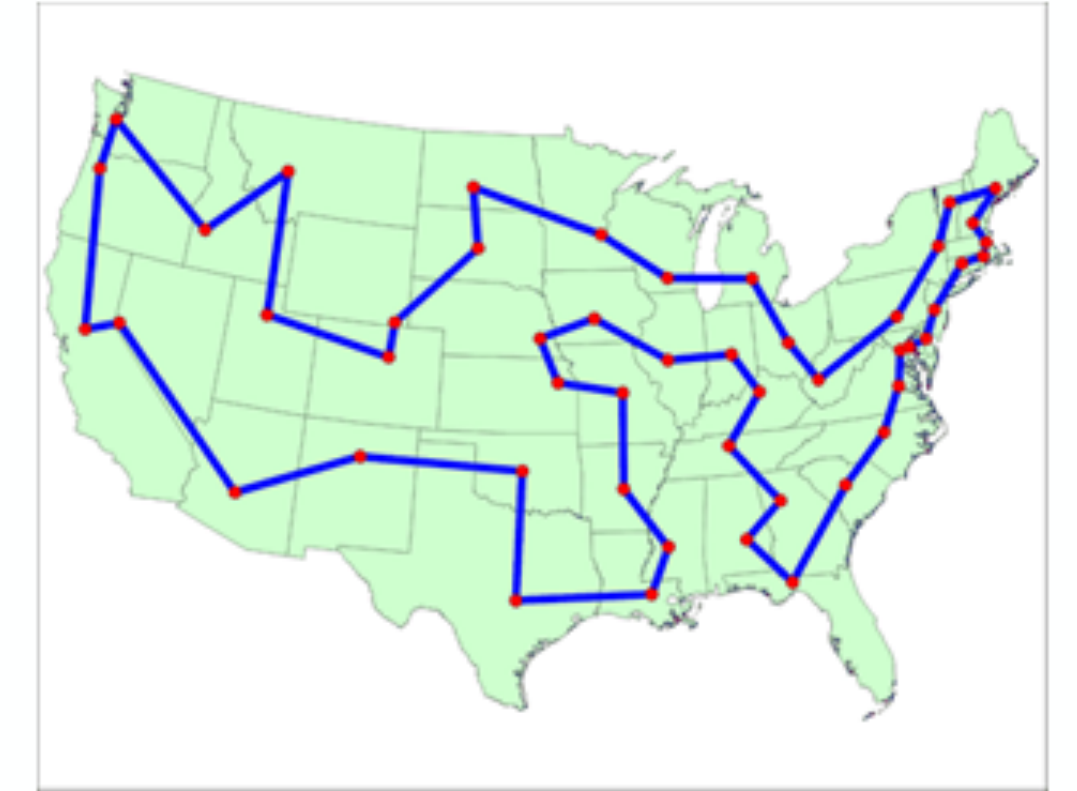
$$l(x, z) \leq l(x, y) + l(y, z)$$

$$l(C) \leq 1.5 \ l(OPT)$$

$$\text{where } OPT = \min_{H : \text{Hamiltonian Cycle}} \sum_{e \in E(H)} l(e)$$

Metric TSP : 1.5-Approximation

Problem Description



Given : • A complete Graph K_n of n vertices

• Distances l inbetween every 2 vertex $l : \binom{[n]}{2} \rightarrow R$

To find : • Hamiltonian Cycle C s.t.

• l satisfies the triangle inequality

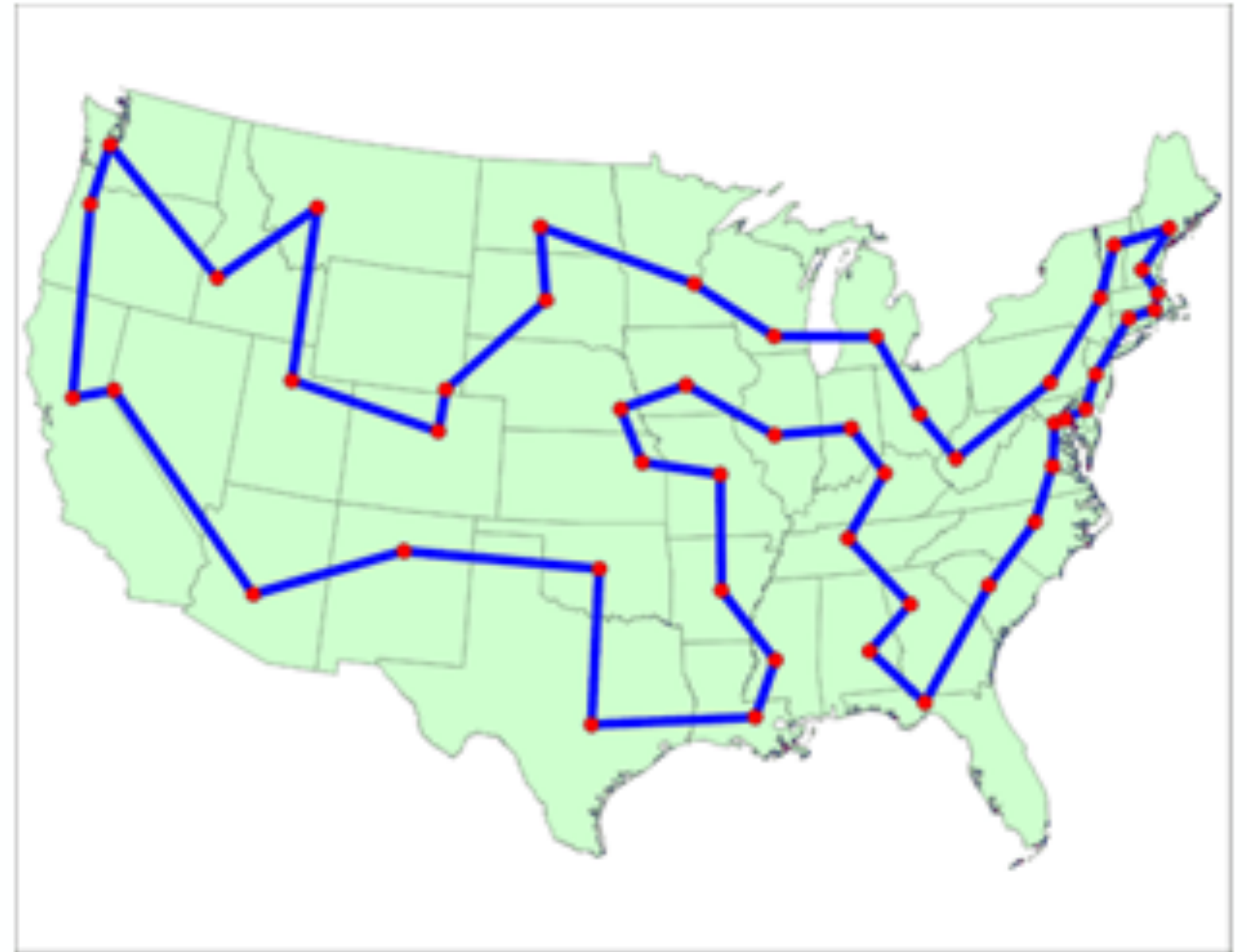
$$l(x, z) \leq l(x, y) + l(y, z)$$

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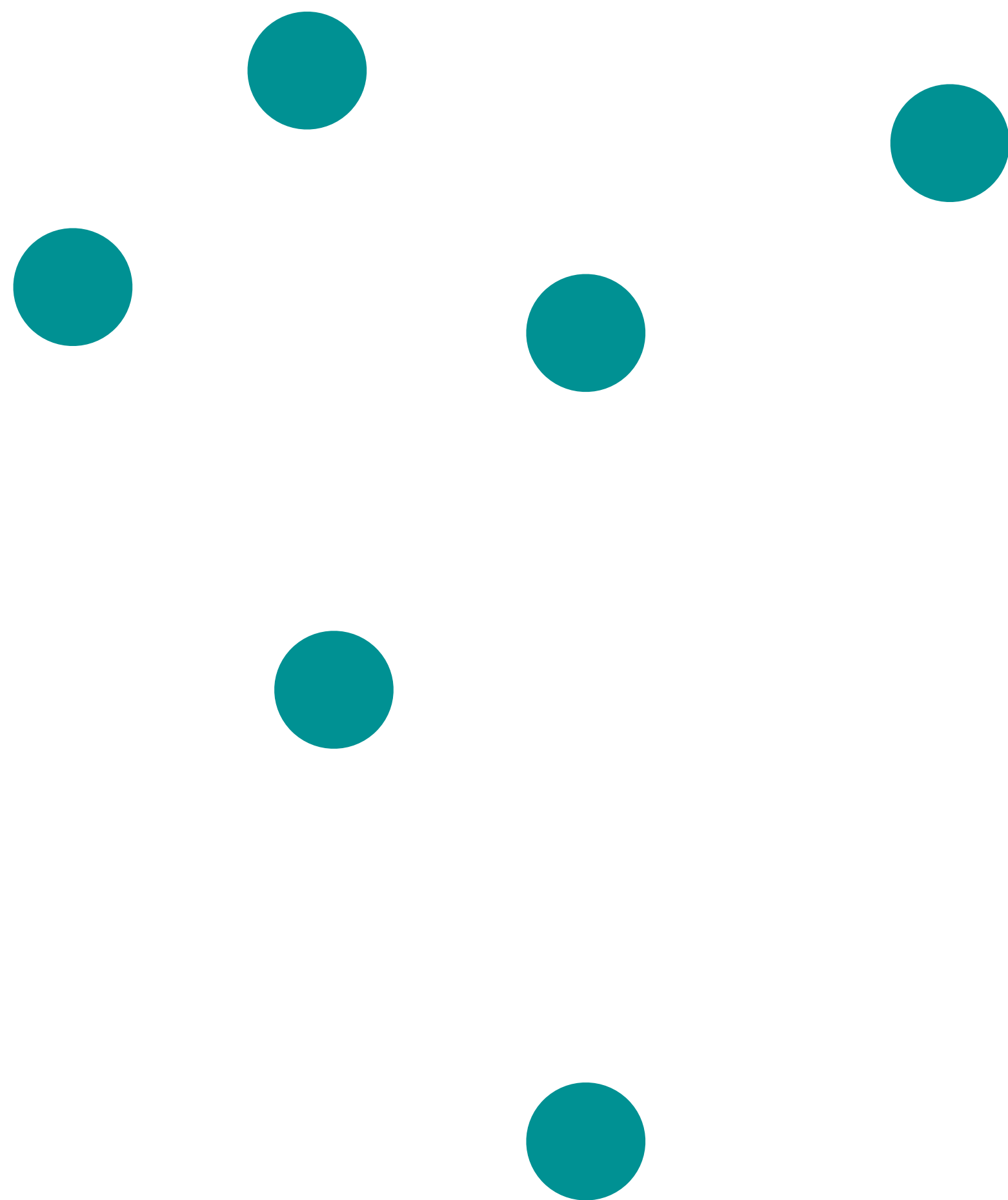
Metric TSP : 1.5-Approximation

Algorithm



Metric TSP : 1.5-Approximation

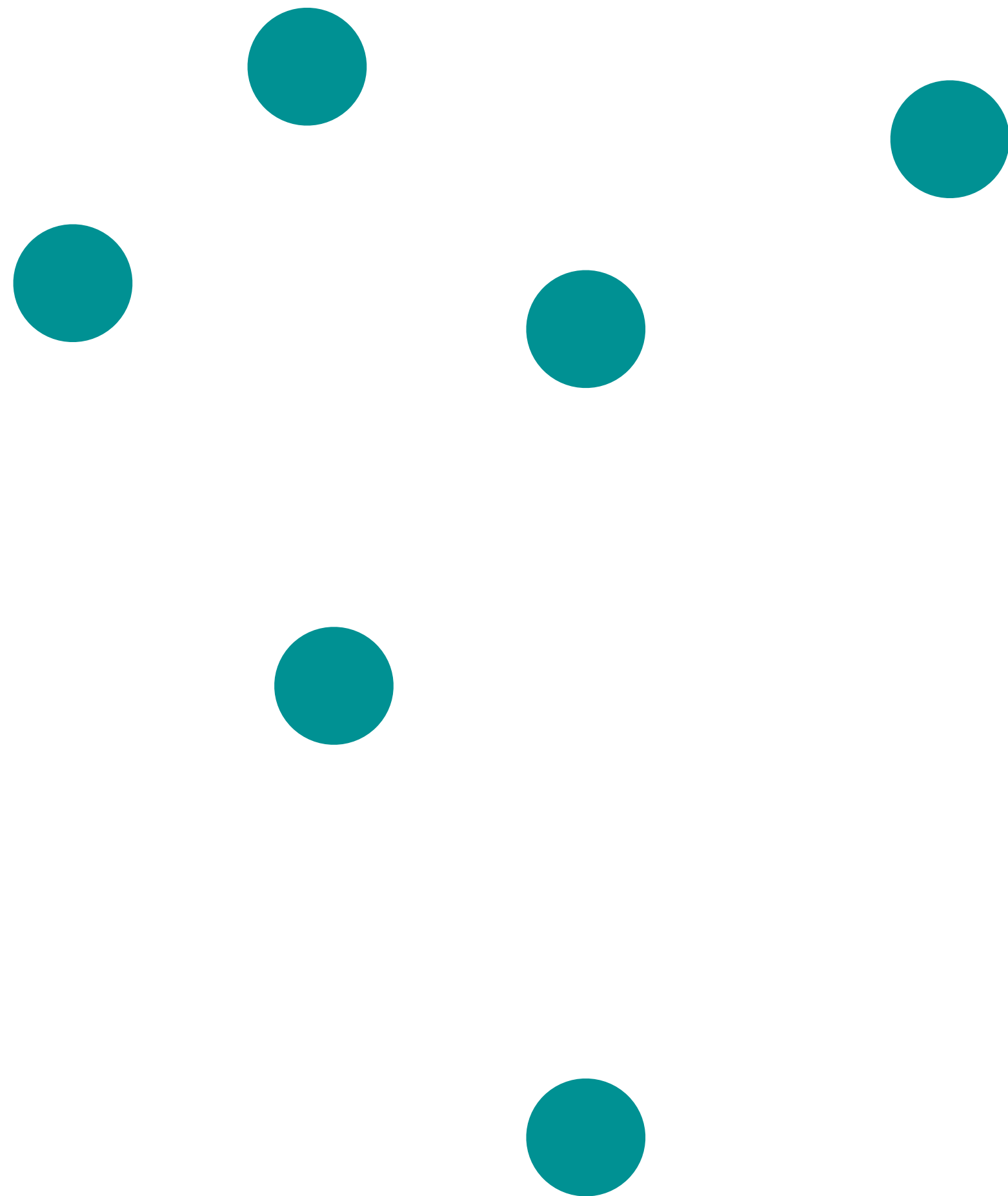
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Metric TSP : 1.5-Approximation

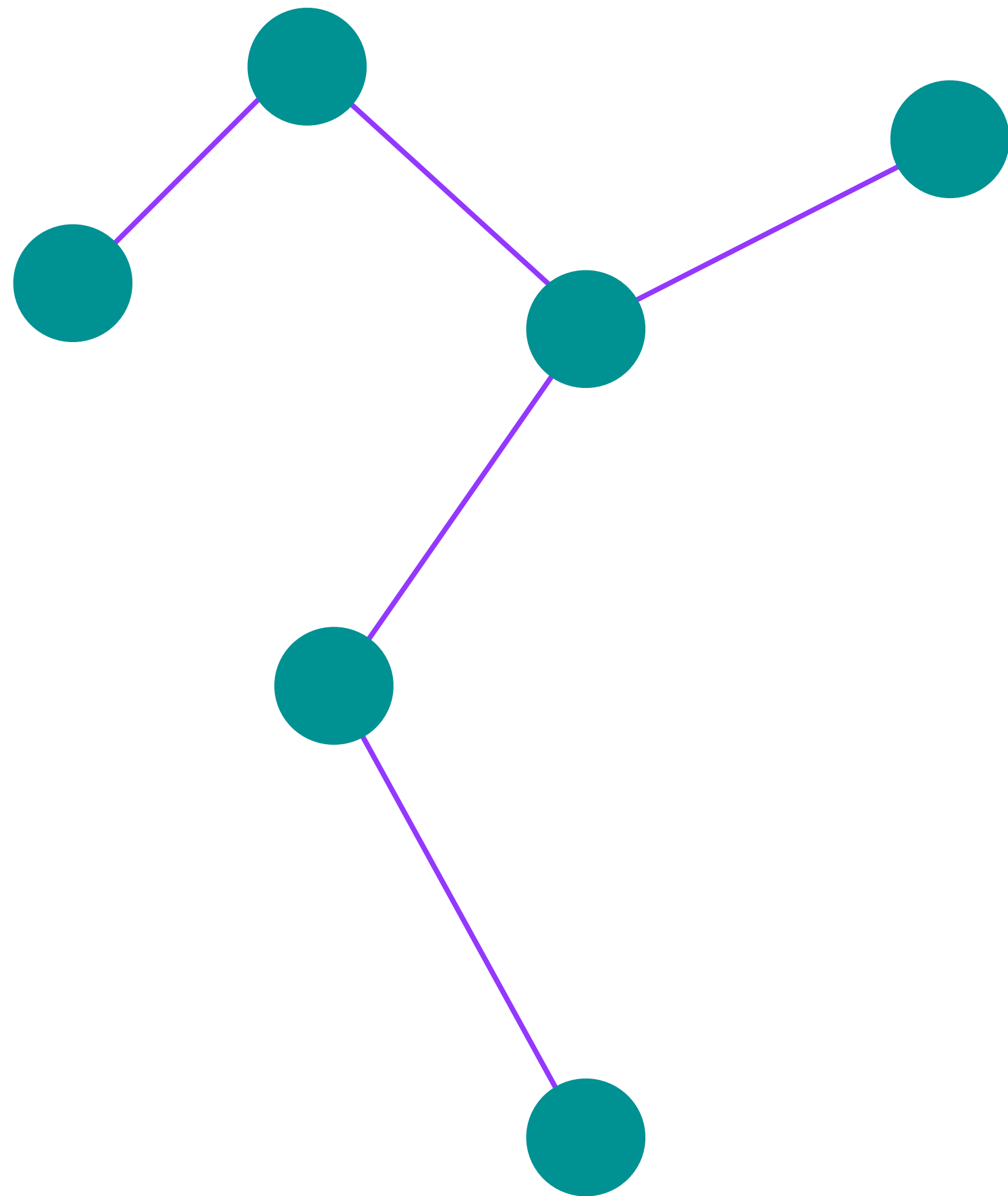
Algorithm

1. Find the MST T



Metric TSP : 1.5-Approximation

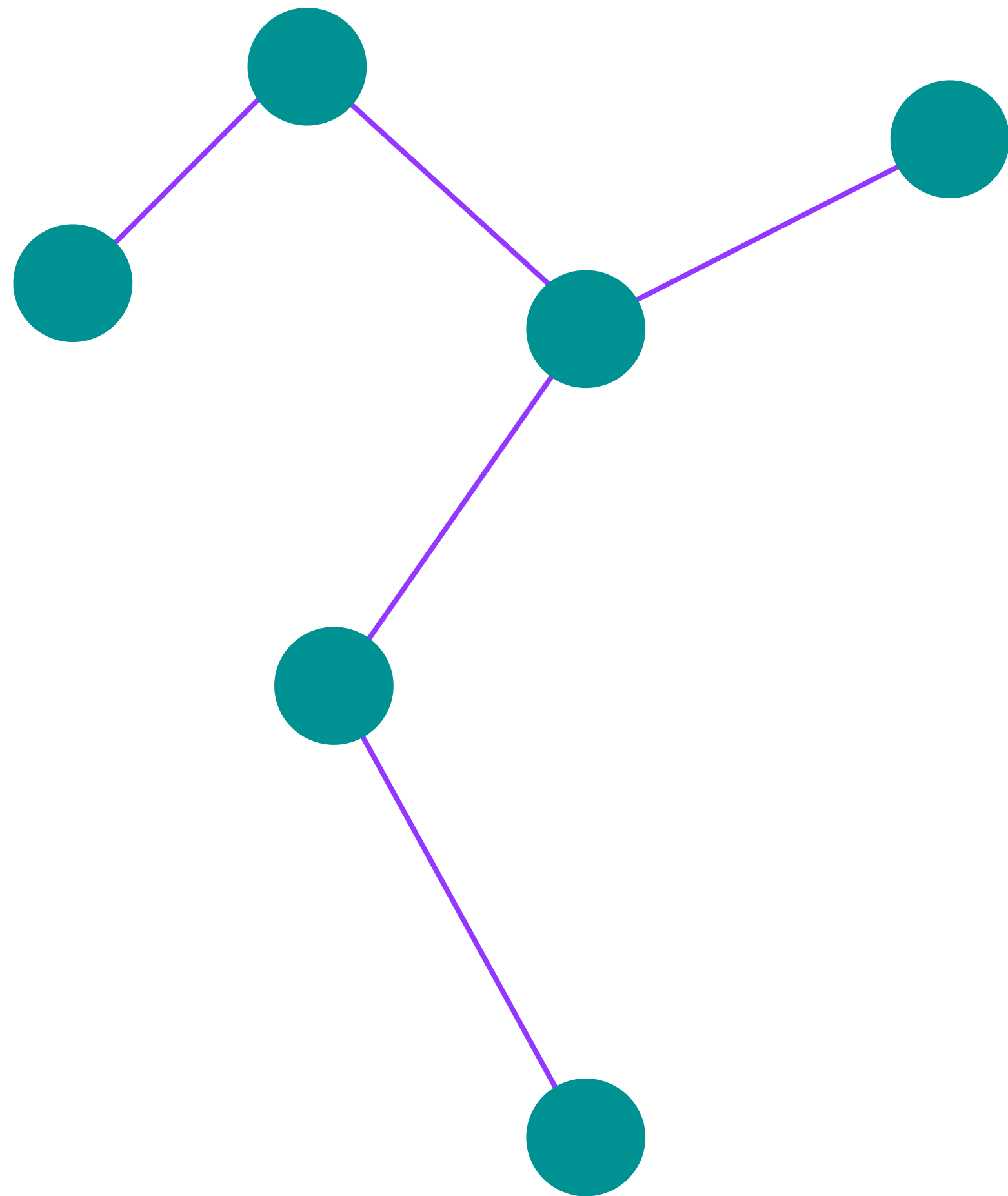
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1. Find the MST *T*

Metric TSP : 1.5-Approximation

Algorithm

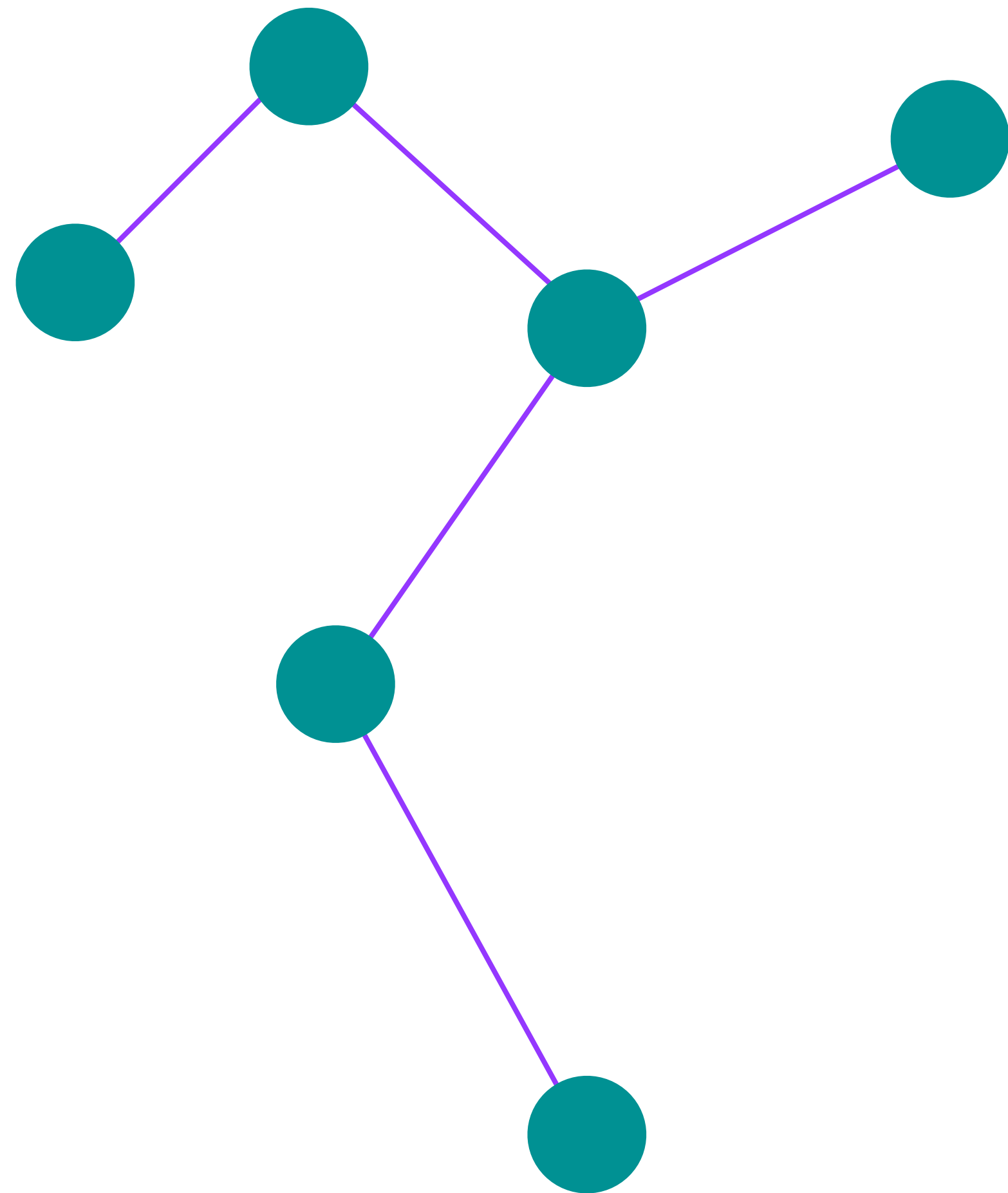


1. Find the MST T

$$l(T) \leq OPT(K_n, l)$$

Metric TSP : 1.5-Approximation

Algorithm



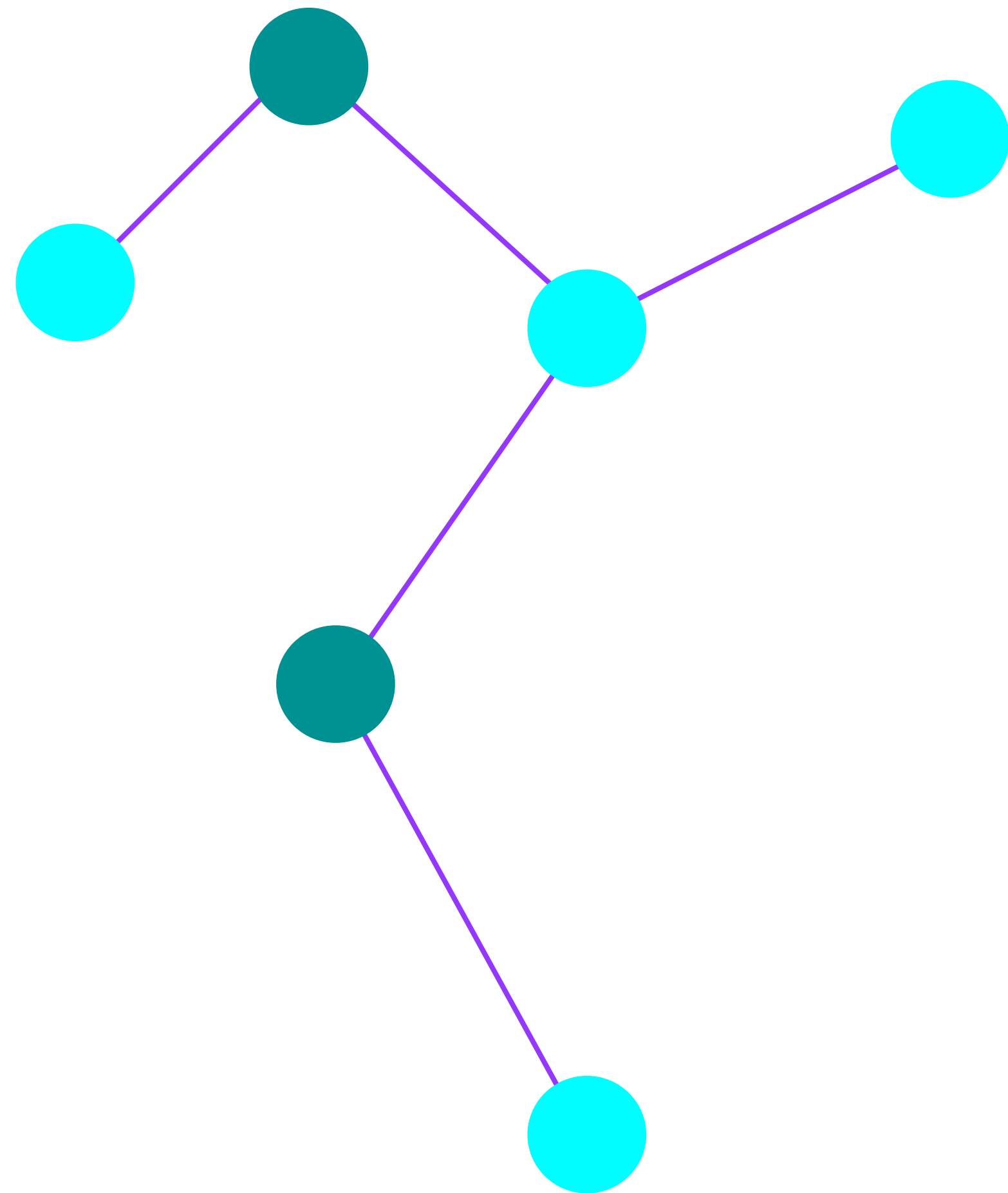
1. Find the MST T $l(T) \leq OPT(K_n, l)$

2. Duplicate all edges of T $2 l(T) \leq 2 OPT(K_n, l)$

2'. X := Vertices with odd degree in T
Find minimal Matching M for X

Metric TSP : 1.5-Approximation

Algorithm



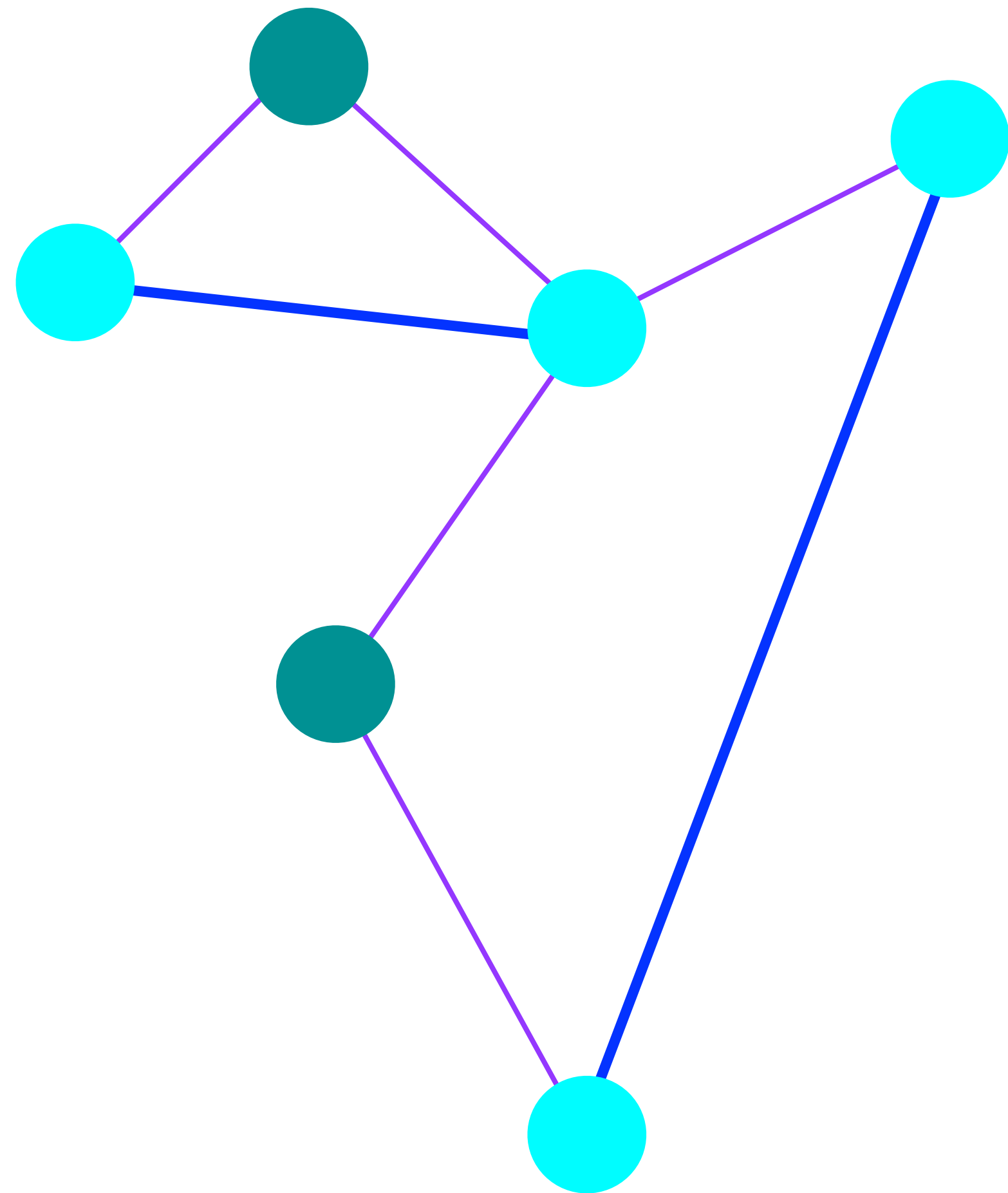
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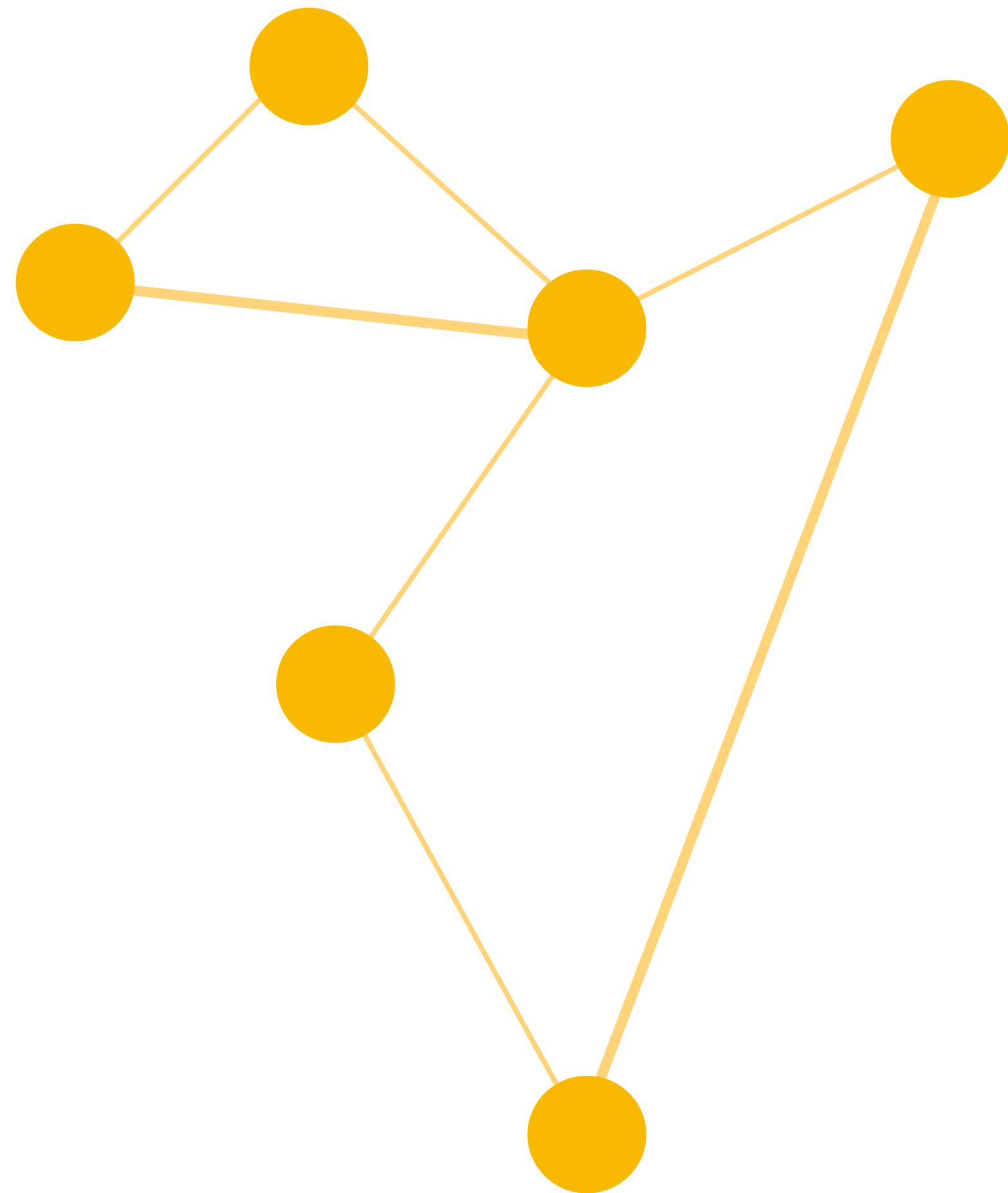
2'. X := Vertices with odd degree in T

Find minimal Matching M for X

$$l(M) \leq \frac{1}{2} OPT(K_n, l)$$

Metric TSP : 1.5-Approximation

Algorithm



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2'. X := Vertices with odd degree in T

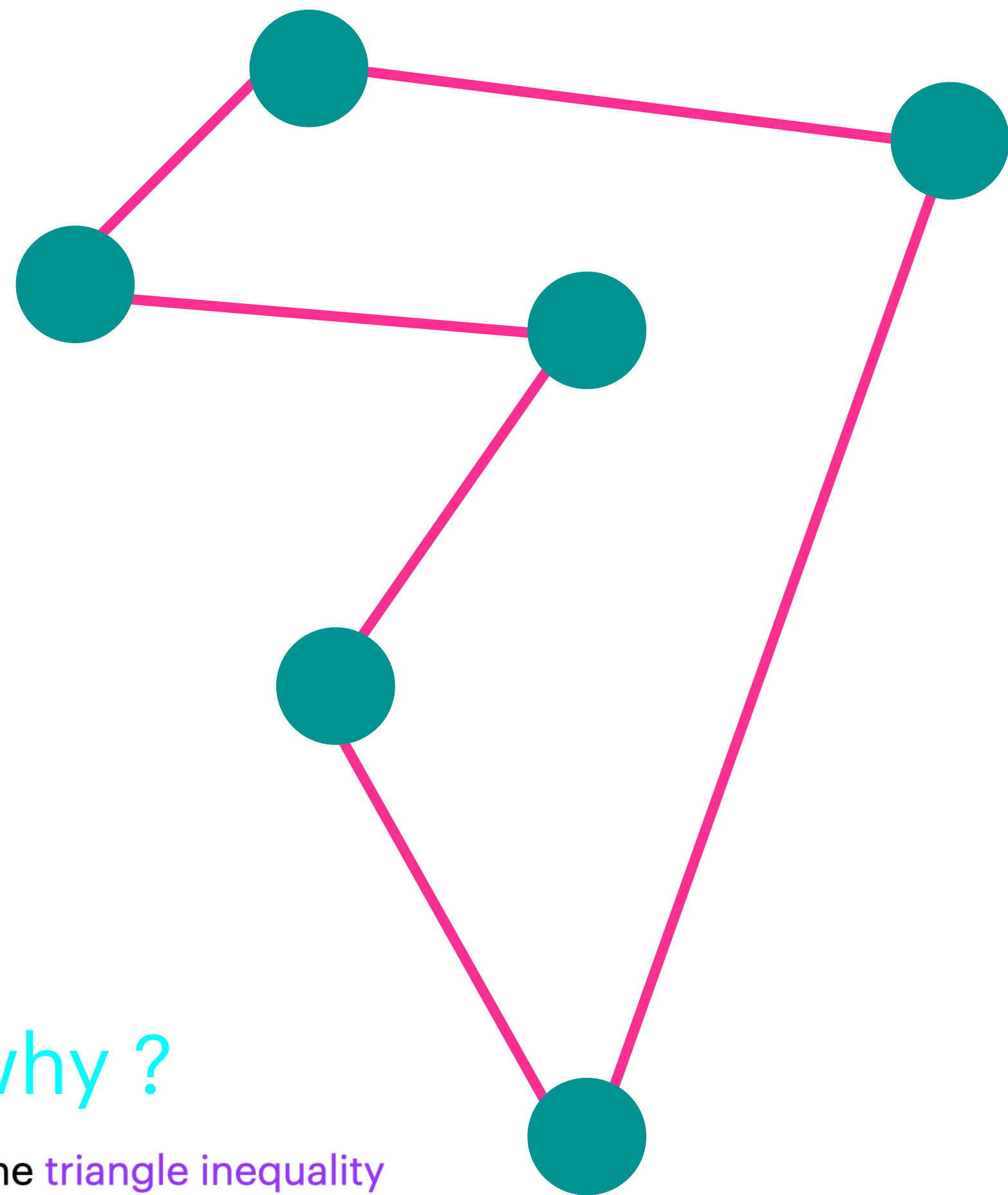
Find minimal Matching M for X

3. Find Eulerian Tour W $l(M) \leq \frac{1}{2} OPT(K_n, l)$

$$l(W) = l(T) + l(M) \leq 1.5 OPT(K_n, l)$$

Metric TSP : 1.5-Approximation

Algorithm



why ?

- l satisfies the triangle inequality

$$l(x, z) \leq l(x, y) + l(y, z)$$

1. Find the MST T $l(T) \leq OPT(K_n, l)$

2'. $X :=$ Vertices with odd degree in T

Find minimal Matching M for X

3. Find Eulerian Tour W $l(M) \leq \frac{1}{2} OPT(K_n, l)$

$$l(W) = l(T) + l(M) \leq 1.5 OPT(K_n, l)$$

4. Traverse W once using shortcuts

s.t. each vertex is visited exactly once \Rightarrow Hamiltonian Cycle C

$$l(C) \leq l(W) = l(T) + l(M) \leq 1.5 OPT(K_n, l)$$

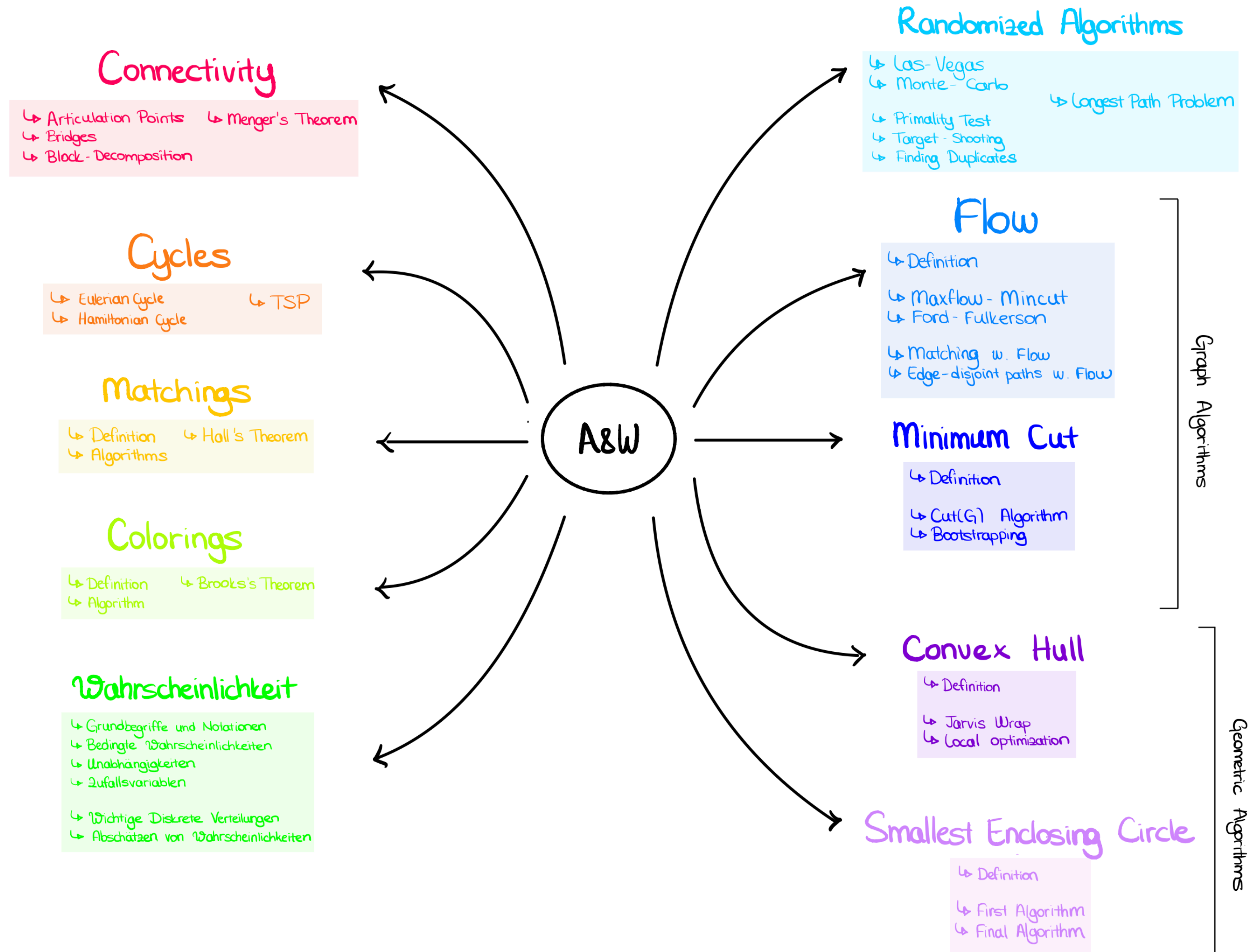


A&W

Exercise Session 5
Coloring

Nil Ozer

A&W Overview



Outline

- T1 Discussion
- Matching Kahoot
- Coloring
- Minitest 2 - coloring discussion

T1

Feedback + Discussion

- 1.c : Reflexivity argument accepted
- Watch out for the comments !
- Keep up the good work ! 🙌🙌🙌
- Questions, issues ... Let me know !



Some Announcements

- Anki card approach changed (instead we have kahoots for now)
 - Matching kahoot this week
 - Cycles + TSP kahoot next week ...
- T2 (peer grading 1) ??
- Namings:
 - $T1$ (theoretical exercise 1) , $T2$ (peer grading 1), $T3$ (theoretical exercise 2) ...

Matching Kahoot

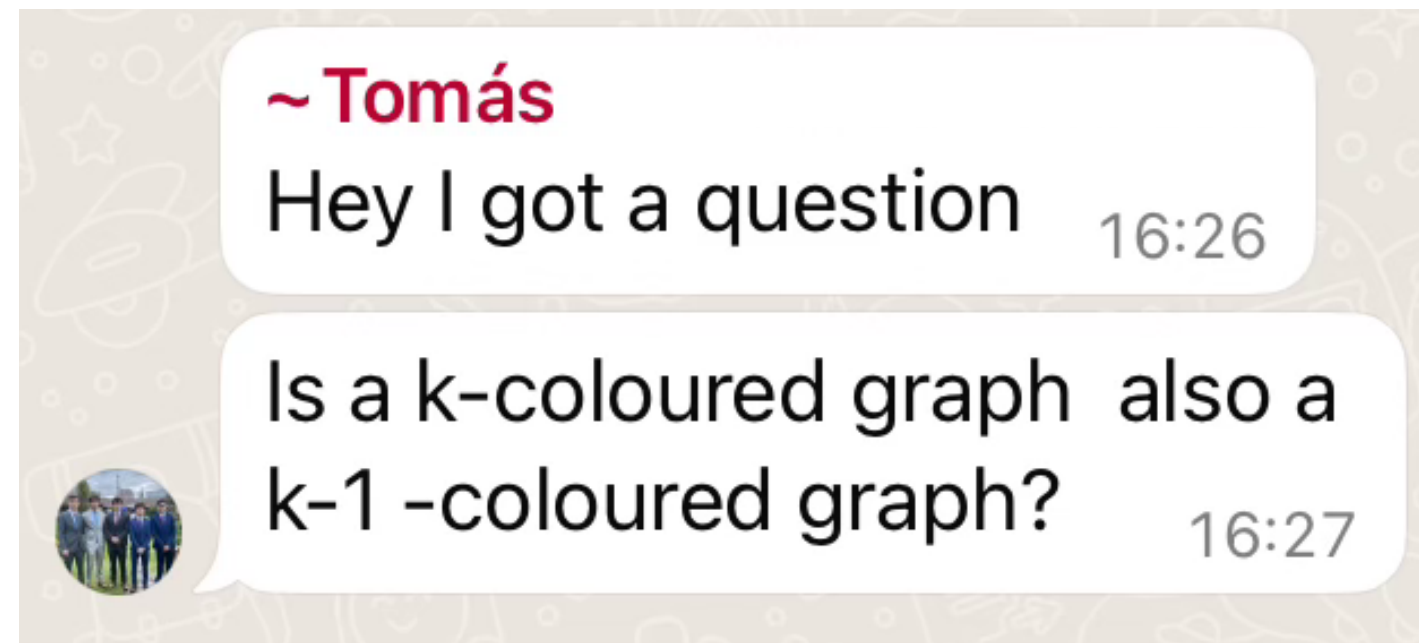
Let's take a break



Coloring

Coloring

Intuition



Coloring

Intuition

Matching

pairing adjacent vertices
without conflicts

(pairing non-adjacent edges)

ensure that selected edges
don't touch the same vertex

edges are our friends

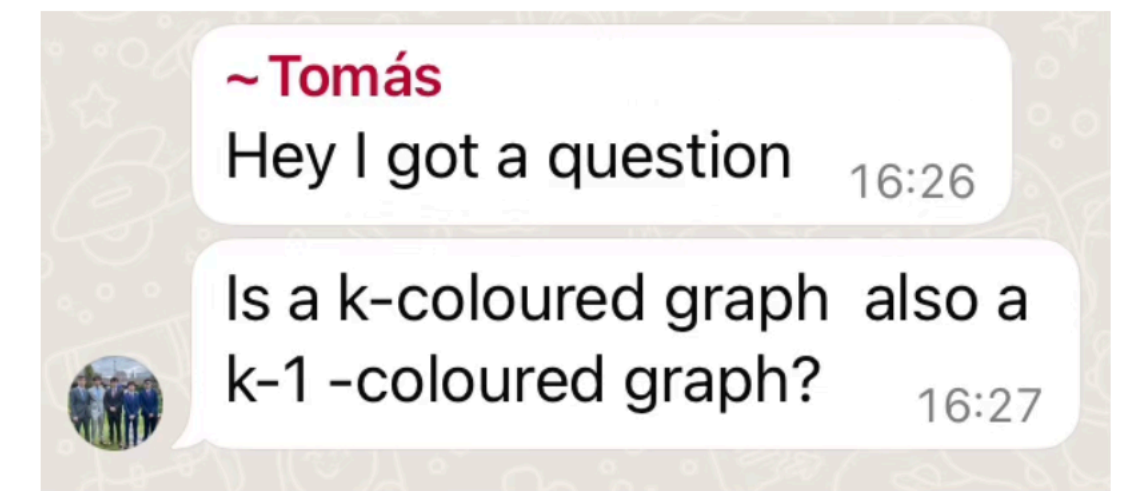


Coloring

seperating adjacent vertices

ensure that the connected
vertices have distinct colors

edges are our enemies



Coloring

Intuition

Matching

pairing adjacent vertices without conflicts
(pairing non-adjacent edges)
ensure that selected edges don't touch the
same vertex

edges are our friends

k -matched G is also $(k-1)$ -matched

simply remove 1 edge



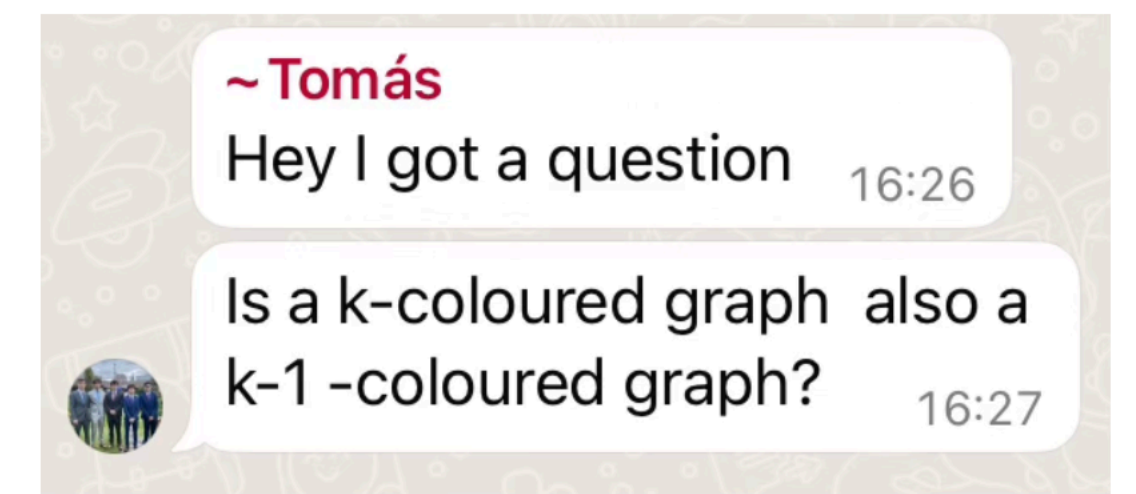
Coloring

seperating adjacent vertices
ensure that the connected vertices have
distinct colors

edges are our enemies

$(k-1)$ -colored G is also k -colored

simply change one node's color



Coloring

Definitions

- (Vertex-) Coloring :
 - A (vertex-) coloring of $G = (V, E)$ with k colors is a mapping $c : V \rightarrow [k]$ s.t. $c(u) \neq c(v)$ for all edges $\{u, v\} \in E$

Color vertices in a way that no two vertices that share an edge are of the same color

Coloring

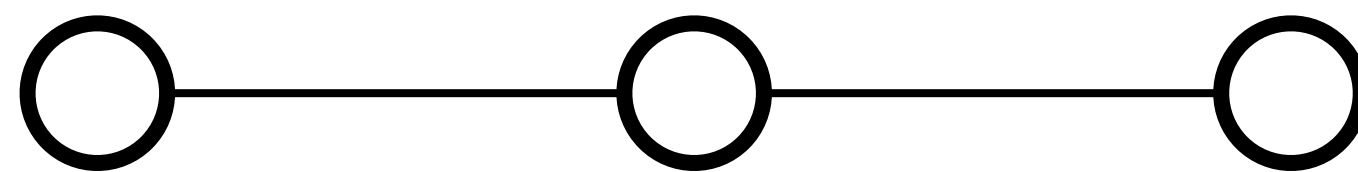
Examples

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Is this a coloring ?



Coloring

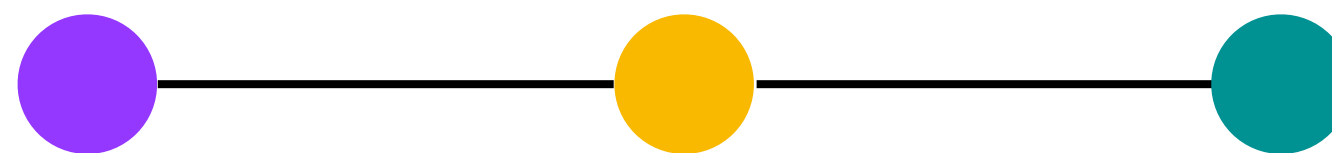
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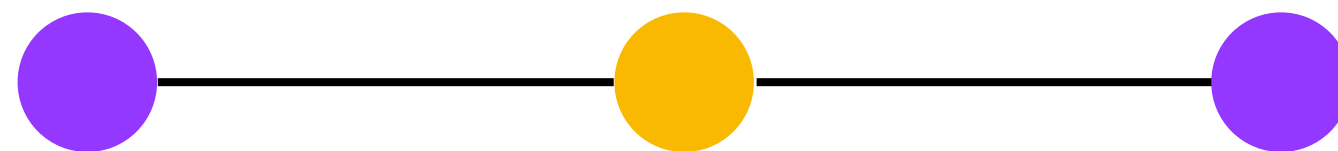
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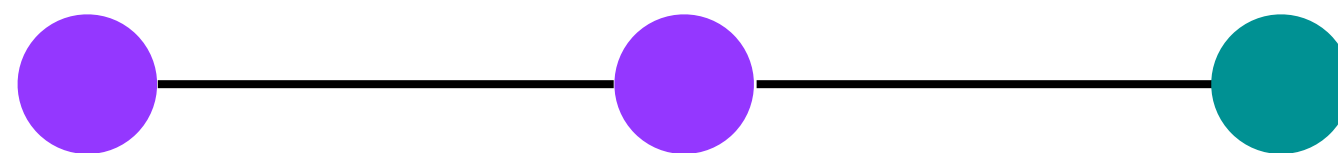
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Coloring

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Color vertices in a way that no two vertices that share an edge are of the same color

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- Chromatic Number :
 - The chromatic number $\chi(G)$ is the minimum number of colors needed to color a graph
 - equivalent : $\chi(G) \leq k \iff G$ is k -partite

Coloring

k-partite

- General version of the bipartite
- A graph $G = (V, E)$ is called k-partite if
 - the vertex set V can be divided into k disjoint sets $V = V_1 \cup V_2 \cup \dots \cup V_k$
 - s.t. for every edge $(u, v) \in E$, u and v belong to different sets V_i and V_j where $i \neq j$

Coloring

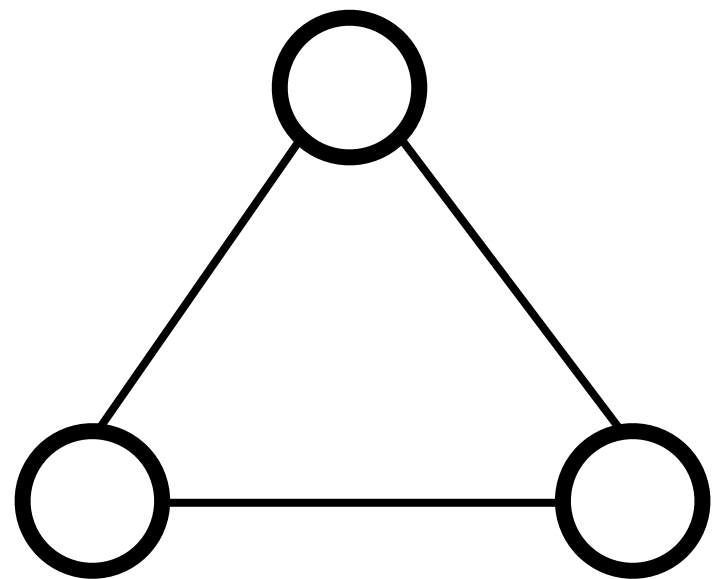
Examples

Color vertices in a way that no two vertices that share an edge are of the same color

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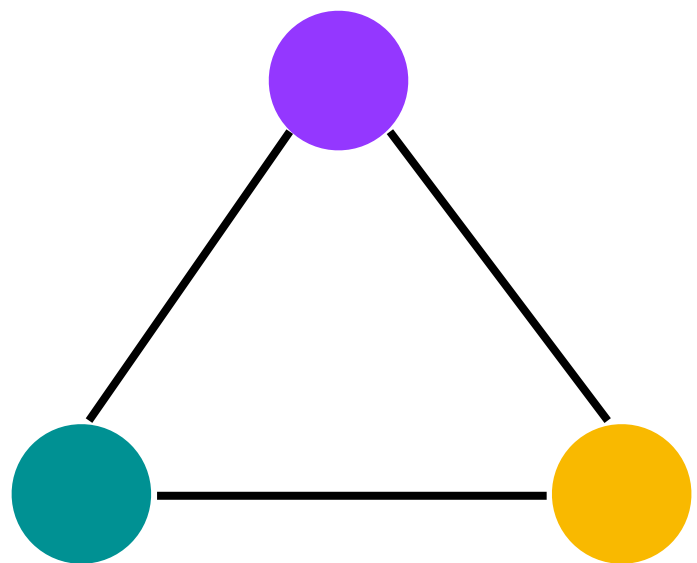
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$$\chi(G_1) = 3$$

Coloring

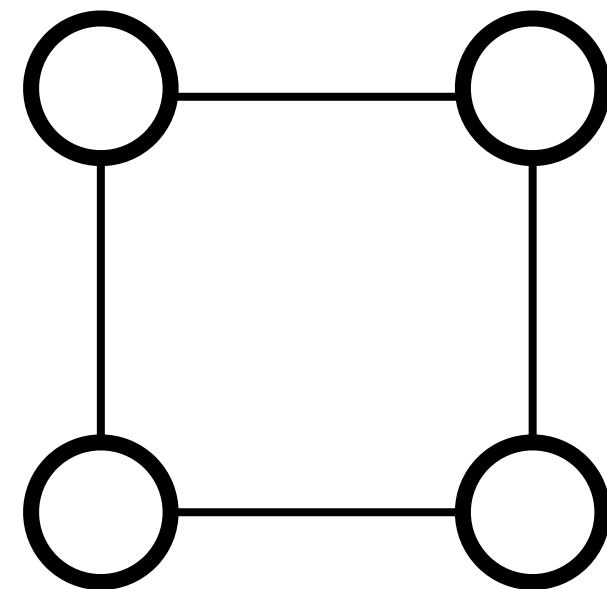
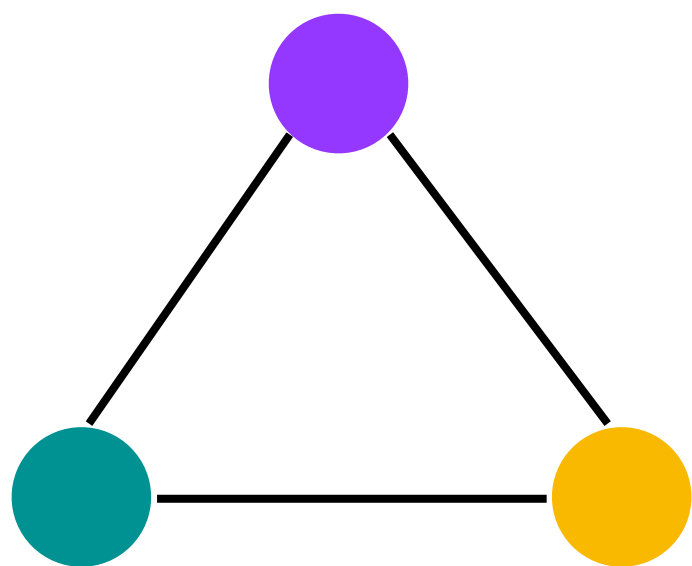
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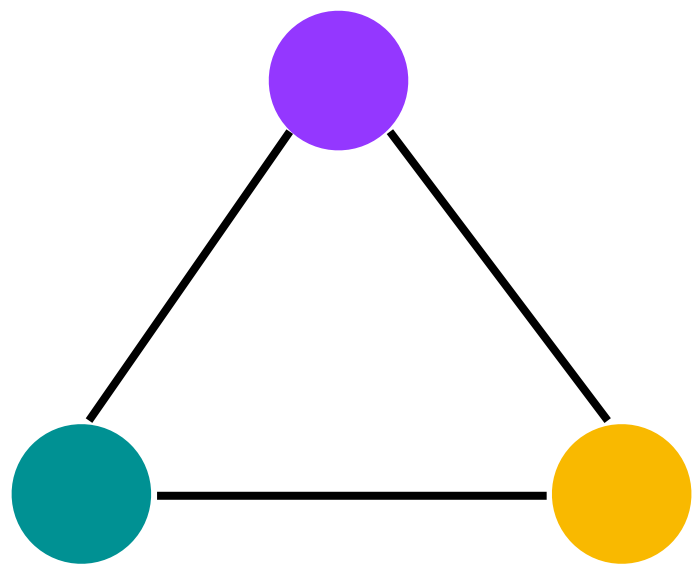
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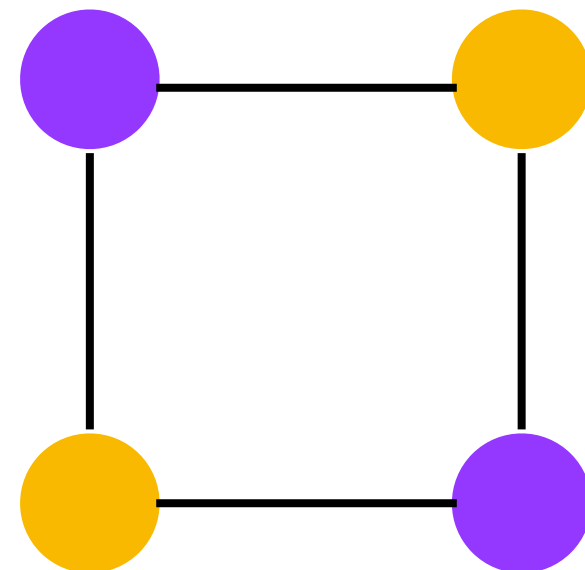
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$$\chi(G_1) = 2$$

Coloring

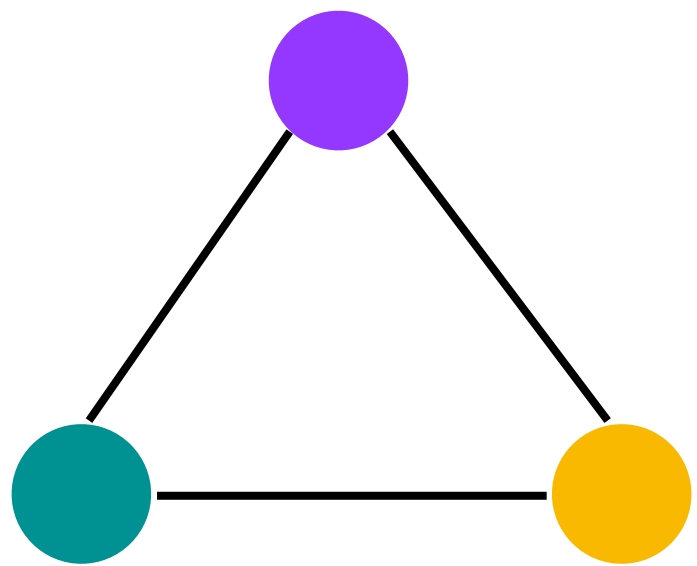
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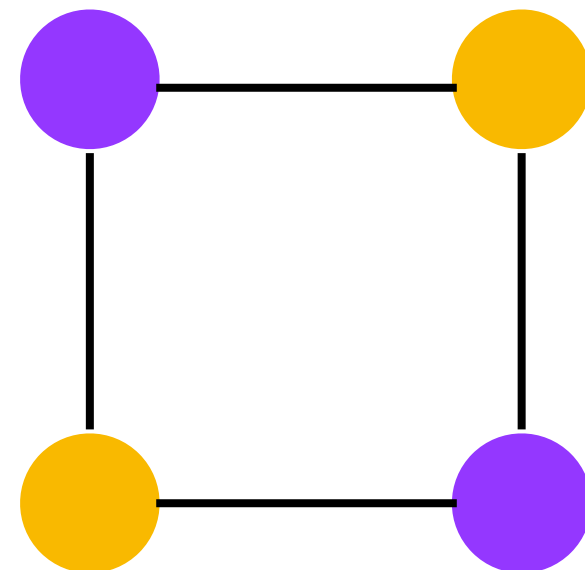
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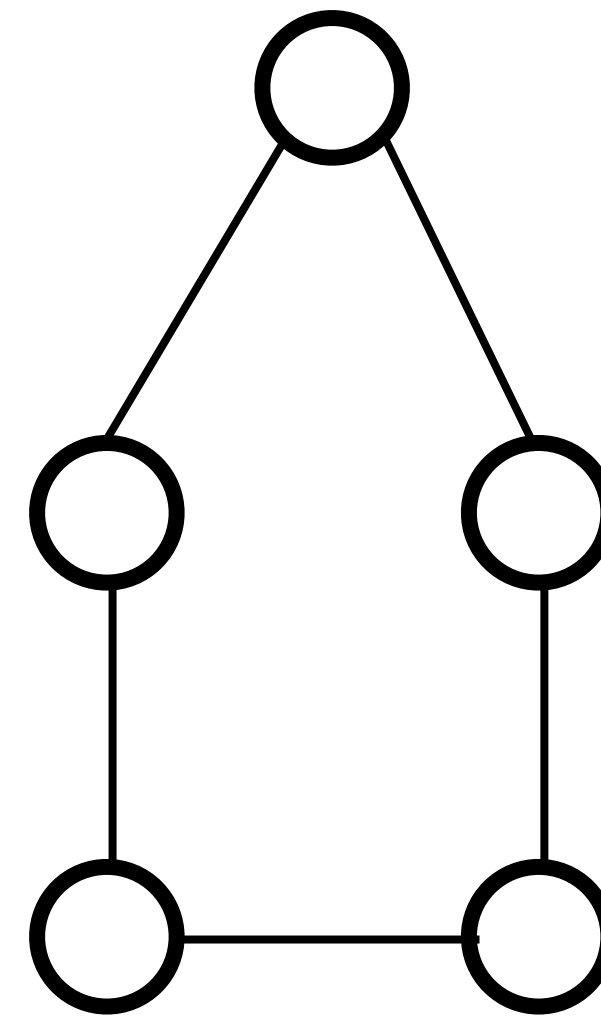
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Coloring

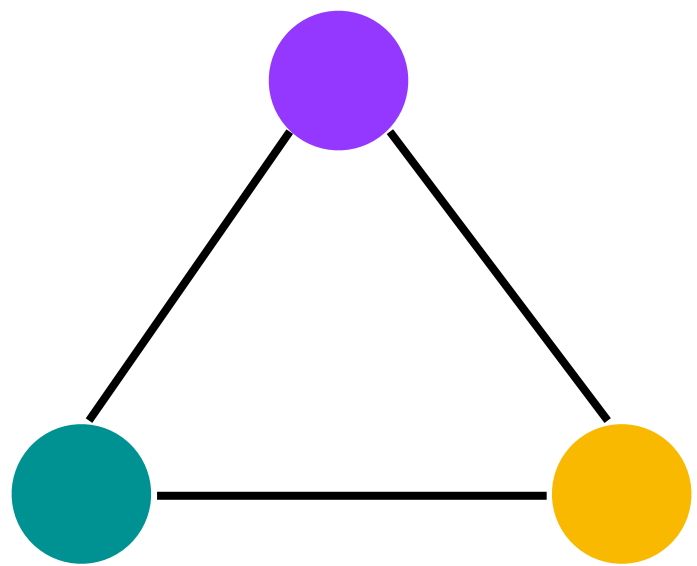
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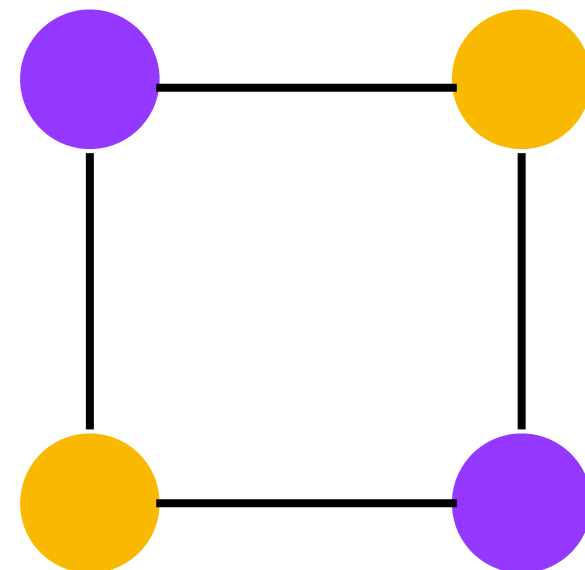
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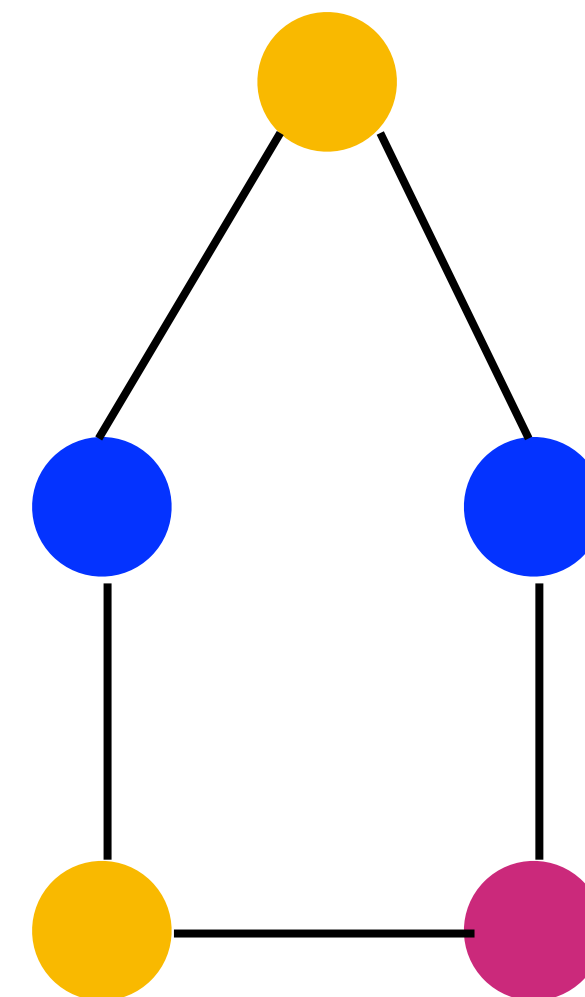
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Coloring

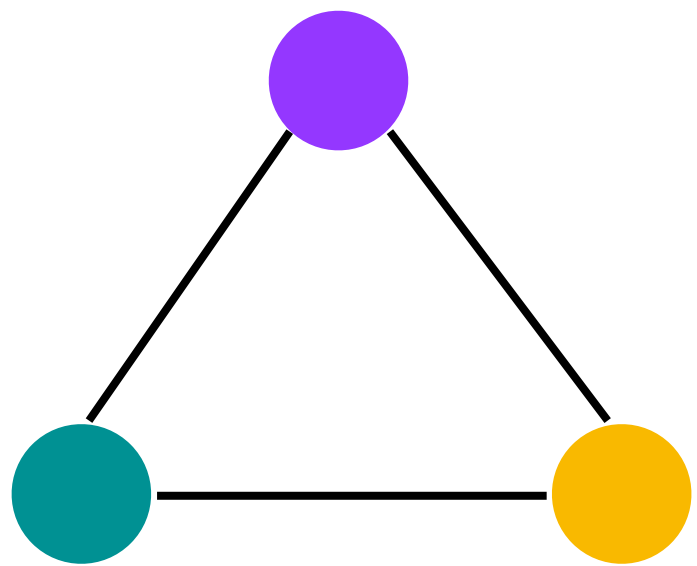
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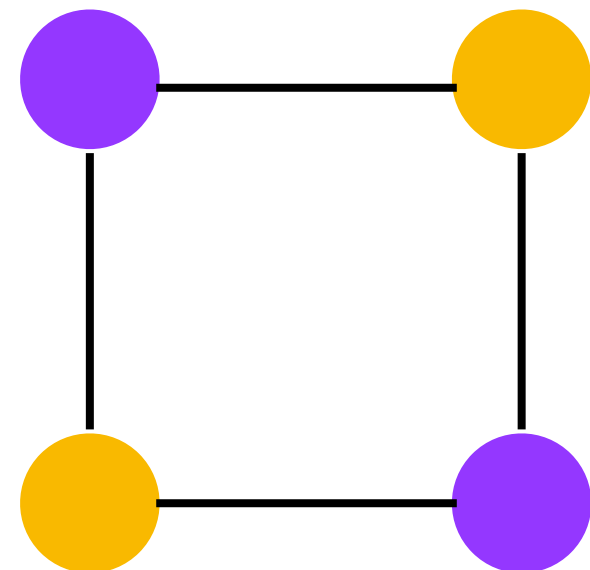
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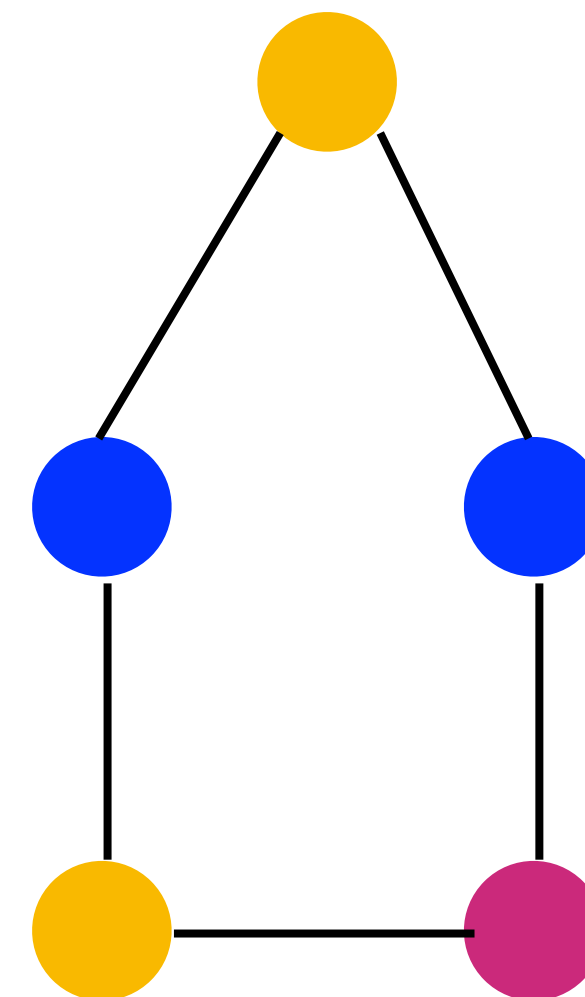
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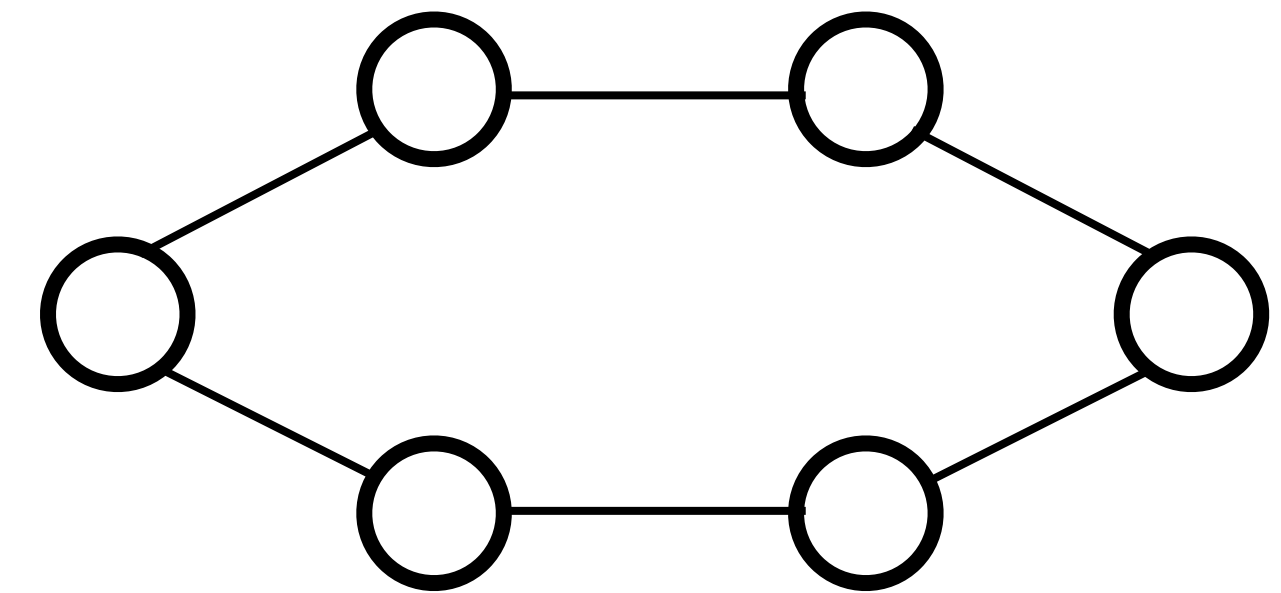
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$$\chi(G_1) = 2$$



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Coloring

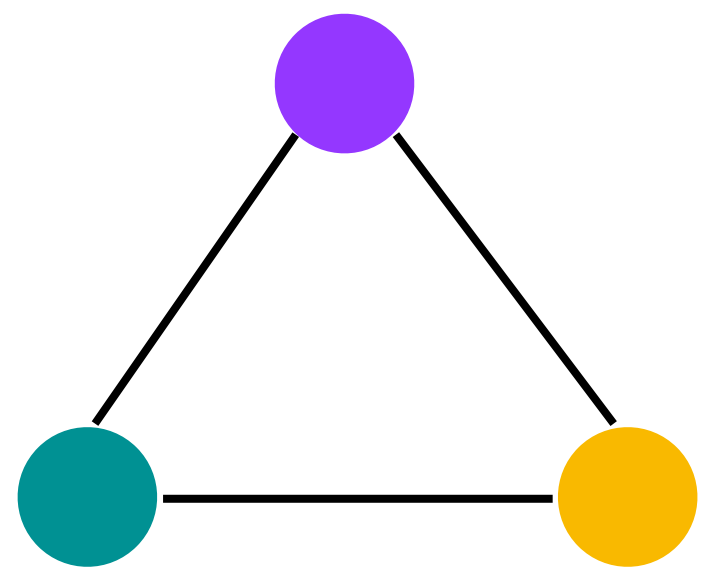
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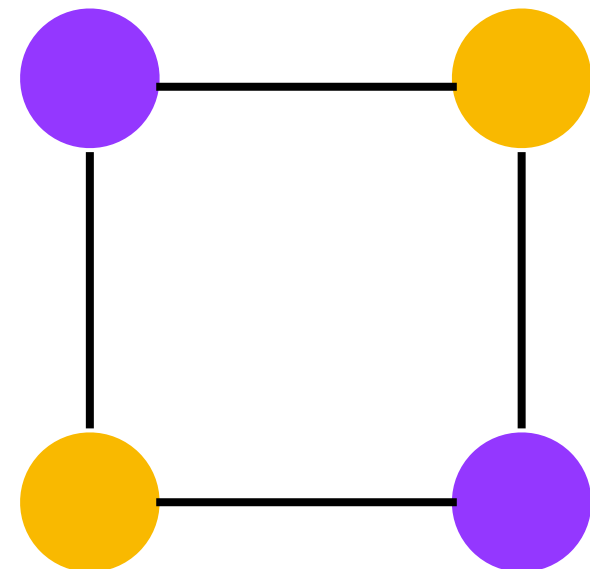
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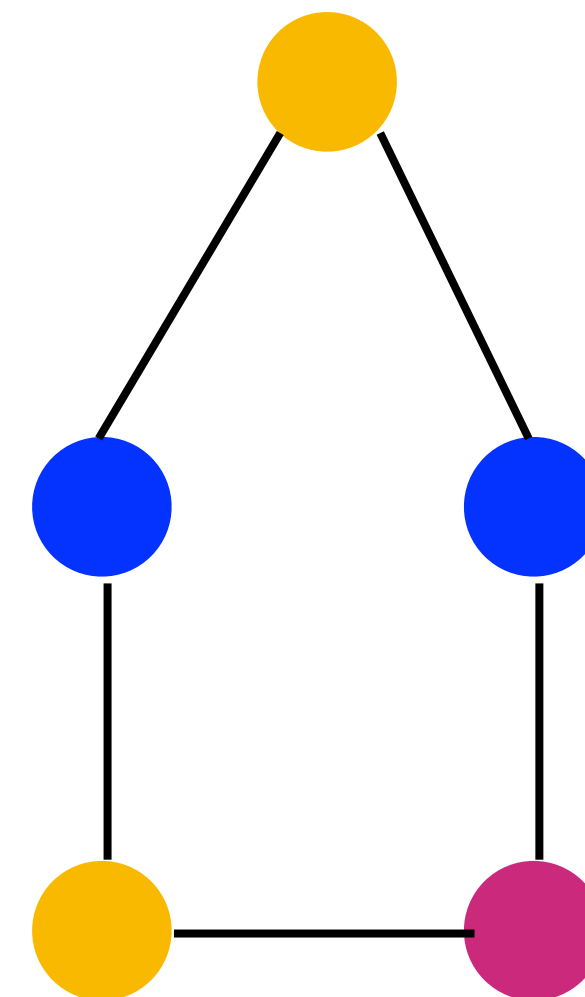
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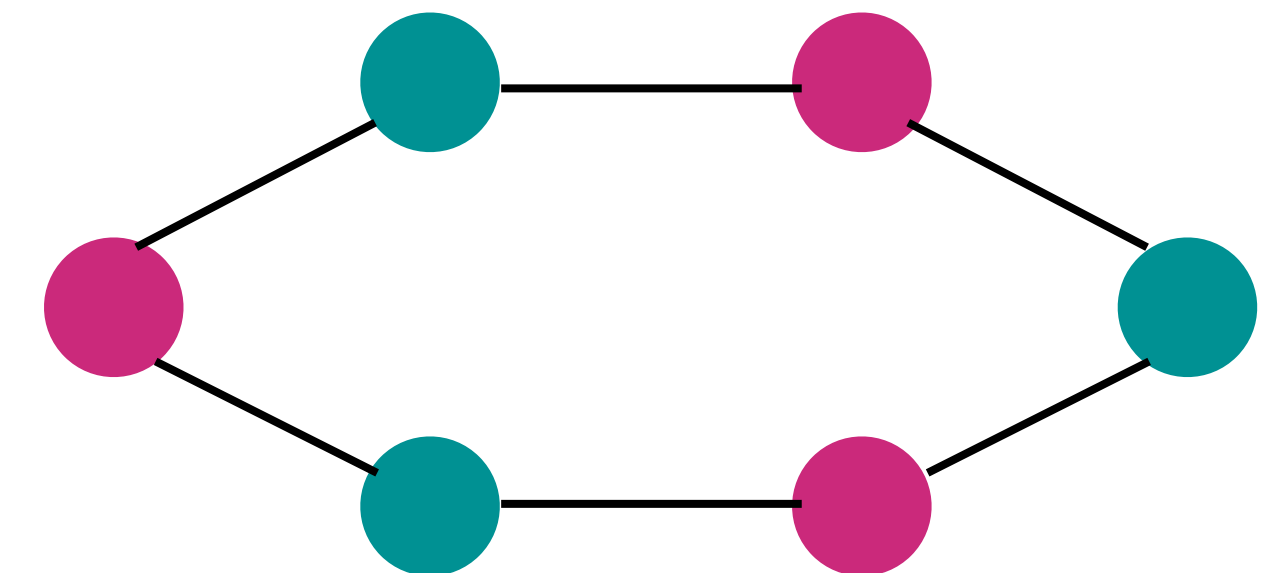
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Coloring

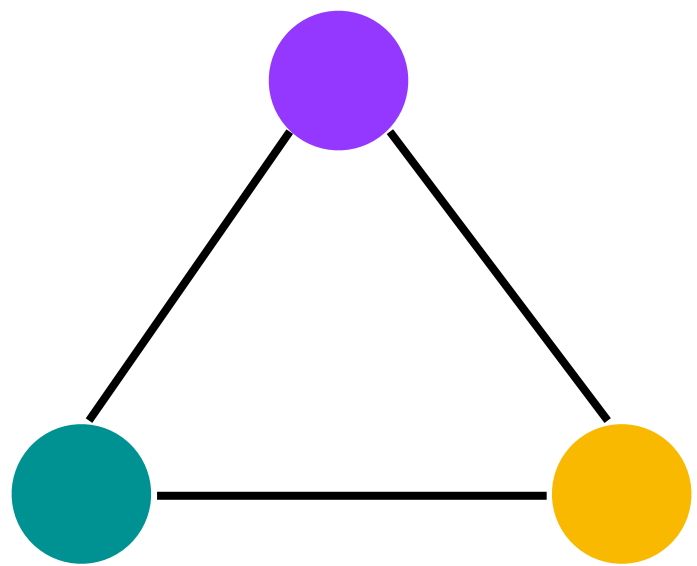
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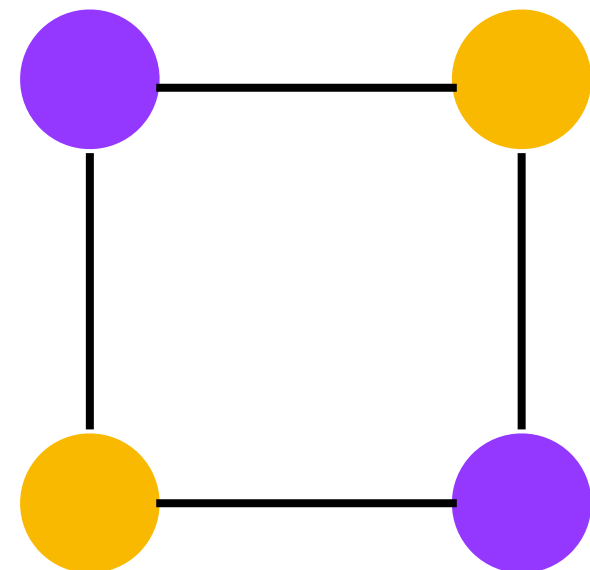
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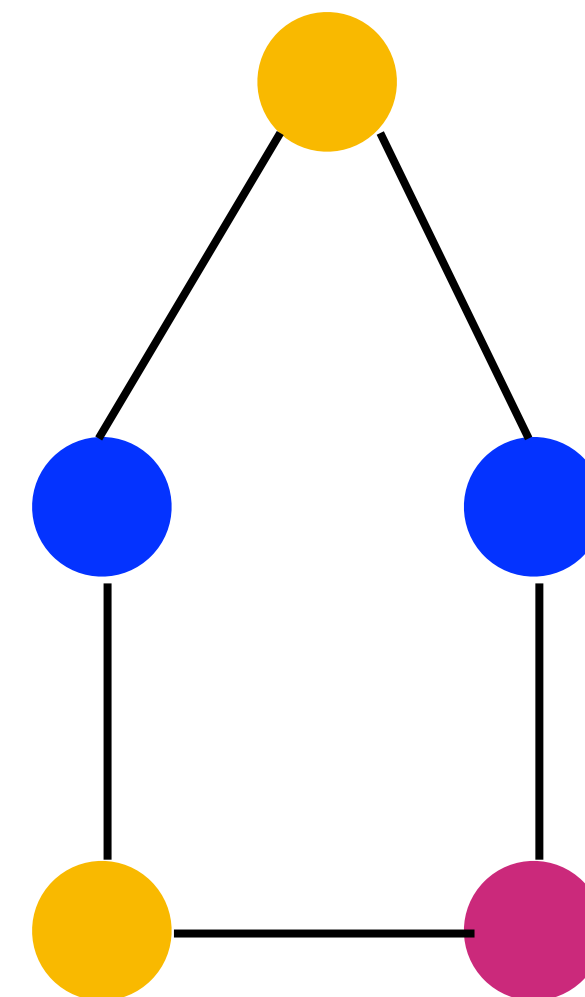
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$$\chi(G_1) = 3$$

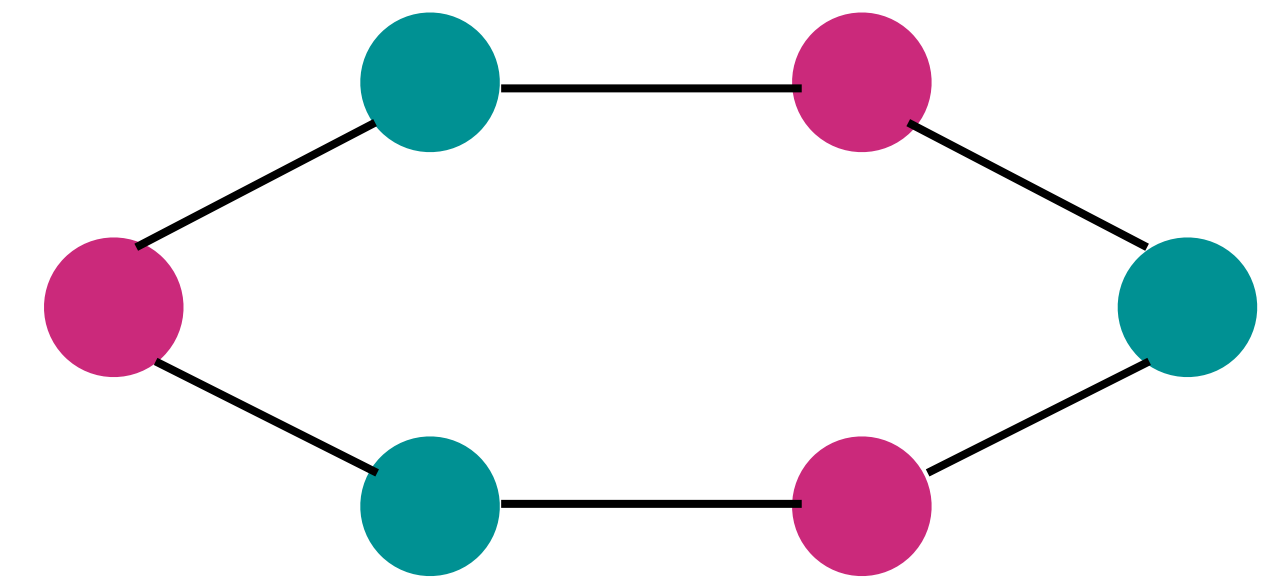


$$\chi(G_1) = 2$$



$$\chi(G_1) = 3$$

Do you notice something ?



$$\chi(G_1) = 2$$

Coloring Problem

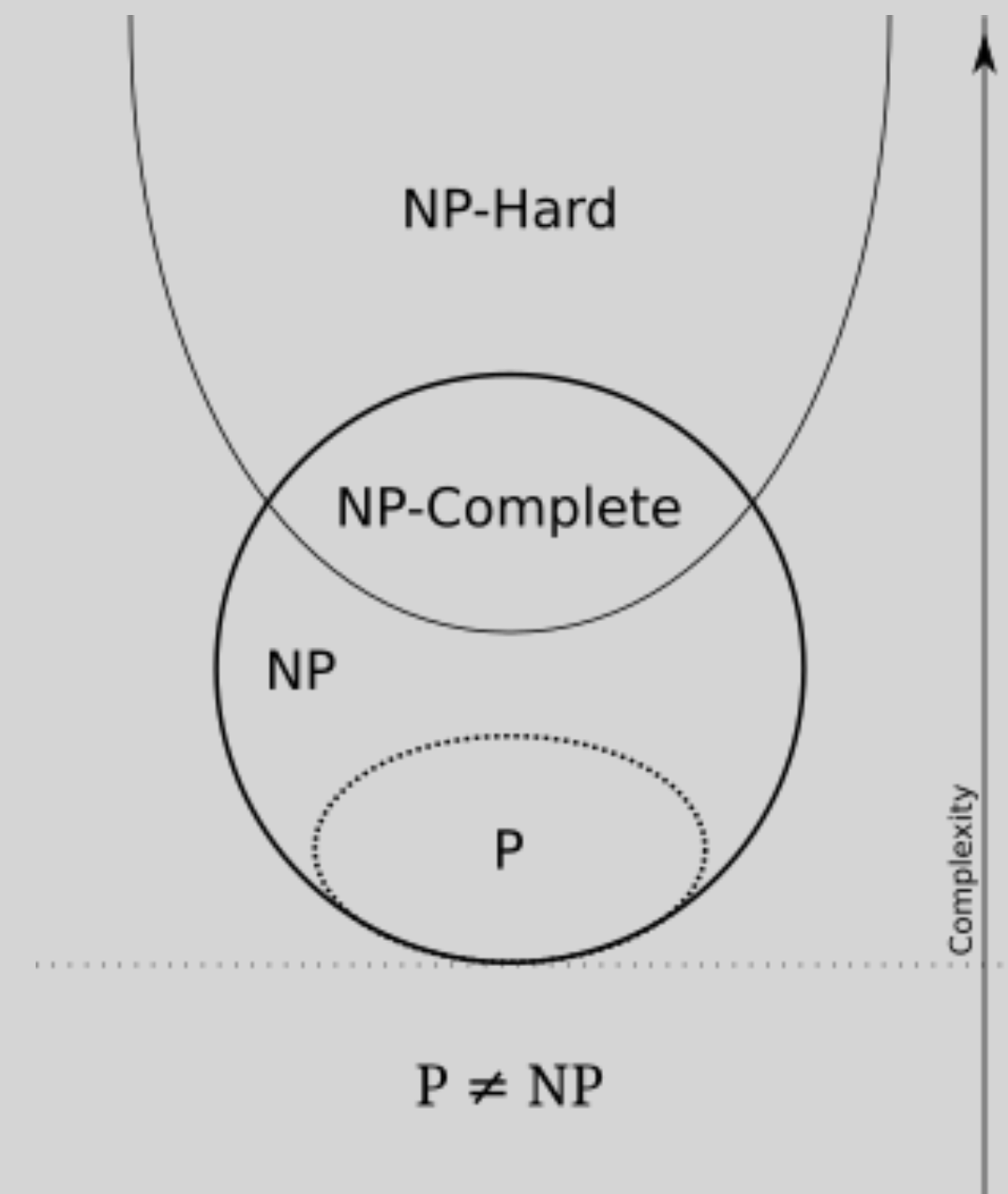
For all $k \geq 3$, given a graph $G = (V, E)$,
is $\chi(G) \leq k$?

NP - Complete

NP-Complete

For all $k \geq 3$, given a graph $G = (V, E)$, is $\chi(G) \leq k$?

Complexity Theory
TI next semester



P : polynomial

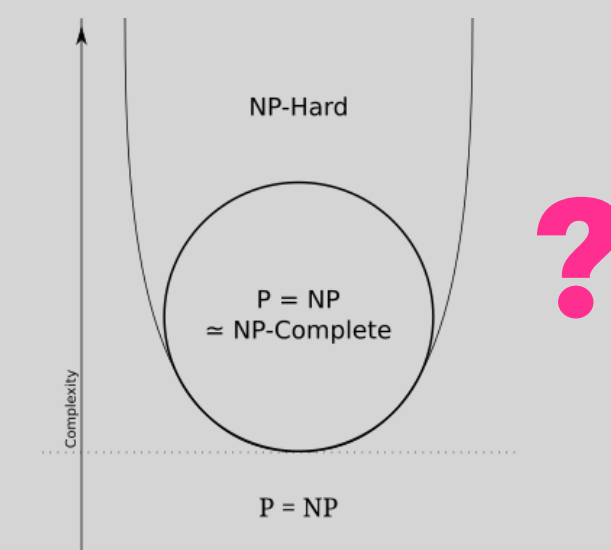
NP : non-deterministic polynomial

- NP is the set of decision problems *solvable* in polynomial time by a [nondeterministic Turing machine](#).
- NP is the set of decision problems *verifiable* in polynomial time by a [deterministic Turing machine](#).

NP - Complete


A problem a in NP is **NP-complete** if :

$$a \in P \implies P = NP$$



Coloring

Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$  color the first vertex with color 1
- **for** $i = 2$ **to** n **do**
 - $c[v_i] \leftarrow \min\{k \in \mathbb{N} \mid k \neq c[u] \text{ for all } u \in N(v_i) \cap \{v_1, \dots, v_{i-1}\}\}$

 min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

Coloring

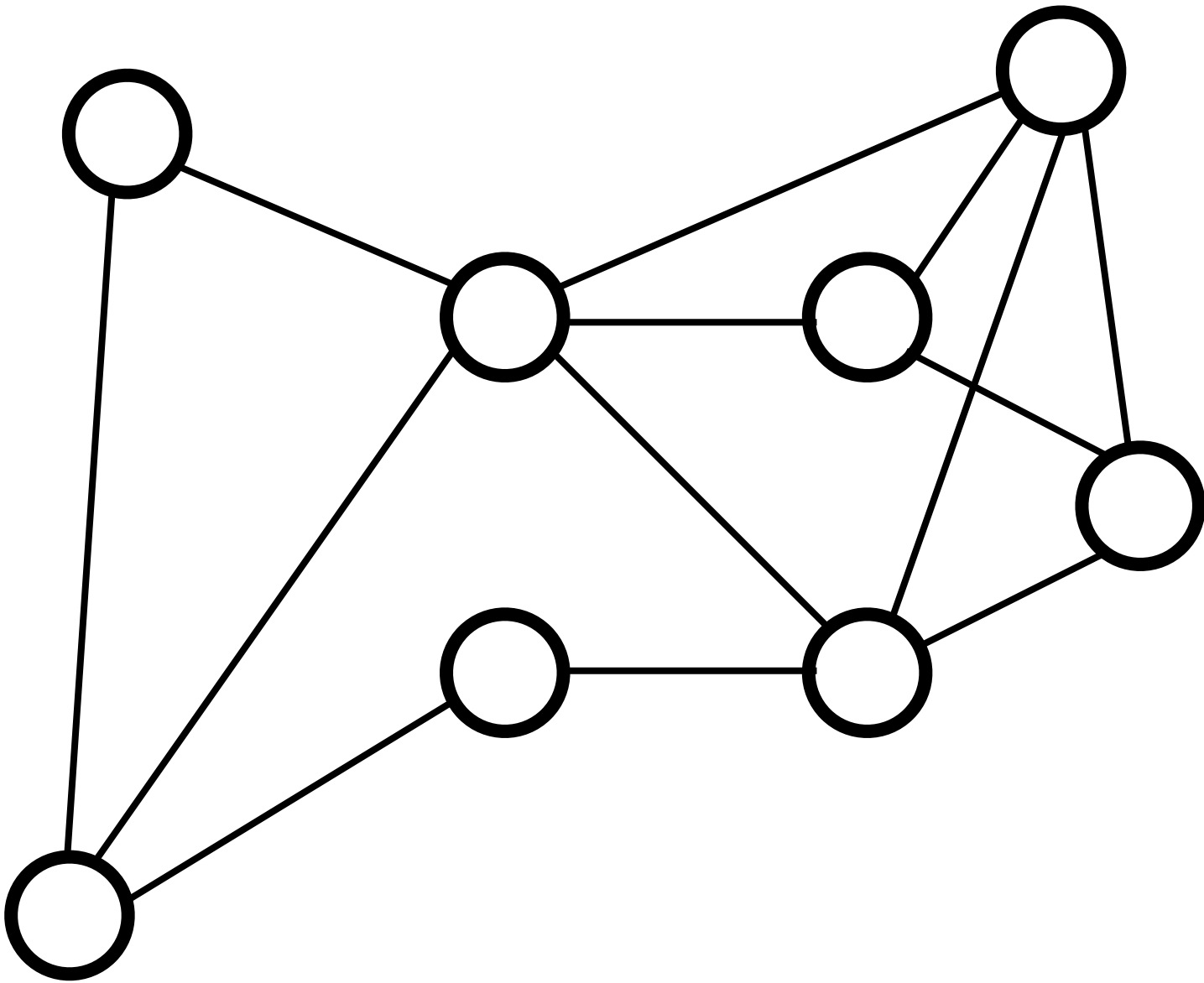
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k indexing for colors :

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | | | | | | | | |



Coloring

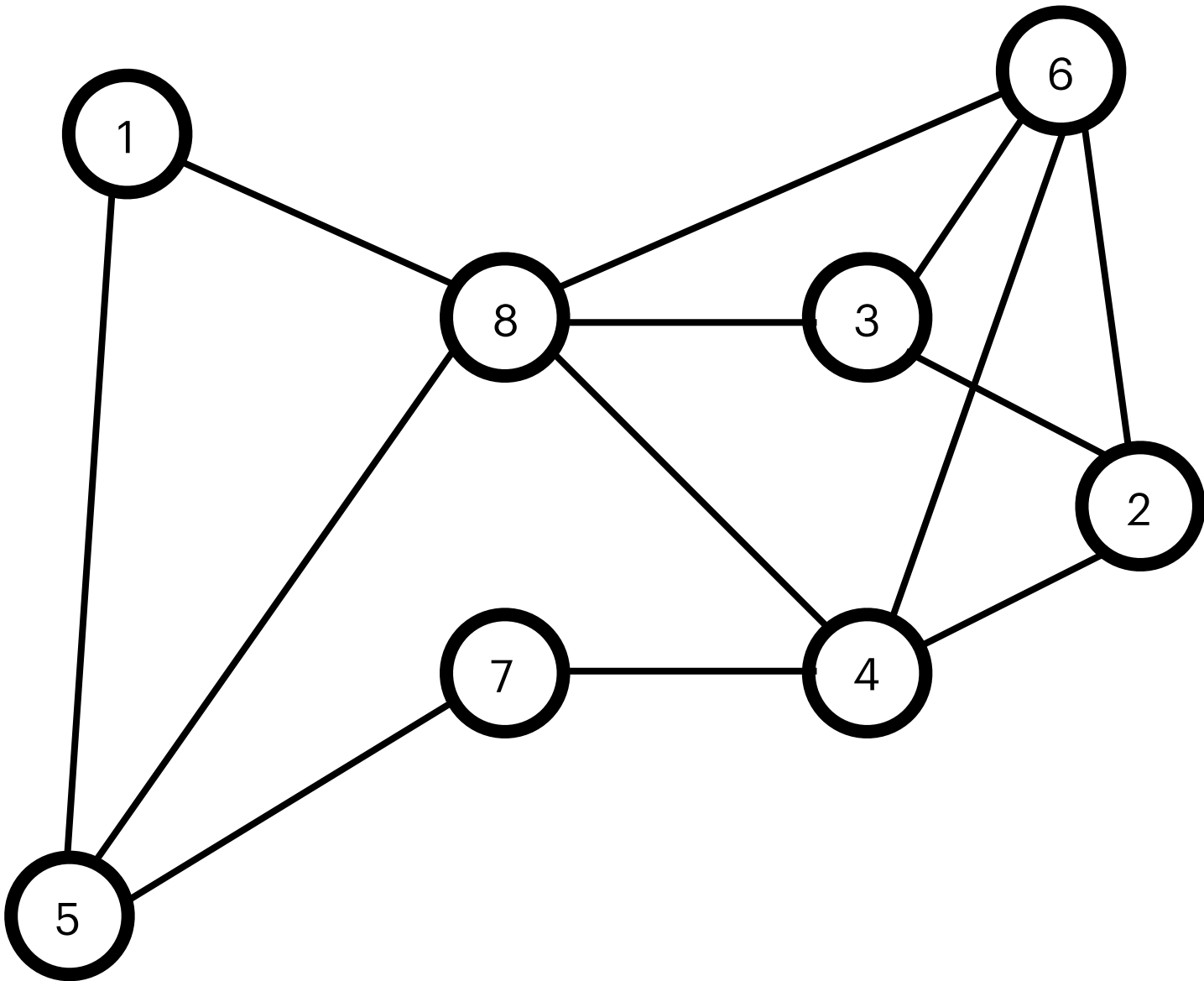
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Coloring

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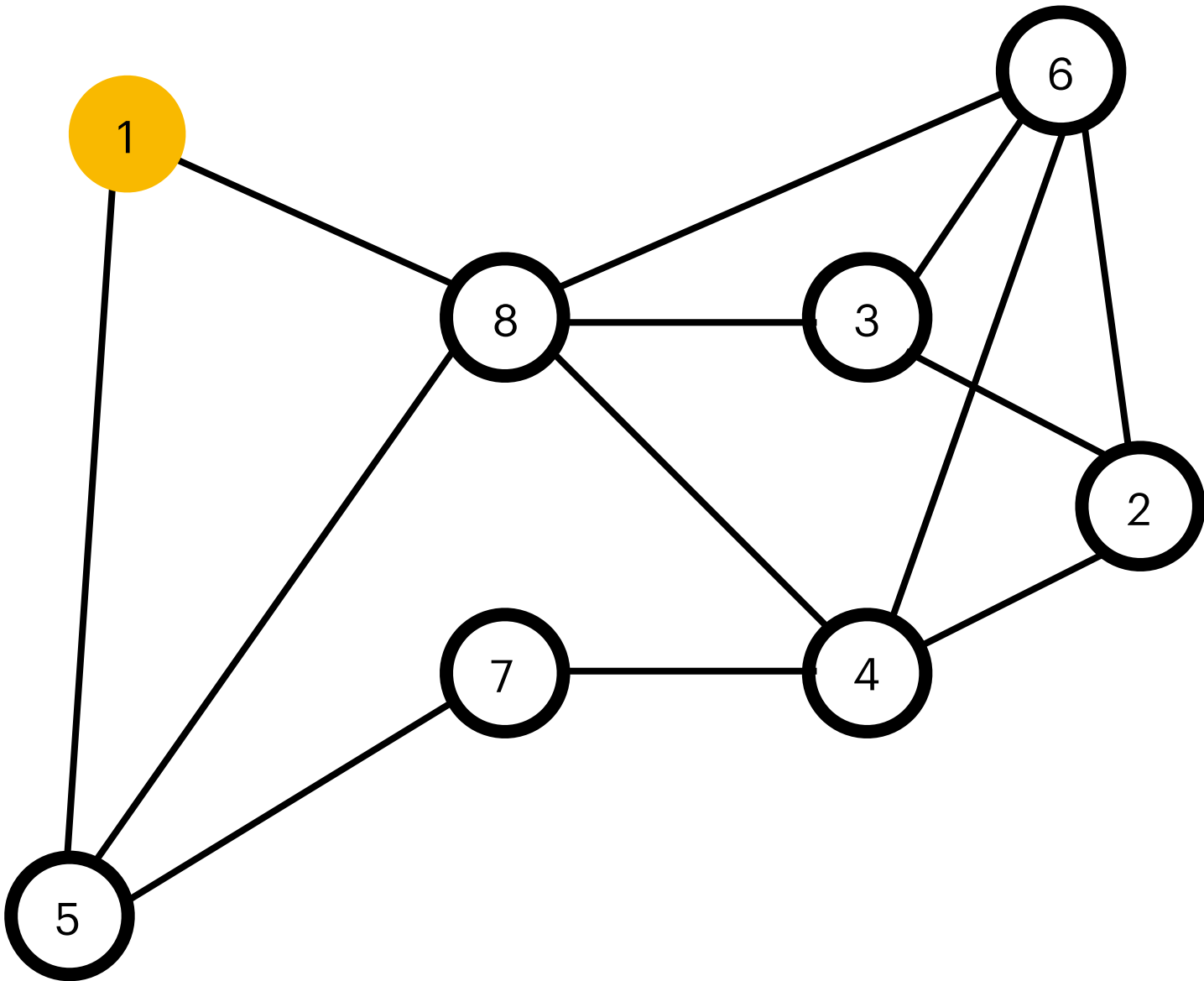
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| c[v _i] | 1 | | | | | | | |



Coloring

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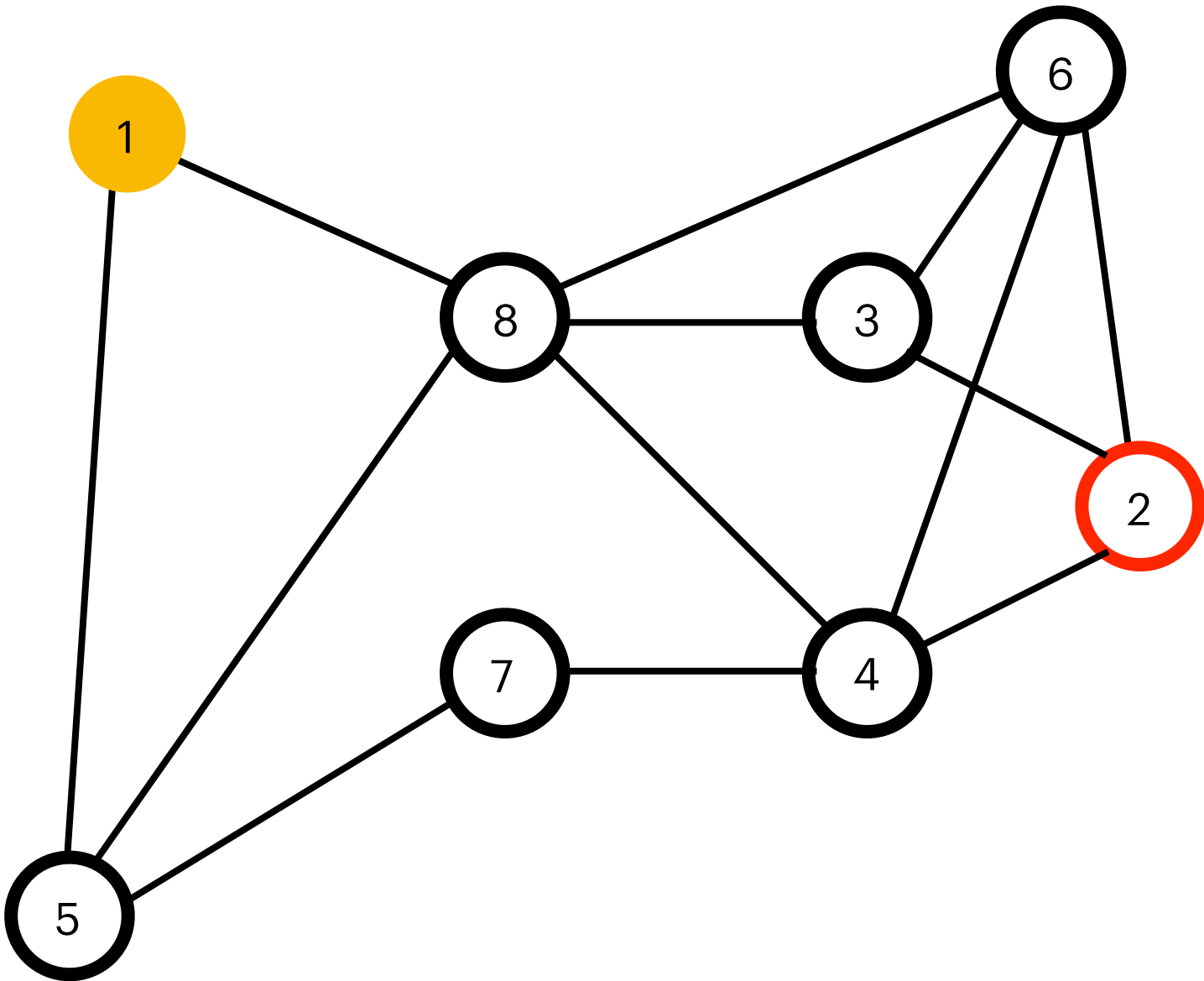
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considering ks



Coloring Greedy Algorithm

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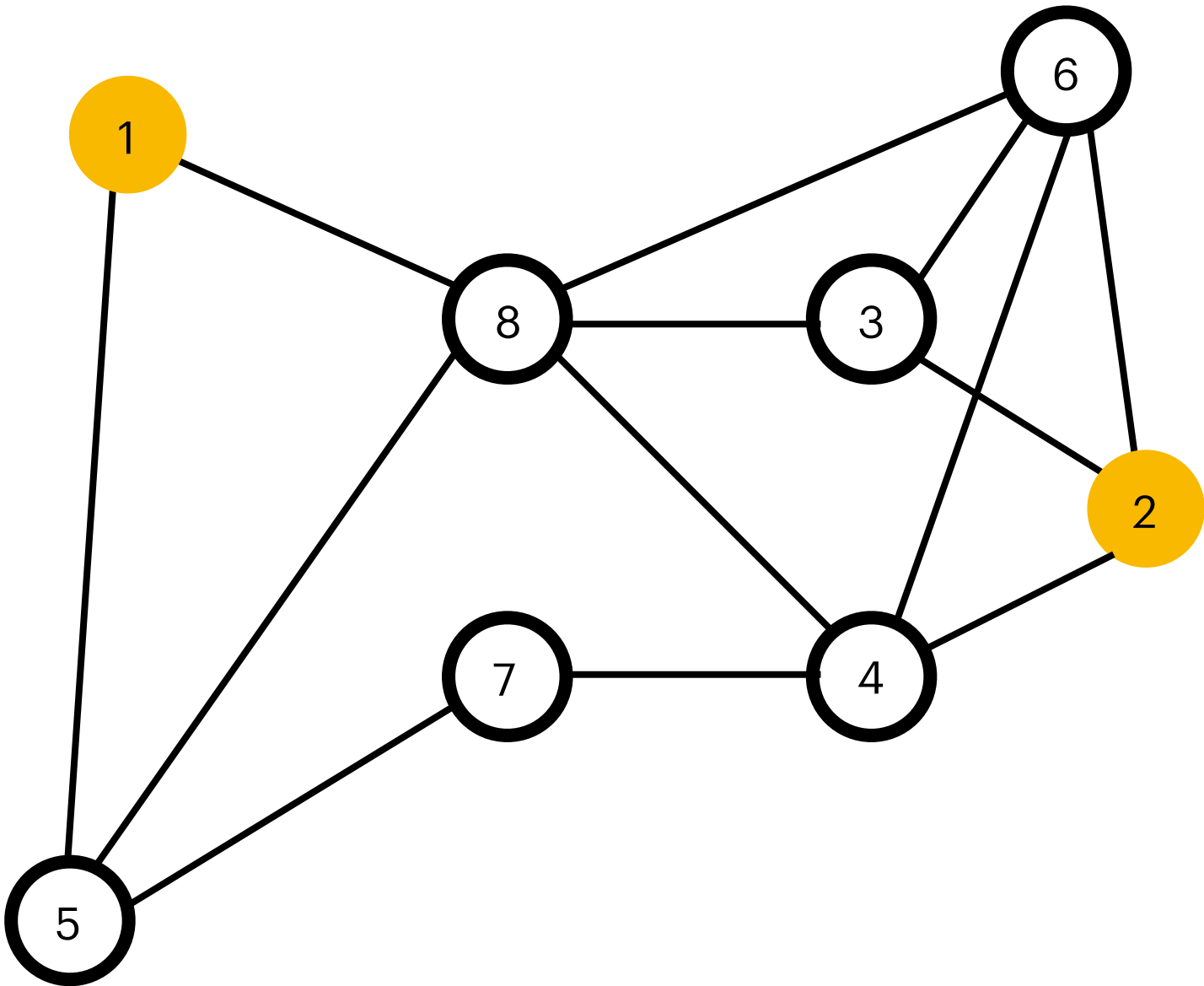
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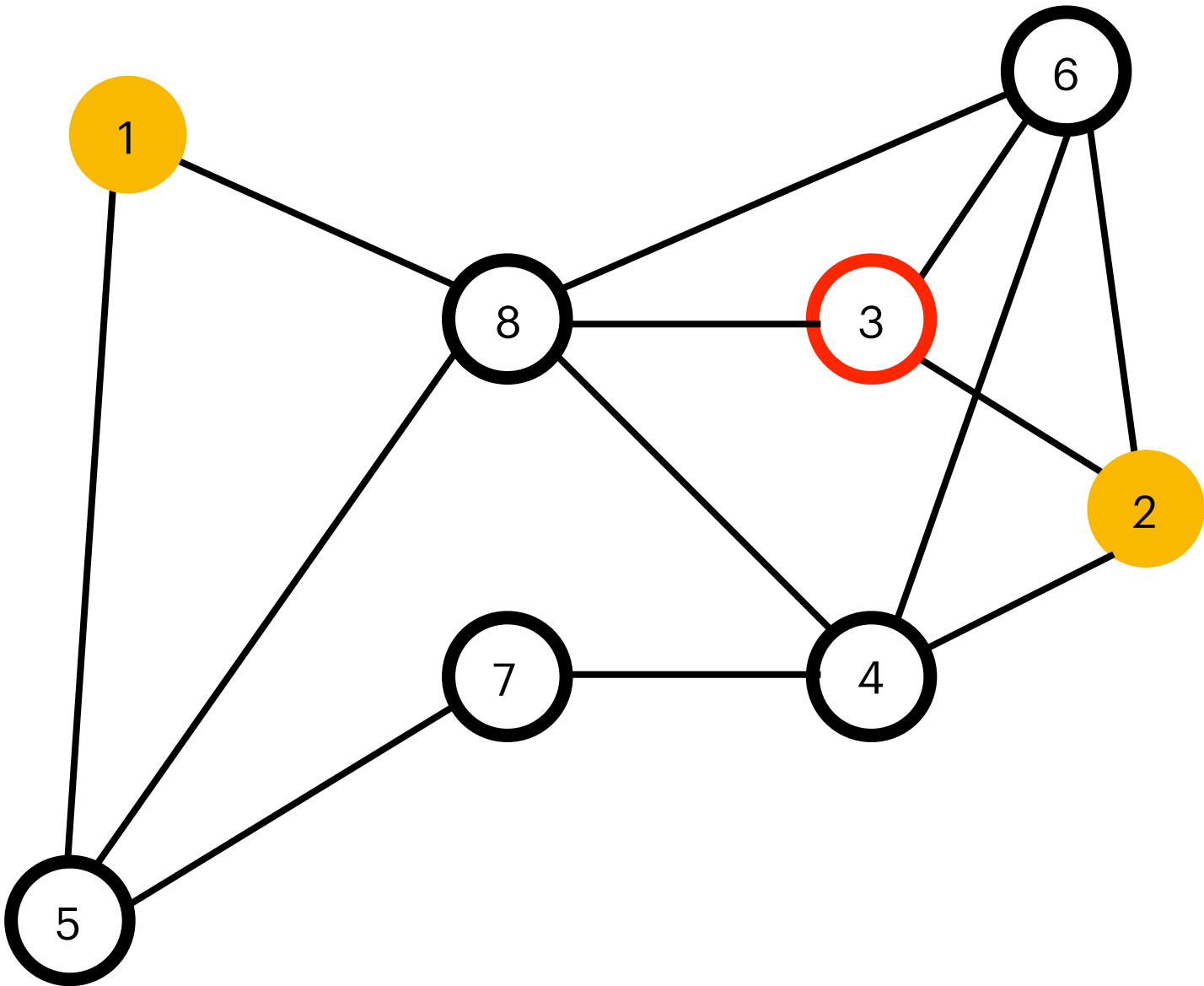
k = 2 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | | | | | | |

considering ks



Coloring Greedy Algorithm

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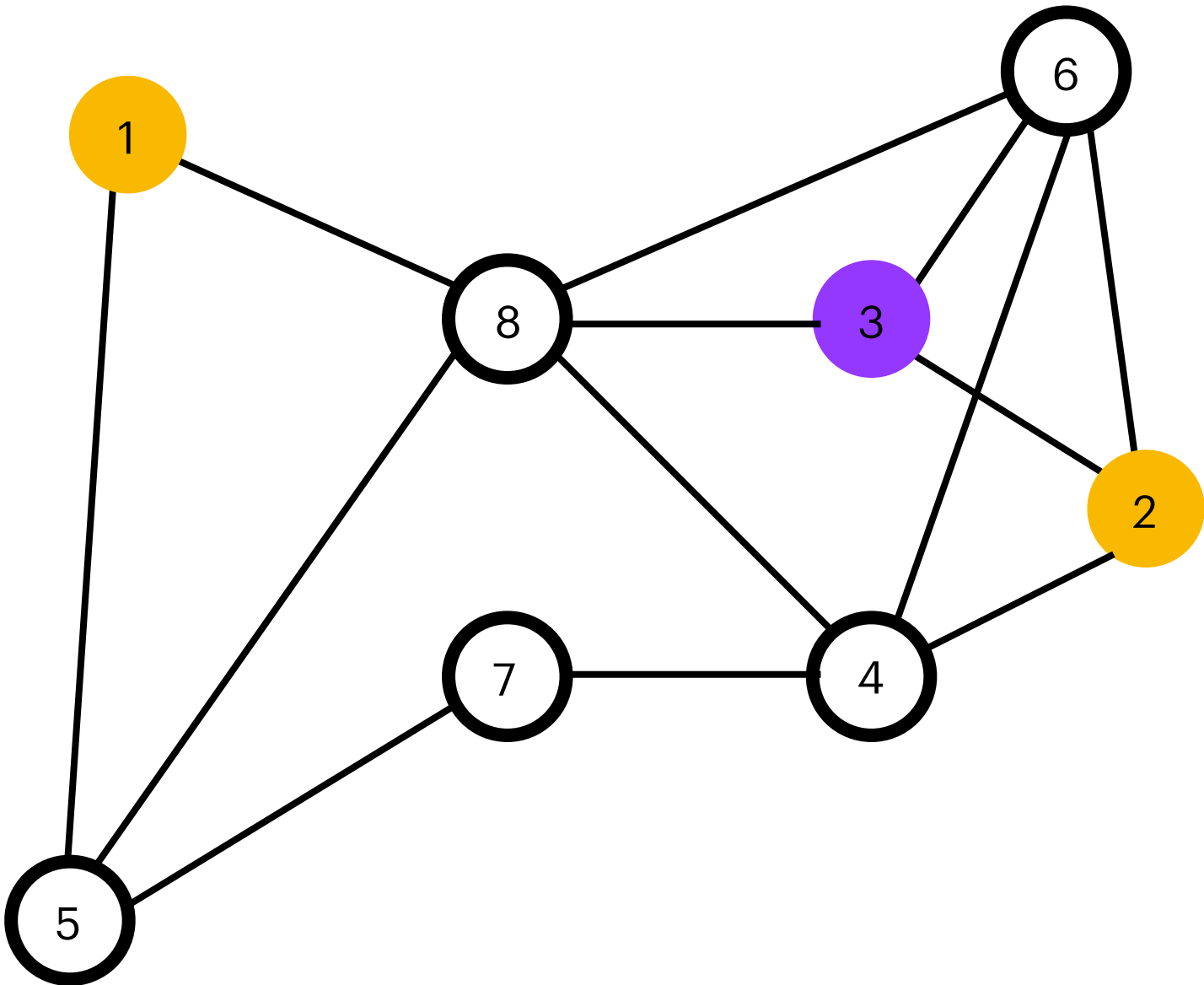
k = 2 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | | | | | |

considering ks



Coloring

Greedy Algorithm

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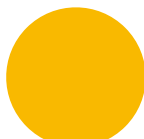


k = 1 

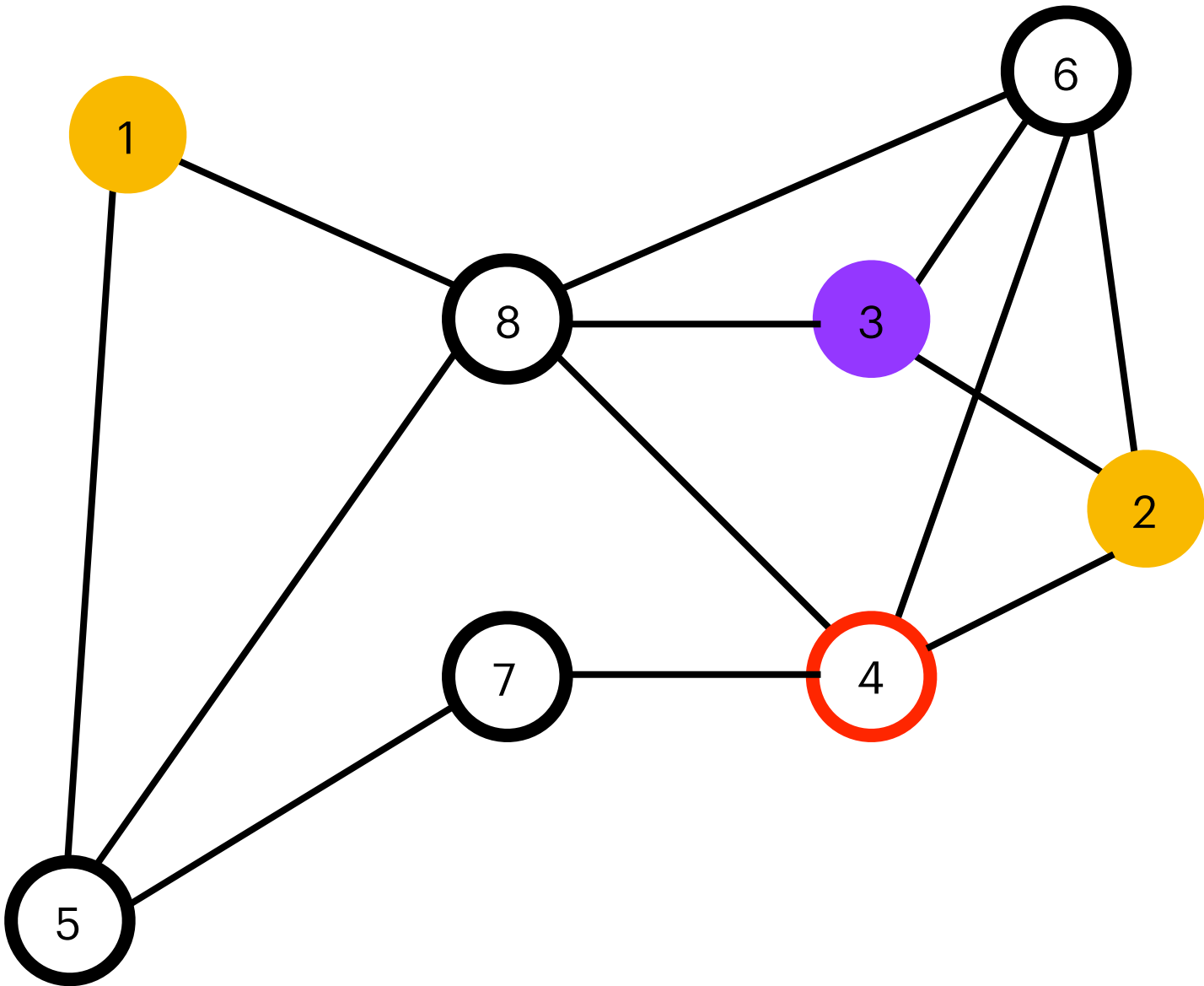
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considering ks




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 - $c[v_i] \leftarrow \min\{k \in \mathbb{N} \mid k \neq c[u] \text{ for all } u \in N(v_i) \cap \{v_1, \dots, v_{i-1}\}\}$
min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :




k = 1 

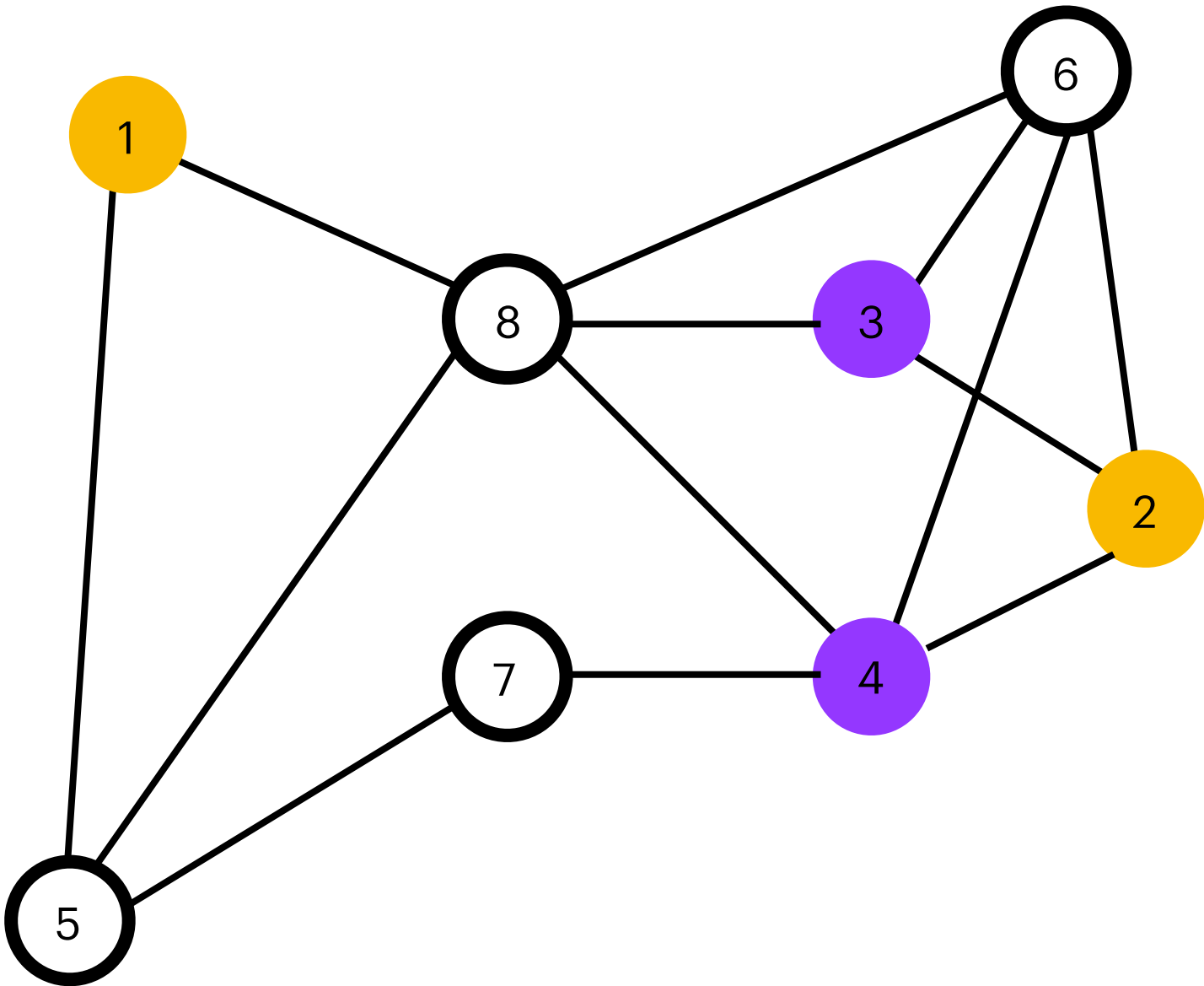
k = 2 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | 2 | | | | |

considering ks



Coloring

Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
- **for** $i = 2$ **to** n **do**
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :




k = 1 

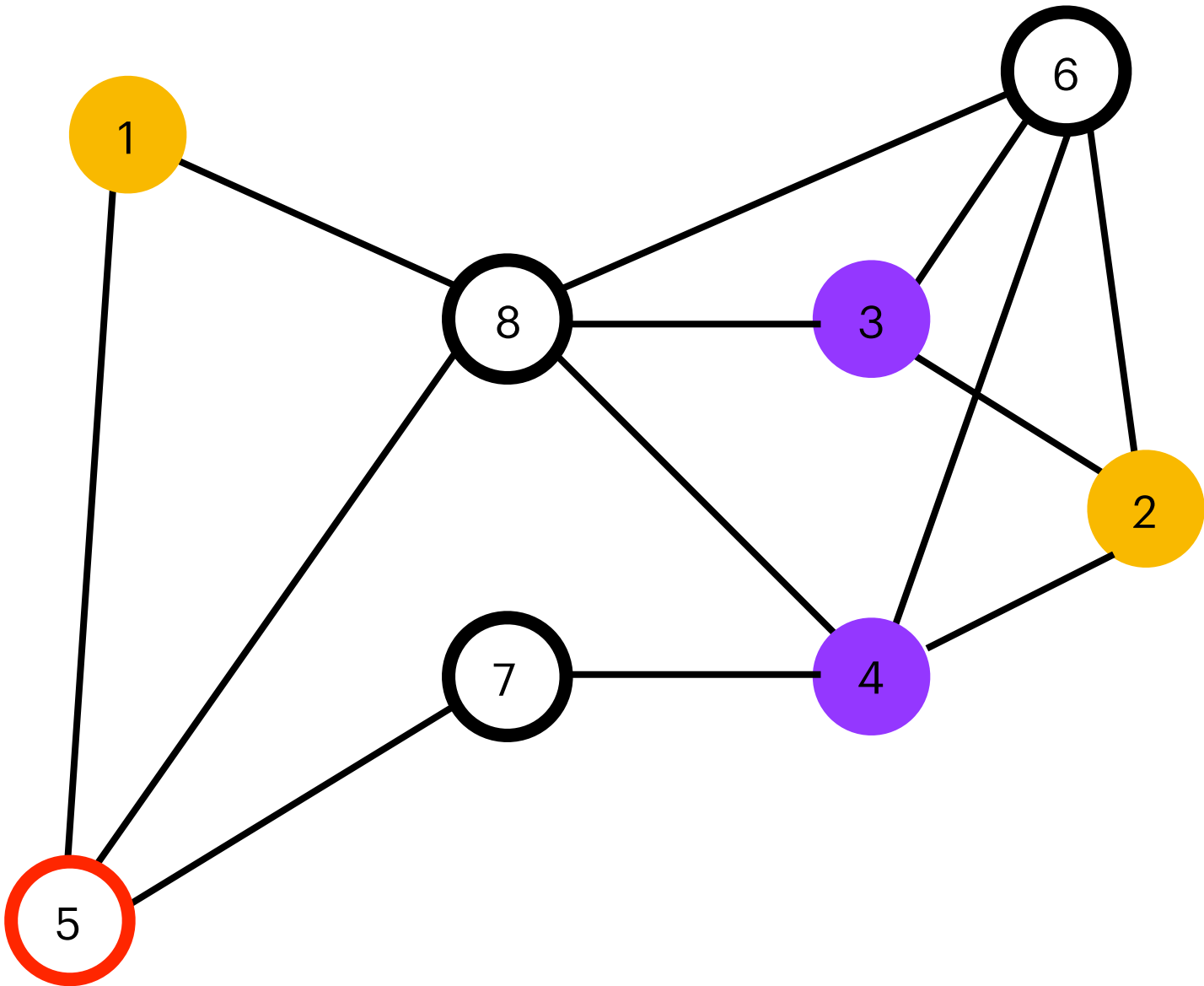
k = 2 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | 2 | | | | |

considering ks



Coloring Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
- **for** $i = 2$ **to** n **do**
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :




k = 1 

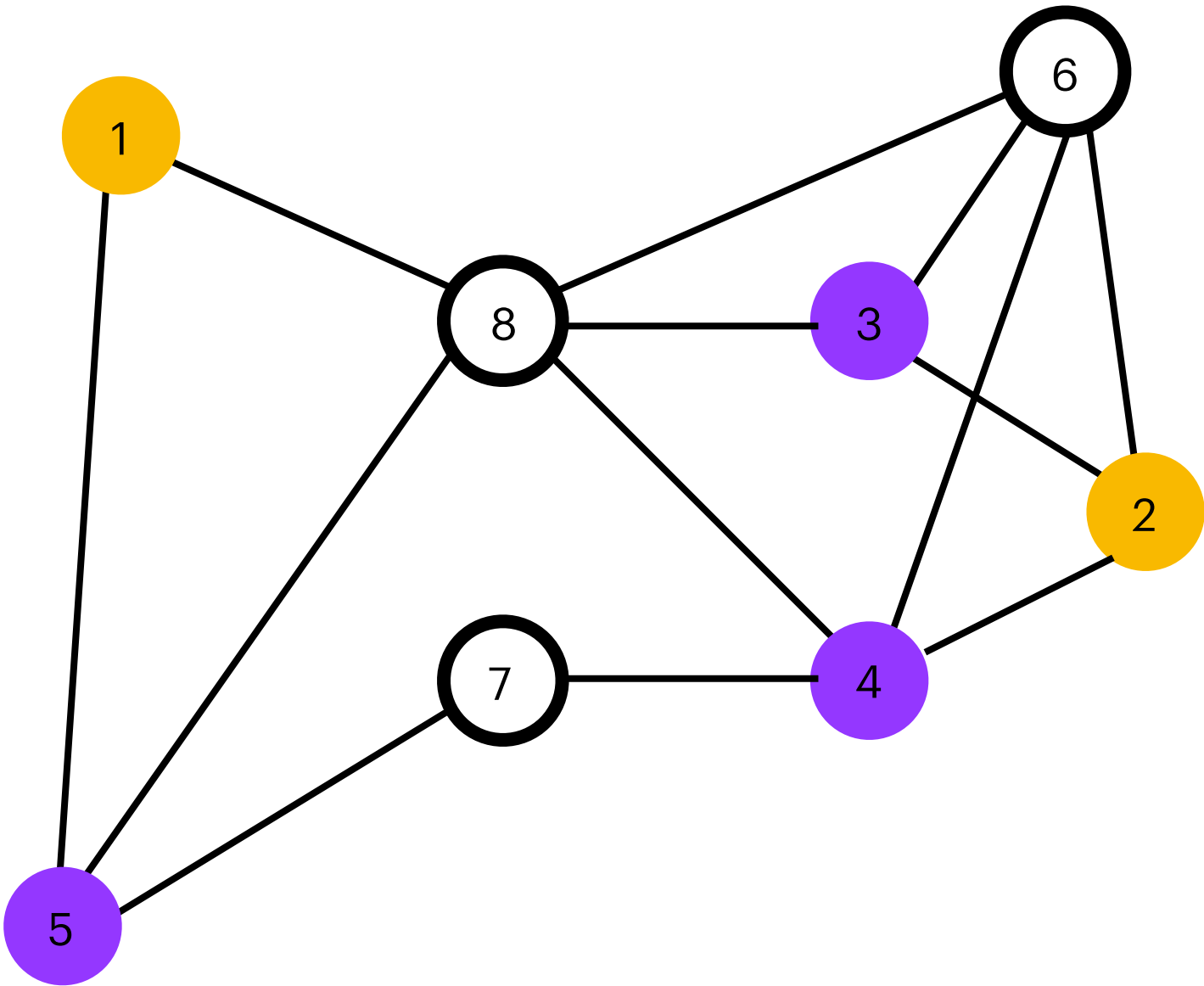
k = 2 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | 2 | 2 | | | |

considering ks




Coloring

Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :

k = 1 

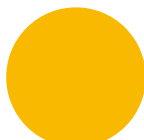

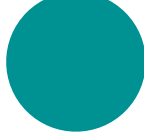

k = 2 

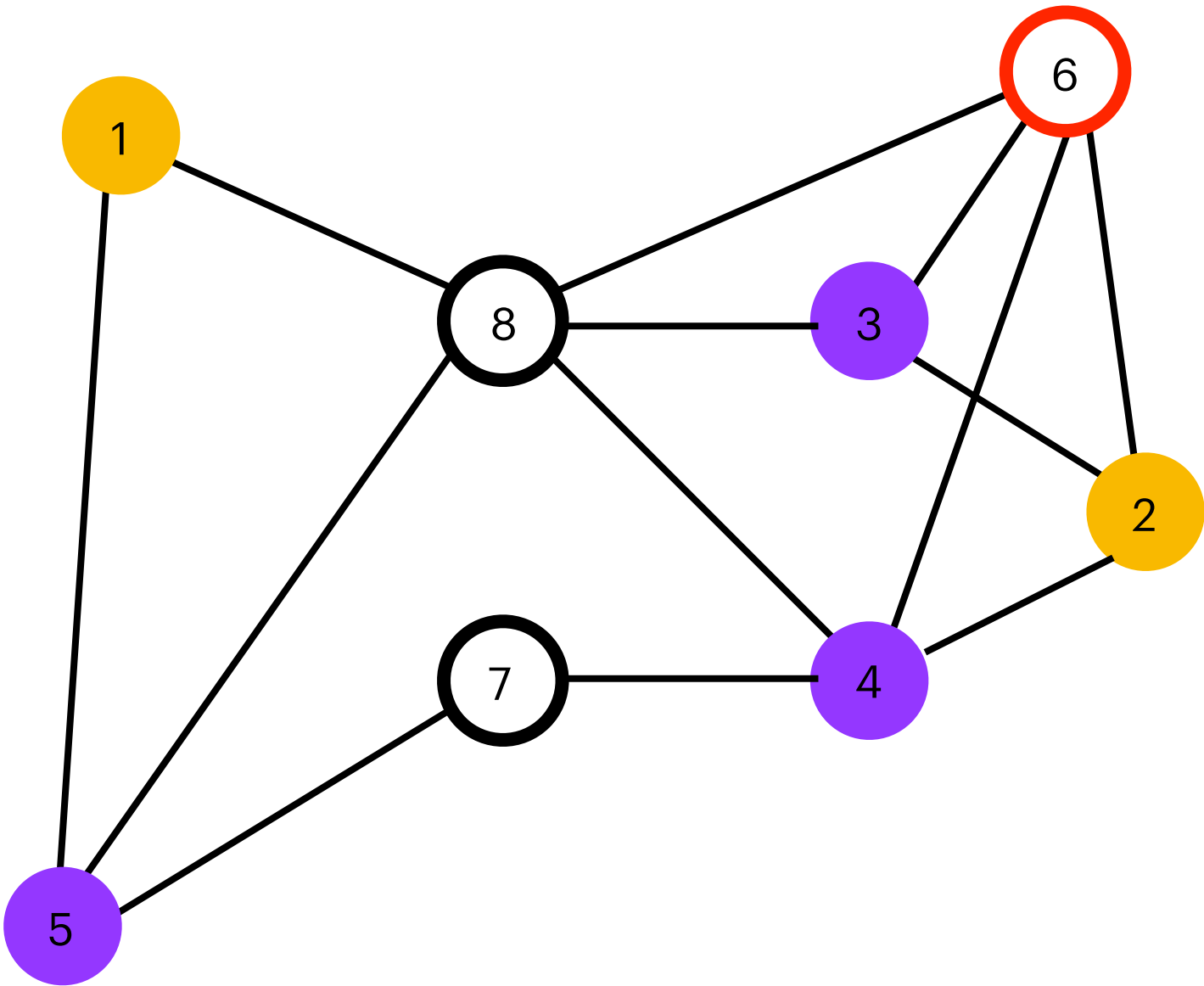
k = 3 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | 2 | 2 | | | |

considering ks



Coloring

Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
- **for** $i = 2$ **to** n **do**
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :

k = 1 

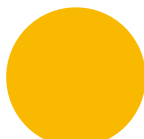

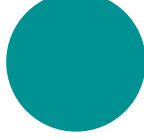

k = 2 

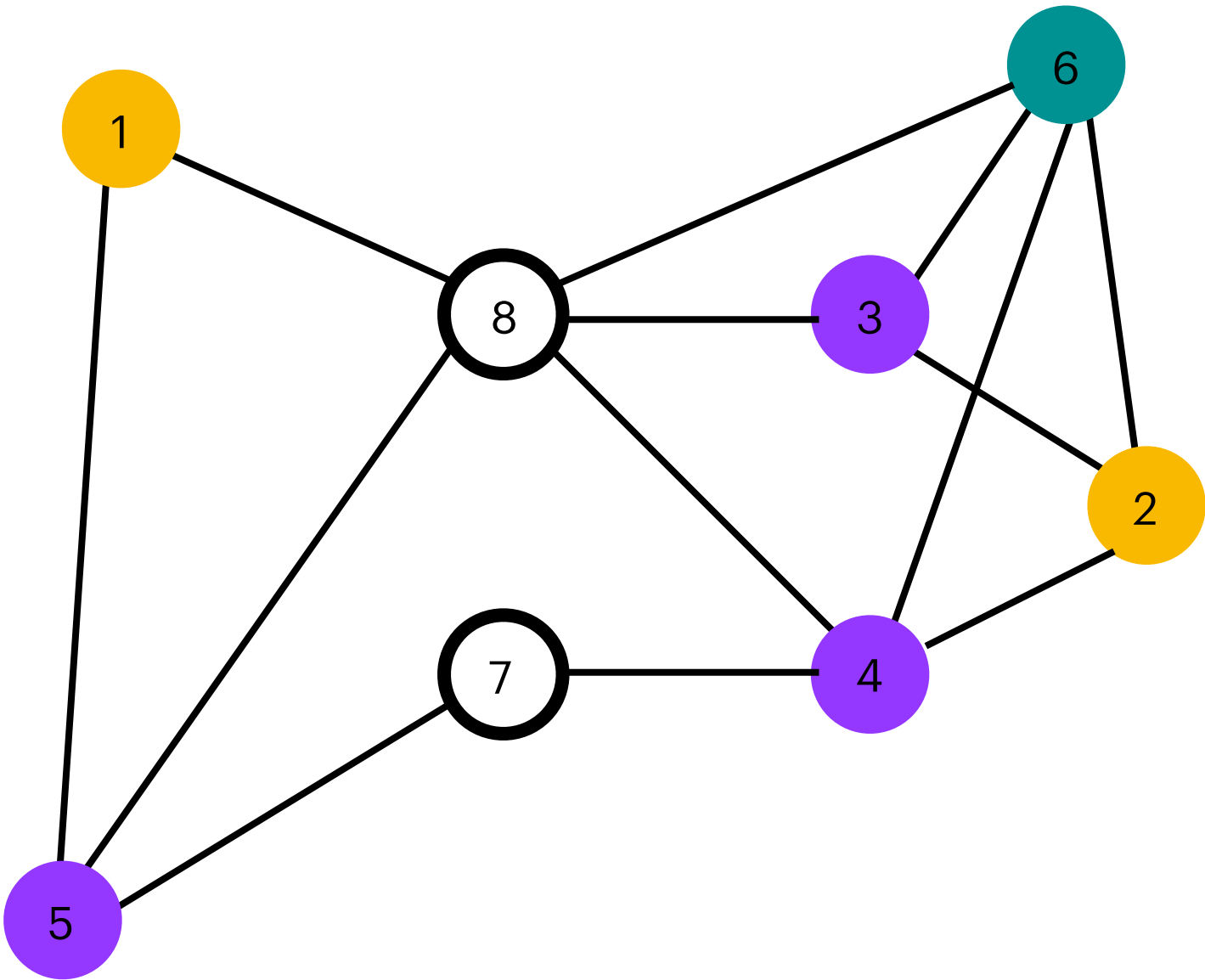
k = 3 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | 2 | 2 | 3 | | |

considering ks



Coloring Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
- **for** $i = 2$ **to** n **do**
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :

k = 1 

k = 2 

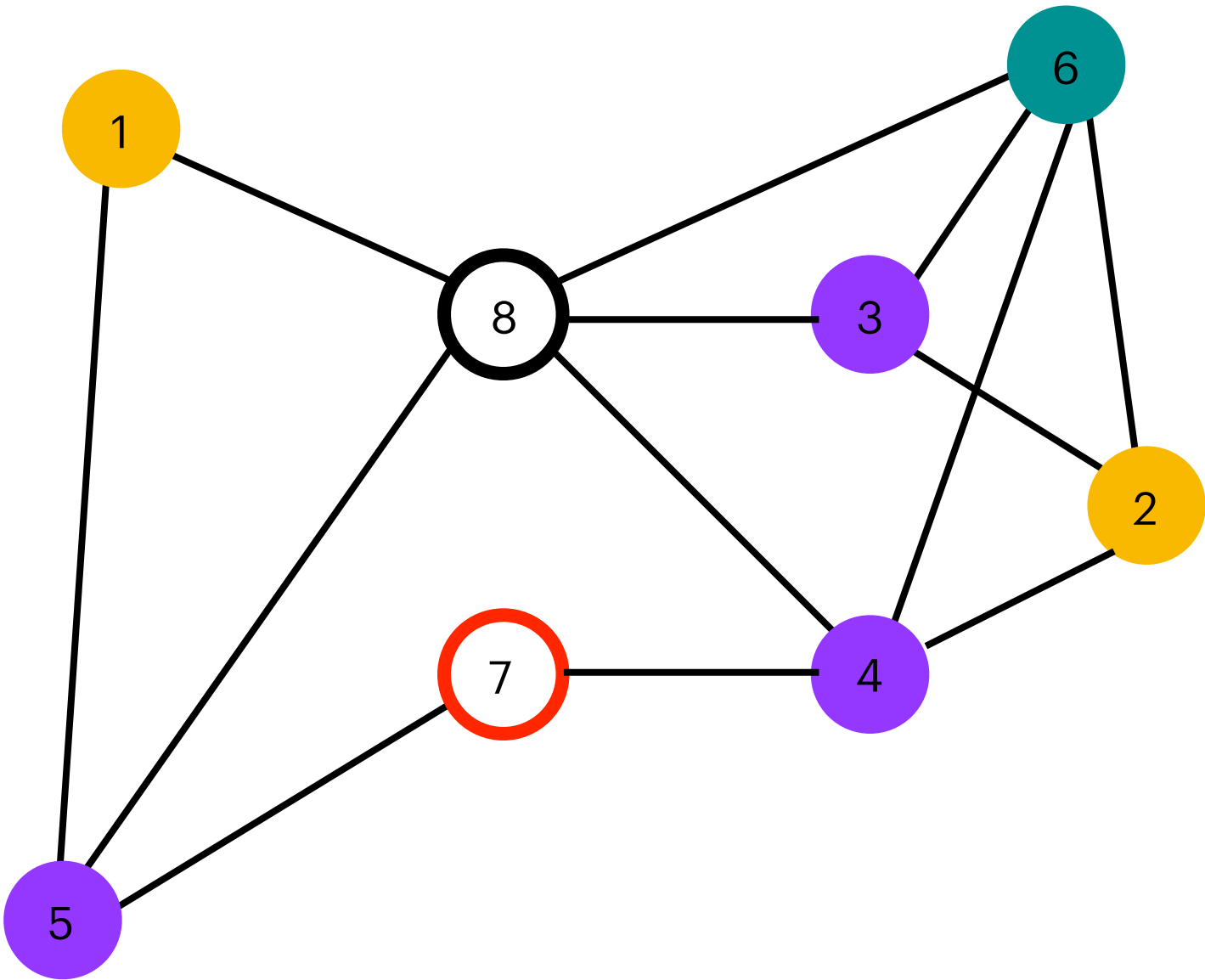
k = 3 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | 2 | 2 | 3 | | |

considering ks




Coloring

Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :

k = 1 

k = 2 

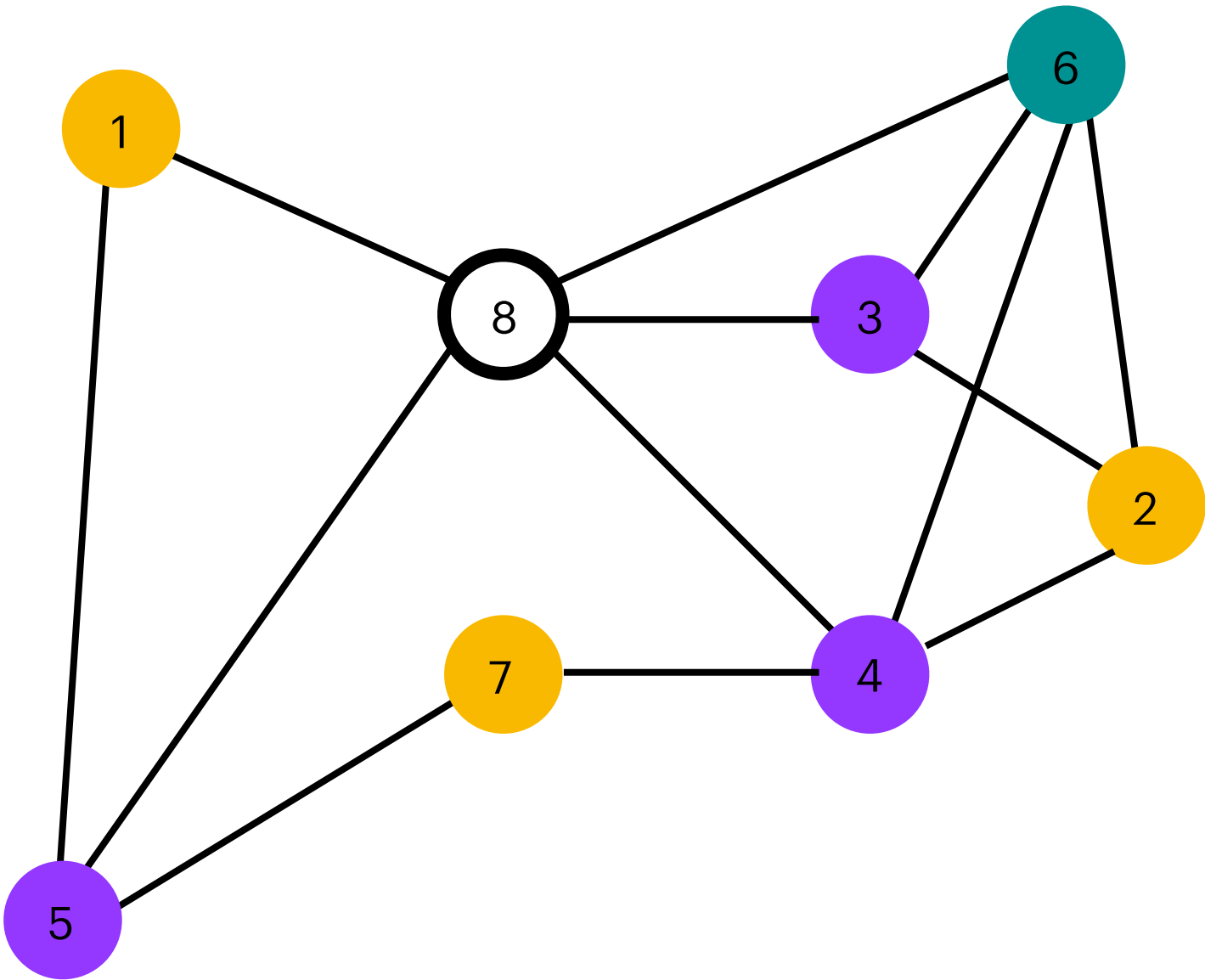
k = 3 

C :

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--------------------|---|---|---|---|---|---|---|---|
| c[v _i] | 1 | 1 | 2 | 2 | 2 | 3 | 1 | |

considering ks



Coloring

Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :

k = 1

k = 2

k = 3

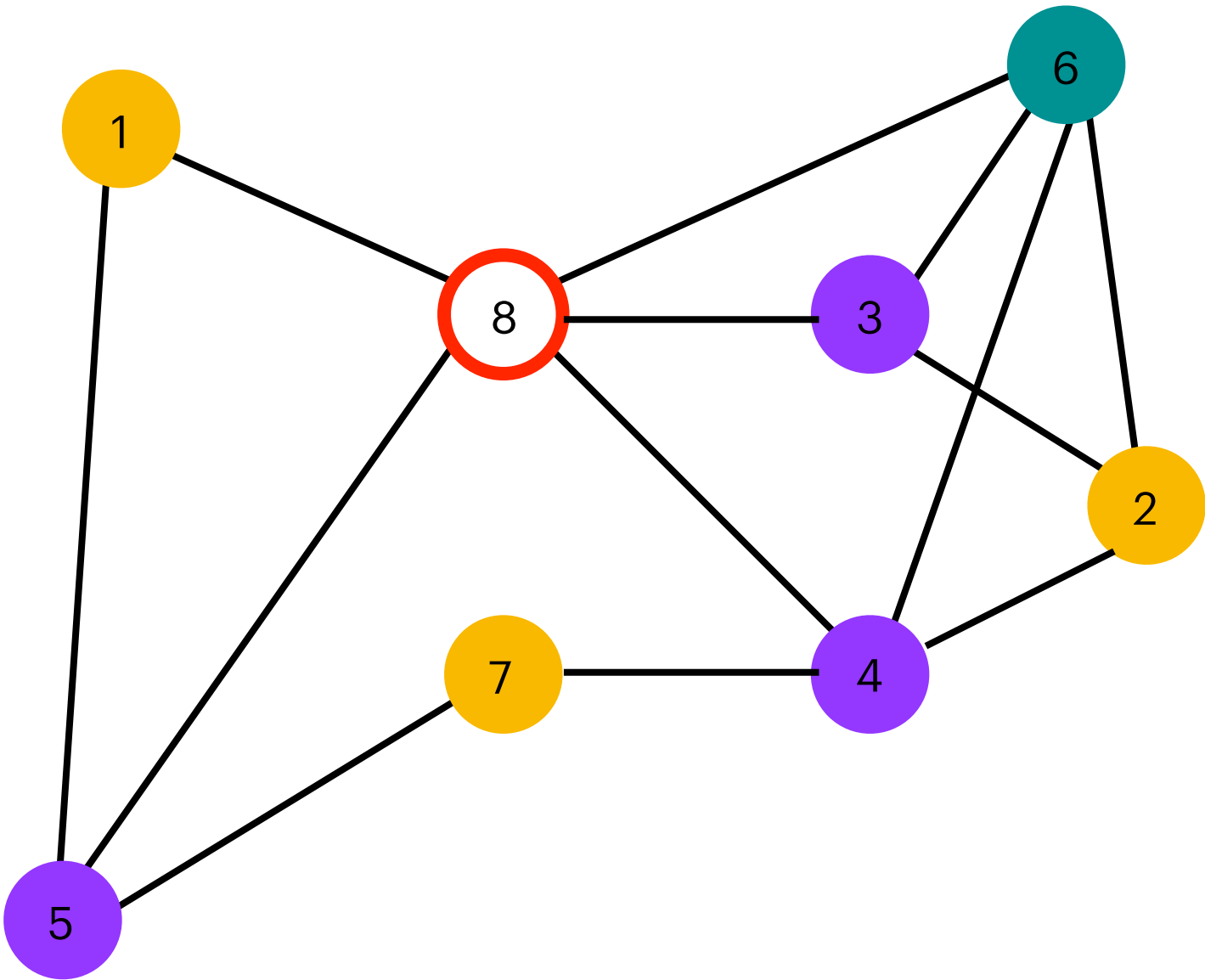
k = 4

C :

| | | | | | | | | |
|--------------------|---|---|---|---|---|---|---|---|
| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| c[v _i] | 1 | 1 | 2 | 2 | 2 | 3 | 1 | |

considering ks

✓



Coloring Greedy Algorithm

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
- **for** $i = 2$ **to** n **do**
 - $c[v_i] \leftarrow \min\{k \in \mathbb{N} \mid k \neq c[u] \text{ for all } u \in N(v_i) \cap \{v_1, \dots, v_{i-1}\}\}$
min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

k indexing for colors :

k = 1

k = 2

k = 3

k = 4

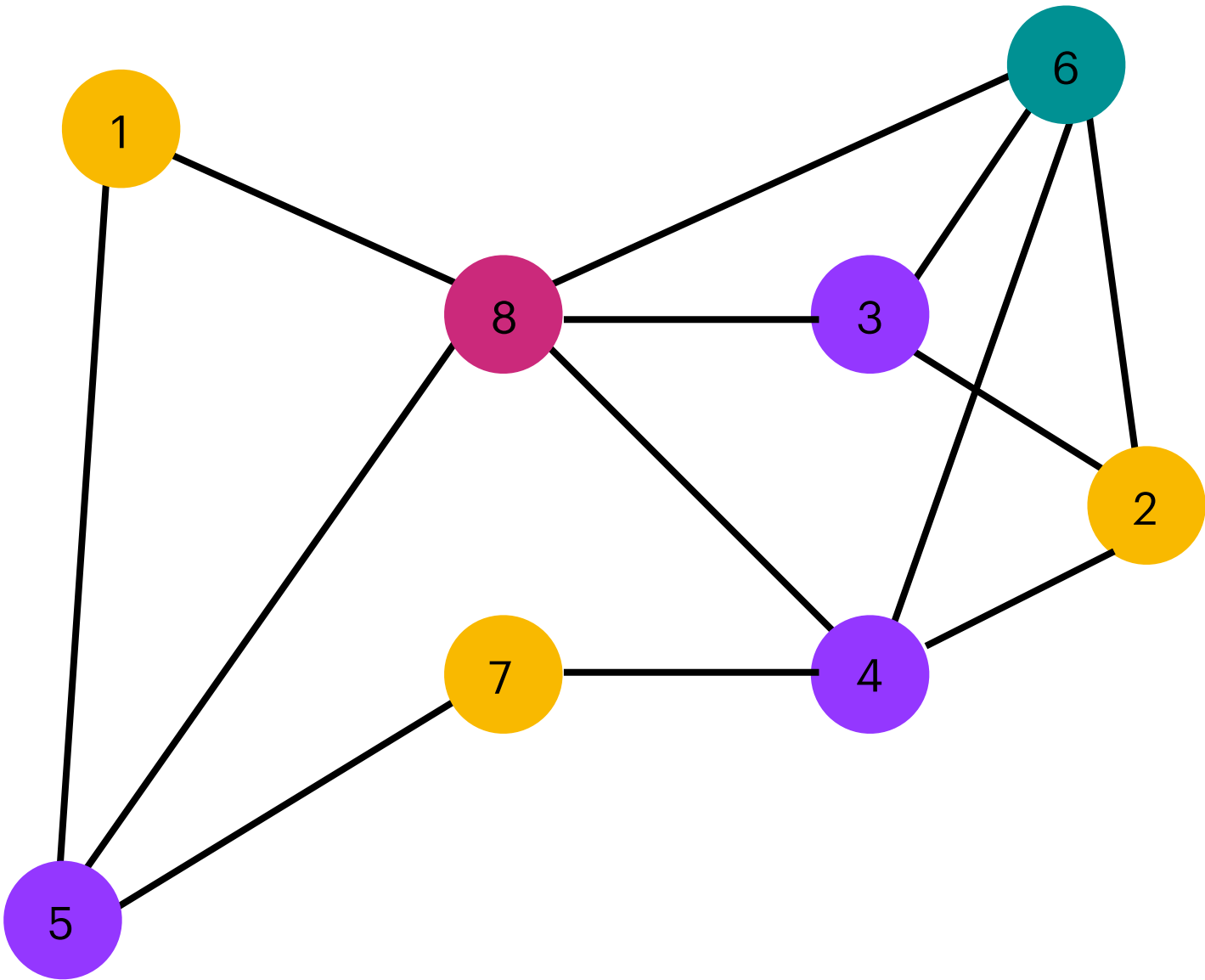
C :

| | | | | | | | | |
|--------------------|---|---|---|---|---|---|---|---|
| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| c[v _i] | 1 | 1 | 2 | 2 | 2 | 3 | 1 | 4 |

done

considering ks

✓



Coloring

Greedy Algorithm - Observations

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

- For all orders of vertices $V = \{v_1, \dots, v_n\}$
 - the Greedy Algorithm needs $\Delta(G) + 1$ colors
- There exists an order of vertices $V = \{v_1, \dots, v_n\}$ for which
 - the Greedy Algorithm needs $\chi(G)$ colors

$\Delta(G) :=$ maximum degree in G

Coloring

Greedy Algorithm - Observations

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
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 - the Greedy Algorithm needs $\chi(G)$ colors $\chi(G) \leq k \iff G$ is k -partite

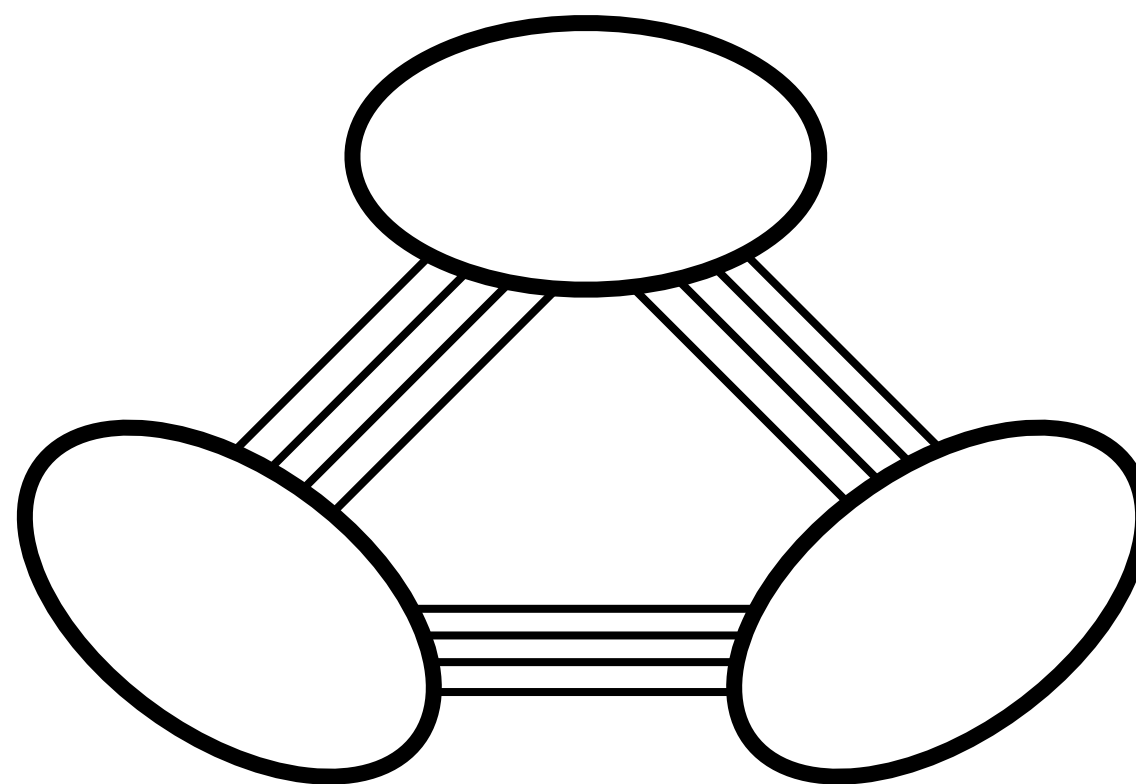
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Coloring

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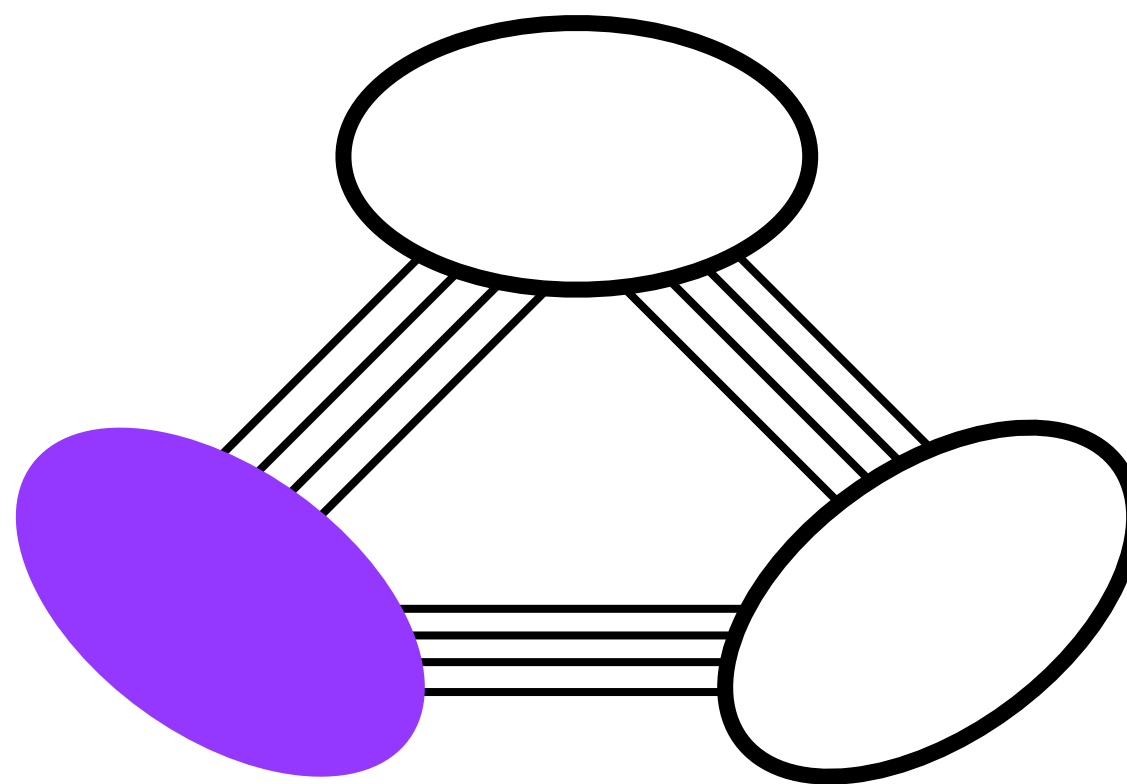
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Coloring

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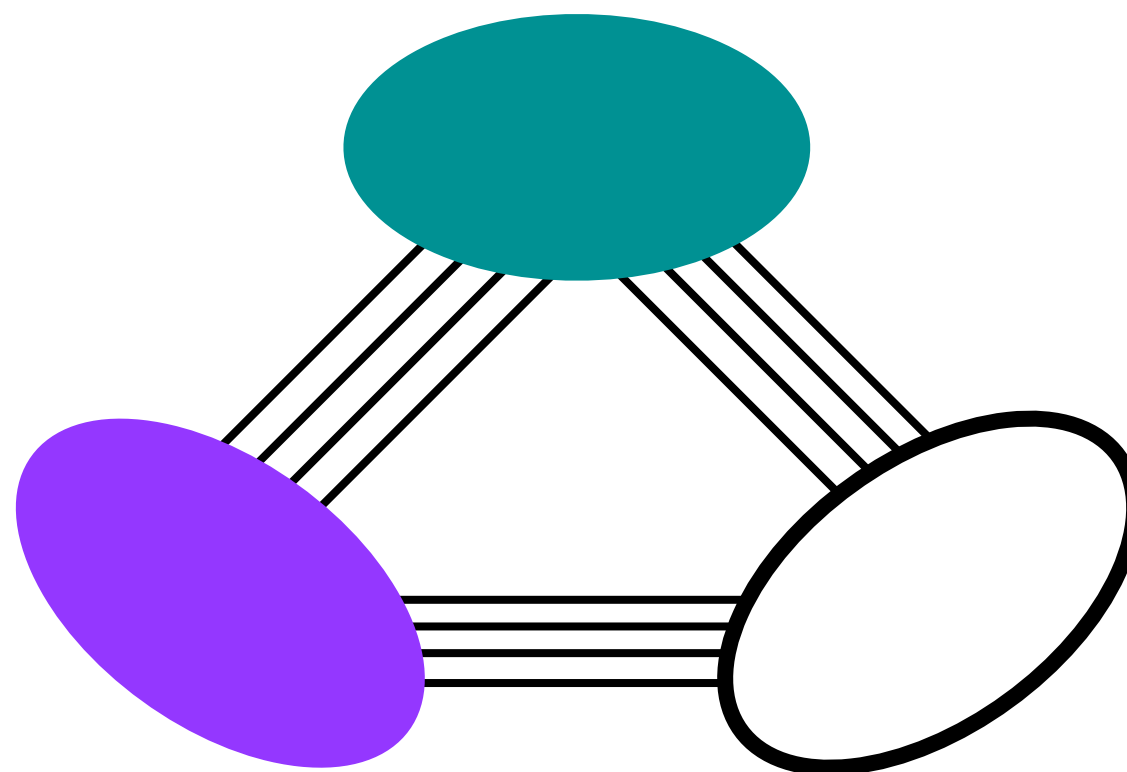
$\Delta(G) :=$ maximum degree in G

Coloring

Greedy Algorithm - Observations

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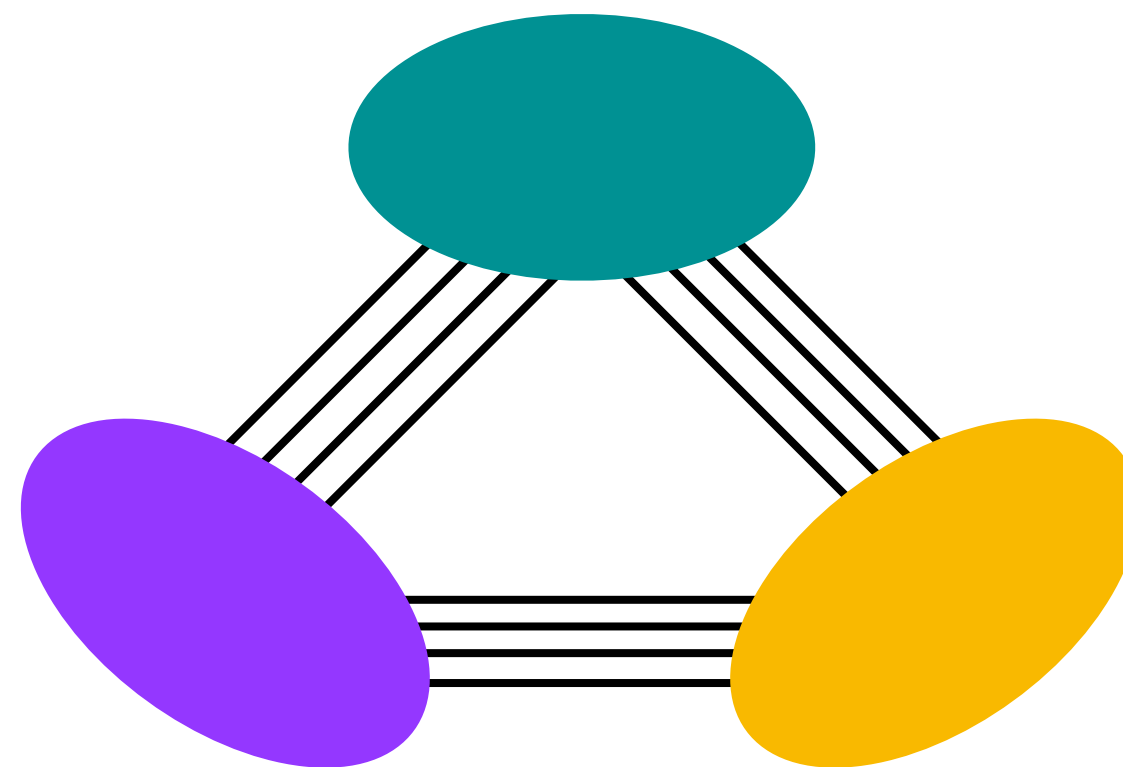
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Coloring

Greedy Algorithm - Observations

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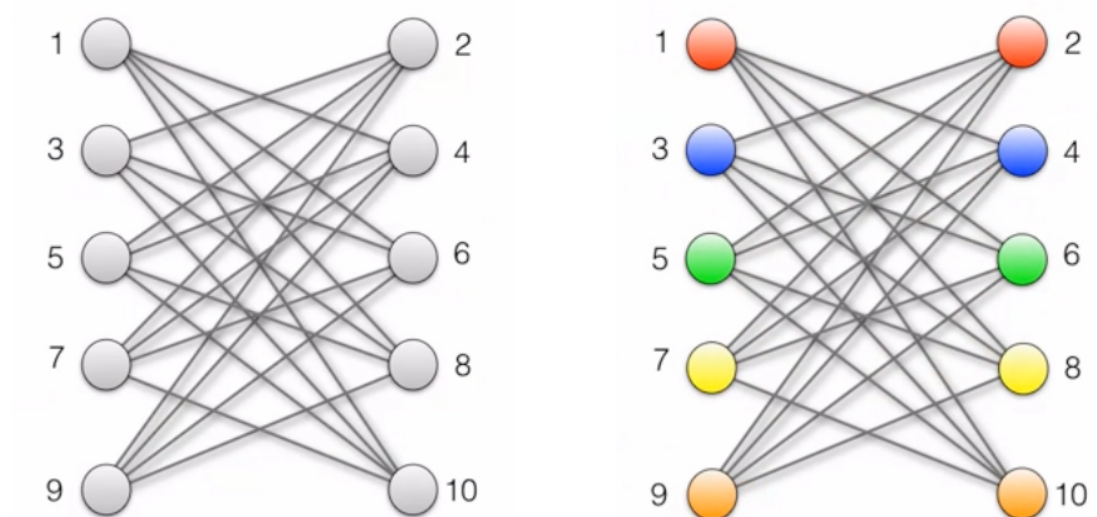
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Coloring

Greedy Algorithm - Observations

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
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min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

- For all orders of vertices $V = \{v_1, \dots, v_n\}$
 - the Greedy Algorithm needs $\Delta(G) + 1$ colors
- There exists an order of vertices $V = \{v_1, \dots, v_n\}$ for which
 - the Greedy Algorithm needs $\chi(G)$ colors
- There exists bipartite Graphs and order of vertices $V = \{v_1, \dots, v_n\}$ for which
 - the Greedy Algorithm needs $|V| / 2$ colors



Coloring

Greedy Algorithm - Observations

- For the chosen order of vertices $V = \{v_1, \dots, v_n\}$ s.t.

- $|N(v_i) \cap \{v_1, \dots, v_{i-1}\}| \leq k \quad \forall 2 \leq i \leq n$

- the Greedy Algorithm needs at most $k + 1$ colors

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$

- $c[v_1] \leftarrow 1$ color the first vertex with color 1

- for $i = 2$ to n do

k gets increased here \longrightarrow • $c[v_i] \leftarrow \min\{k \in \mathbb{N} \mid k \neq c[u] \text{ for all } u \in N(v_i) \cap \{v_1, \dots, v_{i-1}\}\}$

min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

Heuristic Meaning

Heuristic in Algorithms:

A **heuristic** in algorithms refers to a **problem-solving technique** that:

- Uses a **practical approach** to find a solution quickly.
- Sacrifices **accuracy** or **completeness** for **speed**.
- Aims for a **good enough** solution rather than the **perfect** one.
- Often relies on **experience, intuition, or patterns** rather than formal mathematical proofs.

Coloring

Heuristic + Greedy Algorithm

- For the chosen order of vertices $V = \{v_1, \dots, v_n\}$ s.t.
 - $|N(v_i) \cap \{v_1, \dots, v_{i-1}\}| \leq k \quad \forall 2 \leq i \leq n$
 - the Greedy Algorithm needs at most $k + 1$ colors

- Pick the order of the vertices using the heuristic : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
- for $i = 2$ to n do
 - $c[v_i] \leftarrow \min\{k \in \mathbb{N} \mid k \neq c[u] \text{ for all } u \in N(v_i) \cap \{v_1, \dots, v_{i-1}\}\}$
min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored
- Heuristic :
 - $v_n :=$ Vertex with the smallest degree. Delete v_n
 - $v_{n-1} :=$ Vertex with the smallest degree in the remaining G . Delete v_{n-1}
 - Iterate

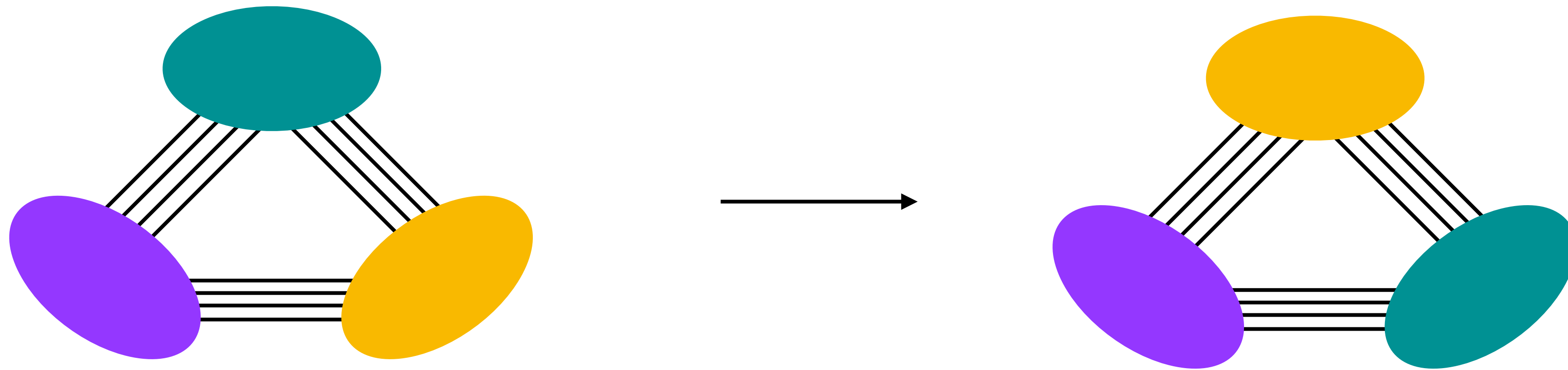
Coloring

Heuristic + Greedy Algorithm - Observations

- If in every subgraph of G , there exists a vertex with degree $\leq k$
 - heuristic provides an order v_1, \dots, v_n s.t. the Greedy Algorithm needs $k+1$ colors
- For trees heuristic+greedy finds a coloring with 2 colors
- For planar graphs heuristic+greedy finds a coloring with ≤ 6 colors
- If G is connected and there exists $v \in G$ with $\deg(v) < \Delta(G)$ \longrightarrow heuristic (or bfs/dfs)+ greedy finds a coloring with $\leq \Delta(G)$ colors
 - it doesn't hold only when the graph is regular: $\forall v \in V \ (\deg(v) = \Delta(G))$
- If the G is 3-colorable, then one can color it in $O(|V| + |E|)$ time with $O(\sqrt{|V|})$ colors

Coloring

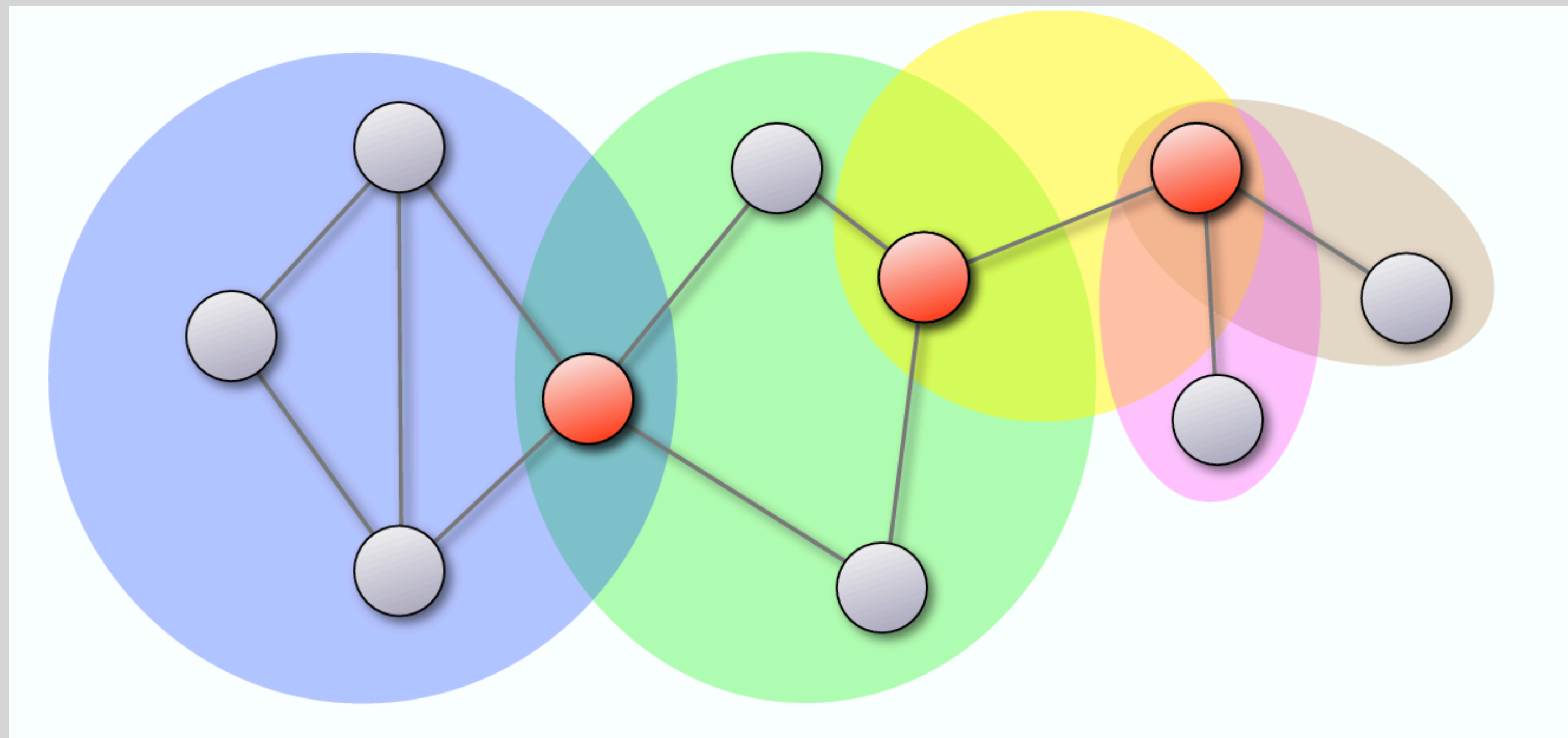
Swapping Color Classes Trick



Articulation Points and Bridges

Definition

- The equivalence classes are named as **Blocks**



- Let $G = (V, E)$ be a graph.

The equivalence relation \sim on E is defined as :

$$e \sim f := \begin{cases} e = f & \text{or} \\ e \text{ and } f \text{ are on a common cycle} \end{cases}$$

Lemma :

2 blocks always intersect at an articulation point.

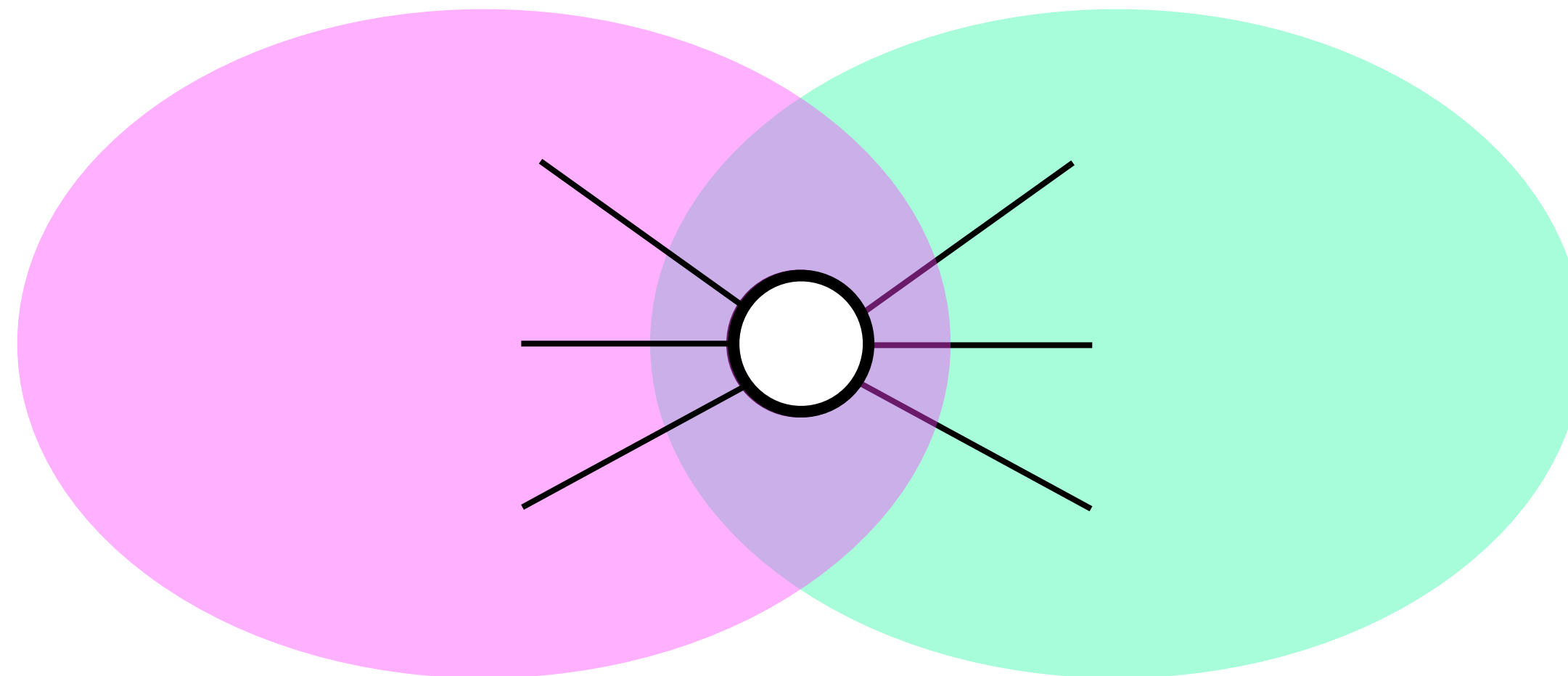
Articulation point is the critical point that holds blocks together. If a graph has an articulation point, it serves as the **only connection** between two or more blocks.

Coloring

Swapping Color Classes Trick



- For all Block-Graphs , if every block can be colored with k colors
 - G can be colored with k colors

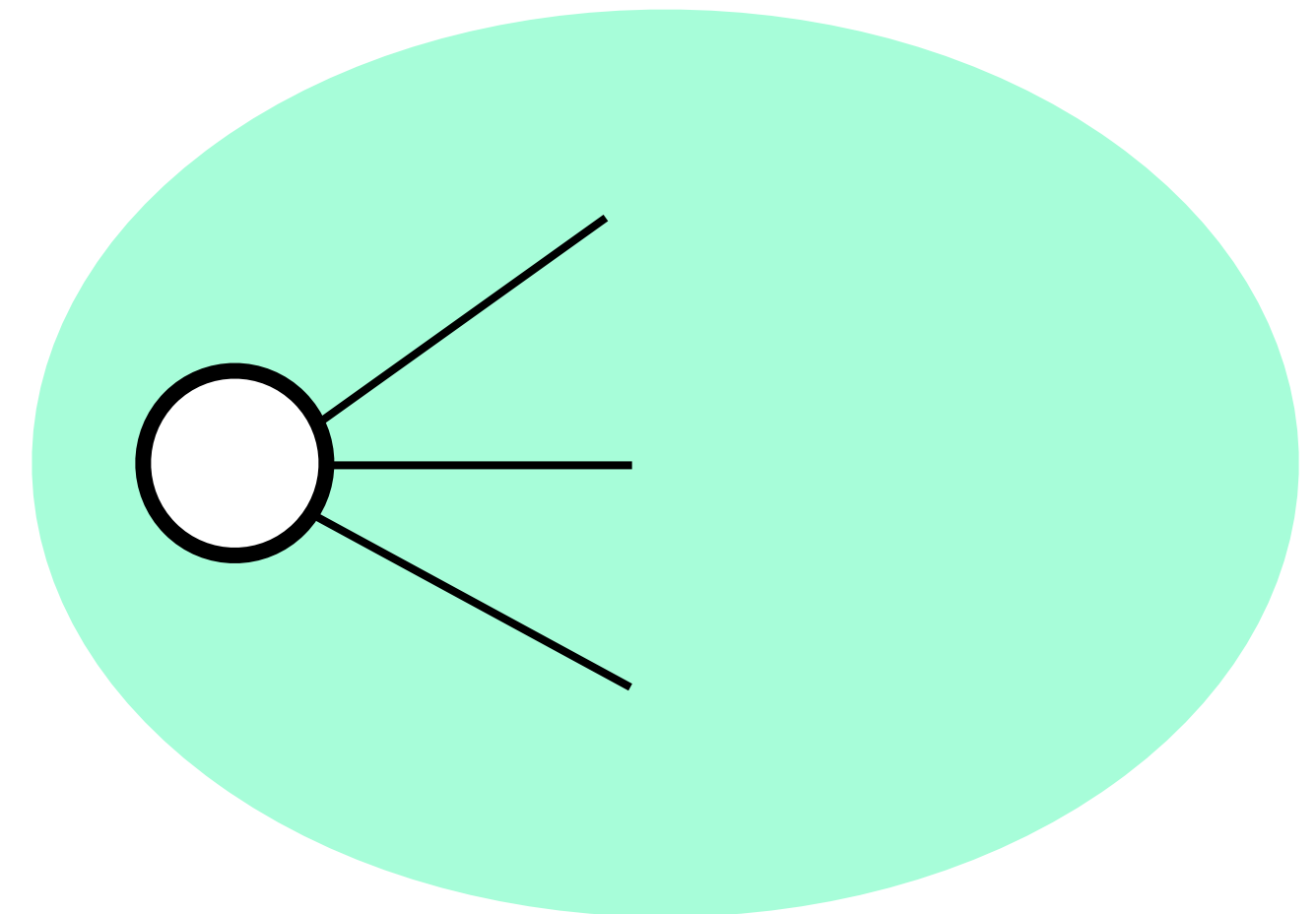
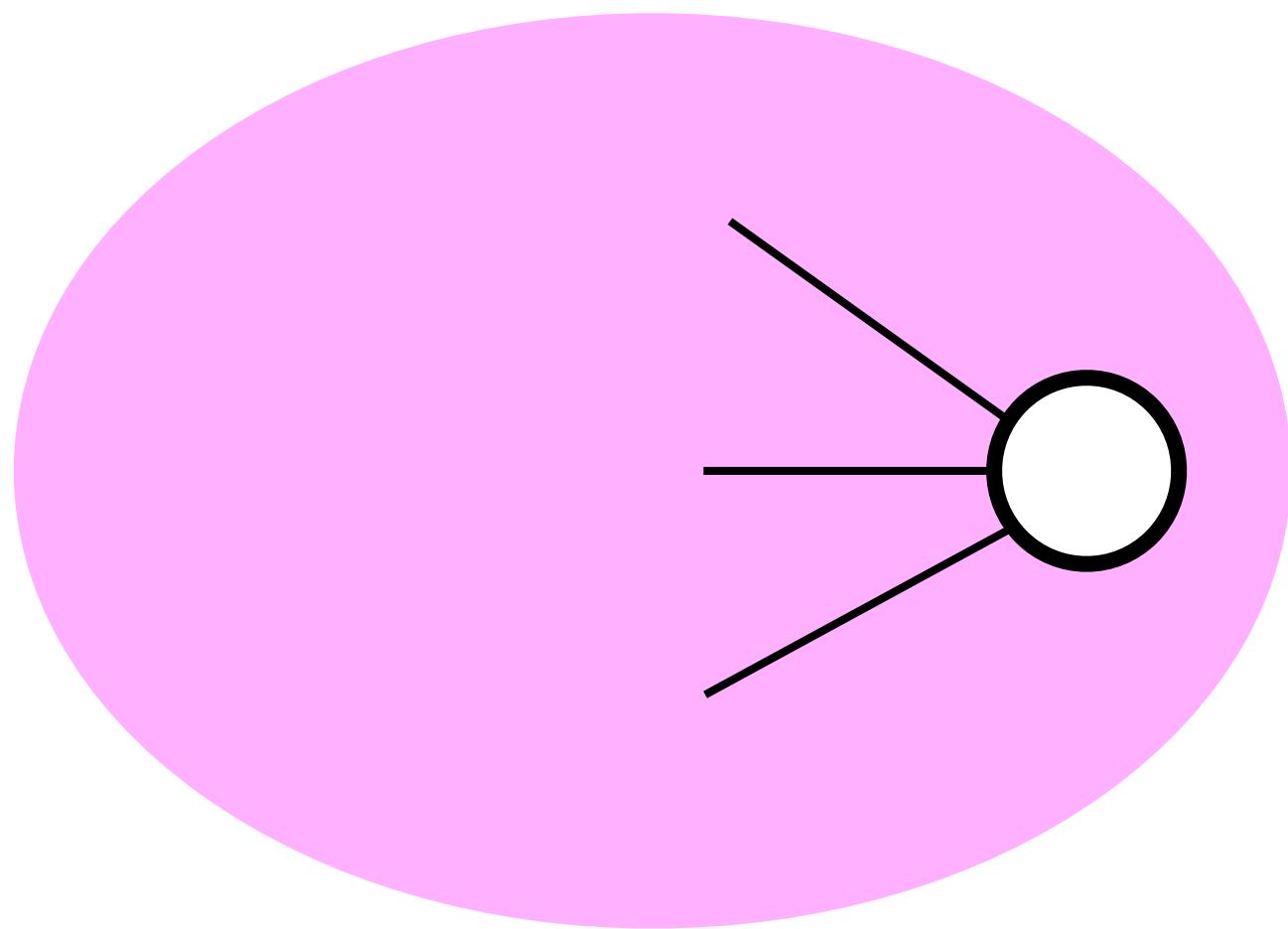


Coloring

Swapping Color Classes Trick



- For all Block-Graphs , if every block can be colored with k colors
 - G can be colored with k colors

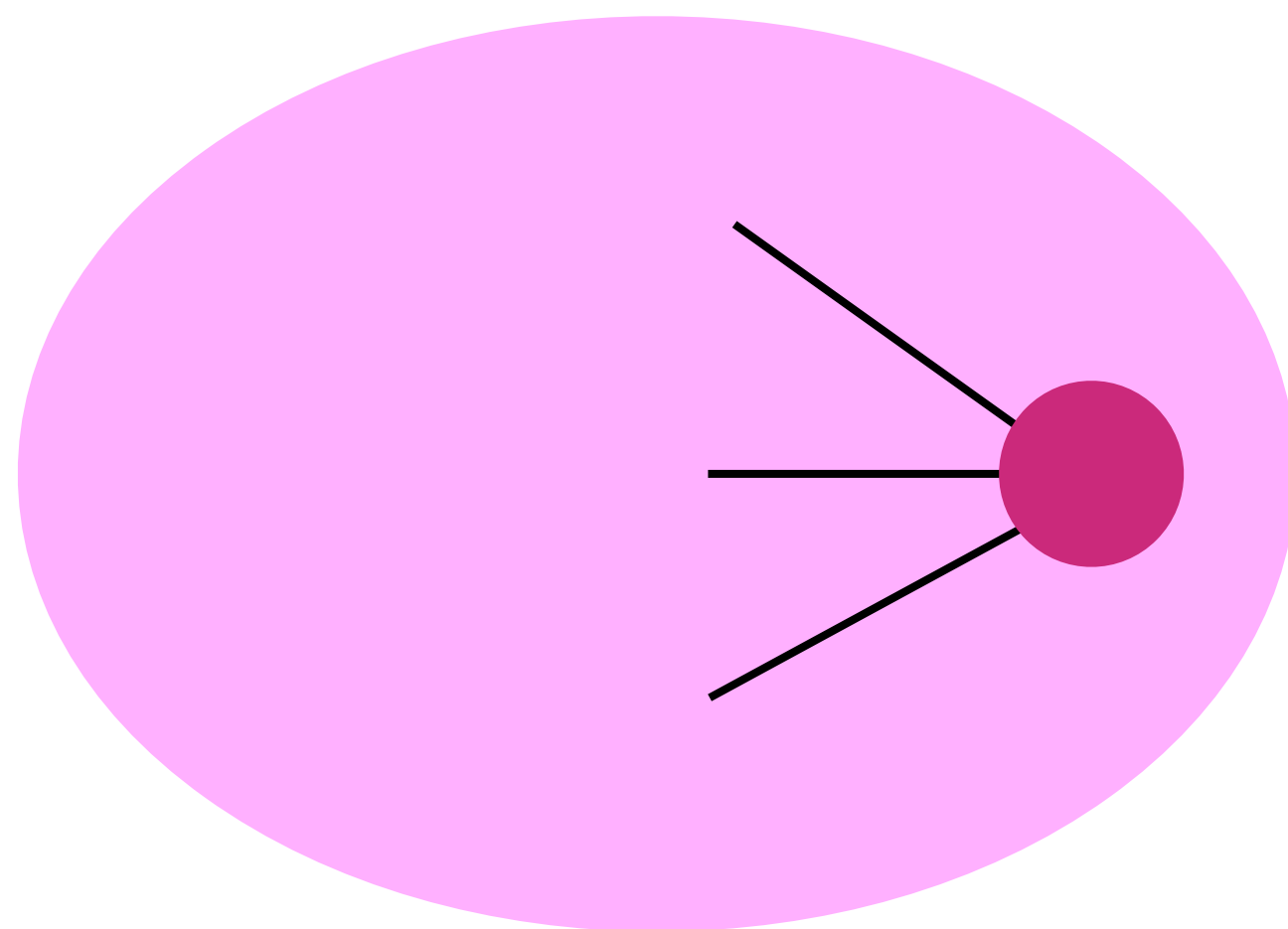


Coloring

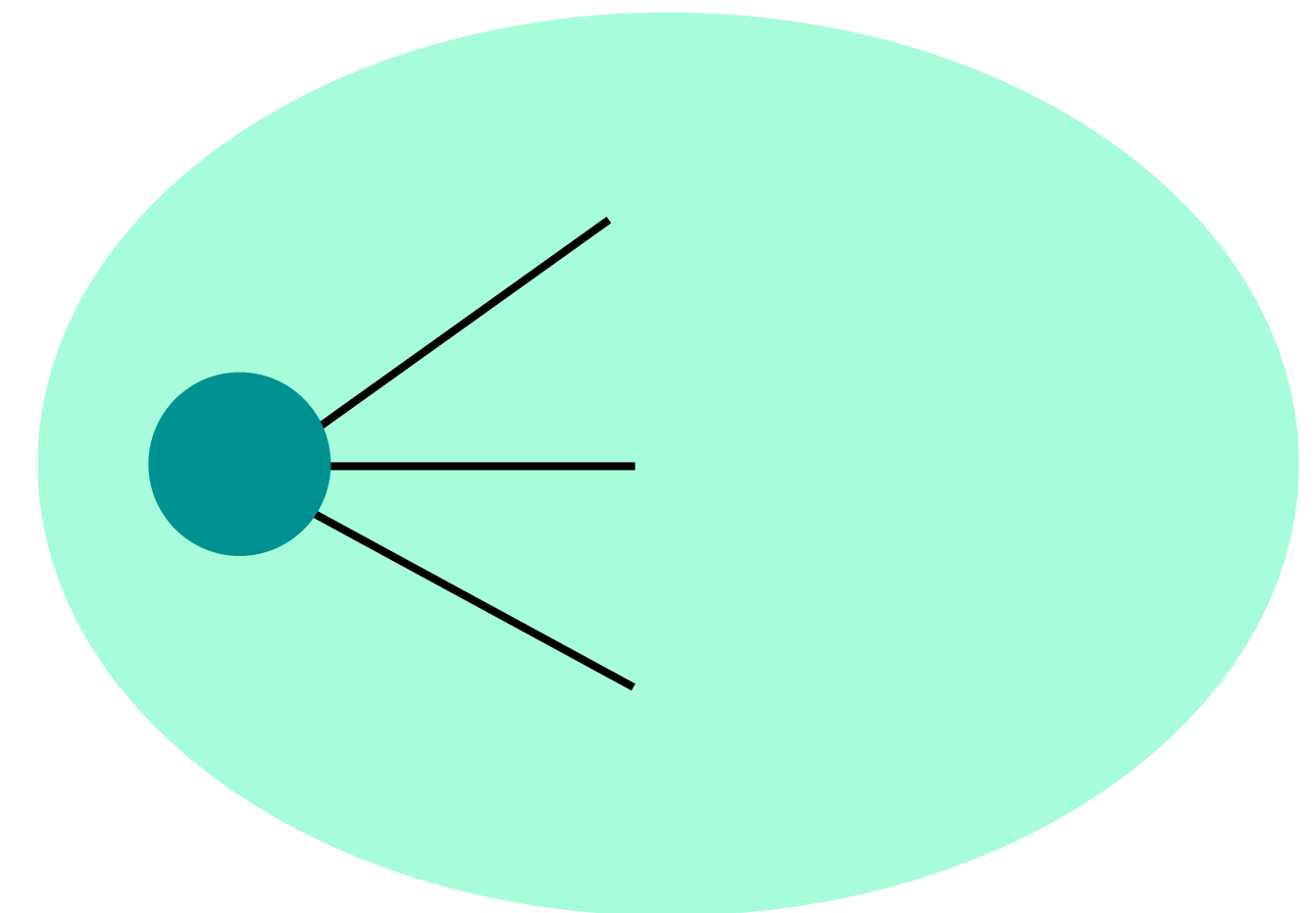
Swapping Color Classes Trick



- For all Block-Graphs , if every block can be colored with k colors
 - G can be colored with k colors

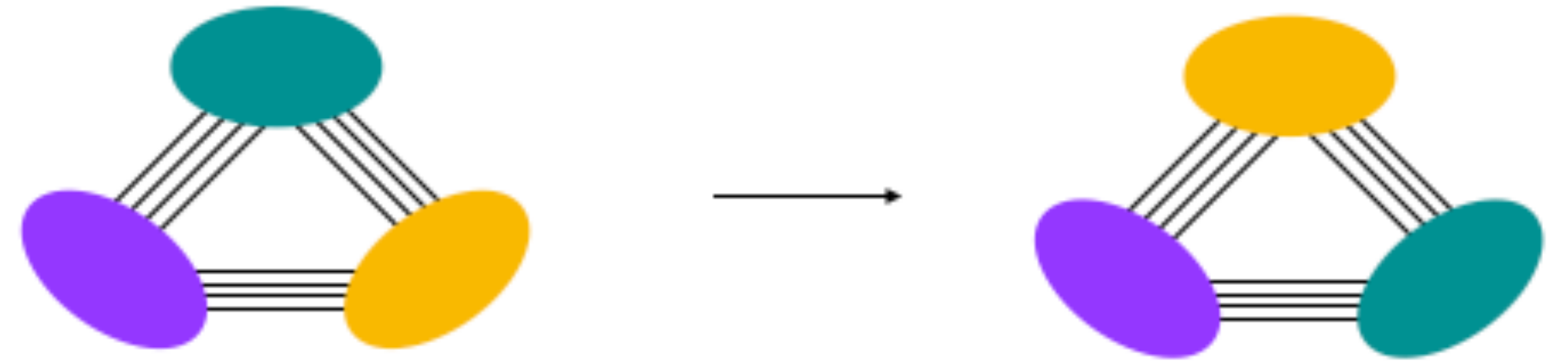


?

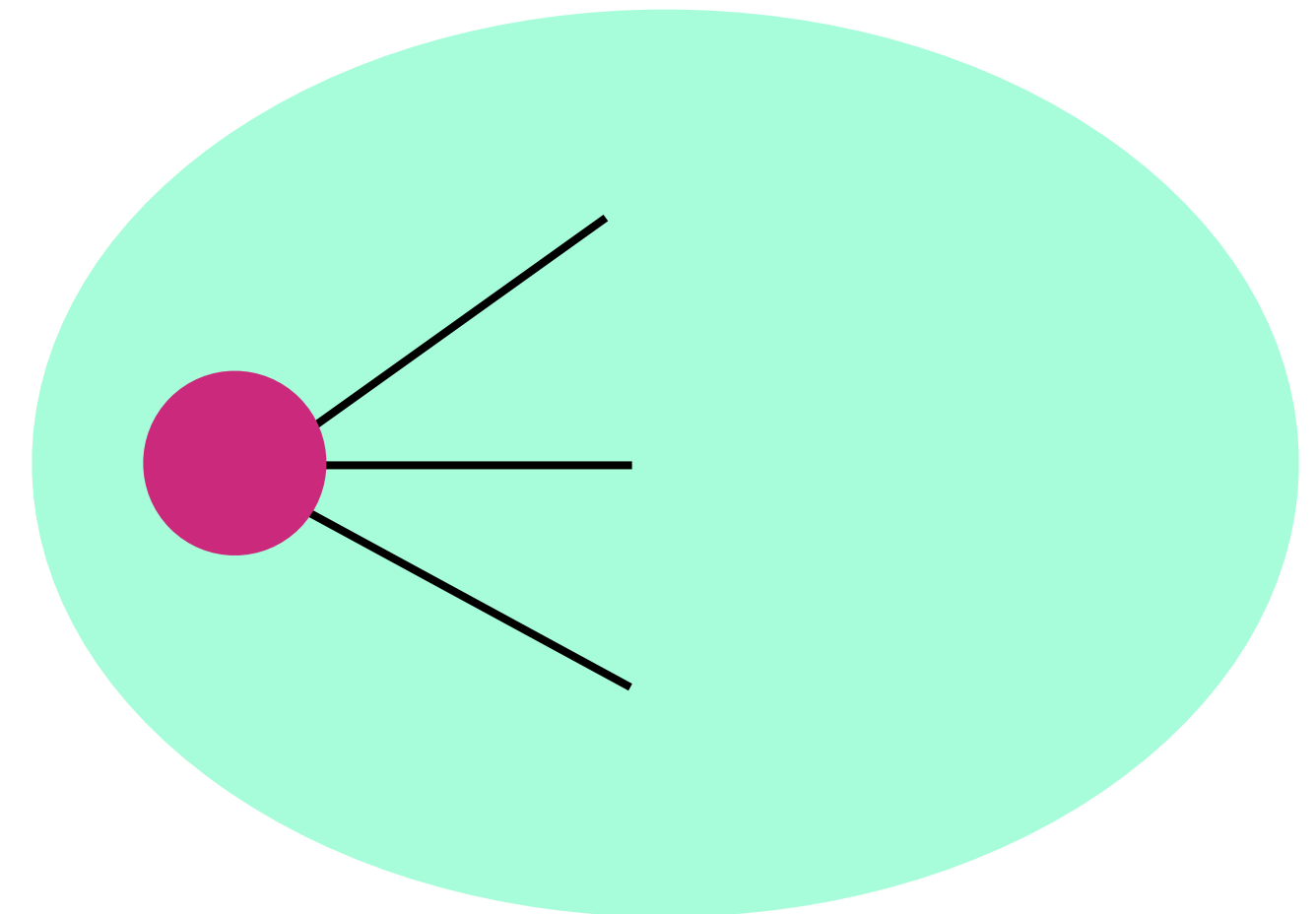
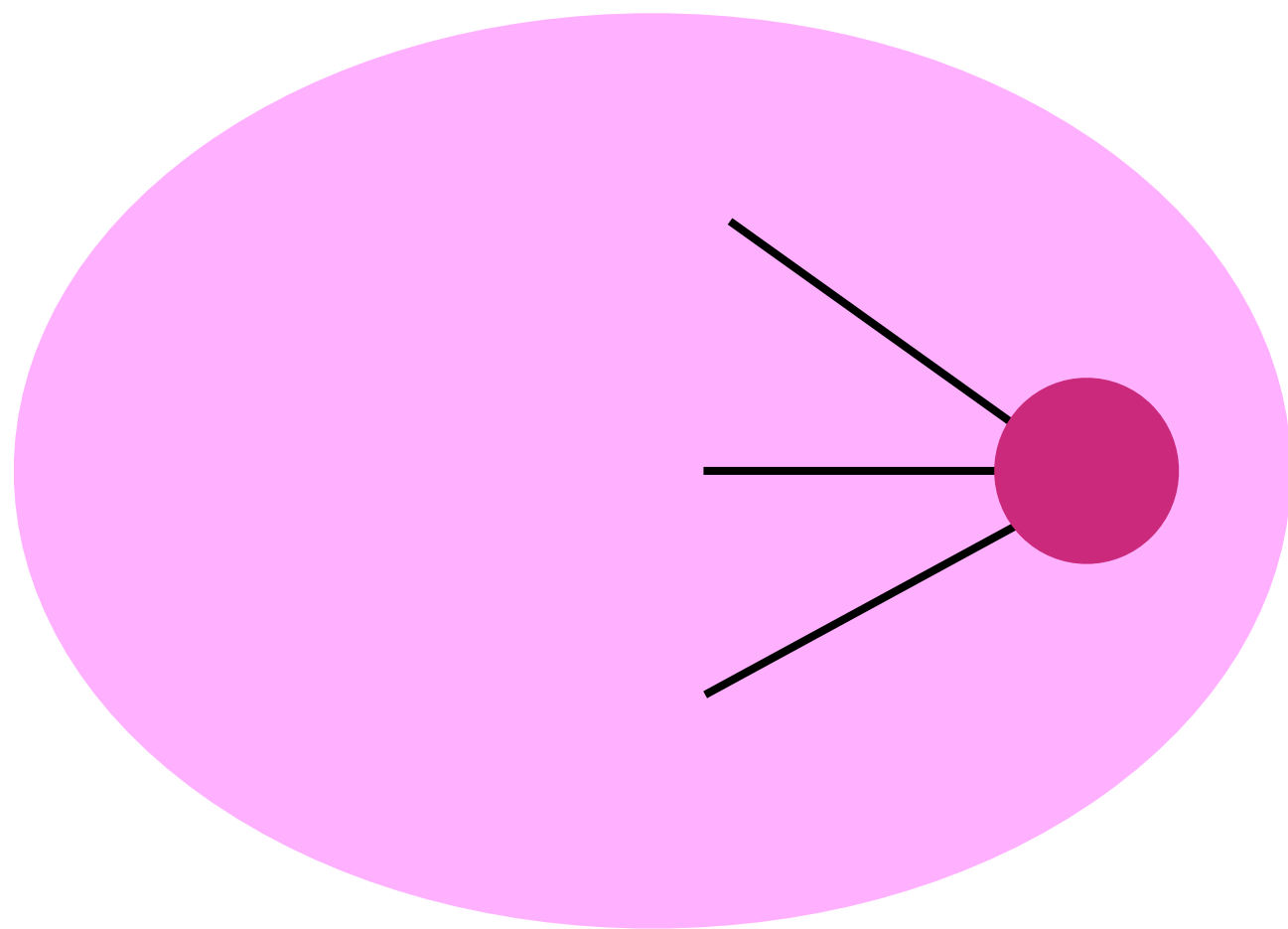


Coloring

Swapping Color Classes Trick

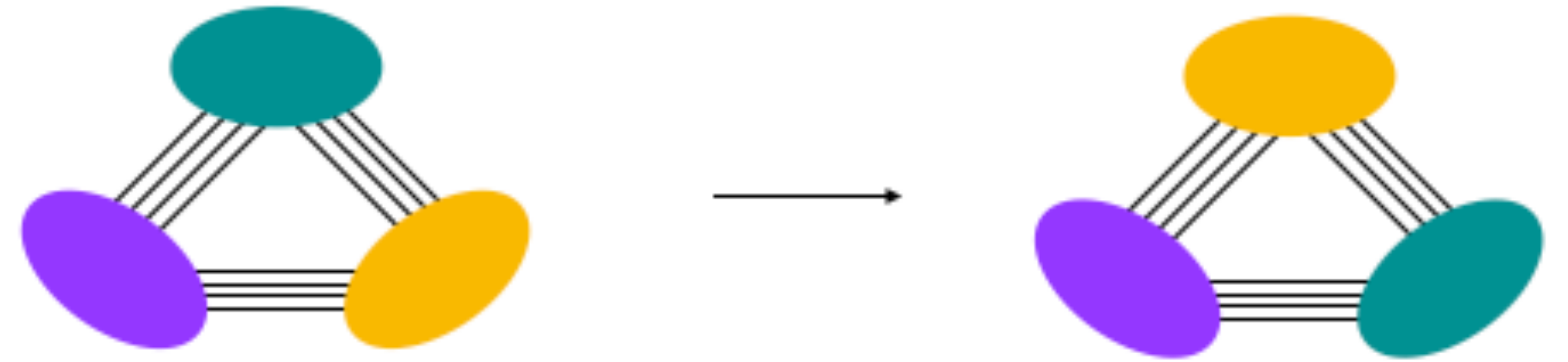


- For all Block-Graphs , if every block can be colored with k colors
 - G can be colored with k colors

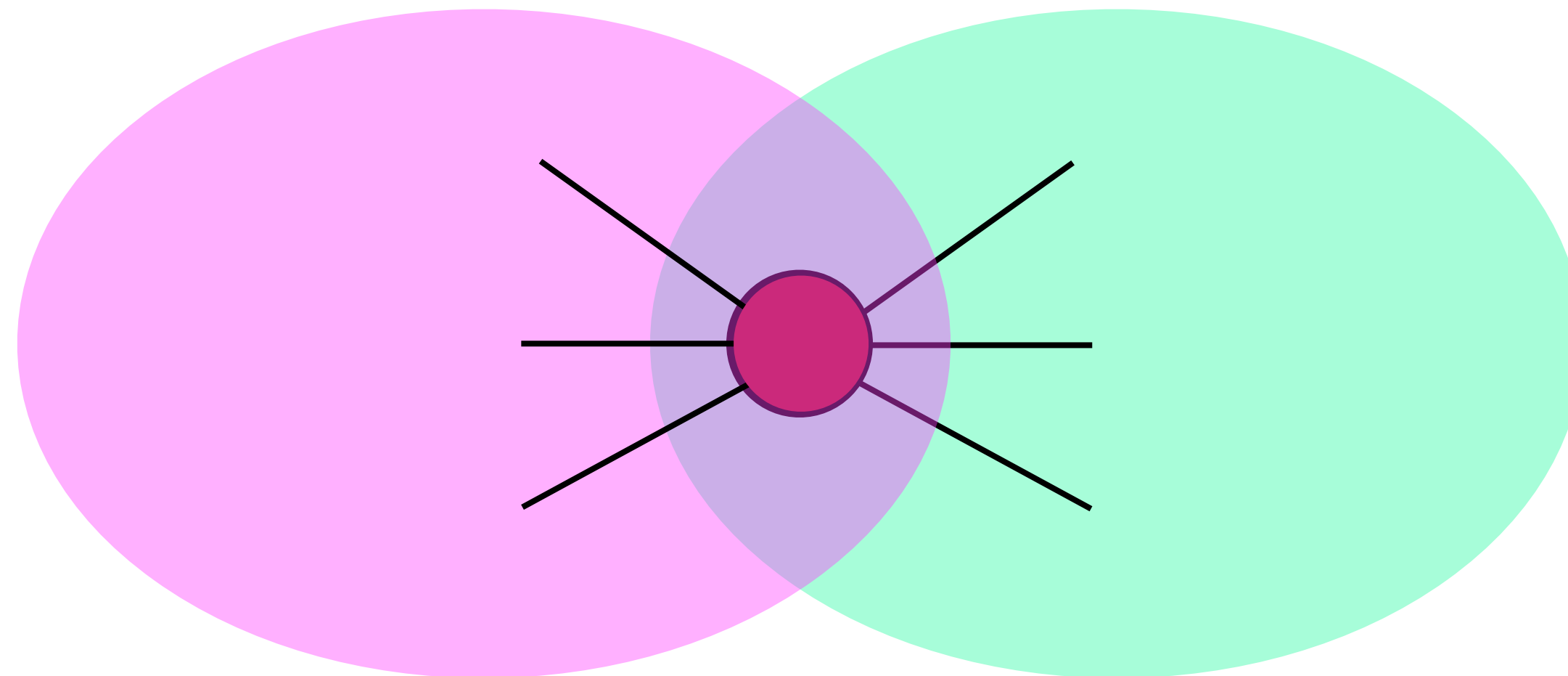


Coloring

Swapping Color Classes Trick



- For all Block-Graphs , if every block can be colored with k colors
 - G can be colored with k colors



Coloring

Brook's Theorem

- Pick an arbitrary order of the vertices : $V = \{v_1, \dots, v_n\}$
- $c[v_1] \leftarrow 1$ color the first vertex with color 1
- for $i = 2$ to n do
 - $c[v_i] \leftarrow \min\{k \in \mathbb{N} \mid k \neq c[u] \text{ for all } u \in N(v_i) \cap \{v_1, \dots, v_{i-1}\}\}$
min color k s.t. it's not equal to the color of the neighbors of v_i that are already colored

- All Graphs
 - can be colored in $O(|E|)$ time with $\Delta(G) + 1$ colors

Brook's Theorem

- $G \neq K_n$, $G \neq C_{2n+1}$, G connected
 - can be colored in $O(|E|)$ time with $\Delta(G)$ colors

Minitest 2 - rest



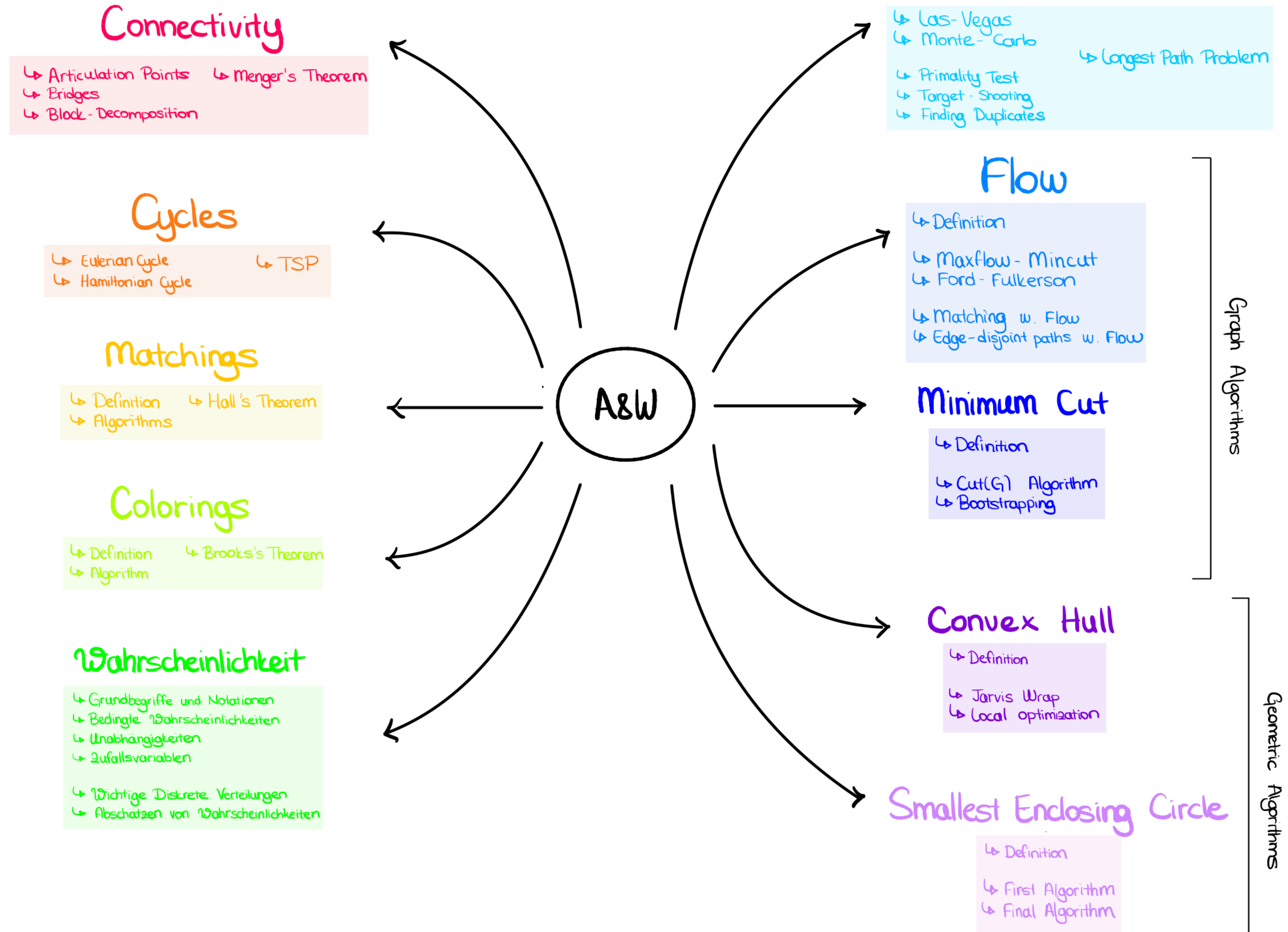
A&W

Exercise Session 6

Probability I

Nil Ozer

A&W Overview



Outline

- Minitest 3
- T2 Discussion
- Cycles + TSP Kahoot
- Formelsammlung
- Probability Theory

Minitest 3

T2

Feedback + Discussion

- Watch out for the comments !
- Keep up the good work ! 🙌🙌🙌
- Questions, issues ... Let me know !
 - After the weekend



Cycles + TSP Kahoot

Let's take a break



Probability Theory

Formelsammlung

Probability Theory

Importance for CS

- A&W
- NumCS
- WuS
- IML
- InfoSec
- Information Retrieval
- Data Science
- Anything ML related
- Anything AI related

Probability Theory

Importance for CS

LEARN IT ONCE !



Probability Theory

Basic Terms of Discrete Probability Space

- Elementary Event ω_i (Elementarereignis) : A single outcome of the experiment
- Sample Space Ω (Ergebnismenge) : The set of all possible outcomes $\Omega = \{\omega_1, \omega_2, \dots\}$
- Elementary Probability $Pr[\omega_i]$ (Elementarwahrscheinlichkeit) : Probability of an elementary event
- Event E (Ereignis) : A subset of the sample space ($E \subseteq \Omega$)
- Complementary event \bar{E} (Komplementärereignis) : All outcomes not in E $\bar{E} := \Omega \setminus E$

Probability Theory

Pr[] Properties

- $0 \leq \Pr[\omega_i] \leq 1$

- $\sum_{\omega \in \Omega} \Pr[\omega] = 1$

- Probability of an event E : $\Pr[E] := \sum_{\omega \in E} \Pr[\omega]$

Probability Theory

Pr[] Properties

- For all events A, B, A_1, A_2, \dots
 - $Pr[\emptyset] = 0, Pr[\Omega] = 1$
 - $0 \leq Pr[A] \leq 1$
 - $Pr[\bar{A}] = 1 - Pr[A]$
 - $A \subseteq B \implies Pr[A] \leq Pr[B]$

- $0 \leq Pr[\omega_i] \leq 1$

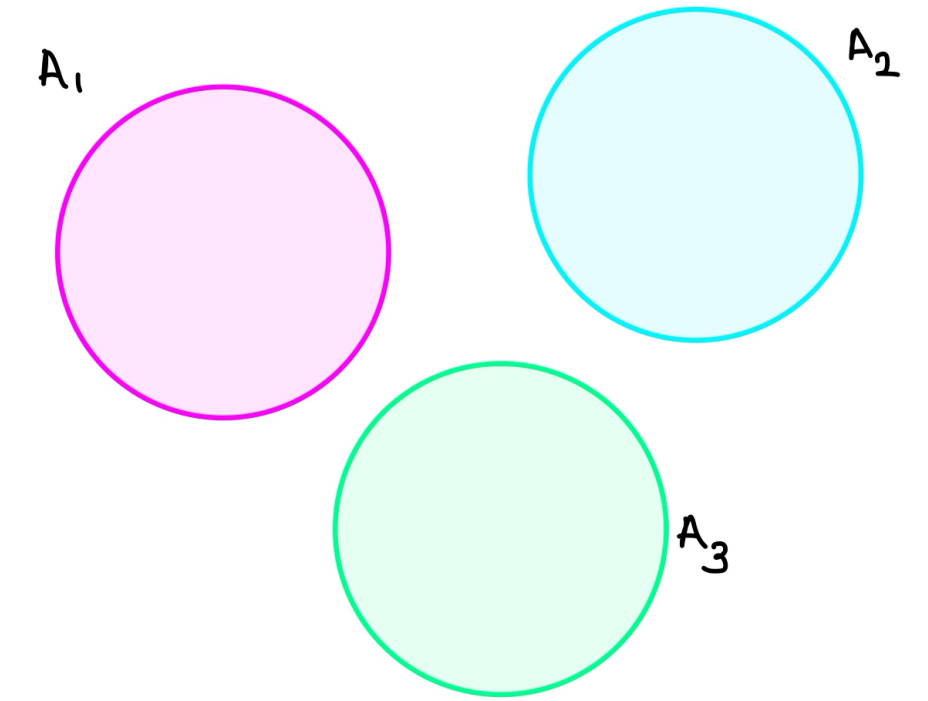
- $\sum_{\omega \in \Omega} Pr[\omega] = 1$

- Probability of an event E : $Pr[E] := \sum_{\omega \in E} Pr[\omega]$

Examples I

Probability Theory

Addition Rule



Events A_1, \dots, A_n are
pairwise disjoint



$$\Pr \left[\bigcup_{i=1}^n A_i \right] = \sum_{i=1}^n \Pr[A_i]$$

Infinite set of events
 A_1, A_2, \dots are pairwise
disjoint



$$\Pr \left[\bigcup_{i=1}^{\infty} A_i \right] = \sum_{i=1}^{\infty} \Pr[A_i]$$

pairwise disjoint : For all pairs A_i, A_j with $i \neq j$: $A_i \cap A_j = \emptyset$

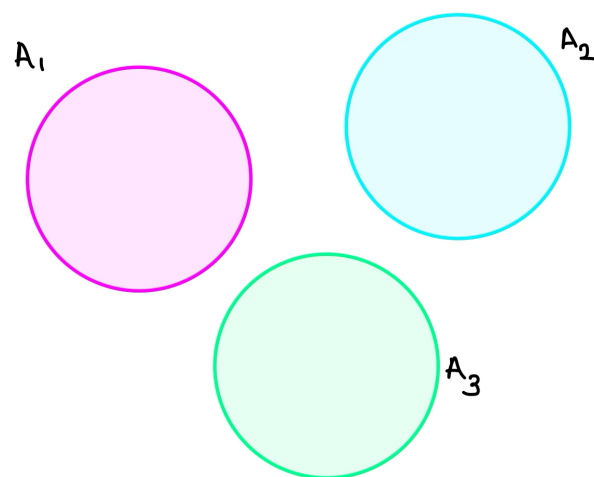
Probability Theory

Boolean Inequality

Addition Rule

Events A_1, \dots, A_n are
pairwise disjoint

$$\Rightarrow \Pr \left[\bigcup_{i=1}^n A_i \right] = \sum_{i=1}^n \Pr[A_i]$$

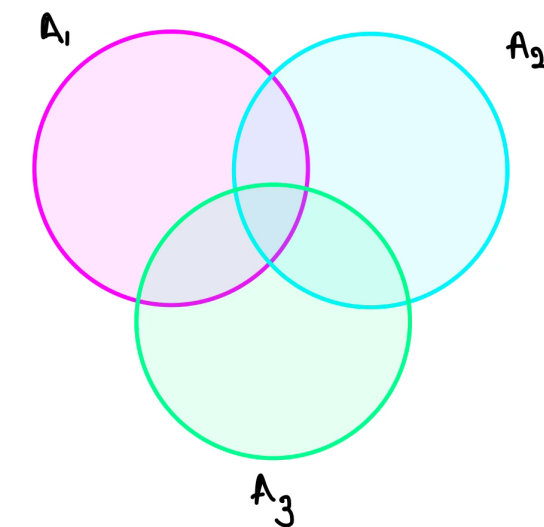


Boolean Inequality

For arbitrary events

$$A_1, \dots, A_n$$

$$\Pr \left[\bigcup_{i=1}^n A_i \right] \leq \sum_{i=1}^n \Pr[A_i]$$



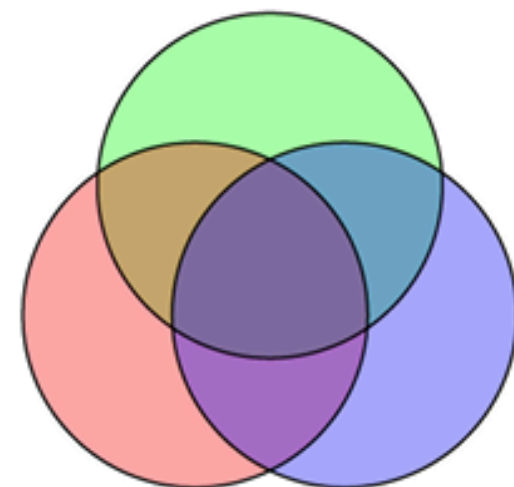
Probability Theory

Inclusion-Exclusion Principle (Siebformel)

For events A_1, \dots, A_n

$$\begin{aligned} \Pr \left[\bigcup_{i=1}^n A_i \right] &= \sum_{i=1}^n \Pr[A_i] - \sum_{1 \leq i_1 < i_2 \leq n} \Pr[A_{i_1} \cap A_{i_2}] + \dots \\ &\quad + (-1)^{l+1} \sum_{1 \leq i_1 < \dots < i_l \leq n} \Pr[A_{i_1} \cap \dots \cap A_{i_l}] + \dots \\ &\quad + (-1)^{n+1} \Pr[A_1 \cap \dots \cap A_n]. \end{aligned}$$

n=3:



$$\begin{aligned} \Pr[A \cup B \cup C] &= \Pr[A] + \Pr[B] + \Pr[C] \\ &\quad - \Pr[A \cap B] - \Pr[A \cap C] - \Pr[B \cap C] + \Pr[A \cap B \cap C] \end{aligned}$$

Probability Theory

Inclusion-Exclusion Principle (Siebformel)

$$\begin{aligned} \Pr \left[\bigcup_{i=1}^n A_i \right] &= \sum_{i=1}^n \Pr[A_i] - \sum_{1 \leq i_1 < i_2 \leq n} \Pr[A_{i_1} \cap A_{i_2}] + \cdots \\ &\quad + (-1)^{l+1} \sum_{1 \leq i_1 < \cdots < i_l \leq n} \Pr[A_{i_1} \cap \cdots \cap A_{i_l}] + \cdots \\ &\quad + (-1)^{n+1} \Pr[A_1 \cap \cdots \cap A_n]. \end{aligned}$$

To find the cardinality of the union of n sets:

1. Include the cardinalities of the sets.
2. Exclude the cardinalities of the pairwise intersections.
3. Include the cardinalities of the triple-wise intersections.
4. Exclude the cardinalities of the quadruple-wise intersections.
5. Include the cardinalities of the quintuple-wise intersections.
6. Continue, until the cardinality of the n -tuple-wise intersection is included (if n is odd) or excluded (n even).

Probability Theory

Inclusion-Exclusion Principle (Siebformel)

$$\begin{aligned}\Pr\left[\bigcup_{i=1}^n A_i\right] &= \sum_{i=1}^n \Pr[A_i] - \sum_{1 \leq i_1 < i_2 \leq n} \Pr[A_{i_1} \cap A_{i_2}] + \cdots \\ &\quad + (-1)^{l+1} \sum_{1 \leq i_1 < \cdots < i_l \leq n} \Pr[A_{i_1} \cap \cdots \cap A_{i_l}] + \cdots \\ &\quad + (-1)^{n+1} \Pr[A_1 \cap \cdots \cap A_n].\end{aligned}$$

To find the probability of the union of n sets:

1. Include the probability of the sets.
2. Exclude the probability of the pairwise intersections.
3. Include the probability of the triple-wise intersections.
4. Exclude the probability of the quadruple-wise intersections.
5. Include the probability of the quintuple-wise intersections.
6. Continue, until the probability of the n -tuple-wise intersection is included (if n is odd) or excluded (n even).

Examples II

Probability Theory

Laplace-Space

- Laplace-Space :
 - A finite probability space where all outcomes/elementary events have the same probability

$$\Omega = \{\omega_1, \omega_2, \dots, \omega_n\}$$

$$Pr[\omega_i] = \frac{1}{n} \quad \text{for all } i = 1, \dots, n$$

$$Pr[A] = \frac{|A|}{|\Omega|}$$

Probability Theory

Combinatorics

different ways of
drawing k elements from n options

| | order matters | order does not matter |
|------------------------|---------------------|-----------------------|
| repetition allowed | n^k | $\binom{n+k-1}{k}$ |
| repetition not allowed | $n^{\underline{k}}$ | $\binom{n}{k}$ |
| | | |

Probability Theory

Combinatorics

| | order matters | order does not matter |
|------------------------|---------------|-----------------------|
| repetition allowed | n^k | $\binom{n+k-1}{k}$ |
| repetition not allowed | n^k | $\binom{n}{k}$ |

Q : Create a 3-digit password using digits 0-9.

Repetition : allowed

Order : matters

Formula : n^k

A : • $n = 10$

- There are 10 options
- digits 0-9

• $k = 3$

- We draw 3 elements in order
- password length is 3

$$10^3 = 10 \cdot 10 \cdot 10 = 1000$$

Probability Theory

Combinatorics

| | order matters | order does not matter |
|------------------------|---------------------|-----------------------|
| repetition allowed | n^k | $\binom{n+k-1}{k}$ |
| repetition not allowed | $n^{\underline{k}}$ | $\binom{n}{k}$ |

Q : Choose 3 students to present one after another from a group of 5

Repetition : **not allowed**

A : • $n = 5$

• $k = 3$

• There are 5 options

• We draw 3 elements in order

Order : **matters**

• student group of 5

• 3 students will present

Formula : $n^{\underline{k}}$

$$n^{\underline{k}} := \underbrace{n \cdot (n-1) \cdot (n-2) \cdots (n-k+1)}_{k \text{ Faktoren}}$$

$$5^{\underline{3}} = 5 \cdot 4 \cdot 3 = 60$$

Probability Theory

Combinatorics

| | order matters | order does not matter |
|------------------------|---------------|-----------------------|
| repetition allowed | n^k | $\binom{n+k-1}{k}$ |
| repetition not allowed | n^k | $\binom{n}{k}$ |

Q : You have 6 friends. Choose 2 of them to go on a trip.

Repetition : **not allowed**

A : • $n = 6$

• $k = 2$

• There are 6 options

• We draw 2 elements without order

Order : **doesn't matter**

• you have 6 friends

• 2 friends will come

Formula : $\binom{n}{k}$

$$\binom{6}{2} = \frac{6!}{2! \cdot (6-2)!} = \frac{6!}{2! \cdot 4!} = 15$$

$$\binom{6}{2} = \frac{6 \cdot 5}{1 \cdot 2} = 15$$

Probability Theory

Combinatorics

| | order matters | order does not matter |
|------------------------|---------------|-----------------------|
| repetition allowed | n^k | $\binom{n+k-1}{k}$ |
| repetition not allowed | n^k | $\binom{n}{k}$ |

Q : Buy 4 scoops of ice cream from 3 flavors (vanilla, chocolate, strawberry)

Repetition : **allowed**

A : • $n = 3$

• $k = 4$

• There are 3 options

• We draw 4 elements without order

Order : **doesn't matter**

• 3 flavors

• 4 scoops of ice cream

Formula :

$$\binom{n+k-1}{k}$$

$$\binom{3+4-1}{4} = \binom{6}{4} = 15$$

Do you notice something ?

Probability Theory

Combinatorics

| | order matters | order does not matter |
|------------------------|---------------|-----------------------|
| repetition allowed | n^k | $\binom{n+k-1}{k}$ |
| repetition not allowed | n^k | $\binom{n}{k}$ |

Q : Buy 4 scoops of ice cream from 3 flavors (vanilla, chocolate, strawberry)

Repetition : **allowed**

A : • $n = 3$

• $k = 4$

• There are 3 options

• We draw 4 elements without order

Order : **doesn't matter**

• 3 flavors

• 4 scoops of ice cream

Formula :

$$\binom{n+k-1}{k}$$

$$\binom{3+4-1}{4} = \binom{6}{4} = 15 = \binom{6}{2}$$

Do you notice something ?

Probability Theory

Conditional Probability

the probability that event A will occur if we already know that event B has occurred

- conditional probability :

- Let A and B be arbitrary events with $Pr[B] > 0$. The conditional probability $Pr[A | B]$ of A given B is

$$Pr[A | B] := \frac{Pr[A \cap B]}{Pr[B]}$$

$$Pr[A \cap B] = Pr[A | B] \cdot Pr[B]$$

$$Pr[A \cap B] = Pr[B | A] \cdot Pr[A]$$

Probability Theory

Conditional Probability - Theorems

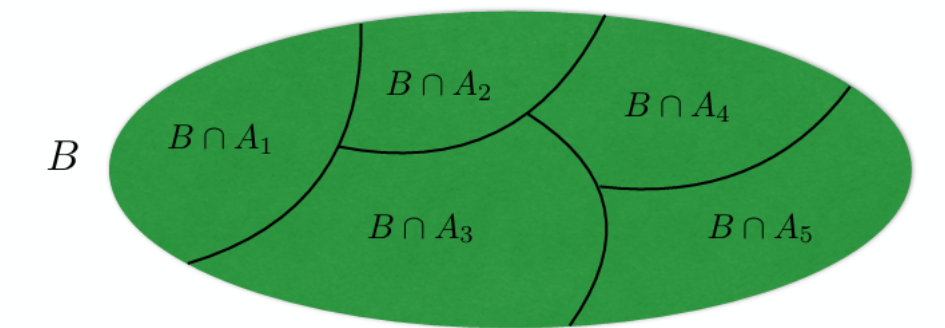
Bedingte Wahrscheinlichkeiten

- **Definition:** Ist $\Pr[B] > 0$, so ist $\Pr[A|B] := \frac{\Pr[A \cap B]}{\Pr[B]}$.
- **Multiplikationssatz:** Ist $\Pr[A_1 \cap \dots \cap A_n] > 0$, so ist

$$\Pr[A_1 \cap \dots \cap A_n] = \Pr[A_1] \cdot \Pr[A_2|A_1] \cdot \Pr[A_3|A_1 \cap A_2] \cdot \dots \cdot \Pr[A_n|A_1 \cap \dots \cap A_{n-1}].$$

- **Satz von der totalen Wahrscheinlichkeit:**
Ist $\Omega = A_1 \uplus \dots \uplus A_n$ mit $\Pr[A_1], \dots, \Pr[A_n] > 0$, so gilt $\Pr[B] = \sum_{i=1}^n \Pr[B|A_i] \cdot \Pr[A_i]$.
- **Satz von Bayes:**
Ist $B \subseteq A_1 \uplus \dots \uplus A_n$ mit $\Pr[A_1], \dots, \Pr[A_n], \Pr[B] > 0$, so gilt

$$\Pr[A_i|B] = \frac{\Pr[A_i \cap B]}{\Pr[B]} = \frac{\Pr[B|A_i] \cdot \Pr[A_i]}{\sum_{j=1}^n \Pr[B|A_j] \cdot \Pr[A_j]}.$$



Examples III

Probability Theory

Conditional Independence

- conditional independence :
 - Event A and B are independent , if

$$Pr[A \cap B] = Pr[A] + Pr[B]$$

- Events A , B and C are independent , if

$$Pr[A \cap B \cap C] = Pr[A] \cdot Pr[B] \cdot Pr[C]$$

$$Pr[A \cap B] = Pr[A] \cdot Pr[B]$$

$$Pr[A \cap C] = Pr[A] \cdot Pr[C]$$

$$Pr[B \cap C] = Pr[B] \cdot Pr[C]$$

Minitest 3 - Discussion



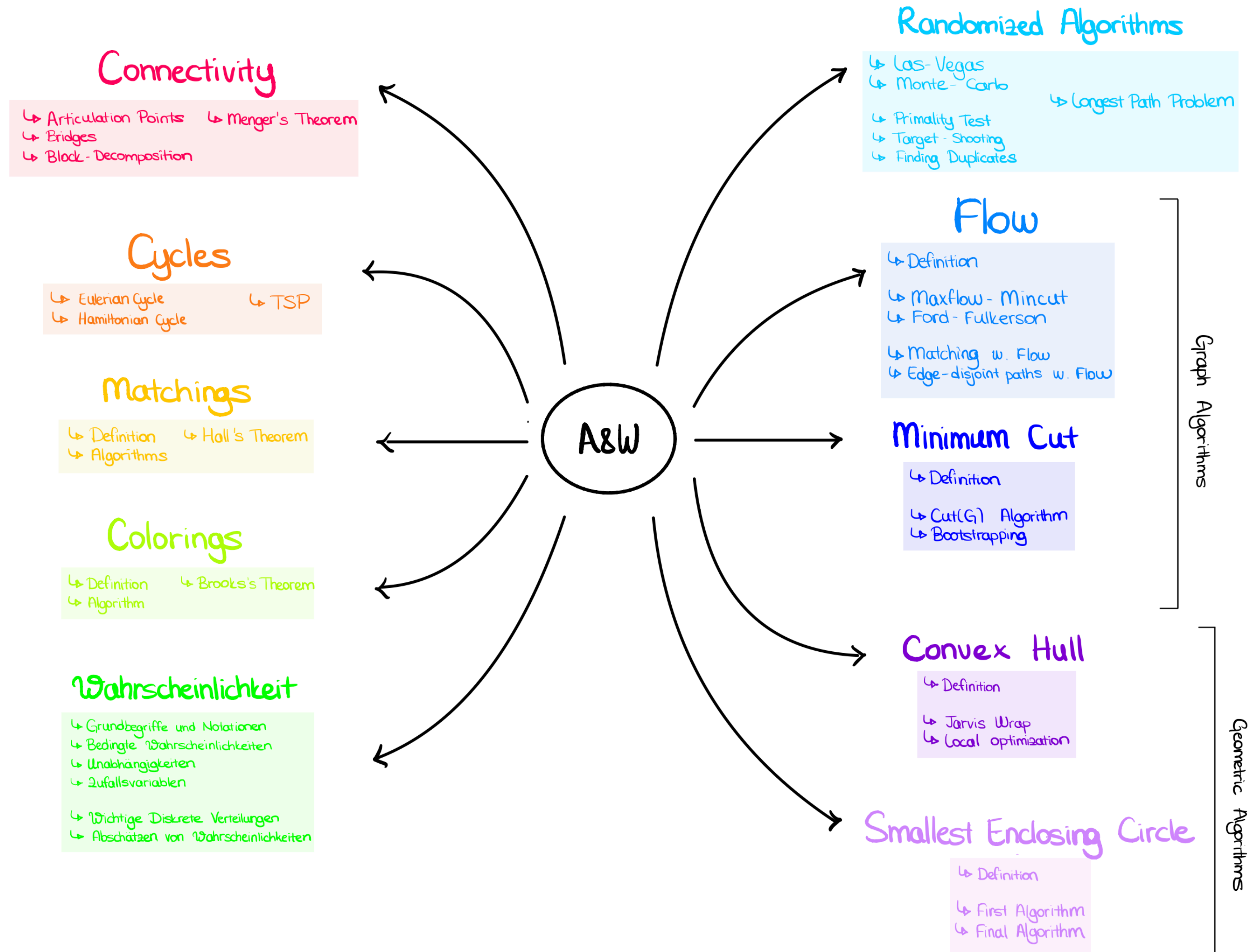
A&W

Exercise Session 7

Probability II

Nil Ozer

A&W Overview

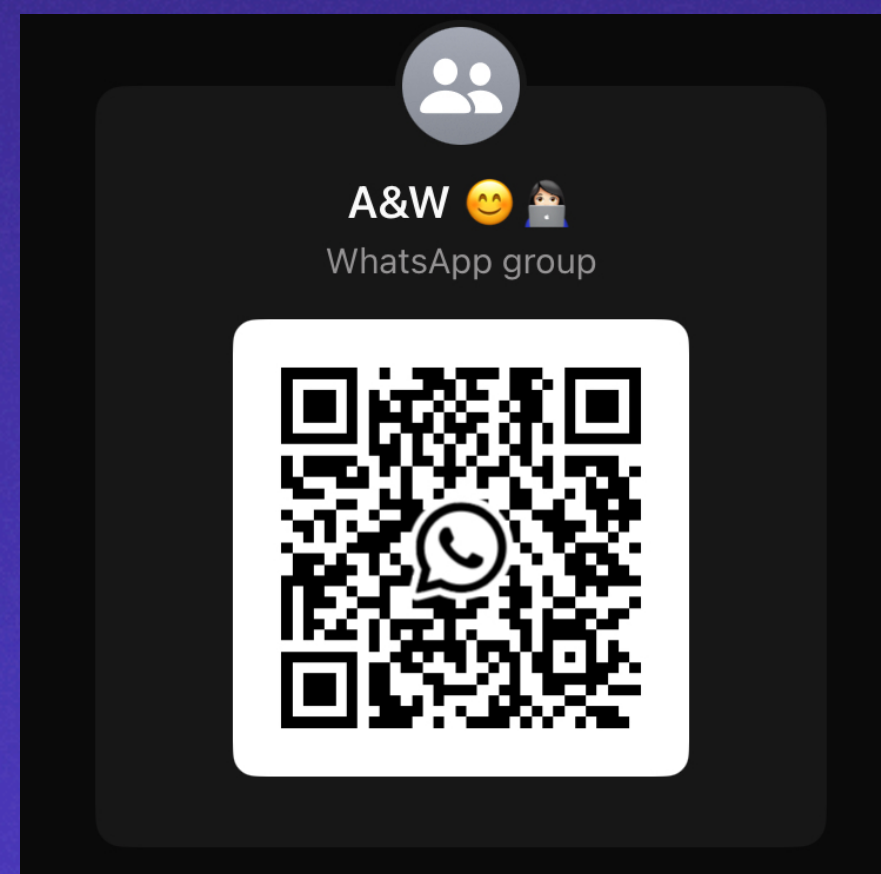


Outline

- Coloring Kahoot
- Probability Theory II
 - Conditional independence
 - Random variables
 - Expected value , Variance
 - Distributions

Coloring Kahoot

Let's take a break



Probability Theory

Probability Theory

Conditional Independence

- conditional independence :
 - Event A and B are independent , if

$$Pr[A \cap B] = Pr[A] \cdot Pr[B]$$

- Events A , B and C are independent , if

$$Pr[A \cap B \cap C] = Pr[A] \cdot Pr[B] \cdot Pr[C]$$

$$Pr[A \cap B] = Pr[A] \cdot Pr[B]$$

$$Pr[A \cap C] = Pr[A] \cdot Pr[C]$$

$$Pr[B \cap C] = Pr[B] \cdot Pr[C]$$

Probability Theory

Conditional Independence - Formelsammlung

Unabhängigkeit

- **Definition:** X_1, \dots, X_n heissen genau dann unabhängig, wenn für alle $(x_1, \dots, x_n) \in W_{X_1} \times \dots \times W_{X_n}$ gilt: $\Pr[X_1 = x_1, \dots, X_n = x_n] = \Pr[X_1 = x_1] \cdot \dots \cdot \Pr[X_n = x_n]$.
- **Multiplikationsformel:** Sind X_1, \dots, X_n unabhängig und $S_i \subseteq W_{X_i}$, dann gilt: $\Pr[X_1 \in S_1, \dots, X_n \in S_n] = \Pr[X_1 \in S_1] \cdot \dots \cdot \Pr[X_n \in S_n]$.
- **Transformationen:** Seien $f_i : \mathbb{R} \rightarrow \mathbb{R}$. Wenn X_1, \dots, X_n unabhängig sind, dann gilt dies auch für $f(X_1), \dots, f(X_n)$.
- **Summe:** Sind X, Y unabhängig und $Z := X + Y$, so gilt $f_Z(z) = \sum_{x \in W_X} f_X(x) \cdot f_Y(z - x)$.

Probability Theory

Random Variable

- random variable X :
 - A random variable X is a measurable function $X : \Omega \rightarrow \mathbb{R}$ from a sample space Ω as a set of possible outcomes to real numbers

Random process

Outcomes \rightarrow Numbers

Flipping a coin

$$X = \begin{cases} 1 & \text{if heads} \\ 0 & \text{if tails} \end{cases}$$

Rolling 8 dices

$$Y = \text{Sum of the upward faces after rolling 8 dices}$$

Probability Theory

Random Variable

- random variable X : Random process

Outcomes \rightarrow Numbers

- A random variable X is a measurable function $X : \Omega \rightarrow \mathbb{R}$ from a sample space Ω as a set of possible outcomes to real numbers

Flipping a coin

$$X = \begin{cases} 1 & \text{if heads} \\ 0 & \text{if tails} \end{cases}$$

$$Pr[X = 1] = Pr[\omega \in \Omega \mid X(\omega) = 1]$$

Rolling 8 dices

$$Y = \text{Sum of the upward faces after rolling 8 dices}$$

$$Pr[Y \leq 6] = Pr[\omega \in \Omega \mid X(\omega) \leq 6]$$

Probability Theory

Functions

- probability density function $f_X(x)$
 - $f_X : \mathbb{R} \rightarrow [0,1], \quad x \mapsto \Pr[X = x] \quad (= \Pr[X(\omega) = x])$
- cumulative distribution function $F_X(x)$
 - $F_X : \mathbb{R} \rightarrow [0,1], \quad x \mapsto \Pr[X \leq x]$

Probability Theory

Expected Value

- expected value $\mathbb{E}[X]$

- $\mathbb{E}[X] := \sum_{x \in W_x} x \cdot \Pr[X = x]$

- $\mathbb{E}[X] = \sum_{w \in \Omega} X(w) \cdot \Pr[w]$

- $\mathbb{E}[X] = \sum_{i=1}^{\infty} \Pr[X \geq i]$

weighted average

Probability Theory

Expected Value Properties

weighted average

- expected value

- $\mathbb{E}[X] = \sum_{w \in \Omega} X(w) \cdot \text{Pr}[w]$

- linearity:

- $E[X + Y] = E[X] + E[Y]$

- $E[aX] = aE[x]$

- $E[a_1X_1 + a_2X_2 + \dots + a_nX_n + b] = a_1E[X_1] + \dots + a_nE[X_n] + b$

- monotonicity :

- If $X \leq Y$ then $E[X] \leq E[Y]$

Probability Theory

Variance

- variance $Var[X]$

$$Var[X] := \mathbb{E}[(X - E[x])^2] = \sum_{x \in W_X} (x - E[x])^2 \cdot \Pr[X = x]$$

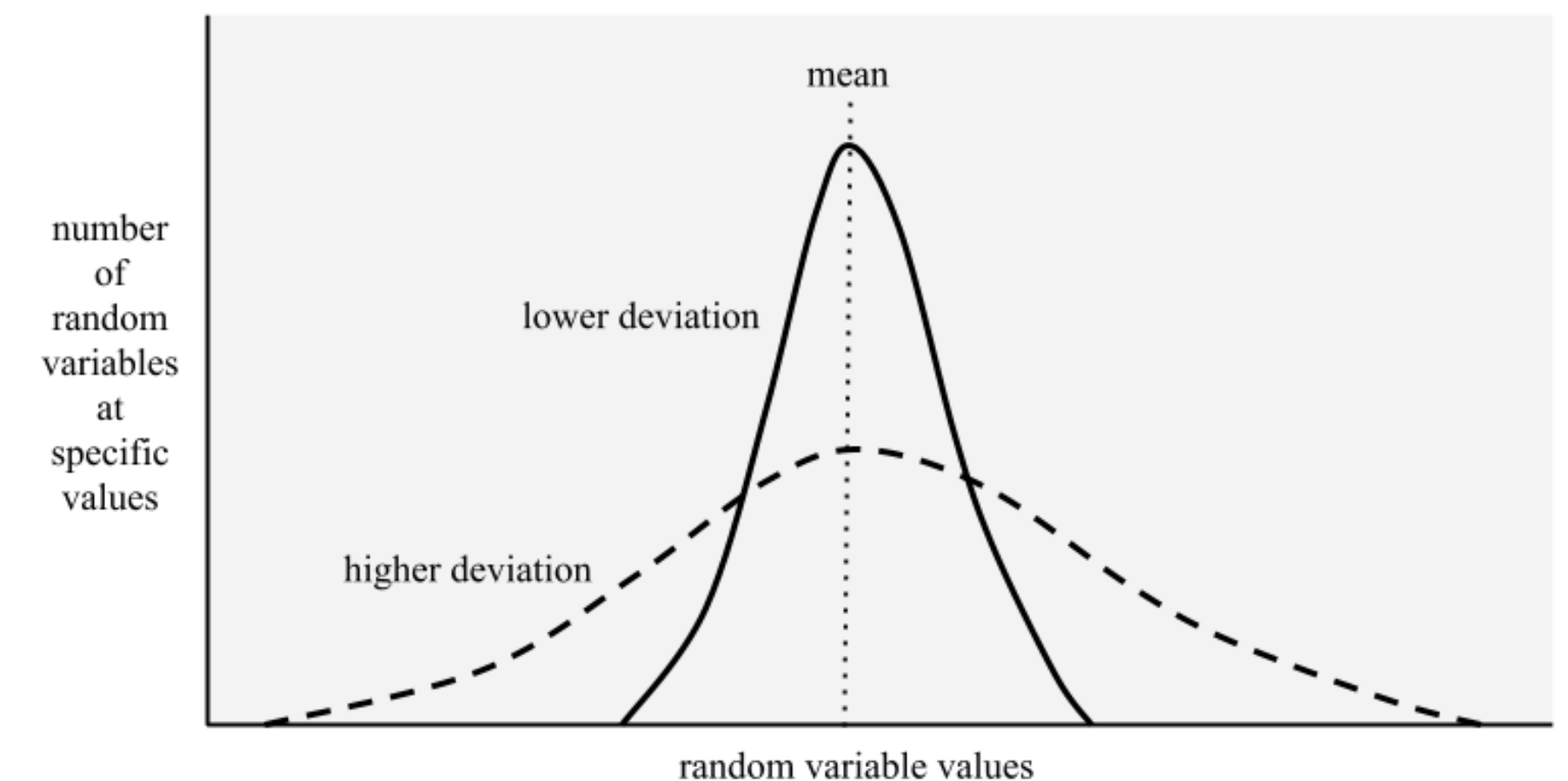
$$Var[X] = \mathbb{E}[X^2] - \mathbb{E}[X]^2$$

$$Var[a \cdot X + b] = a^2 \cdot Var[X]$$

- standard deviation σ

$$\sigma := \sqrt{Var[X]}$$

measure of how far a set of numbers is spread out from their average value/mean



Probability Theory

Formelsammlung

Erwartungswert

- **Definition:** $\mathbb{E}[X] := \sum_{x \in W_X} x \cdot \Pr[X = x]$
- **Linearität:** Für $a_1, \dots, a_n, b \in \mathbb{R}$ gilt $\mathbb{E}[a_1 X_1 + \dots + a_n X_n + b] = a_1 \mathbb{E}[X_1] + \dots + a_n \mathbb{E}[X_n] + b$.
- **Summenformel:** Ist $W_X \subseteq \mathbb{N}_0$, dann gilt $\mathbb{E}[X] = \sum_{i=1}^{\infty} \Pr[X \geq i]$.
- **Multiplikativität:** Für unabhängige X_1, \dots, X_n gilt $\mathbb{E}[X_1 \cdot \dots \cdot X_n] = \mathbb{E}[X_1] \cdot \dots \cdot \mathbb{E}[X_n]$.

Varianz

- **Definition:** $\text{Var}[X] := \mathbb{E}[(X - \mathbb{E}[X])^2] = \mathbb{E}[X^2] - \mathbb{E}[X]^2$.
- **Translation:** Für $a, b \in \mathbb{R}$ gilt $\text{Var}[a \cdot X + b] = a^2 \cdot \text{Var}[X]$.
- **Standardabweichung:** $\sigma[X] := \sqrt{\text{Var}[X]}$.
- **Additivität:** Für unabhängige X_1, \dots, X_n gilt $\text{Var}[X_1 + \dots + X_n] = \text{Var}[X_1] + \dots + \text{Var}[X_n]$.

Probability Theory

Distributions

Probability Theory

Indicator Variable

- indicator variable I_A :

- For an event $A \subseteq \Omega$
$$I_A(\omega) := \begin{cases} 1, & \omega \in A \\ 0, & \omega \notin A \end{cases}$$

- $p = Pr[A] = E[I_A]$
$$f_{I_A}(x) = \begin{cases} p, & x = 1, \\ 1 - p, & x = 0, \\ 0, & \text{otherwise} \end{cases}$$

Probability Theory

Bernoulli Distribution



$$X \sim \text{Bernoulli}(p)$$

$$f_X(x) = \begin{cases} p, & x = 1, \\ 1 - p, & x = 0, \\ 0, & \text{otherwise} \end{cases}$$

yes-no question

Example to remember :

Coin toss

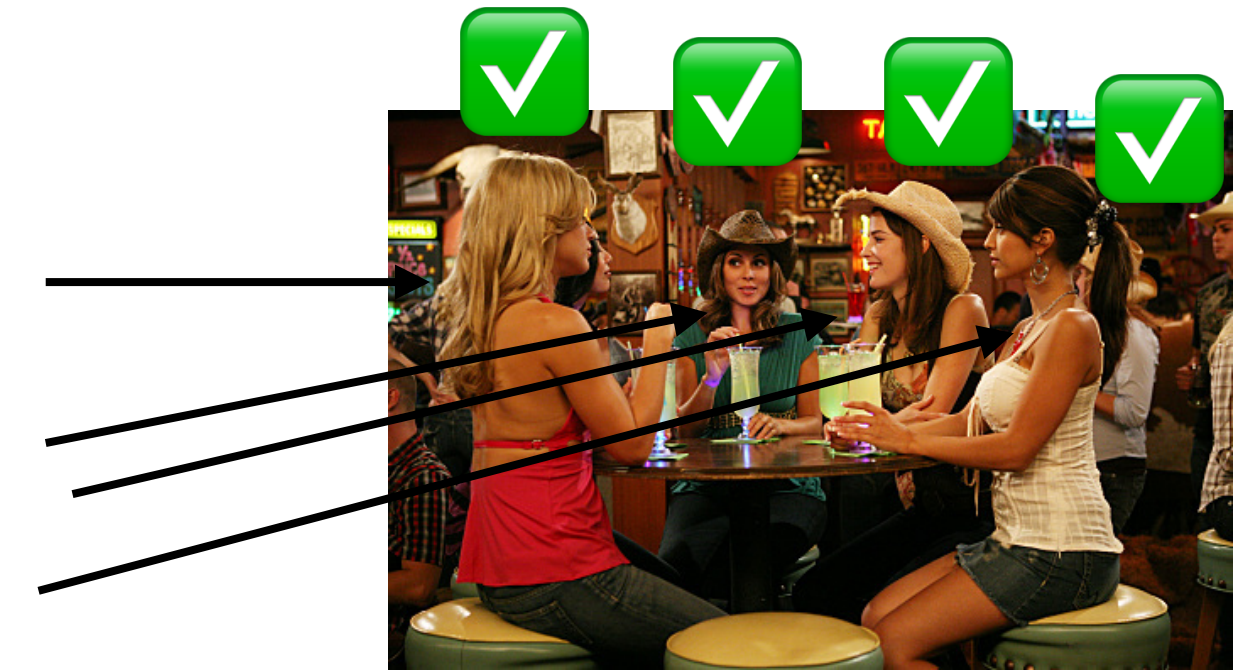
X = indicator for head

$$E[X] = p$$

$$\text{Var}[X] = p(1 - p)$$

Probability Theory

Binomial Distribution



$$X \sim \text{Bin}(n, p)$$

$$f_X(x) = \begin{cases} \binom{n}{x} p^x (1-p)^{n-x}, & x \in \{0, 1, \dots, n\} \\ 0, & \text{otherwise} \end{cases}$$

successes in a sequence
of n yes-no questions

Example to remember :

Coin toss 10 times

$X = \text{\#heads}$

$$E[X] = np$$

$$\text{Var}[X] = np(1-p)$$

Probability Theory

Poisson-Distribution

$$X \sim \text{Po}(\lambda)$$

$$f_X(i) = \begin{cases} \frac{e^{-\lambda} \lambda^i}{i!}, & \text{für } i \in \mathbb{N}_0 \\ 0, & \text{otherwise} \end{cases}$$

$$E[X] = \lambda$$

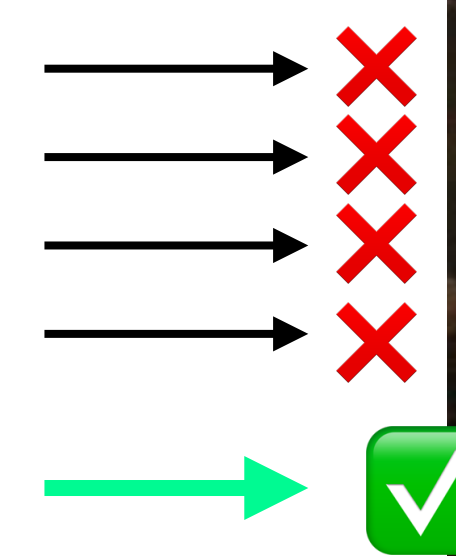
$$\text{Var}[X] = \lambda$$

$\text{Bin}(n, \lambda/n)$ converges to $\text{Po}(\lambda)$

for $n \rightarrow \infty$

Probability Theory

Geometric Distribution



$$X \sim \text{Geo}(p)$$

$$f_X(i) = \begin{cases} p(1-p)^{i-1}, & \text{für } i \in \mathbb{N}, \\ 0, & \text{otherwise} \end{cases}$$

$$F_X(n) = 1 - (1-p)^n$$

$$E[X] = 1/p \quad \text{Var}[X] = \frac{1-p}{p^2}$$

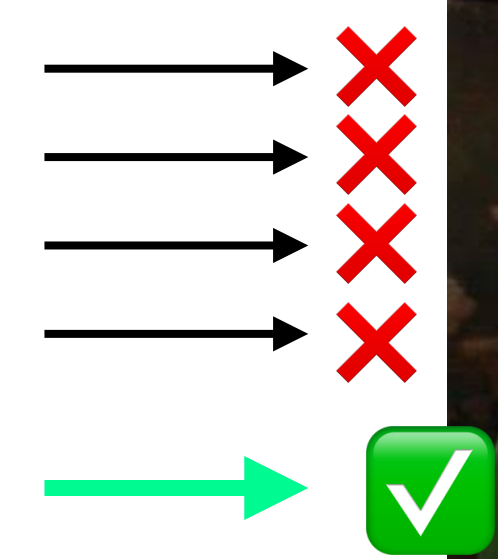
#yes-no questions
needed to get one yes

Example to remember :

Coin toss until a head comes
 $X = \text{\#tosses}$

Probability Theory

Geometric Distribution



$$X \sim \text{Geo}(p)$$

$$f_X(i) = \begin{cases} p(1-p)^{i-1}, & \text{für } i \in \mathbb{N}, \\ 0, & \text{otherwise} \end{cases}$$

$$F_X(n) = 1 - (1-p)^n$$

$$E[X] = 1/p \quad \text{Var}[X] = \frac{1-p}{p^2}$$

Robin has no brain

Memorylessness

$$\Pr[X \geq s+t \mid X > s] = \Pr[X \geq t]$$

$$\Pr[X = s+t \mid X > s] = \Pr[X = t]$$

Probability Theory

Negative Binomial Distribution

$$X \sim \text{NegativeBinomial}(n)$$

$$f_X(k) = \begin{cases} \binom{k-1}{n-1} (1-p)^{k-n} p^n, & \text{for } k = 1, 2, \dots \\ 0, & \text{otherwise} \end{cases}$$

$$E[X] = n/p$$

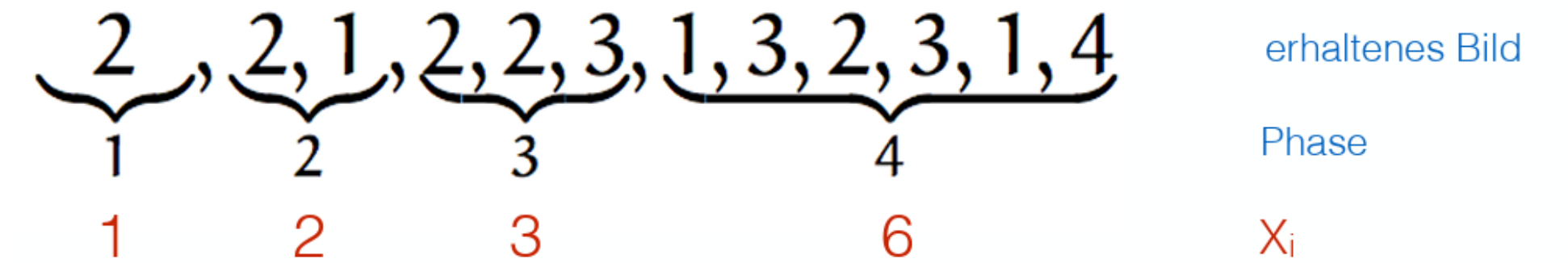


#yes-no questions
needed to get n yesses

Example to remember :
Coin toss until n-th head comes
 $X = \text{\#tosses}$

Probability Theory

Coupon Collector



phase i := turns while we have $i-1$ different coupons

X_i := #turns in phase i

$$X_i \sim \text{Geo} \left(\frac{n - (i - 1)}{n} \right) \quad E[X_i] = 1/p$$

$$X = \sum_{i=1}^n X_i$$

$$\mathbb{E}[X] = \sum_{i=1}^n \mathbb{E}[X_i] = \sum_{i=1}^n \frac{n}{n - i + 1} = n \cdot \sum_{i=1}^n \frac{1}{i} = n \cdot H_n,$$

$H_n = \ln n + \mathcal{O}(1)$

collect all coupons and
win

Example to remember :

n different coupons , we're
getting one in each turn

X = #turns until we get all n
coupons

Probability Theory

Formelsammlung

Wichtige Verteilungen

| Name | Bezeichnung | Wertebereich | Dichte | Erwartungswert | Varianz |
|-------------|------------------|----------------------|---|----------------|-------------------|
| Bernoulli | Bernoulli(p) | $\{0, 1\}$ | $f_X(i) = \begin{cases} p & \text{für } i = 1, \\ 1 - p & \text{für } i = 0. \end{cases}$ | p | $p(1 - p)$ |
| Binomial | Bin(n, p) | $\{0, 1, \dots, n\}$ | $f_X(i) = \binom{n}{i} p^i (1 - p)^{n-i}$ | np | $np(1 - p)$ |
| Geometrisch | Geo(p) | \mathbb{N} | $f_X(i) = p(1 - p)^{i-1}$ | $\frac{1}{p}$ | $\frac{1-p}{p^2}$ |
| Poisson | Po(λ) | \mathbb{N}_0 | $f_X(i) = \frac{e^{-\lambda} \lambda^i}{i!}$ | λ | λ |

Examples



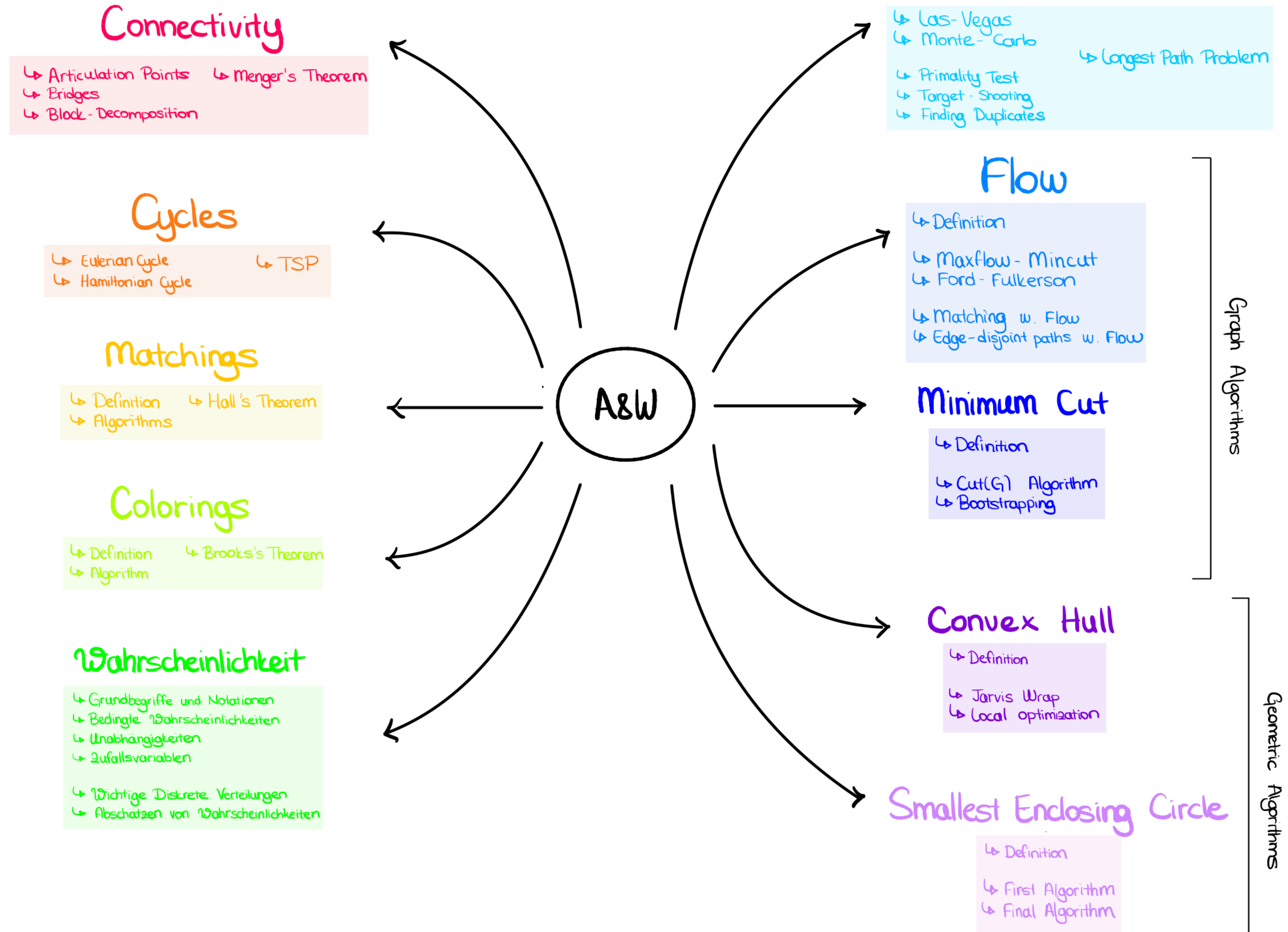
A&W

Exercise Session 8

Probability III

Nil Ozer

A&W Overview



Outline

- Minitest 4
- Probability Theory III
 - independence of random variables
 - wald's identity
 - inequalities
- A Game of Skill - Probability CodeExpert

Minitest 4

Probability Theory

Probability Theory

Independence of random variables

- independent (random variables)
 - X_1, \dots, X_n are independent if for all $x_1 \in X_{X_1}, \dots, x_n \in W_{X_n}$ the events $X_1 = x_1, X_2 = x_2, \dots, X_n = x_n$ are independent.

$$\underbrace{\Pr[X_1 = x_1, \dots, X_n = x_n]}_{=f_{X_1, \dots, X_n}(x_1, x_2, \dots, x_n)} = \underbrace{\Pr[X_1 = x_1]}_{=f_{X_1}(x_1)} \cdots \underbrace{\Pr[X_n = x_n]}_{=f_{X_n}(x_n)}$$

Aber: Viele der Gleichungen sind *redundant*.

Beispiel für n = 3: Falls X_3 Wertebereich $\{0,1\}$ hat, dann folgt aus

$\Pr[X_1 = x_1, X_2 = x_2, X_3 = 0] = \Pr[X_1 = x_1] \cdot \Pr[X_2 = x_2] \cdot \Pr[X_3 = 0]$, und

$\Pr[X_1 = x_1, X_2 = x_2, X_3 = 1] = \Pr[X_1 = x_1] \cdot \Pr[X_2 = x_2] \cdot \Pr[X_3 = 1]$

automatisch:

$$\begin{aligned} \Pr[X_1 = x_1, X_2 = x_2] &= \Pr[X_1 = x_1, X_2 = x_2, X_3 = 0] + \Pr[X_1 = x_1, X_2 = x_2, X_3 = 1] \\ &= \Pr[X_1 = x_1] \cdot \Pr[X_2 = x_2] \cdot \Pr[X_3 = 0] + \Pr[X_1 = x_1] \cdot \Pr[X_2 = x_2] \cdot \Pr[X_3 = 1] \\ &= \Pr[X_1 = x_1] \cdot \Pr[X_2 = x_2] \cdot (\Pr[X_3 = 0] + \Pr[X_3 = 1]) \\ &= \Pr[X_1 = x_1] \cdot \Pr[X_2 = x_2]. \end{aligned}$$

Probability Theory

Independence of random variables

- independent (random variables)
 - X_1, \dots, X_n are independent if for all $x_1 \in X_{X_1}, \dots, x_n \in W_{X_n}$ the events $X_1 = x_1, X_2 = x_2, \dots, X_n = x_n$ are independent.

$$\underbrace{\Pr[X_1 = x_1, \dots, X_n = x_n]}_{=f_{X_1, \dots, X_n}(x_1, x_2, \dots, x_n)} = \underbrace{\Pr[X_1 = x_1]}_{=f_{X_1}(x_1)} \cdot \dots \cdot \underbrace{\Pr[X_n = x_n]}_{=f_{X_n}(x_n)},$$

- X_1, \dots, X_n are independent random variables, $S_1, \dots, S_n \subseteq \mathbb{R}$ arbitrary :
 - $\Pr[X_1 \in S_1, \dots, X_n \in S_n] = \Pr[X_1 \in S_1] \cdots \Pr[X_n \in S_n]$.
- X_1, \dots, X_n are independent random variables, f_1, \dots, f_n are real-valued functions ($f_i : \mathbb{R} \rightarrow \mathbb{R}$ for $i = 1, \dots, n$) :
 - $f_1(X_1), \dots, f_n(X_n)$ are independent random variables

Probability Theory

Independence of random variables

- independent (random variables)
- X_1, \dots, X_n are independent if for all $x_1 \in X_{X_1}, \dots, x_n \in W_{X_n}$ the events $X_1 = x_1, X_2 = x_2, \dots, X_n = x_n$ are independent.

$$\underbrace{\Pr[X_1 = x_1, \dots, X_n = x_n]}_{=f_{X_1, \dots, X_n}(x_1, x_2, \dots, x_n)} = \underbrace{\Pr[X_1 = x_1]}_{=f_{X_1}(x_1)} \cdot \dots \cdot \underbrace{\Pr[X_n = x_n]}_{=f_{X_n}(x_n)},$$

- X and Y are two independent random variables, $Z := X + Y$

$$f_Z(z) = \sum_{x \in W_X} f_X(x) \cdot f_Y(z - x).$$

$$\text{Poisson}(\lambda_1) + \text{Poisson}(\lambda_2) = \text{Poisson}(\lambda_1 + \lambda_2)$$

$$\text{Bin}(n, p) + \text{Bin}(m, p) = \text{Bin}(n + m, p)$$

Probability Theory

Wald's Identity

- N and X are two independent random variables, $W_N \subseteq \mathbb{N}$

$$Z := \sum_{i=1}^N X_i \quad \text{where } X_1, X_2, \dots \text{ are independent copies of } X$$

$$\mathbb{E}[Z] = \mathbb{E}[N] \cdot \mathbb{E}[X]$$



Example

Probability Theory

Inequalities

get used to using it !

Abschätzungen

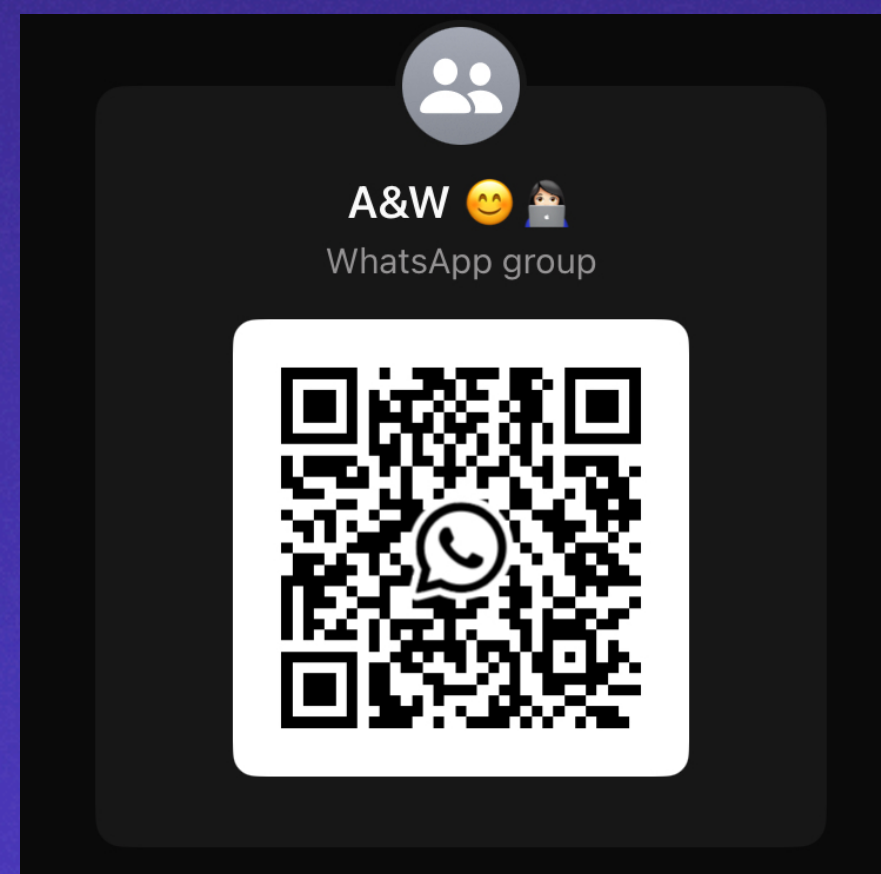
- **Boolesche Ungleichung, Union Bound:** $\Pr [\bigcup_{i=1}^n A_i] \leq \sum_{i=1}^n \Pr[A_i]$.
- **Markov:** Ist $W_X \subseteq \mathbb{R}_{\geq 0}$ und $t \in \mathbb{R}_{\geq 0}$, so ist $\Pr[X \geq t] \leq \frac{\mathbb{E}[X]}{t}$ bzw. $\Pr[X \geq t \cdot \mathbb{E}[X]] \leq \frac{1}{t}$.
- **Chebyshev:** Für $t \in \mathbb{R}_{\geq 0}$ ist $\Pr[|X - \mathbb{E}[X]| \geq t] \leq \frac{\text{Var}[X]}{t^2}$ bzw. $\Pr[|X - \mathbb{E}[X]| \geq t \cdot \sigma[X]] \leq \frac{1}{t^2}$.
- **Chernoff:** Seien X_1, \dots, X_n unabhängig und Bernoulli-verteilt, $X := \sum_{i=1}^n X_i$ und $\delta \in [0, 1]$. Dann ist

$$\Pr[X \geq (1 + \delta)\mathbb{E}[X]] \leq e^{-\frac{1}{3}\delta^2 \mathbb{E}[X]},$$

$$\Pr[X \leq (1 - \delta)\mathbb{E}[X]] \leq e^{-\frac{1}{2}\delta^2 \mathbb{E}[X]},$$

$$\Pr[X \geq t] \leq 2^{-t} \quad \text{für } t \geq 2e\mathbb{E}[X].$$

Let's take a break



A Game of Skill

CodeExpert

The background of the slide features a stylized, layered mountain range. The mountains are rendered in various shades of purple and blue, with some peaks appearing more prominent than others. The overall effect is a soft, atmospheric landscape that fills the entire frame.

Questions

Feedbacks , Recommendations

Nil Ozer

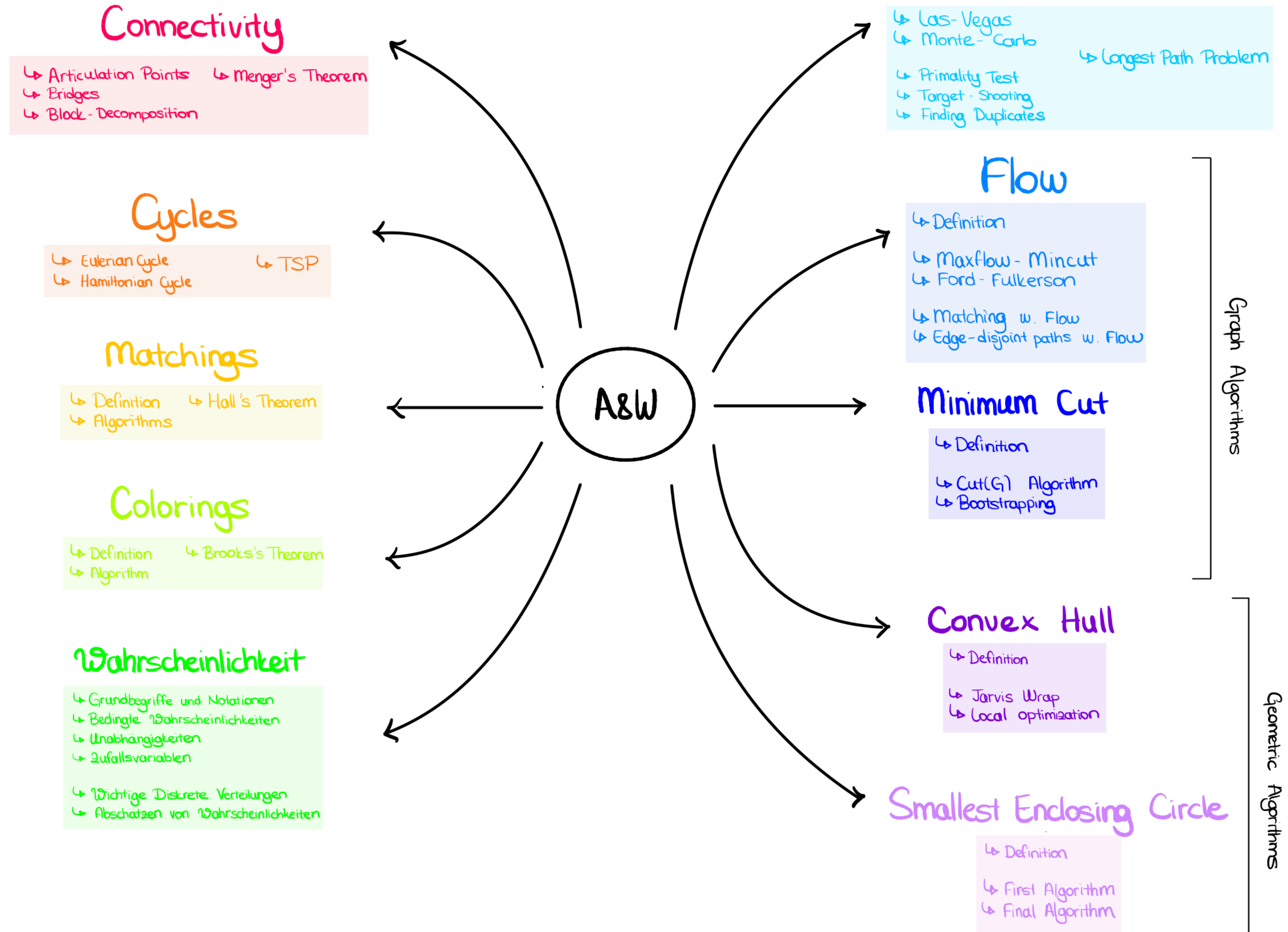


A&W

Exercise Session 10
Randomized Algorithms II

Nil Ozer

A&W Overview



Last Weeks ...

- 08.05 : Randomized Algorithms II
- 15.05 : Flow
- 22.05 online : Minimum Cut , Convex Hull I (shortly remaining primality tests)
- 27.05/28.05 extra session : Convex Hull II , Smallest Enclosing Cycle
- 29.05 last session : Exam Prep Session + Pizza and Drinks

Outline

- Randomized Algorithms II
 - Randomized Algorithms I recap
 - Primality Tests
 - Colorful Paths

Randomized Algorithms

Recap

Randomized Algorithms

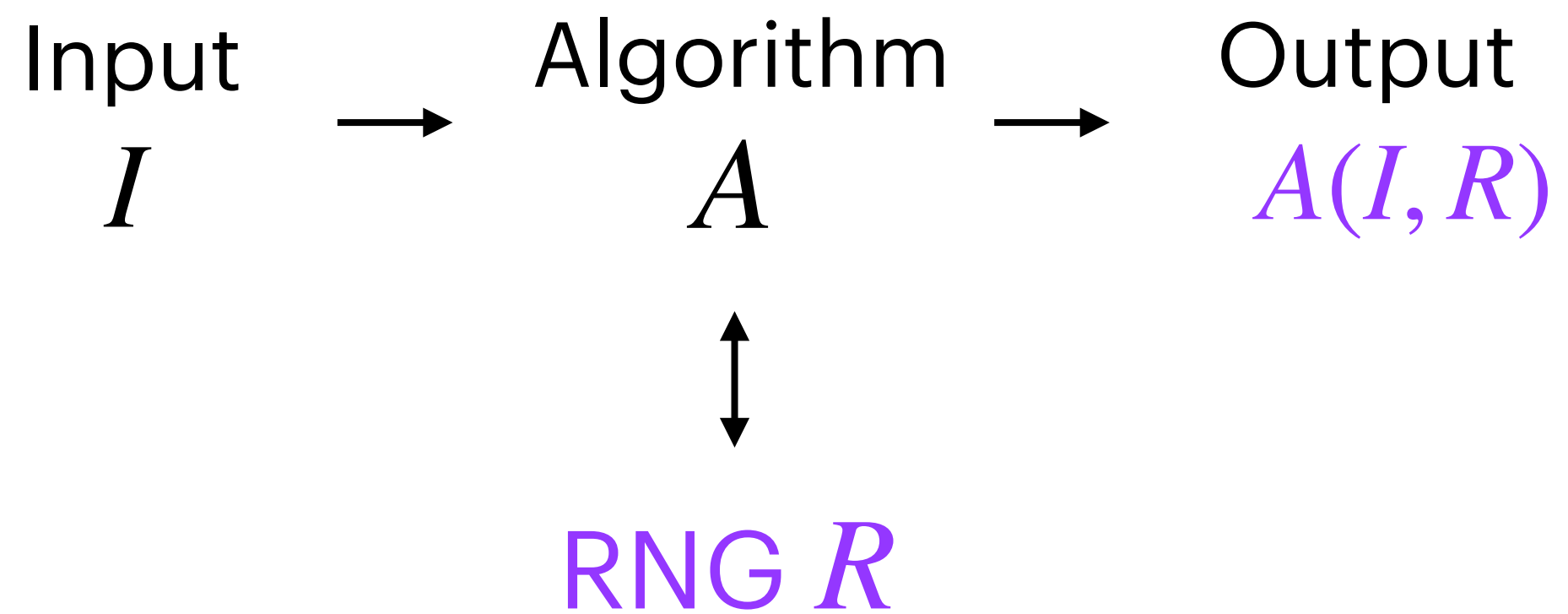
Classic vs. Randomized

classic



- $A(I)$ is correct and definite for all I
- The runtime is $O(f(n))$ for all I with $|I| = n$

randomized

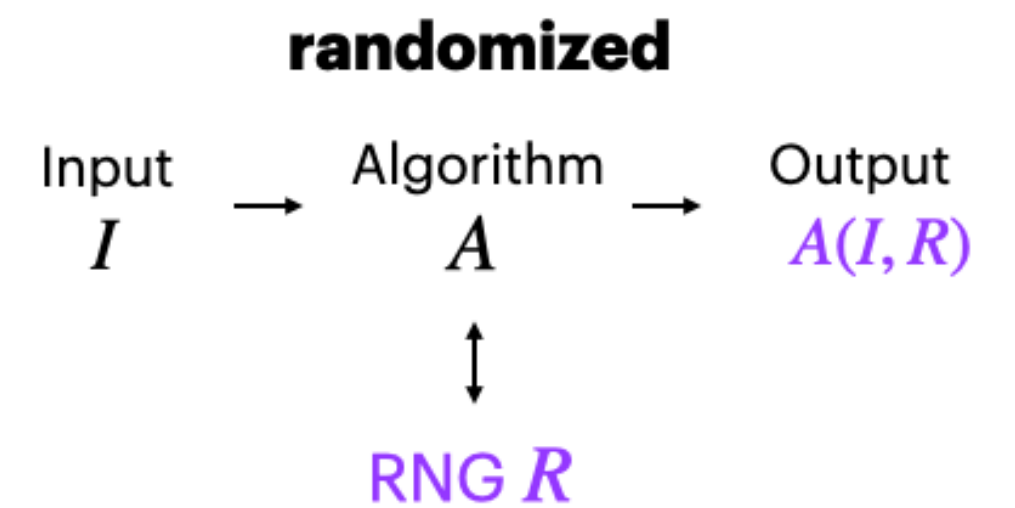


$A(I, R)$ can't be reproduced

- $A(I, R)$ is correct with $Pr_R[A(I, R) \text{ is correct}] \geq \dots$ for all I
- The runtime is $O(f(n))$ and/or $Pr_R[\text{Runtime} \leq O(f(n))] \geq \dots$ for all I with $|I| = n$

Randomized Algorithms

Las-Vegas vs. Monte-Carlo



Las-Vegas

Runtime is the RV

- can output true answer
- cannot output false answer
- can run forever/ can output no answer (???)

Input: An array of $n \geq 2$ elements, in which half are 'a's and the other half are 'b's.

Output: Find an 'a' in the array.

```
findingA_LV(array A, n)
begin
  repeat
    Randomly select one element out of n elements.
  until 'a' is found
end
```

Monte-Carlo

Correctness/Quality is the RV

- can output true answer
- can output false answer
- always outputs an answer

```
findingA_MC(array A, n, k)
begin
  i := 0
  repeat
    Randomly select one element out of n elements.
    i := i + 1
  until i = k or 'a' is found
end
```

Target-Shooting

Problem Description

given : finite sets S and U with $S \subseteq U$

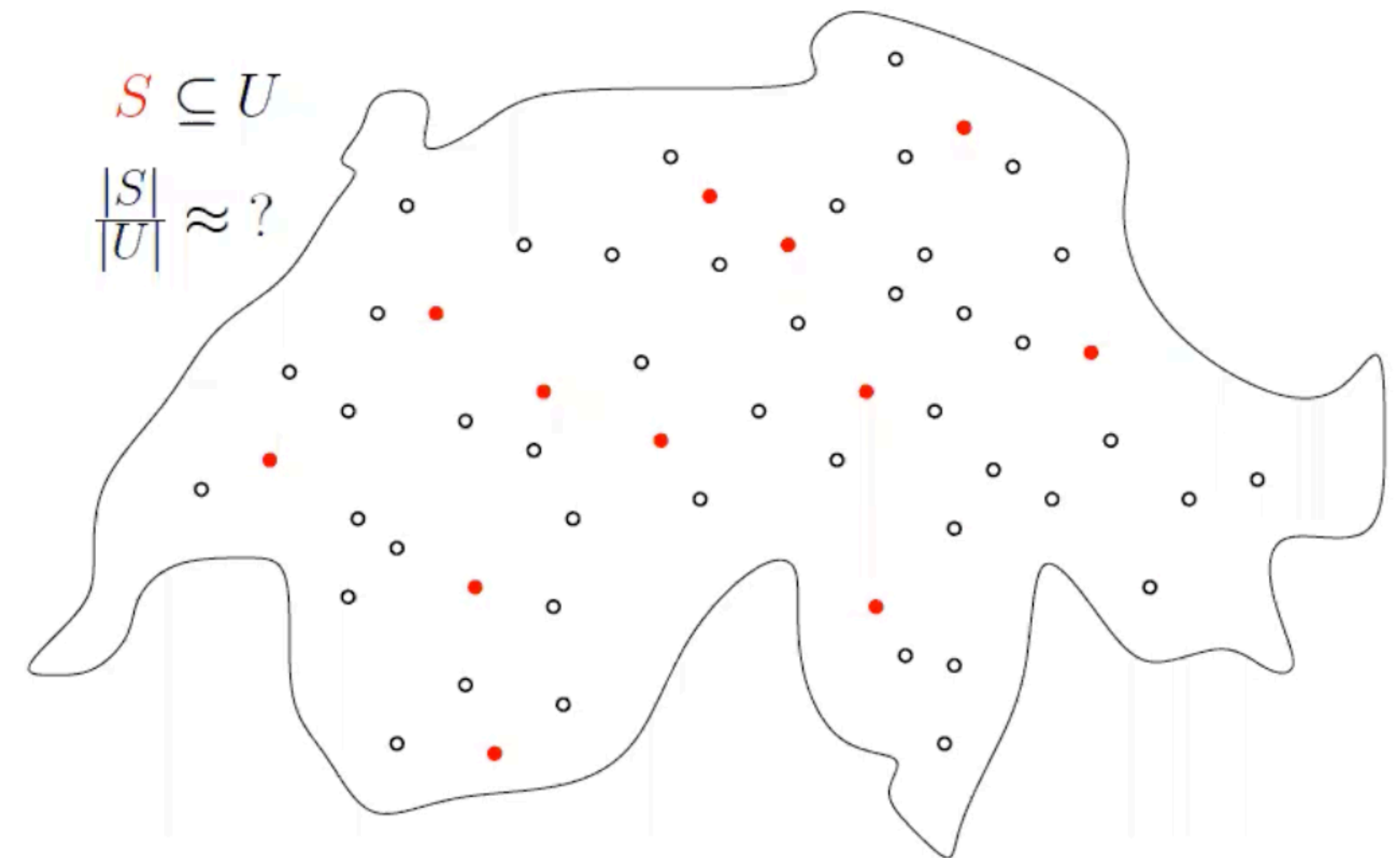
to find : $\approx \frac{|S|}{|U|}$

We can generate elements u in U
uniformly distributed

$$I_S : U \rightarrow \{0,1\}$$

$$I_S(u) = 1 \iff u \in S$$

U is very large. We cannot
afford to iterate through U

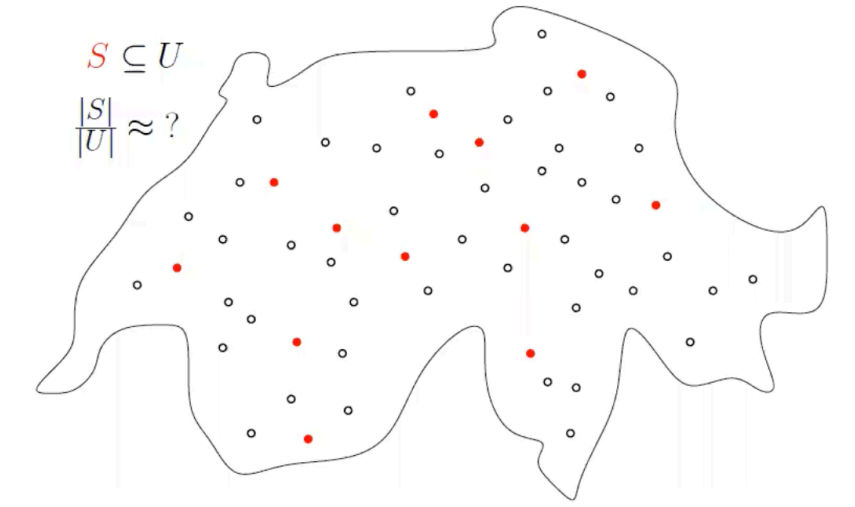


Target-Shooting Algorithm

given : finite sets S and U with $S \subseteq U$

to find : $\approx \frac{|S|}{|U|}$

$$I_S(u) = 1 \iff u \in S$$



1 : Pick u_1, \dots, u_N from U randomly, uniformly and independently

2 : Return $\frac{1}{N} \cdot \sum_{i=1}^N I_S(u_i)$

Target-Shooting Algorithm

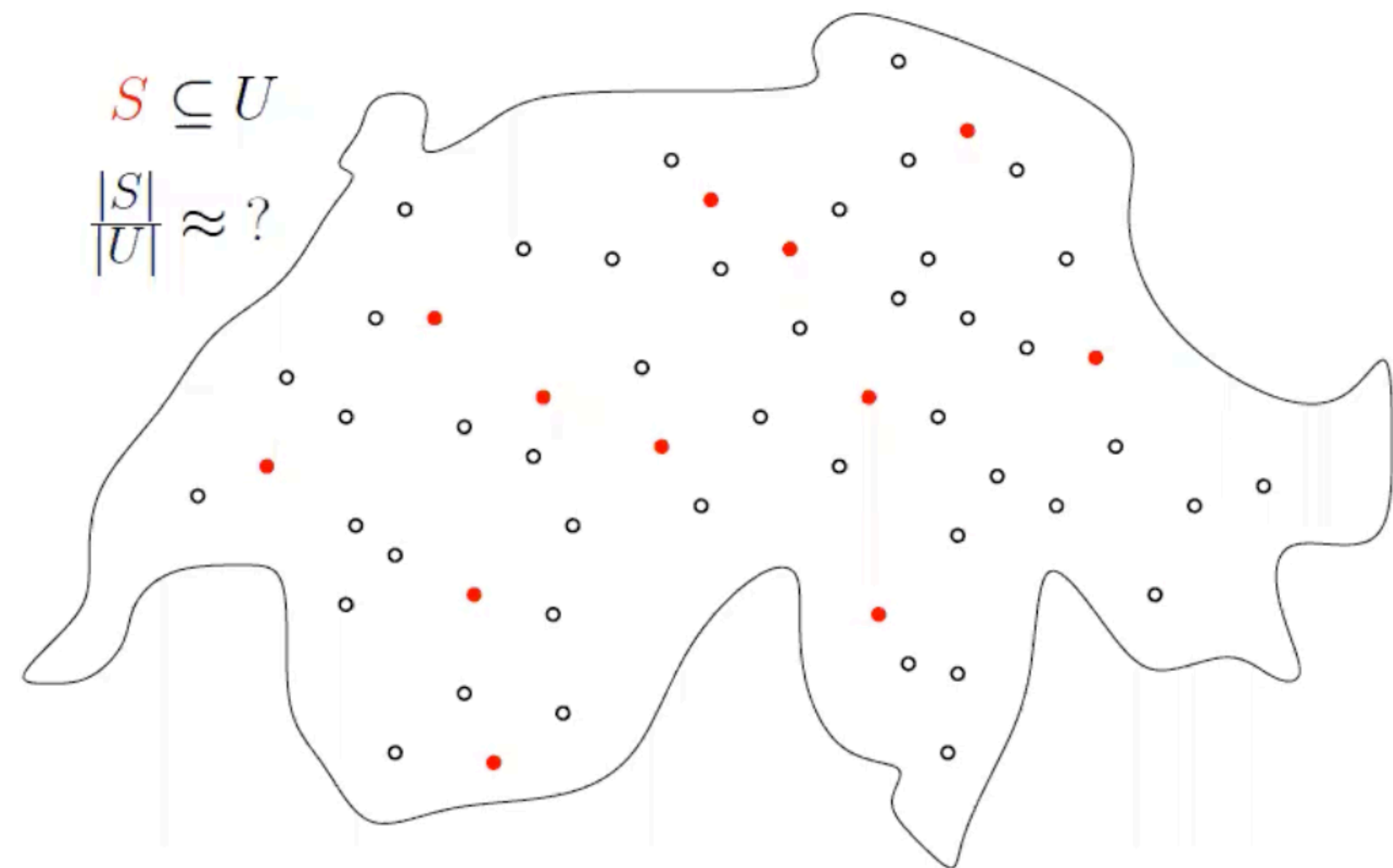
given : finite sets S and U with $S \subseteq U$

to find : $\approx \frac{|S|}{|U|}$

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Target-Shooting Algorithm

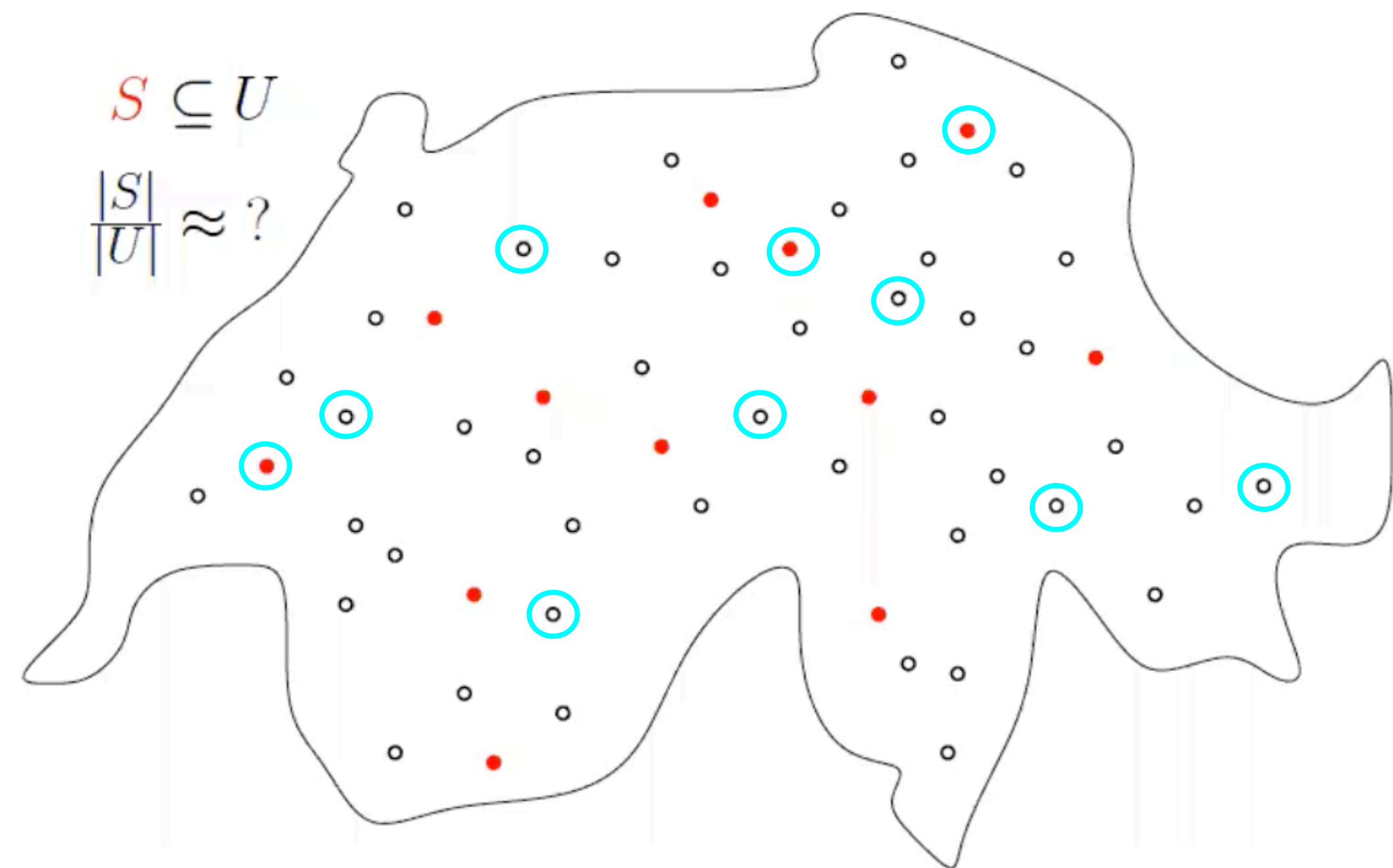
given : finite sets S and U with $S \subseteq U$

to find : $\approx \frac{|S|}{|U|}$

$$I_S(u) = 1 \iff u \in S$$

1 : Pick u_1, \dots, u_N from U randomly, uniformly and independently

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Target-Shooting Algorithm

given : finite sets S and U with $S \subseteq U$

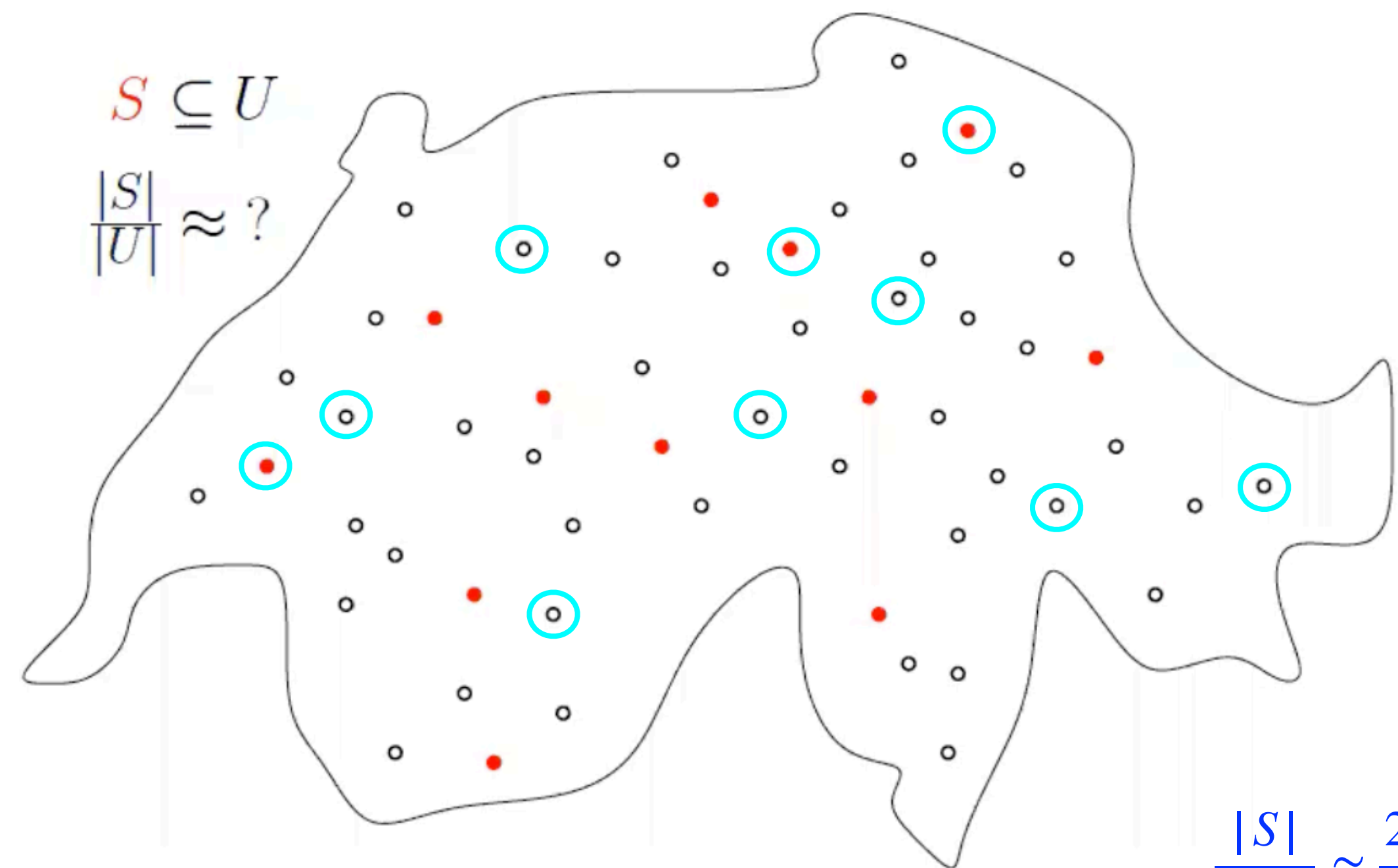
to find : $\approx \frac{|S|}{|U|}$

$$I_S(u) = 1 \iff u \in S$$

1 : Pick u_1, \dots, u_N from U randomly, uniformly and independently

2 : Return $\frac{1}{N} \cdot \sum_{i=1}^N I_S(u_i)$

$$\frac{1}{10} \cdot \sum_{i=1}^{10} I_S(u_i) = \frac{3}{10}$$



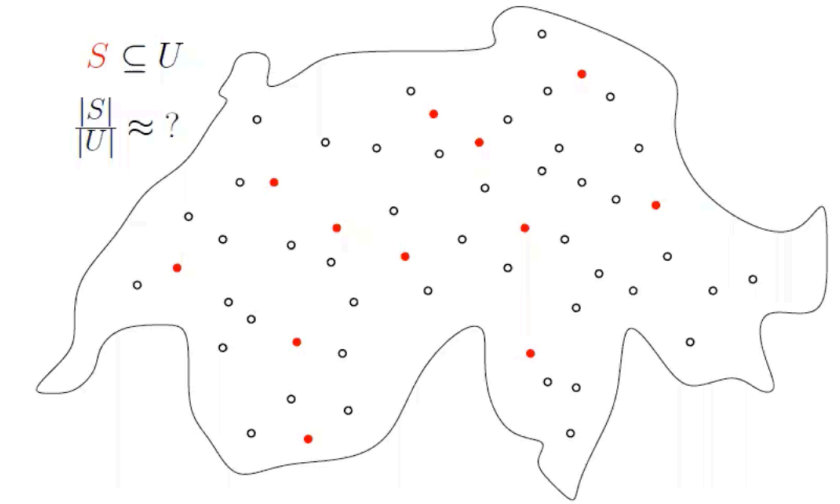
$$\frac{|S|}{|U|} \approx \frac{20}{64} = 0.3125$$

Target-Shooting Algorithm

given : finite sets S and U with $S \subseteq U$

to find : $\approx \frac{|S|}{|U|}$

$$I_S(u) = 1 \iff u \in S$$



1 : Pick u_1, \dots, u_N from U randomly, uniformly and independently

$$2 : \text{Return } \frac{1}{N} \cdot \sum_{i=1}^N I_S(u_i)$$

Fehlerreduktionen:

- **Wiederholung MC:** Eine N -fache Wiederholung mit $N = 4\epsilon^{-2} \ln \delta^{-1}$ steigert die Erfolgswahrscheinlichkeit eines Monte-Carlo-Algorithmus von $\frac{1}{2} + \epsilon$ auf $\geq 1 - \delta$.
- **Wiederholung MC mit einseitigem Fehler:** Eine N -fache Wiederholung mit $N = \epsilon^{-1} \ln \delta^{-1}$ steigert für einen Monte-Carlo-Algorithmus mit einseitigem Fehler die Erfolgswahrscheinlichkeit von ϵ auf $\geq 1 - \delta$.
- **Target Shooting:** Bestimmt der Target-Shooting-Algorithmus eine Menge $S \subseteq U$ mit $N \geq 3 \frac{|U|}{|S|} \epsilon^{-2} \ln(2/\delta)$ Versuchen, so ist die Ausgabe mit Wahrscheinlichkeit $\geq 1 - \delta$ im Intervall $[(1 - \epsilon) \frac{|S|}{|U|}, (1 + \epsilon) \frac{|S|}{|U|}]$.

Finding Duplicates

Problem Description

given : A dataset $D = (s_1, s_2, \dots, s_n)$, is a sequence of n elements

to find : find all duplicates in D (i, j) with $1 \leq i < j \leq n$ is a duplicate in D if $s_i = s_j$

$$\mathcal{D} = \begin{pmatrix} A, & C, & B, & Z, & C, & B, & C \\ 1 & 2 & 3 & 4 & 5 & 6 & 7 \end{pmatrix}$$

$$\text{Dupl}(\mathcal{D}) = \{(2, 5), (2, 7), (5, 7), (3, 6)\}$$

Finding Duplicates

Problem Description

Elements in D are very large.

Storing and comparing is
expensive

given : A dataset $D = (s_1, s_2, \dots, s_n)$, is a sequence of n elements

to find : find all duplicates in D (i, j) with $1 \leq i < j \leq n$ is a duplicate in D if $s_i = s_j$

Hashfunction h :

$$h : U \rightarrow [m] \quad [m] = \{1, 2, \dots, m\}$$

h is efficiently computable

h behaves like a random variable

$$\forall u \in U \quad \forall i \in [m] : \quad \Pr[h(u) = i] = \frac{1}{m} \quad (\text{independent for different } u)$$

Finding Duplicates

Problem Description

Elements in D are very large.

Storing and comparing is
expensive

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Each $h(s_i)$ is uniformly randomly distributed in $[m]$ BUT

$$s_i = s_j \implies h(s_i) = h(s_j)$$

Our m is much smaller than $|U|$ (**compression**)

Finding Duplicates

Algorithm

given : A dataset $D = (s_1, s_2, \dots, s_n)$, is a sequence of n elements

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Our m is much smaller than $|U|$ (compression)

| | A | C | B | Z | C | B | C |
|-------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|
| hashing: | $(\underbrace{31}_{h(A)}, 1)$ | $(\underbrace{27}_{h(C)}, 2)$ | $(\underbrace{12}_{h(B)}, 3)$ | $(\underbrace{12}_{h(Z)}, 4)$ | $(\underbrace{27}_{h(C)}, 5)$ | $(\underbrace{12}_{h(B)}, 6)$ | $(\underbrace{27}_{h(C)}, 7)$ |
| sorting: | $(12, 3)$ | $(12, 4)$ | $(12, 6)$ | $(27, 2)$ | $(27, 5)$ | $(27, 7)$ | $(31, 1)$ |
| duplicates: | | $(3, 6),$ | | $(2, 5), (2, 7), (5, 7)$ | | | |

Finding Duplicates

Challenge : Collisions

given : A dataset $D = (s_1, s_2, \dots, s_n)$, is a sequence of n elements

to find : find all duplicates in D (i, j) with $1 \leq i < j \leq n$ is a duplicate in D if $s_i = s_j$

Hashfunction h :

$$h : U \rightarrow [m] \quad [m] = \{1, 2, \dots, m\} \quad \forall u \in U \quad \forall i \in [m] : \Pr[h(u) = i] = \frac{1}{m}$$

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$$s_i = s_j \implies h(s_i) = h(s_j)$$

Our m is much smaller than $|U|$ ([compression](#))

| | A | C | B | Z | C | B | C |
|-------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|
| hashing: | $(\underbrace{31}_{h(A)}, 1)$ | $(\underbrace{27}_{h(C)}, 2)$ | $(\underbrace{12}_{h(B)}, 3)$ | $(\underbrace{12}_{h(Z)}, 4)$ | $(\underbrace{27}_{h(C)}, 5)$ | $(\underbrace{12}_{h(B)}, 6)$ | $(\underbrace{27}_{h(C)}, 7)$ |
| sorting: | $(12, 3)$ | $(12, 4)$ | $(12, 6)$ | $(27, 2)$ | $(27, 5)$ | $(27, 7)$ | $(31, 1)$ |
| duplicates: | | $(3, 6),$ | | $(2, 5), (2, 7), (5, 7)$ | | | |

collision : $h(B) = h(Z)$

Finding Duplicates

Challenge : Collisions

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Collision :

The new, undesired duplicates in the hashmap

the pairs (i, j) , $1 \leq i < j \leq n$, with $s_i \neq s_j$ and $h(s_i) = h(s_j)$

Finding Duplicates

Challenge : Collisions

Collision :

The new, **undesired** duplicates in the hashmap

the pairs (i, j) , $1 \leq i < j \leq n$, with $s_i \neq s_j$ and $h(s_i) = h(s_j)$

$\mathbb{E}[\text{\#Collisions}] :$

$K_{i,j}$ bernoulli RV. with : $K_{i,j} = 1 \iff (i, j)$ is a collision

$$\Pr[K_{i,j} = 1] = \begin{cases} \frac{1}{m} & \text{if } s_i \neq s_j \\ 0 & \text{otherwise} \end{cases} \quad \mathbb{E}[K_{i,j}] \leq \frac{1}{m}$$

$$\mathbb{E}[\text{\#Collisions}] = \sum_{1 \leq i < j \leq n} \mathbb{E}[K_{i,j}] \leq \binom{n}{2} \cdot \frac{1}{m}$$

given : A dataset $D = (s_1, s_2, \dots, s_n)$, is a sequence of n elements

to find : find all duplicates in D (i, j) with $1 \leq i < j \leq n$ is a duplicate in D if $s_i = s_j$

Hashfunction $h :$

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Finding Duplicates

Challenge : Collisions

Collision :

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$$\mathbb{E}[\text{\#Collisions}] \leq \binom{n}{2} \cdot \frac{1}{m} < 1 \quad \text{for } m = n^2$$

given : A dataset $D = (s_1, s_2, \dots, s_n)$, is a sequence of n elements

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$$s_i = s_j \implies h(s_i) = h(s_j)$$

Our m is much smaller than $|U|$ (**compression**)

Finding Duplicates

Runtime

Collision :

The new, **undesired** duplicates in the hashmap

the pairs (i, j) , $1 \leq i < j \leq n$, with $s_i \neq s_j$ and $h(s_i) = h(s_j)$

$$\mathbb{E}[\text{\#Collisions}] \leq \binom{n}{2} \cdot \frac{1}{m} < 1 \quad \text{for } m = n^2$$

Runtime :

- n hash computations
- sorting in $O(n \log n)$
- duplicate check comparisons $(|\text{Dupl}(D)| + \text{\#Kollisionen}) \approx O(n)$

Overall : $O(n \log n)$

given : A dataset $D = (s_1, s_2, \dots, s_n)$, is a sequence of n elements

to find : find all duplicates in D (i, j) with $1 \leq i < j \leq n$ is a duplicate in D if $s_i = s_j$

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$$h : U \rightarrow [m] \quad [m] = \{1, 2, \dots, m\} \quad \forall u \in U \quad \forall i \in [m] : \Pr[h(u) = i] = \frac{1}{m}$$

Each $h(s_i)$ is uniformly randomly distributed in $[m]$ BUT

$$s_i = s_j \implies h(s_i) = h(s_j)$$

Our m is much smaller than $|U|$ (**compression**)

additional memory

$$\underbrace{O(n \log n)}_{\text{indices}} + \underbrace{O(n \log m)}_{\text{hash values}} \stackrel{m=n^2}{=} O(n \log n)$$

Randomized Algorithms

Primality Tests

Primality Test

Problem Description

given : A number $n \in \mathbb{N}$

to find : is n prime ??

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n - 1\}$

prime-counting function $\pi(x)$:

$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

given : A number $n \in \mathbb{N}$

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prime-counting function $\pi(x)$:

$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, ...

$$\pi(11) =$$

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n - 1\}$

prime-counting function $\pi(x)$:

$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, ...

$$\pi(11) = 5$$

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n - 1\}$

prime-counting function $\pi(x)$:
$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

Primality Test

Naive Algorithm

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n-1\}$

prime-counting function $\pi(x)$: $\pi(x) := \left| \{n \in \mathbb{N} \mid n \leq x, n \text{ prime}\} \right| \sim \frac{x}{\ln x}$

1) For all $a \leq \sqrt{n}$ test if a divides n

Primality Test

Easy randomized test

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n-1\}$

prime-counting function $\pi(x)$: $\pi(x) := \left| \{n \in \mathbb{N} \mid n \leq x, n \text{ prime}\} \right| \sim \frac{x}{\ln x}$

- 1) Choose $a \in \{1, 2, \dots, \sqrt{n}\}$ uniformly at random
- 2) if a divides n then return 'not prime'
- 3) else return 'prime'

Refresher

DiskMat 🙄

gcd : greatest common divisor

$$n \text{ is prime} \Rightarrow \gcd(a, n) = 1 \quad \forall a \in [1, n-1]$$

\mathbb{Z}_n^* : the multiplicative group modulo n

$$\mathbb{Z}_n^* = \{a \in \{1, 2, \dots, n-1\} \mid \gcd(a, n) = 1\}$$

Primality Test

Euclidean Primality Test

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n-1\}$

prime-counting function $\pi(x)$: $\pi(x) := \left| \{n \in \mathbb{N} \mid n \leq x, n \text{ prime}\} \right| \sim \frac{x}{\ln x}$

n is prime $\Rightarrow \gcd(a, n) = 1 \quad \forall a \in [1, n-1]$

$\gcd :=$ greatest common divisor

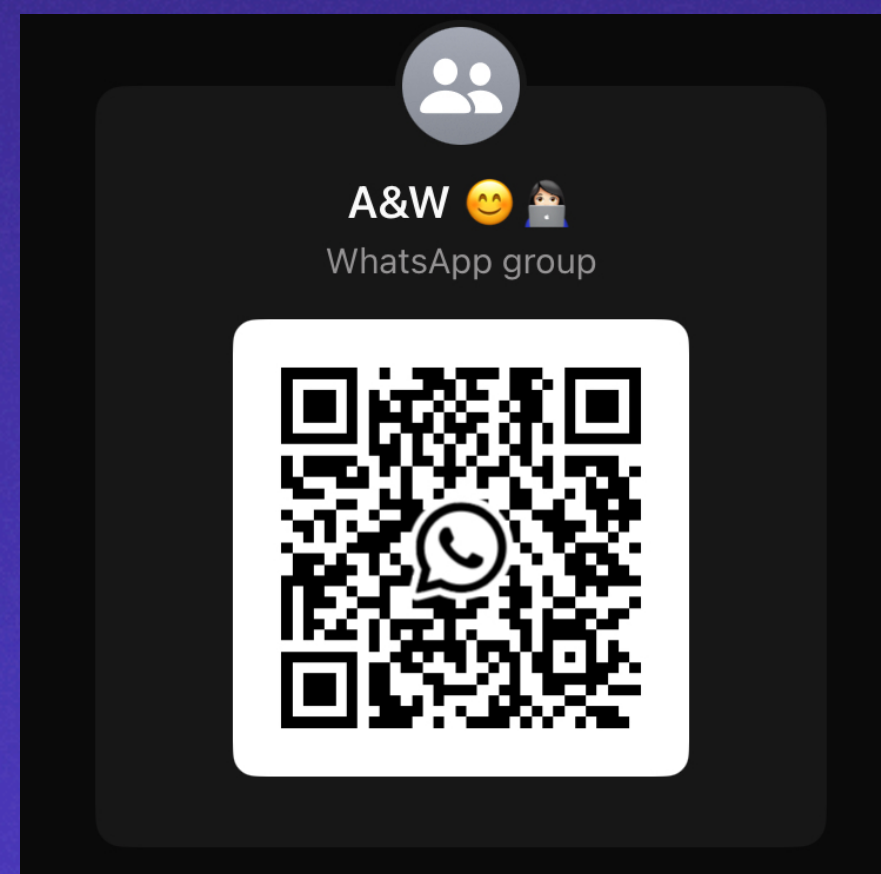
can be calculated in $O((\log nm)^3)$

- 1) Choose $a \in \{1, 2, \dots, \sqrt{n}\}$ uniformly at random
- 2) if $\gcd(a, n) > 1$ then return 'not prime'
- 3) else return 'prime'

- if n is a prime : always correct
- if n is not a prime : it might return a wrong answer with the probability

$$\frac{\left| \{a \in [1, n-1] : \gcd(a, n) = 1\} \right|}{n-1} = \frac{|\mathbb{Z}_n^*|}{n-1}$$

Let's take a break



Randomized Algorithms

Colorful Paths

Helper

Mathematical Tools and Notations

$$[n] := \{1, 2, \dots, n\}$$

$$[n]^k := \text{the set of sequences over } [n] \text{ of length } k$$

$$|[n]^k| = n^k$$

$$\binom{[n]}{k} := \text{the set of } k\text{-element subsets of } [n]$$

$$\left| \binom{[n]}{k} \right| = \binom{n}{k}.$$

The k nodes on a path of length $k - 1$ can be colored using $[k]$ in exactly k^k ways
 $k!$ of these colorings use each color exactly once

Helper

Mathematical Tools and Notations

Handshaking lemma : For all graphs , it holds that $\sum_{v \in V} \deg(v) = 2 |E|$.

If you repeat an experiment with success probability p until success, then the expected number of trials is $\frac{1}{p}$ ($Geo(p)$)

Helper

Mathematical Tools and Notations

For $c, n \in \mathbb{R}^+$, it holds that $c^{\log n} = n^{\log c}$

$2^{\log n} = n^{\log 2} = n$ and $2^{\mathcal{O}(\log n)} = n^{\mathcal{O}(1)}$ is always polynomial in n

For $n \in \mathbb{N}_0$, it holds that $\sum_{i=0}^n \binom{n}{i} = 2^n$ (binomial theorem)

For $n \in \mathbb{N}_0$, it holds that $\frac{n!}{n^n} \geq e^{-n}$ (power series expansion of the exponential function)

Long-Path

Problem Description

given : A graph G and a number $B \in \mathbb{N}_0$

to find : is there a path of length B in G

Long-Path

Problem Description

given : A graph G and a number $B \in \mathbb{N}_0$

to find : is there a path of length B in G

NP-Complete

Detour !

Colorful Paths

Problem Description

given : A graph $G = (V, E)$

A coloring of its vertices with k colors $\gamma : V \rightarrow [k]$

to find : Does there exist a colorful path of length $k - 1$ in a randomly colored graph ?

colorful :

A path is colorful if all of the vertices in the path have a different color

Colorful Paths

Problem Description

given : A graph $G = (V, E)$

A coloring of its vertices with k colors $\gamma : V \rightarrow [k]$

to find : Does there exist a colorful path of length $k - 1$ in a randomly colored graph ?

A path is colorful if all of the vertices in the path have a different color

$P_i(v) := \{S \subseteq [k], |S| = i + 1 \mid \text{There exists a colorful path of length } i \text{ ending in } v \text{ with colors } S\}$

$\exists \text{ colorful path of length } k - 1 \iff \bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$

Colorful Paths

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$$\exists \text{ colorful path of length } k - 1 \iff \bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$$

color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S

Colorful Paths

$P_i(v)$

$P_i(v) := \{S \subseteq [k], |S| = i + 1 \mid \text{There exists a colorful path of length } i \text{ ending in } v \text{ with colors } S\}$

• $P_0(v) =$

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- $P_0(v) = \{ \{ \gamma(v) \} \}$

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$$\bullet P_0(v) = \{ \{ \gamma(v) \} \}$$

$$\bullet P_1(v) =$$

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to find : Does there exist a **colorful** path of length $k - 1$ in a randomly colored graph ? A path is **colorful** if all of the vertices in the path have a different color

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$$\bullet P_1(v) = \{ \{ \gamma(x), \gamma(v) \} \mid x \in N(v) \text{ und } \gamma(x) \neq \gamma(v) \}$$

Colorful Paths

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- $P_i(v) =$

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- $P_i(v) = \bigcup_{x \in N(v)} \{ R \cup \{ \gamma(v) \} \mid R \in P_{i-1}(x) \text{ und } \gamma(v) \notin R \}$

Colorful Paths

Algorithm

given : A graph $G = (V, E)$

A coloring of its vertices with k colors $\gamma : V \rightarrow [k]$

to find : Does there exist a **colorful** path of length $k - 1$ in a randomly colored graph ? A path is **colorful** if all of the vertices in the path have a different color

$$\exists \text{ colorful path of length } k - 1 \iff \bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$$

Algorithm 1: COLORFUL(G, i)

G a γ -colored graph

```
1 forall  $v \in V$  do
2    $P_i(v) \leftarrow \emptyset$ ;
3   forall  $x \in N(v)$  do
4     forall  $R \in P_{i-1}(x)$  such that  $\gamma(v) \notin R$  do
5        $P_i(v) \leftarrow P_i(v) \cup \{R \cup \{\gamma(v)\}\}$ ;
```

Algorithm 2: RAINBOW(G, γ)

G a graph, γ a k -coloring

```
1 forall  $v \in V$  do
2    $P_0(v) \leftarrow \{\{\gamma(v)\}\}$ ;
3 for  $i = 1$  to  $k - 1$  do
4   COLORFUL( $G, i$ );
5 return  $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$ ;
```

Colorful Paths

Algorithm

Algorithm 2: RAINBOW(G, γ)

1 forall $v \in V$ do

2 $P_0(v) \leftarrow \{\{\gamma(v)\}\};$

3 for $i = 1$ to $k - 1$ do

4 $\text{COLORFUL}(G, i);$

5 return $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset;$

Algorithm 1: COLORFUL(G, i)

1 forall $v \in V$ do

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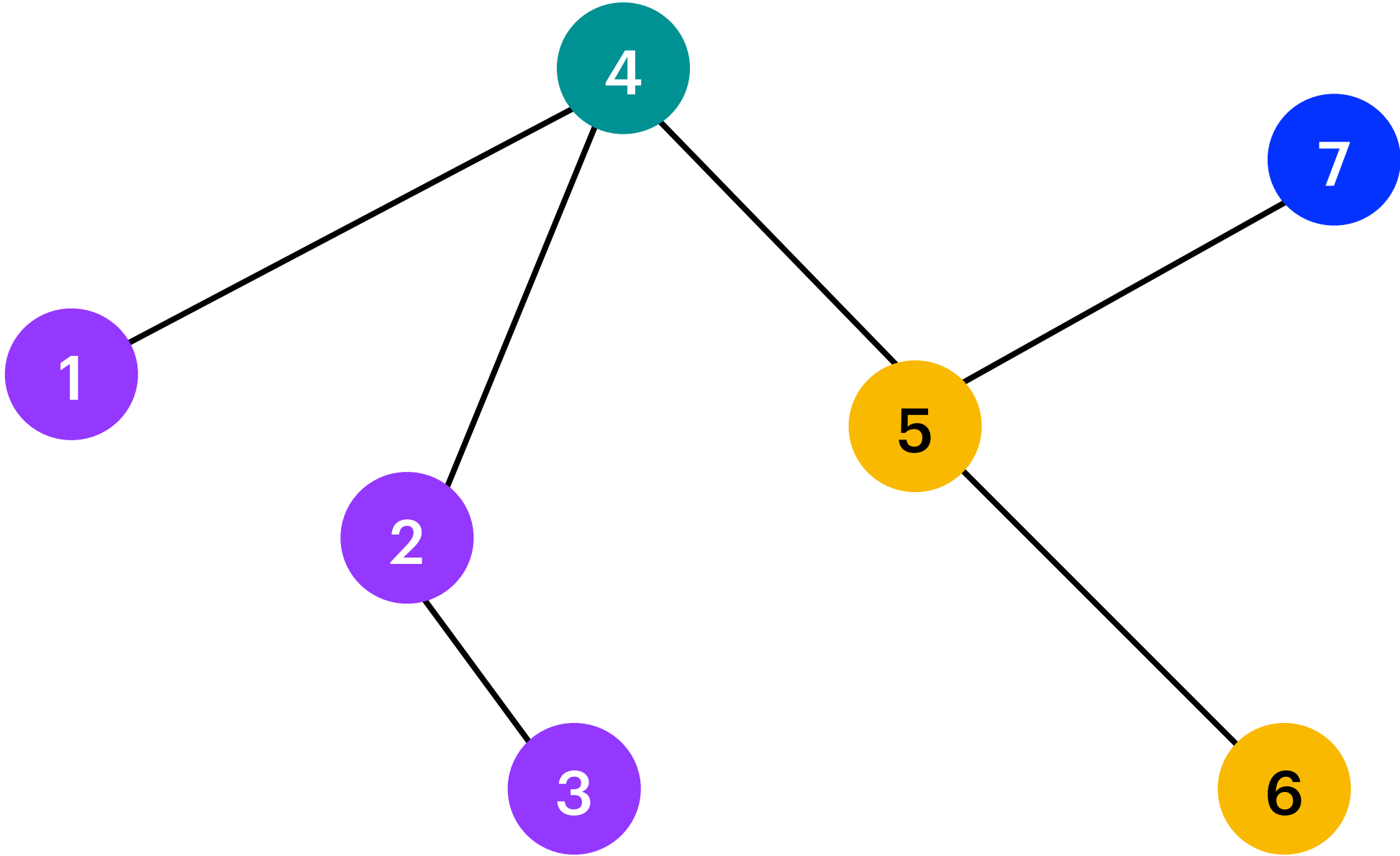
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| | |
|----------|--|
| P_0 | |
| $P_0(1)$ | |
| $P_0(2)$ | |
| $P_0(3)$ | |
| $P_0(4)$ | |
| $P_0(5)$ | |
| $P_0(6)$ | |
| $P_0(7)$ | |

color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S



γ 1 , 2 , 3 , 4

Colorful Paths

Algorithm

Algorithm 2: RAINBOW(G, γ)

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2 $P_0(v) \leftarrow \{\{\gamma(v)\}\};$

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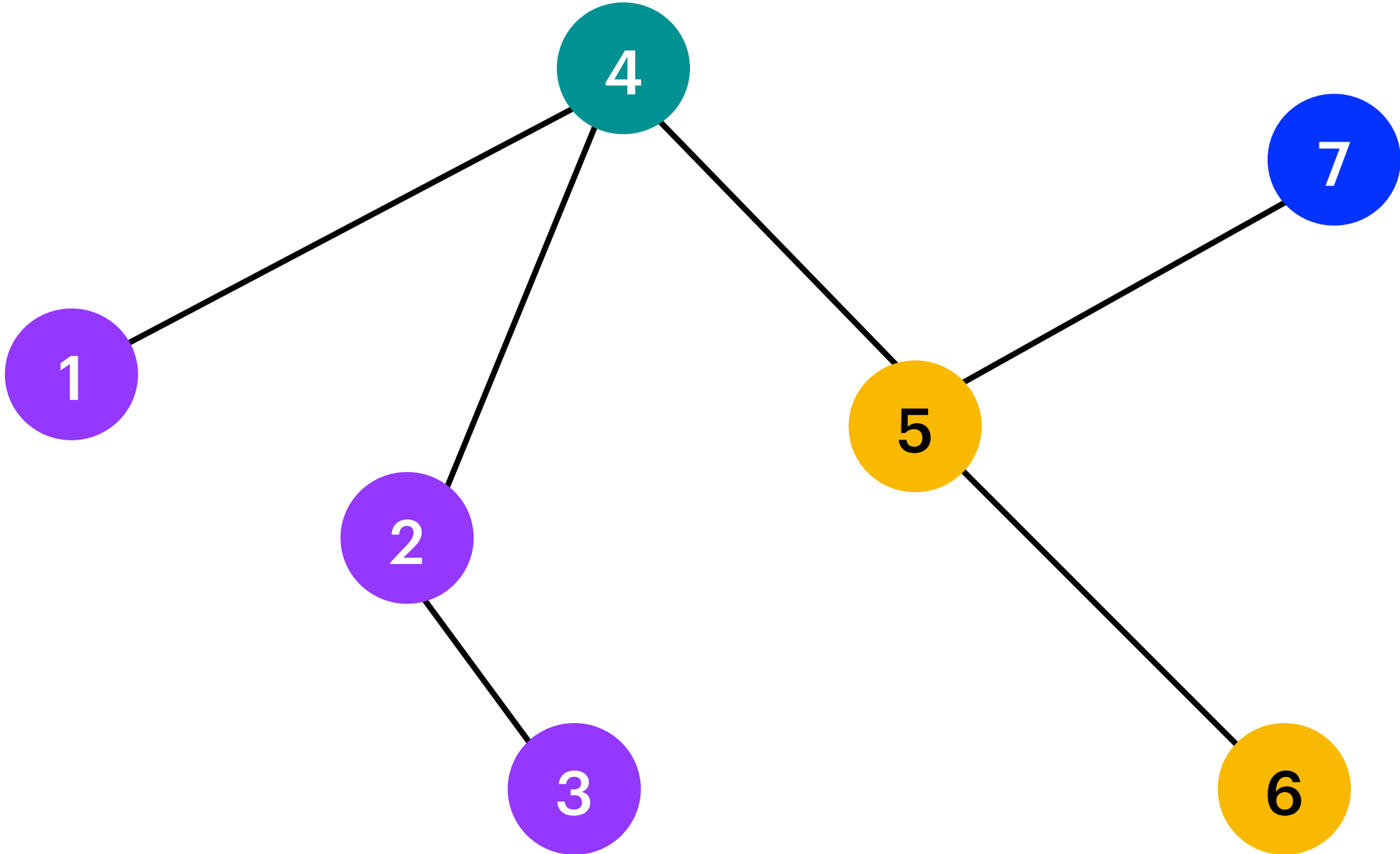
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5 $P_i(v) \leftarrow P_i(v) \cup \{R \cup \{\gamma(v)\}\};$

| | |
|----------|-------------|
| P_0 | |
| $P_0(1)$ | $\{\{1\}\}$ |
| $P_0(2)$ | $\{\{1\}\}$ |
| $P_0(3)$ | $\{\{1\}\}$ |
| $P_0(4)$ | $\{\{2\}\}$ |
| $P_0(5)$ | $\{\{3\}\}$ |
| $P_0(6)$ | $\{\{3\}\}$ |
| $P_0(7)$ | $\{\{4\}\}$ |

color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S



γ 1 , 2 , 3 , 4

Colorful Paths

Algorithm

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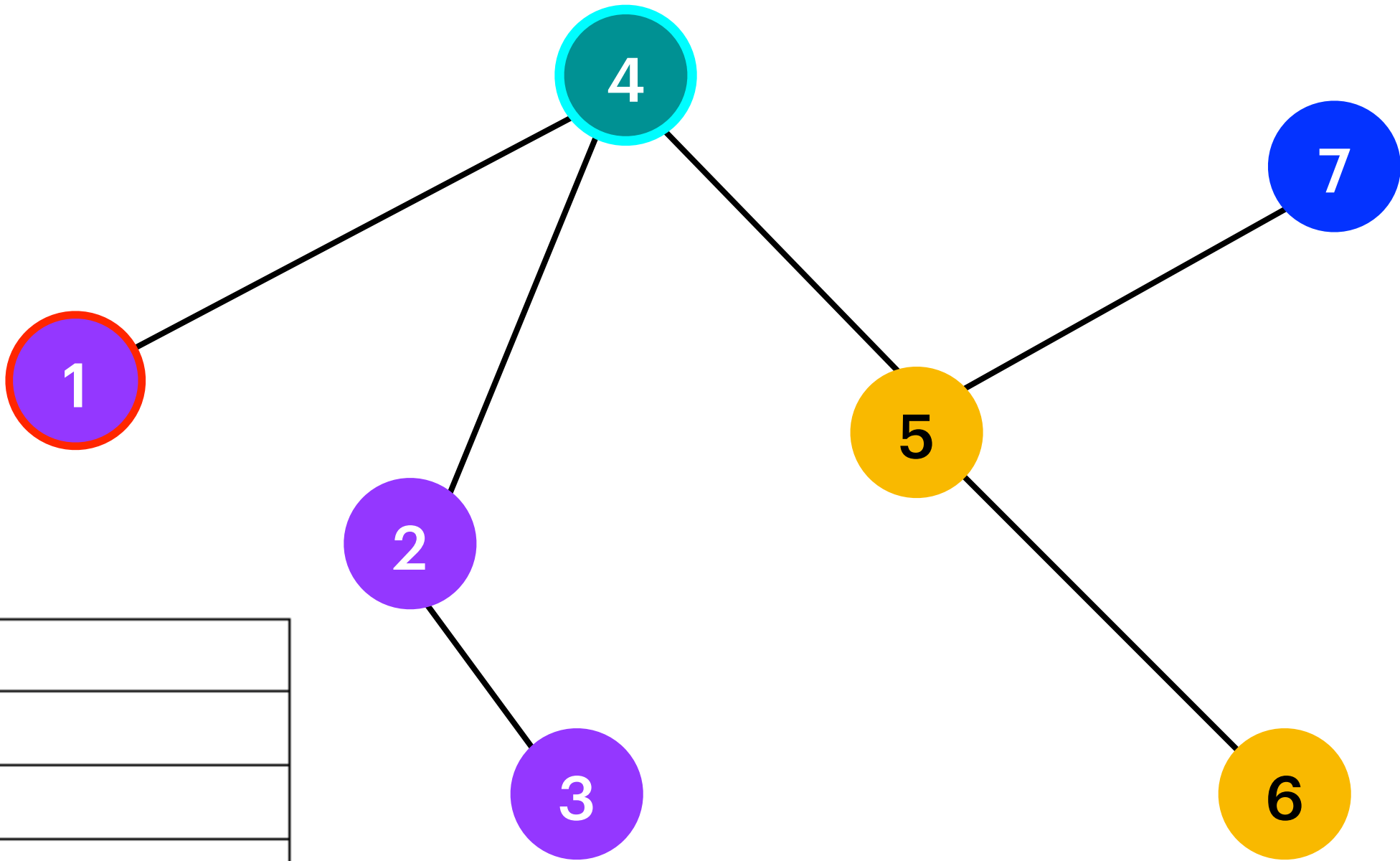
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| | |
|--------------------|--|
| P ₁ | |
| P ₁ (1) | |
| P ₁ (2) | |
| P ₁ (3) | |
| P ₁ (4) | |
| P ₁ (5) | |
| P ₁ (6) | |
| P ₁ (7) | |

color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S

| | |
|--------------------|-------------|
| P ₀ | |
| P ₀ (1) | $\{\{1\}\}$ |
| P ₀ (2) | $\{\{1\}\}$ |
| P ₀ (3) | $\{\{1\}\}$ |
| P ₀ (4) | $\{\{2\}\}$ |
| P ₀ (5) | $\{\{3\}\}$ |
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γ 1 , 2 , 3 , 4

Colorful Paths

Algorithm

Algorithm 2: RAINBOW(G, γ)

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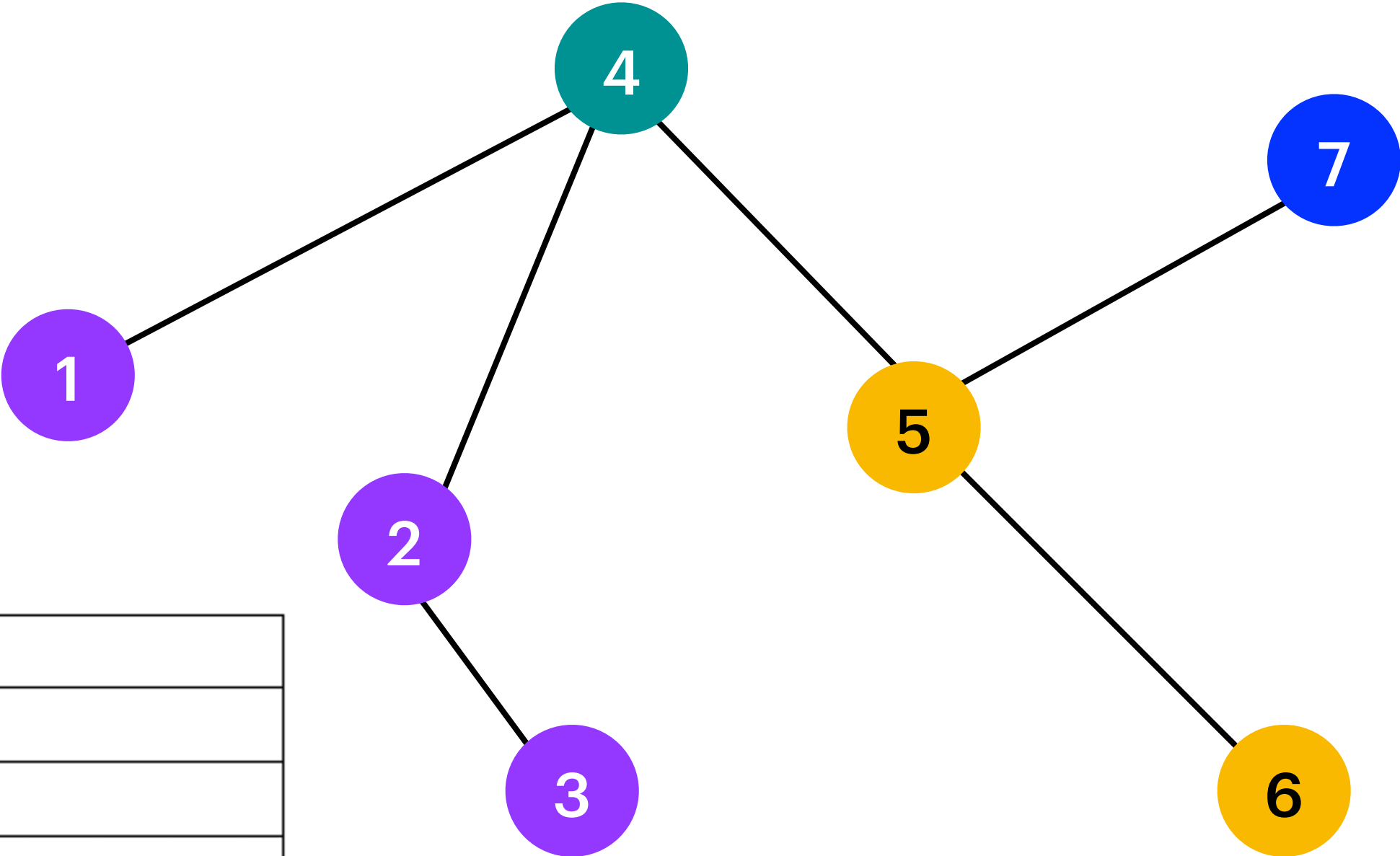
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5 $P_i(v) \leftarrow P_i(v) \cup \{R \cup \{\gamma(v)\}\};$

| | |
|----------|----------------|
| P_1 | |
| $P_1(1)$ | $\{\{1, 2\}\}$ |
| $P_1(2)$ | |
| $P_1(3)$ | |
| $P_1(4)$ | |
| $P_1(5)$ | |
| $P_1(6)$ | |
| $P_1(7)$ | |

| | |
|----------|-------------|
| P_0 | |
| $P_0(1)$ | $\{\{1\}\}$ |
| $P_0(2)$ | $\{\{1\}\}$ |
| $P_0(3)$ | $\{\{1\}\}$ |
| $P_0(4)$ | $\{\{2\}\}$ |
| $P_0(5)$ | $\{\{3\}\}$ |
| $P_0(6)$ | $\{\{3\}\}$ |
| $P_0(7)$ | $\{\{4\}\}$ |



γ 1 , 2 , 3 , 4

color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S

Colorful Paths

Algorithm

Algorithm 2: RAINBOW(G, γ)

1 forall $v \in V$ do

2 $P_0(v) \leftarrow \{\{\gamma(v)\}\};$

3 for $i = 1$ to $k - 1$ do

4 $\text{COLORFUL}(G, i);$

5 return $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset;$

Algorithm 1: COLORFUL(G, i)

1 forall $v \in V$ do

2 $P_i(v) \leftarrow \emptyset;$

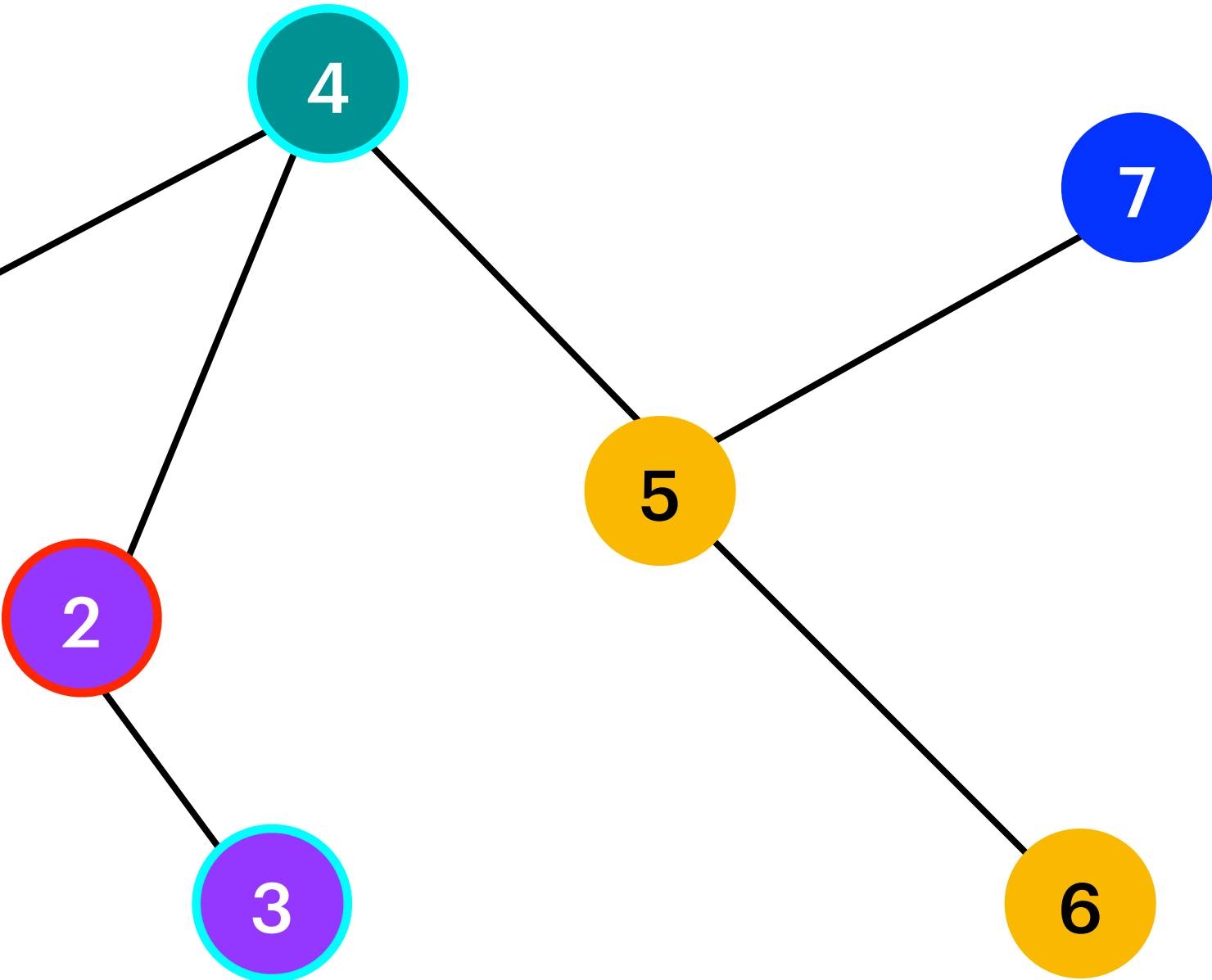
3 forall $x \in N(v)$ do

4 forall $R \in P_{i-1}(x)$ such that $\gamma(v) \notin R$ do

5 $P_i(v) \leftarrow P_i(v) \cup \{R \cup \{\gamma(v)\}\};$

| | |
|----------|----------------|
| P_1 | |
| $P_1(1)$ | $\{\{1, 2\}\}$ |
| $P_1(2)$ | |
| $P_1(3)$ | |
| $P_1(4)$ | |
| $P_1(5)$ | |
| $P_1(6)$ | |
| $P_1(7)$ | |

| | |
|----------|-------------|
| P_0 | |
| $P_0(1)$ | $\{\{1\}\}$ |
| $P_0(2)$ | $\{\{1\}\}$ |
| $P_0(3)$ | $\{\{1\}\}$ |
| $P_0(4)$ | $\{\{2\}\}$ |
| $P_0(5)$ | $\{\{3\}\}$ |
| $P_0(6)$ | $\{\{3\}\}$ |
| $P_0(7)$ | $\{\{4\}\}$ |



γ 1 , 2 , 3 , 4

color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S

Colorful Paths

Algorithm

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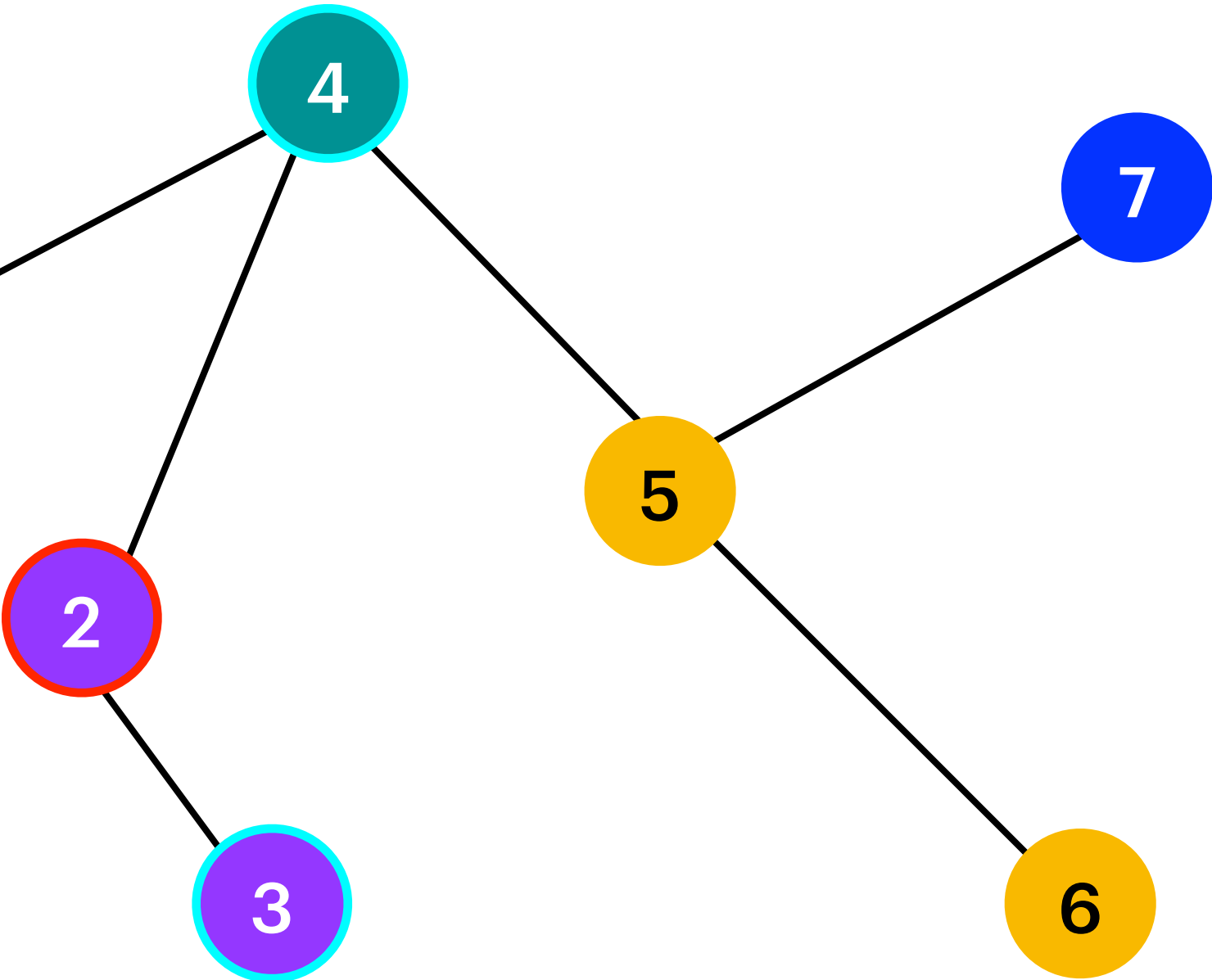
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1 forall  $v \in V$  do
2    $P_0(v) \leftarrow \{\{\gamma(v)\}\}$ ;
3 for  $i = 1$  to  $k - 1$  do
4    $\text{COLORFUL}(G, i)$ ;
5 return  $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$ ;
```

Algorithm 1: COLORFUL(G, i)

```
1 forall  $v \in V$  do
2    $P_i(v) \leftarrow \emptyset$ ;
3   forall  $x \in N(v)$  do
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5        $P_i(v) \leftarrow P_i(v) \cup \{R \cup \{\gamma(v)\}\}$ ;
```

| P_1 | |
|----------|----------------|
| $P_1(1)$ | $\{\{1, 2\}\}$ |
| $P_1(2)$ | $\{\{1, 2\}\}$ |
| $P_1(3)$ | |
| $P_1(4)$ | |
| $P_1(5)$ | |
| $P_1(6)$ | |
| $P_1(7)$ | |

| P_0 | |
|----------|-------------|
| $P_0(1)$ | $\{\{1\}\}$ |
| $P_0(2)$ | $\{\{1\}\}$ |
| $P_0(3)$ | $\{\{1\}\}$ |
| $P_0(4)$ | $\{\{2\}\}$ |
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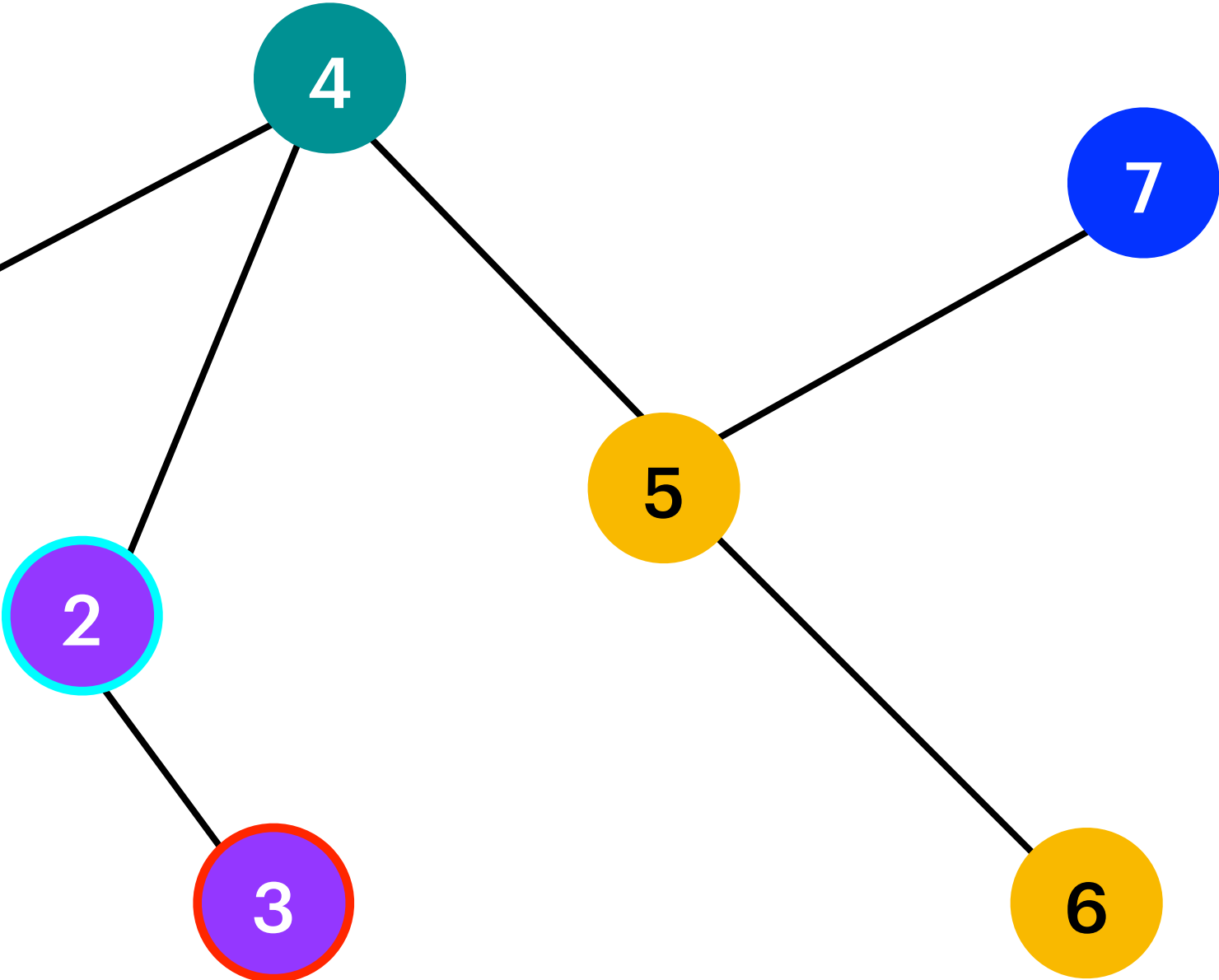
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| P_1 | |
|----------|----------------|
| $P_1(1)$ | $\{\{1, 2\}\}$ |
| $P_1(2)$ | $\{\{1, 2\}\}$ |
| $P_1(3)$ | \emptyset |
| $P_1(4)$ | |
| $P_1(5)$ | |
| $P_1(6)$ | |
| $P_1(7)$ | |

| P_0 | |
|----------|-------------|
| $P_0(1)$ | $\{\{1\}\}$ |
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| $P_0(3)$ | $\{\{1\}\}$ |
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Colorful Paths

Algorithm

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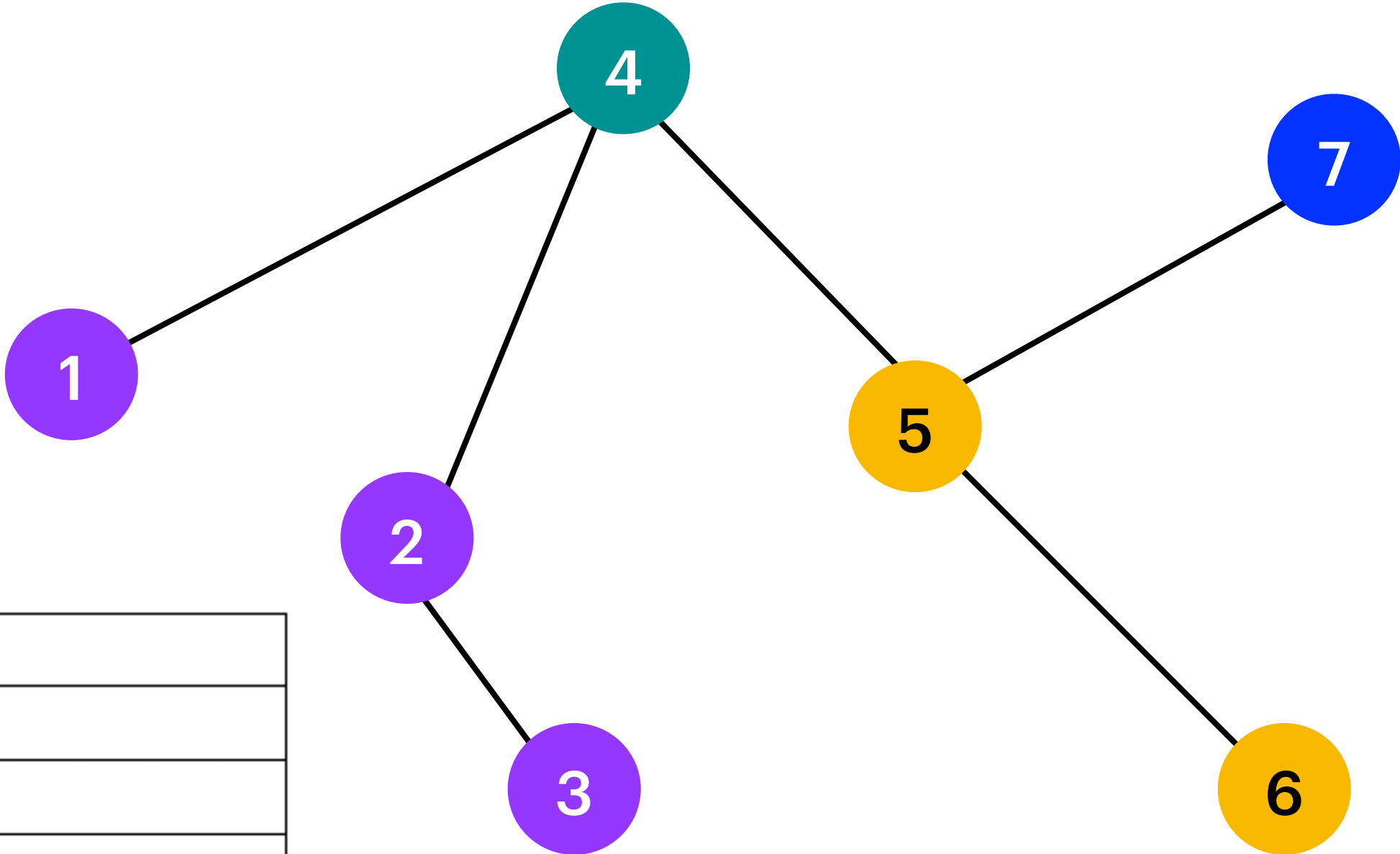
```
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4   COLORFUL( $G, i$ );
5 return  $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$ ;
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```
1 forall  $v \in V$  do
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```

| P_1 | |
|----------|--------------------------|
| $P_1(1)$ | $\{\{1, 2\}\}$ |
| $P_1(2)$ | $\{\{1, 2\}\}$ |
| $P_1(3)$ | \emptyset |
| $P_1(4)$ | $\{\{1, 2\}, \{2, 3\}\}$ |
| $P_1(5)$ | |
| $P_1(6)$ | |
| $P_1(7)$ | |

| P_0 | |
|----------|-------------|
| $P_0(1)$ | $\{\{1\}\}$ |
| $P_0(2)$ | $\{\{1\}\}$ |
| $P_0(3)$ | $\{\{1\}\}$ |
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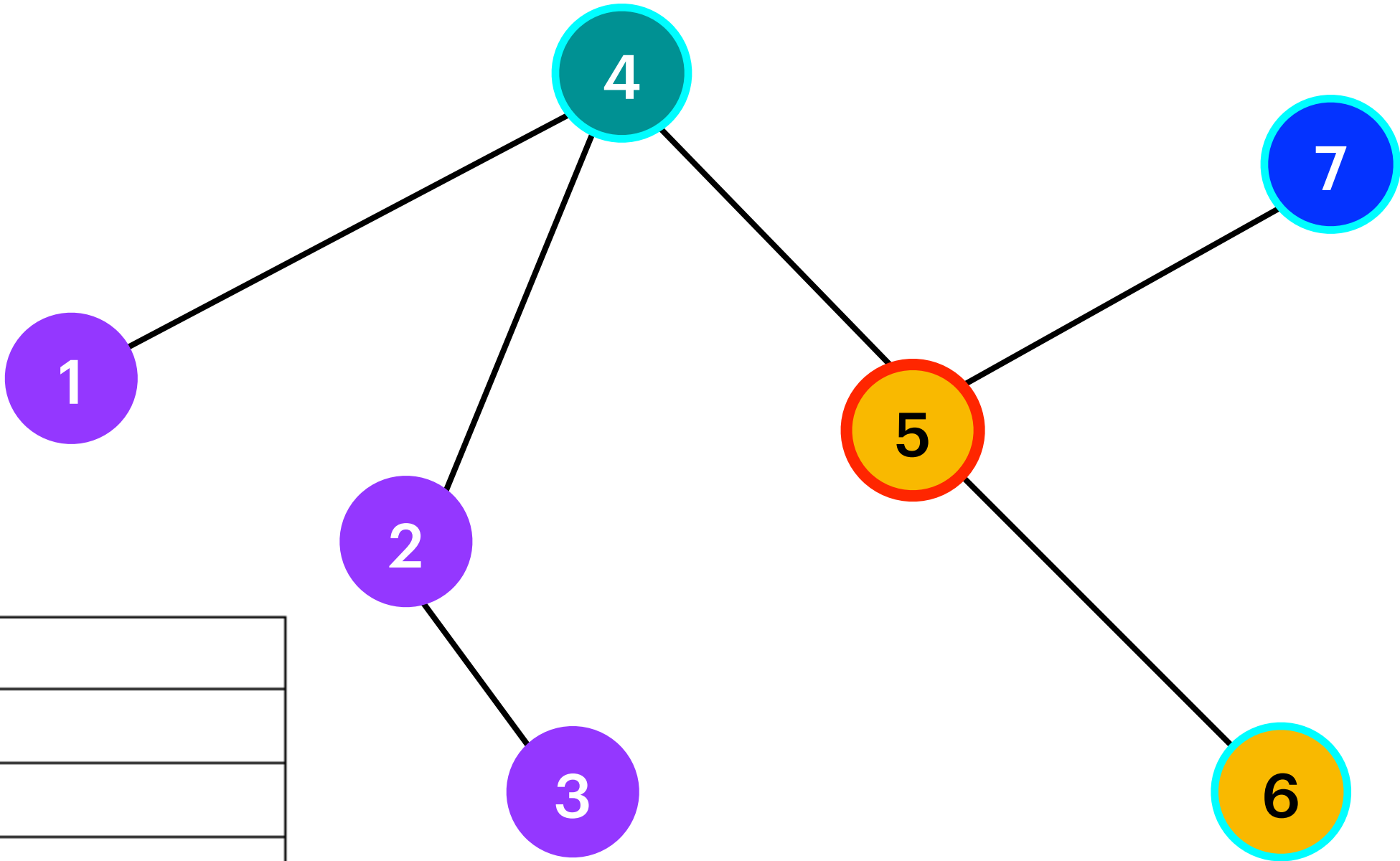
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γ 1 , 2 , 3 , 4

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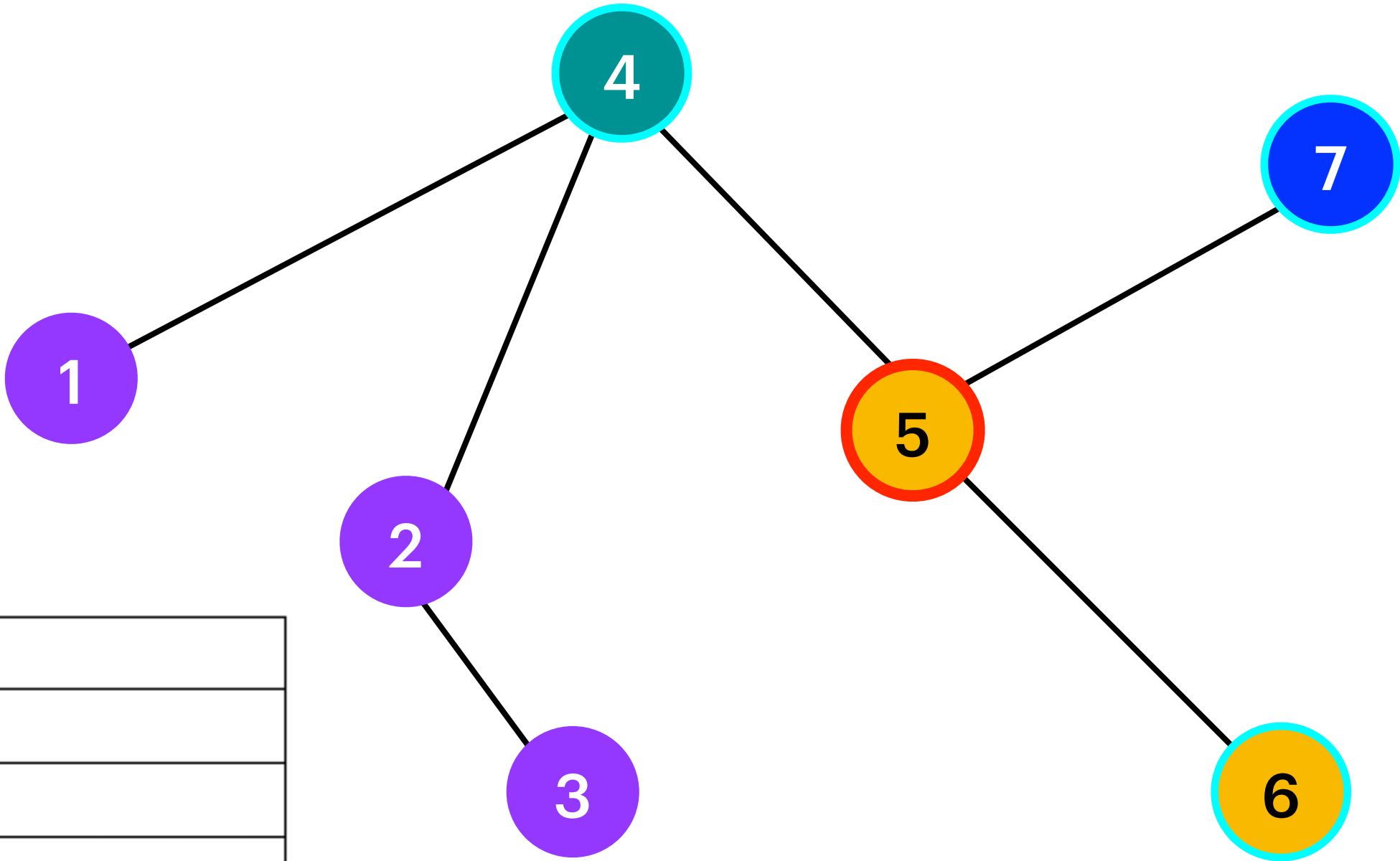
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γ 1, 2, 3, 4

Colorful Paths

Algorithm

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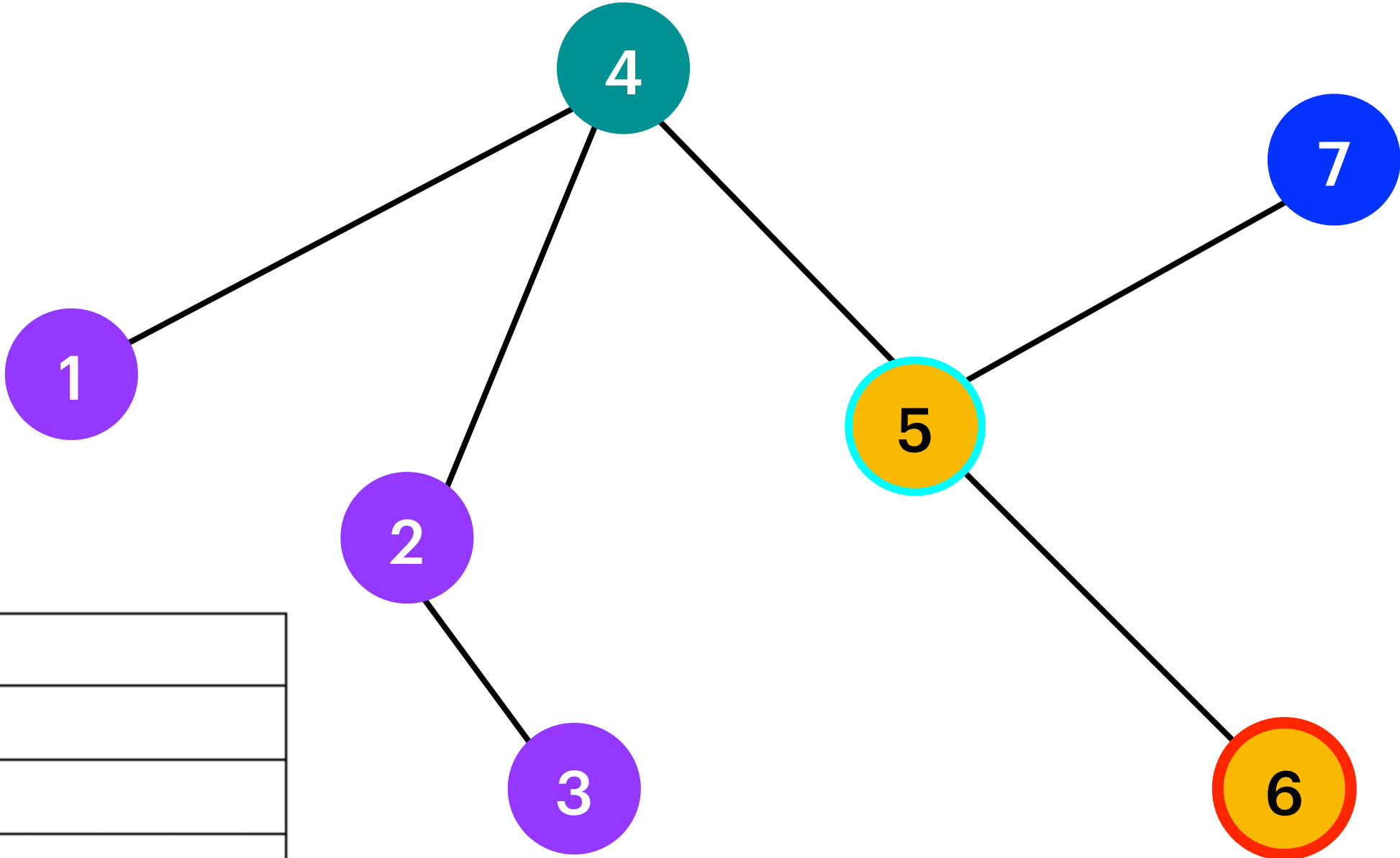
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```
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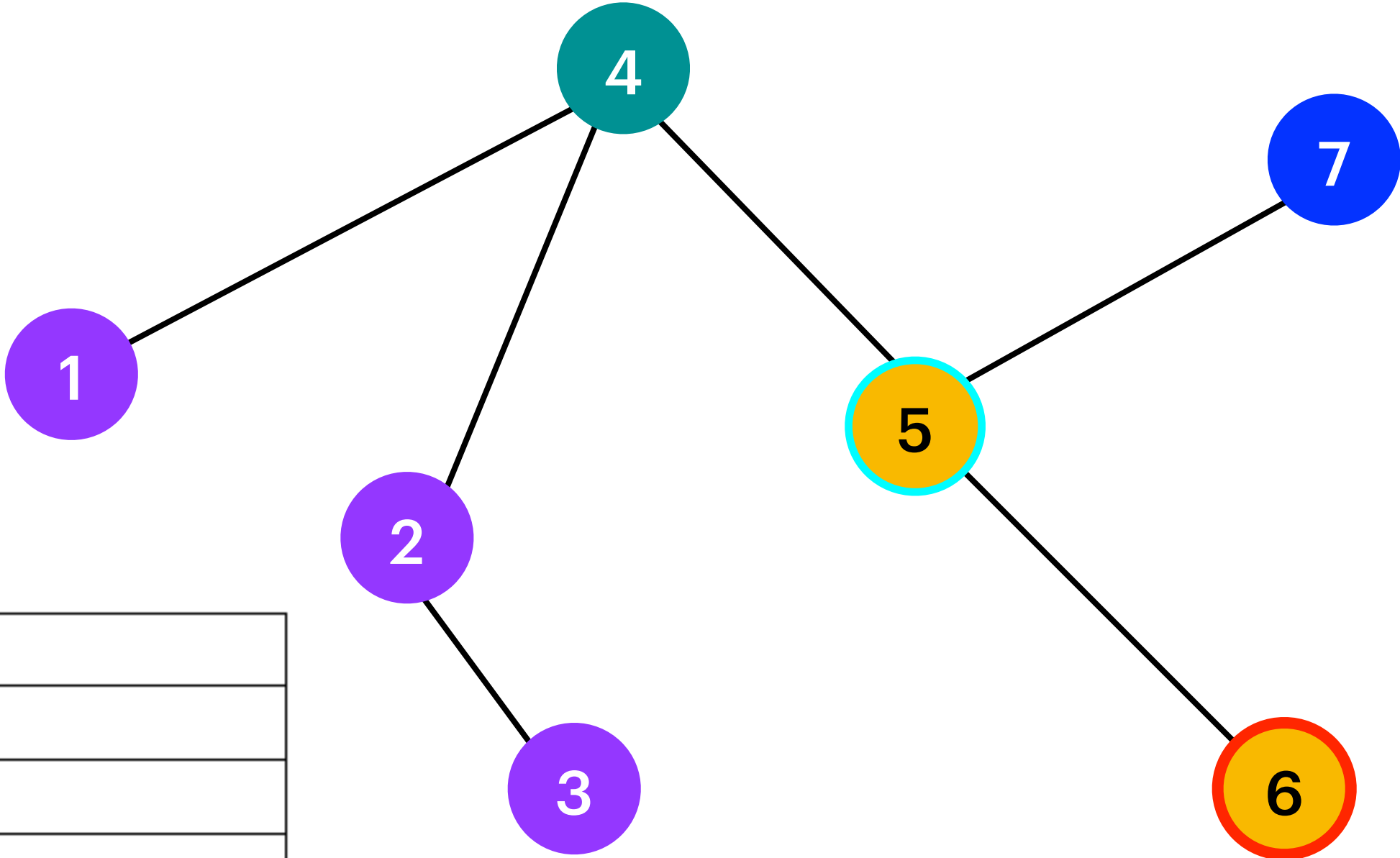
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| $P_1(5)$ | $\{\{2, 3\}, \{3, 4\}\}$ |
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| $P_1(7)$ | |

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γ 1 , 2 , 3 , 4

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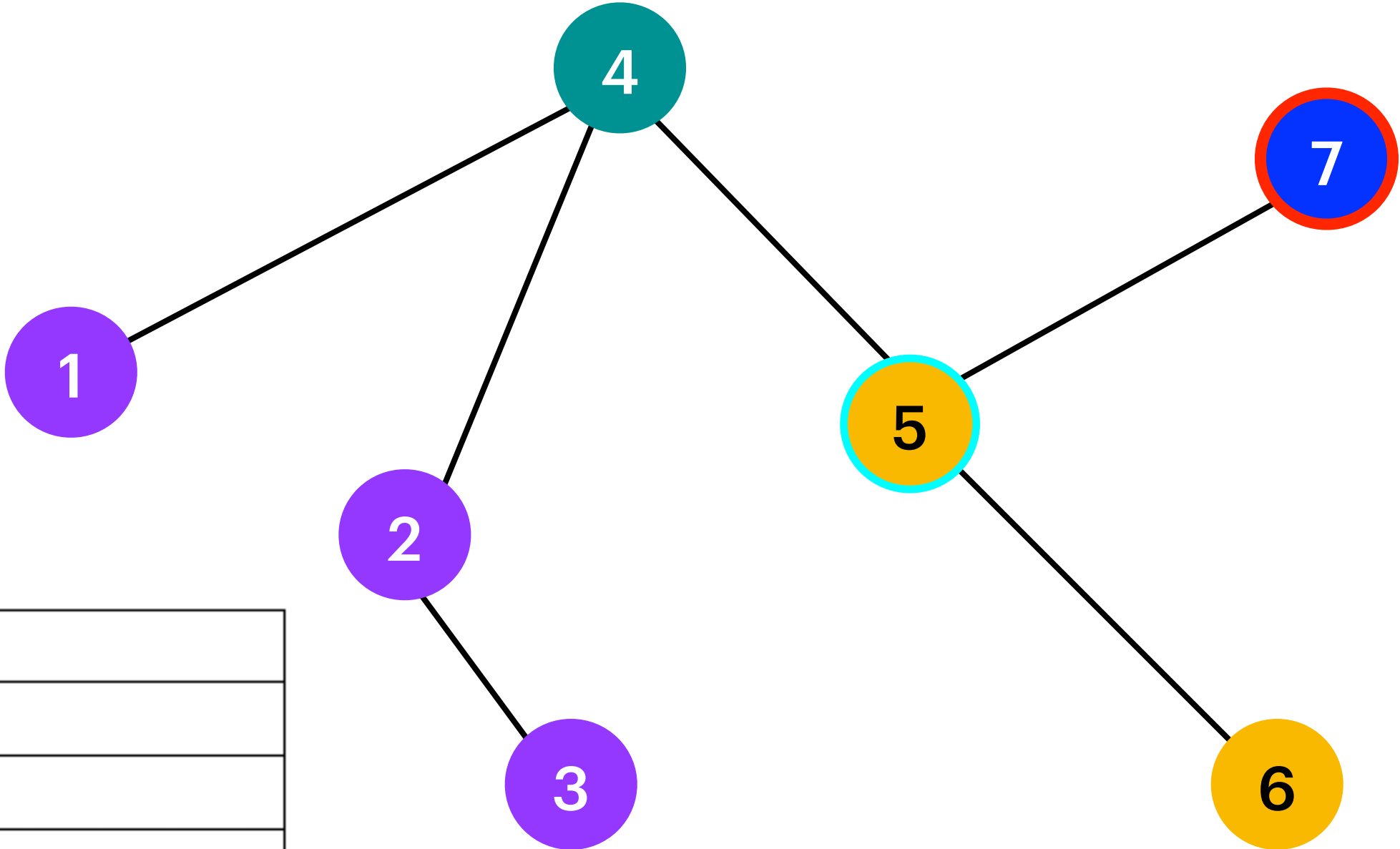
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| | |
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| P_1 | |
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| | |
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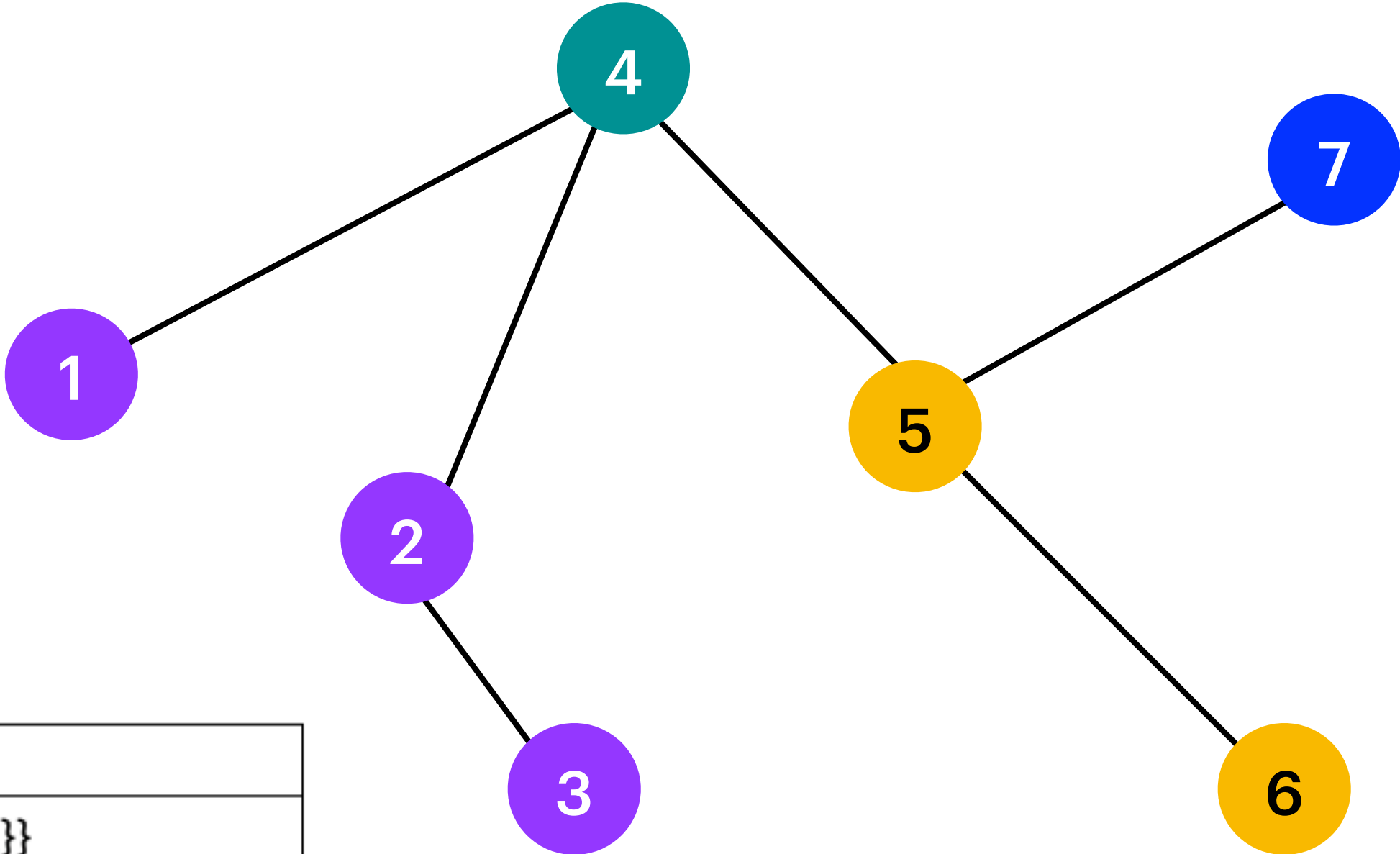
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| | |
|--------------------|--|
| P ₂ | |
| P ₂ (1) | |
| P ₂ (2) | |
| P ₂ (3) | |
| P ₂ (4) | |
| P ₂ (5) | |
| P ₂ (6) | |
| P ₂ (7) | |

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| | |
|--------------------|--------------------------|
| P ₁ | |
| P ₁ (1) | $\{\{1, 2\}\}$ |
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| P ₁ (3) | \emptyset |
| P ₁ (4) | $\{\{1, 2\}, \{2, 3\}\}$ |
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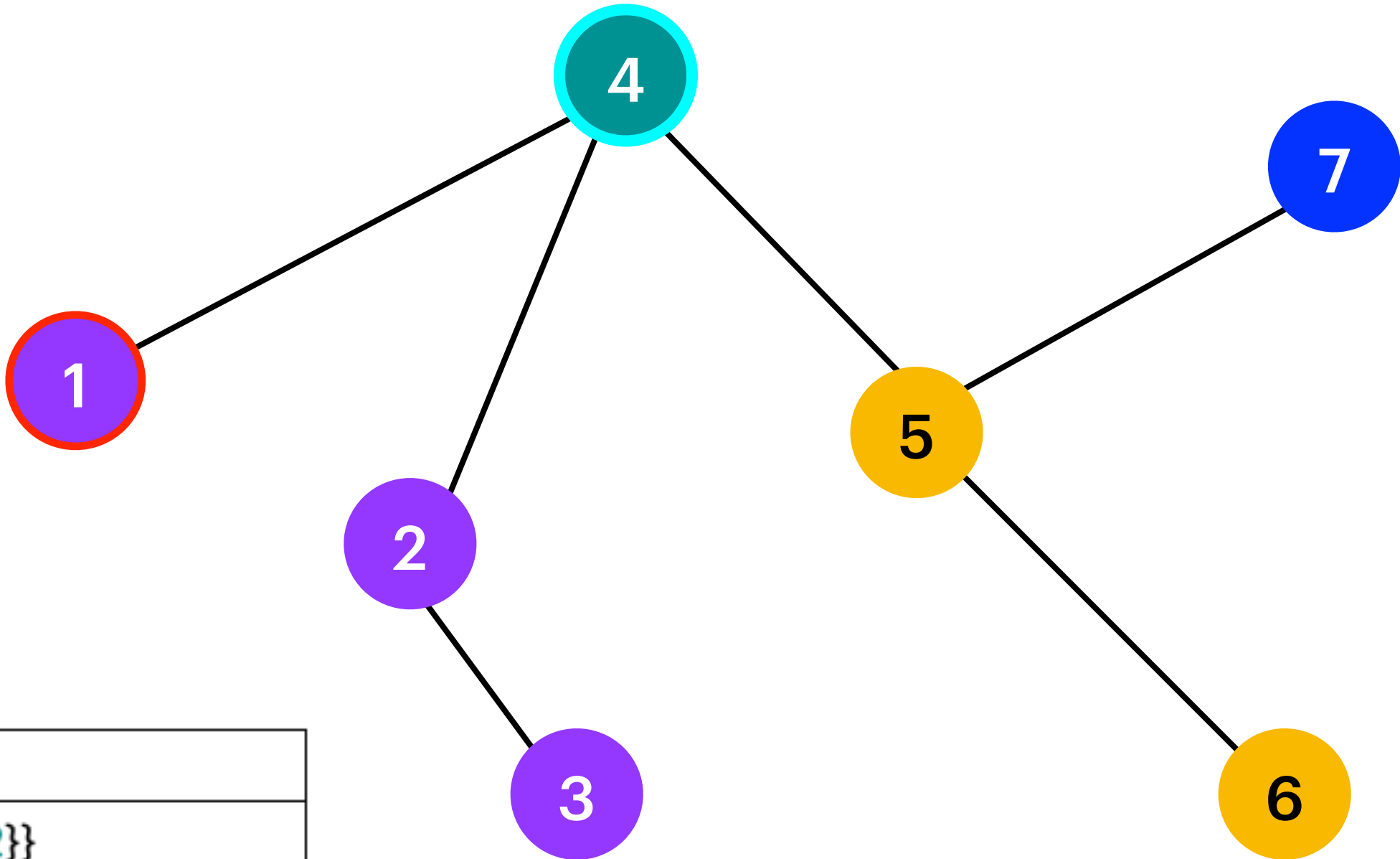
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| | |
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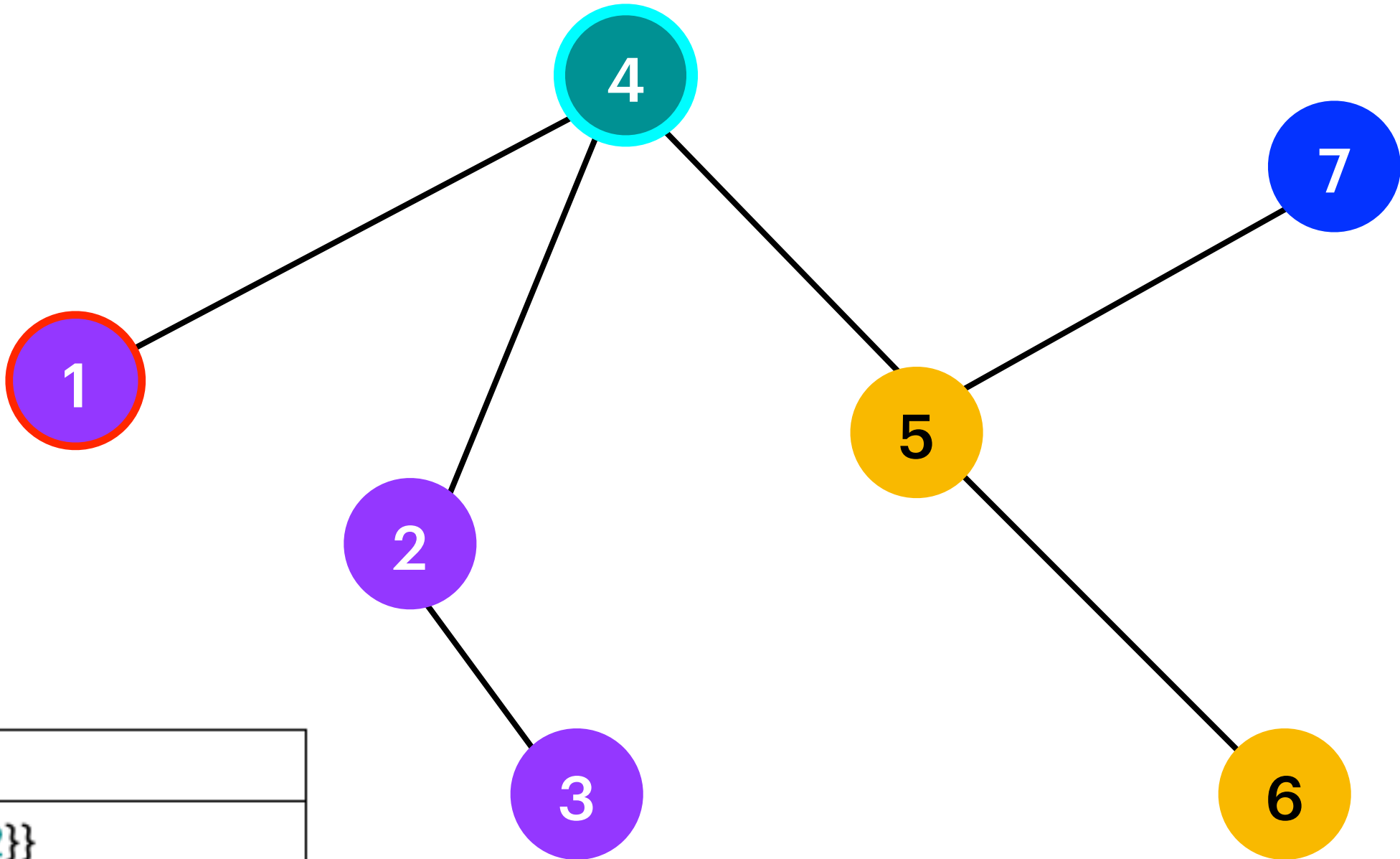
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5        $P_i(v) \leftarrow P_i(v) \cup \{R \cup \{\gamma(v)\}\}$ ;
```

| P_2 | |
|----------|-------------------|
| $P_2(1)$ | $\{\{1, 2, 3\}\}$ |
| $P_2(2)$ | |
| $P_2(3)$ | |
| $P_2(4)$ | |
| $P_2(5)$ | |
| $P_2(6)$ | |
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color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S

| P_1 | |
|----------|--------------------------|
| $P_1(1)$ | $\{\{1, 2\}\}$ |
| $P_1(2)$ | $\{\{1, 2\}\}$ |
| $P_1(3)$ | \emptyset |
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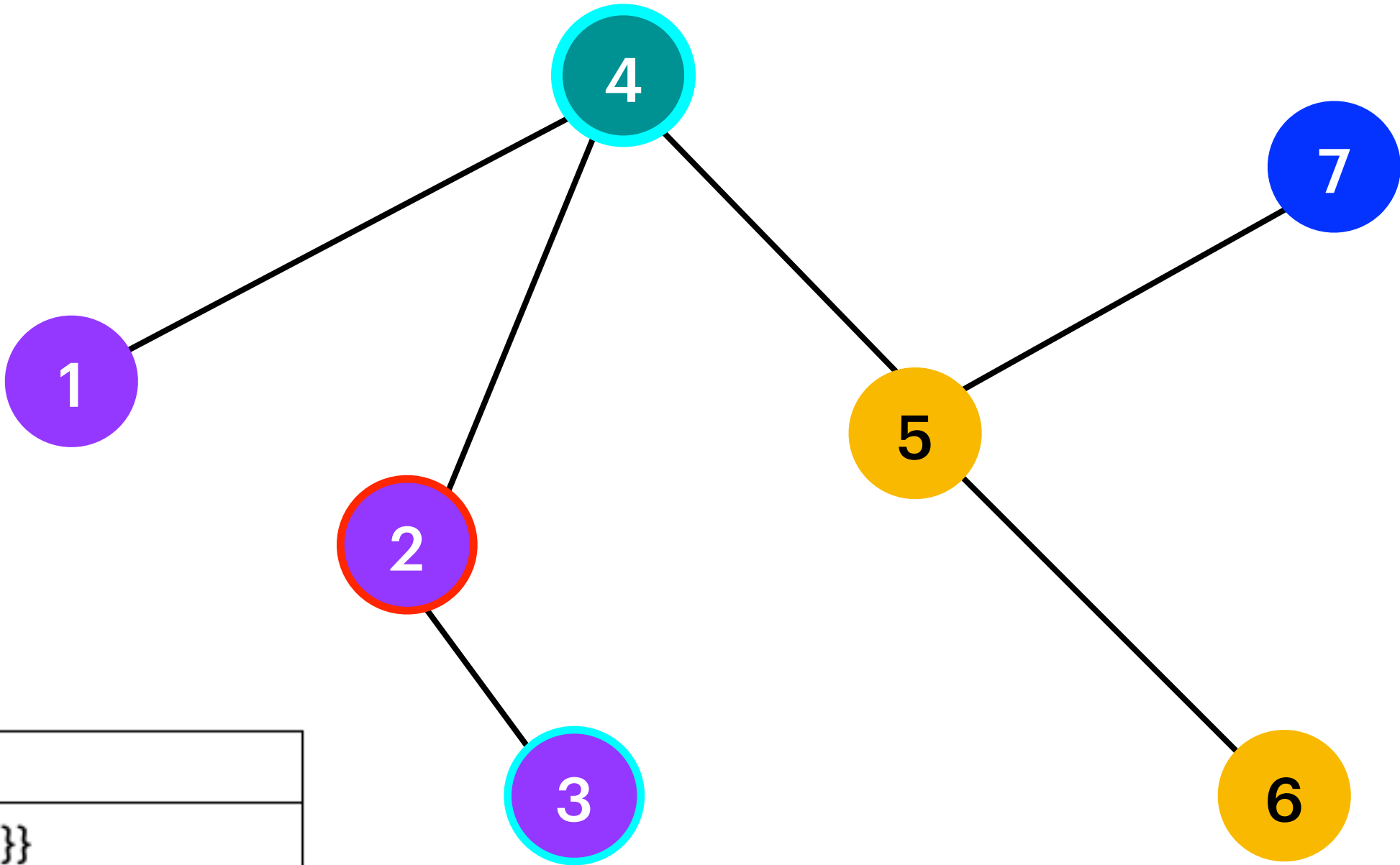
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Colorful Paths

Algorithm

Algorithm 2: RAINBOW(G, γ)

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1 forall  $v \in V$  do
2    $P_0(v) \leftarrow \{\{\gamma(v)\}\}$ ;
3 for  $i = 1$  to  $k - 1$  do
4   COLORFUL( $G, i$ );
5 return  $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$ ;
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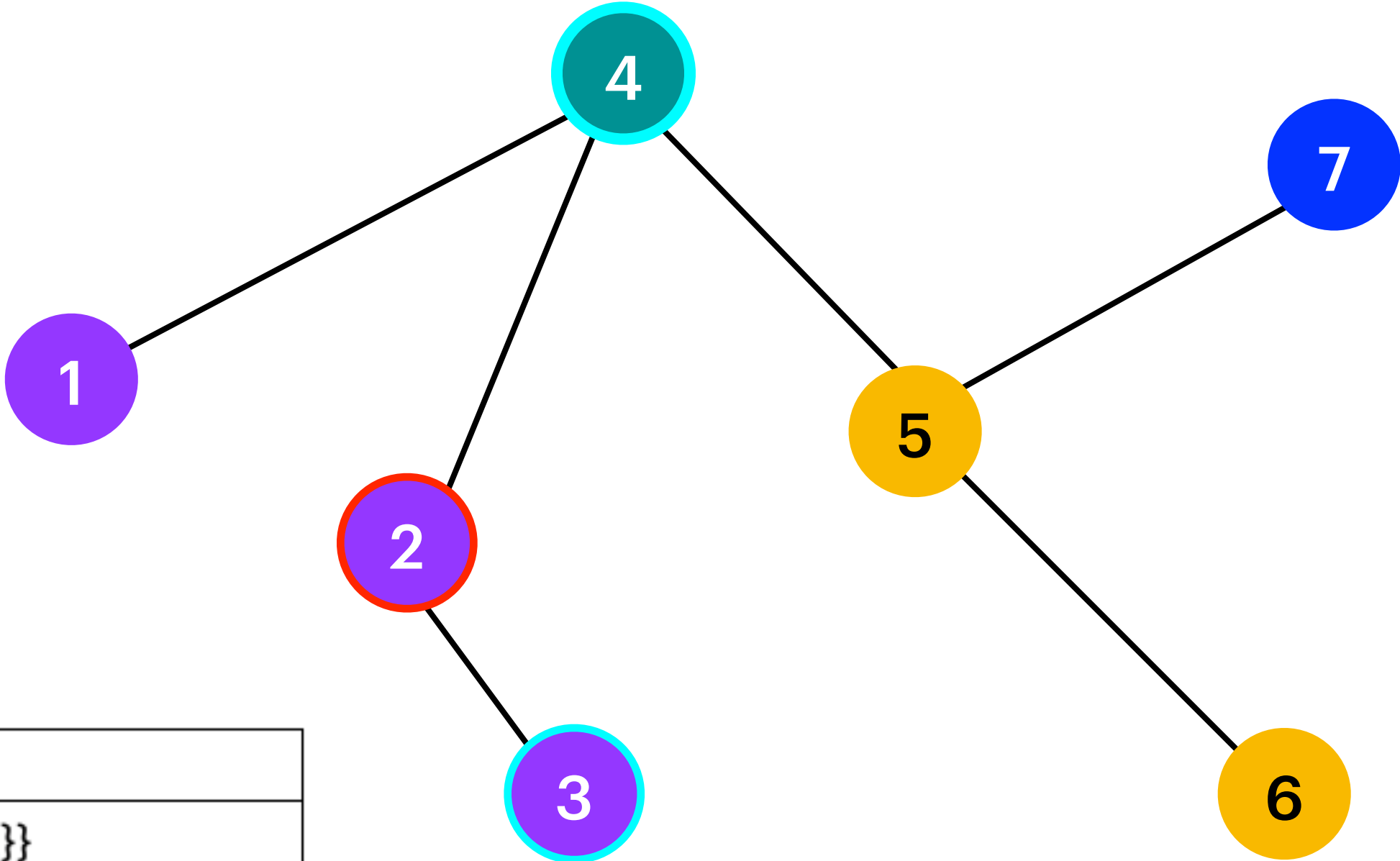
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```

| P_2 | |
|----------|-------------------|
| $P_2(1)$ | $\{\{1, 2, 3\}\}$ |
| $P_2(2)$ | $\{\{1, 2, 3\}\}$ |
| $P_2(3)$ | |
| $P_2(4)$ | |
| $P_2(5)$ | |
| $P_2(6)$ | |
| $P_2(7)$ | |

color sets S s.t $|S| = i+1$ and there is a colorful path of length i ending at v only using the colors in S

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|----------|--------------------------|
| $P_1(1)$ | $\{\{1, 2\}\}$ |
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| $P_1(3)$ | \emptyset |
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γ 1, 2, 3, 4

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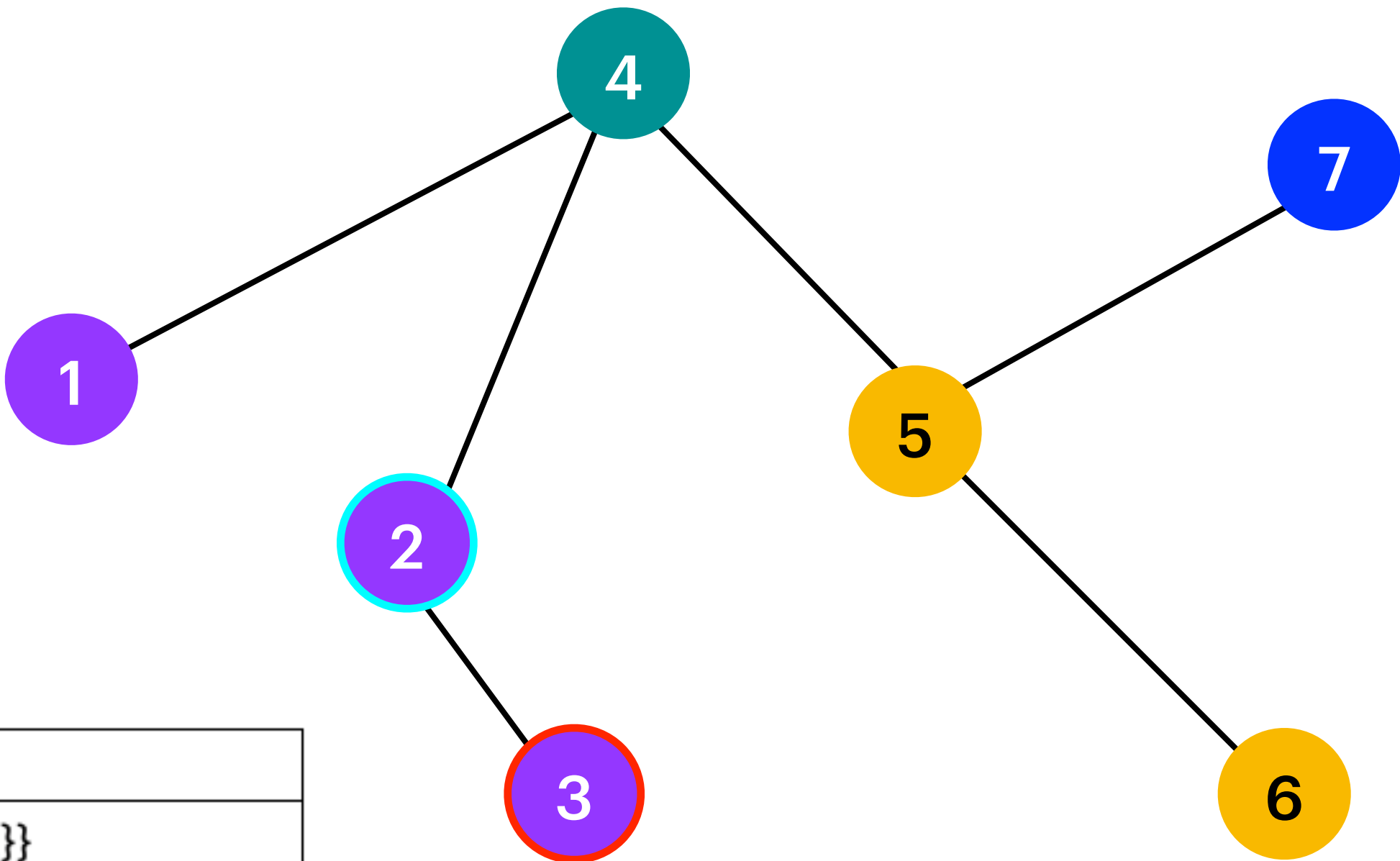
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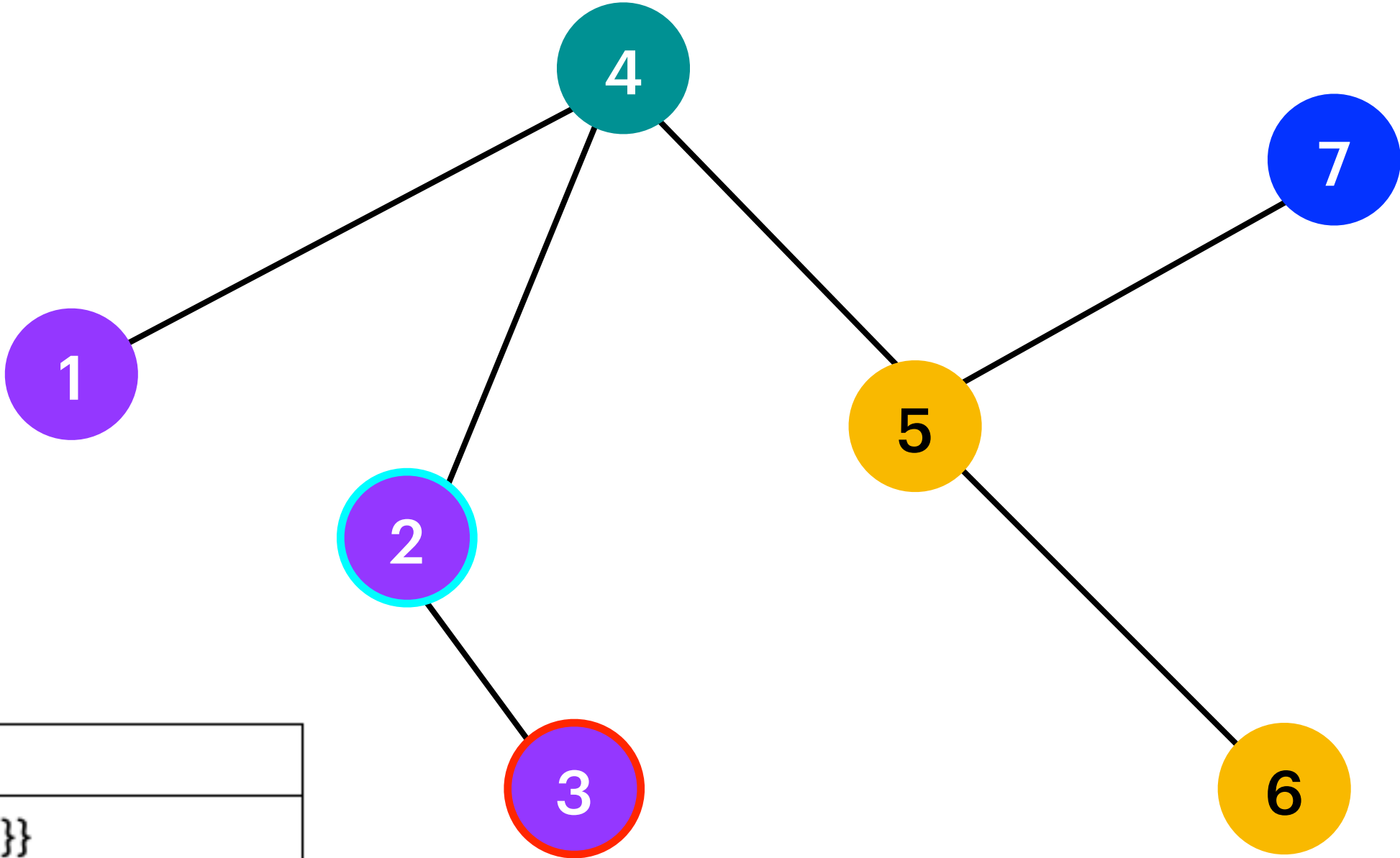
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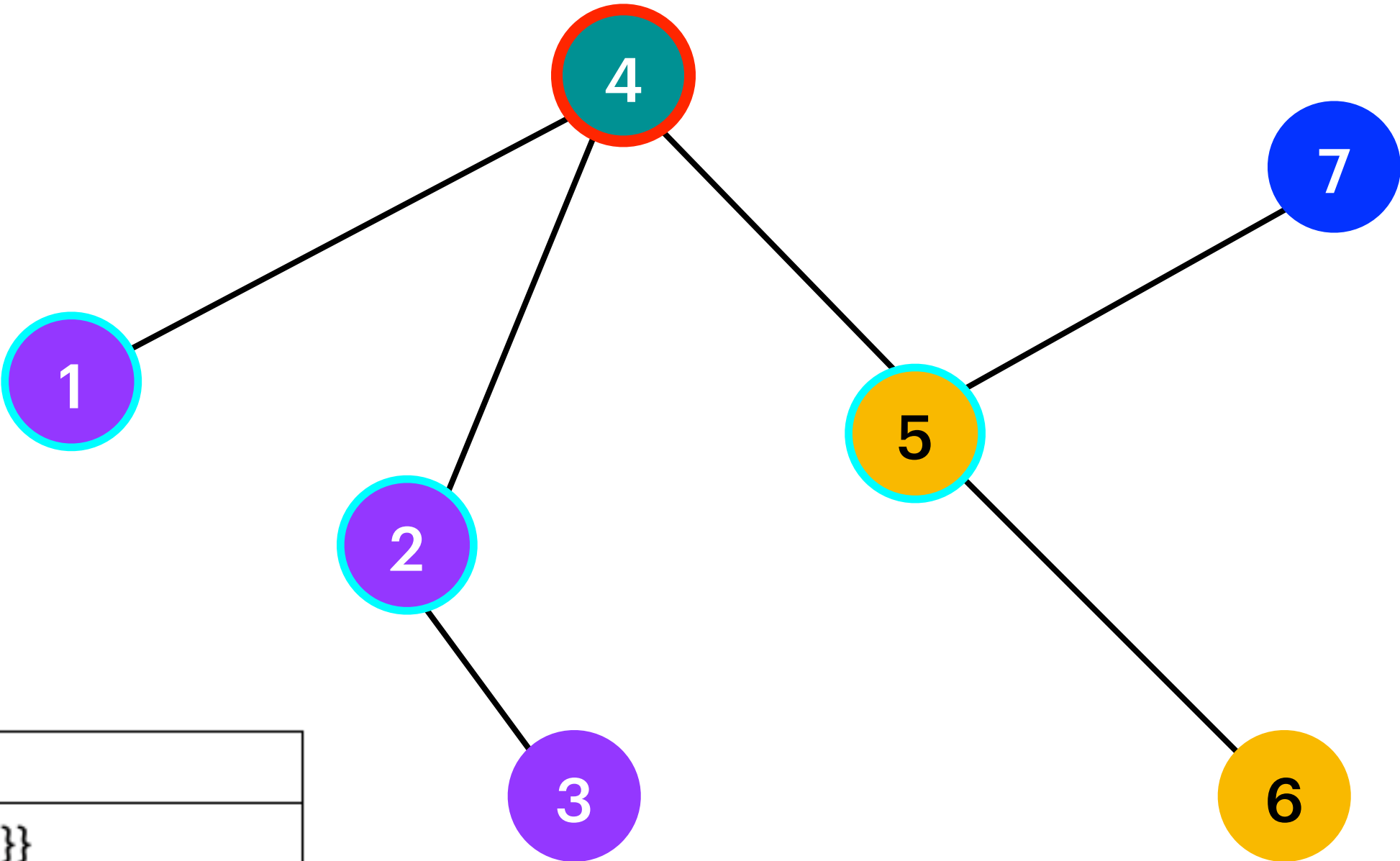
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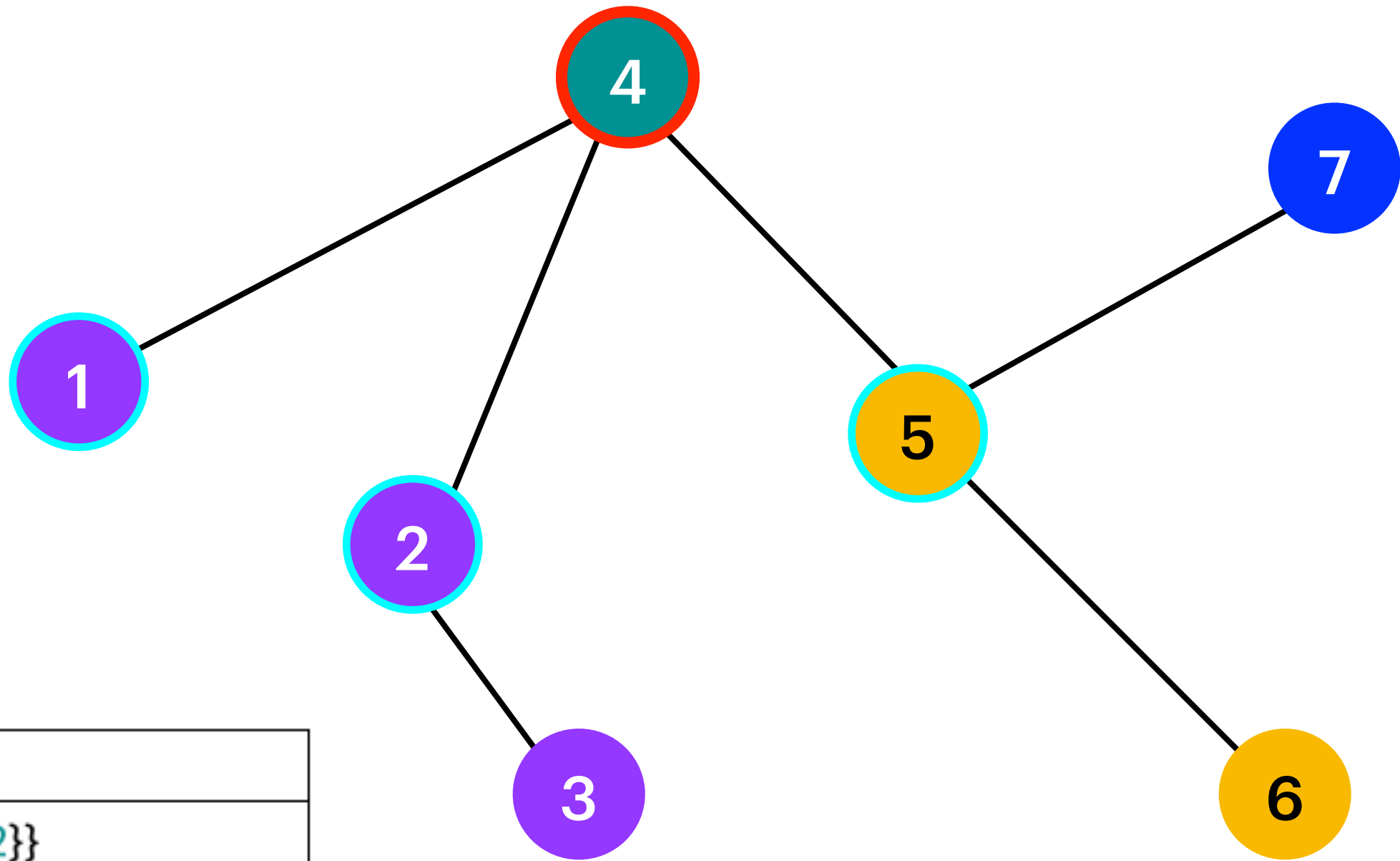
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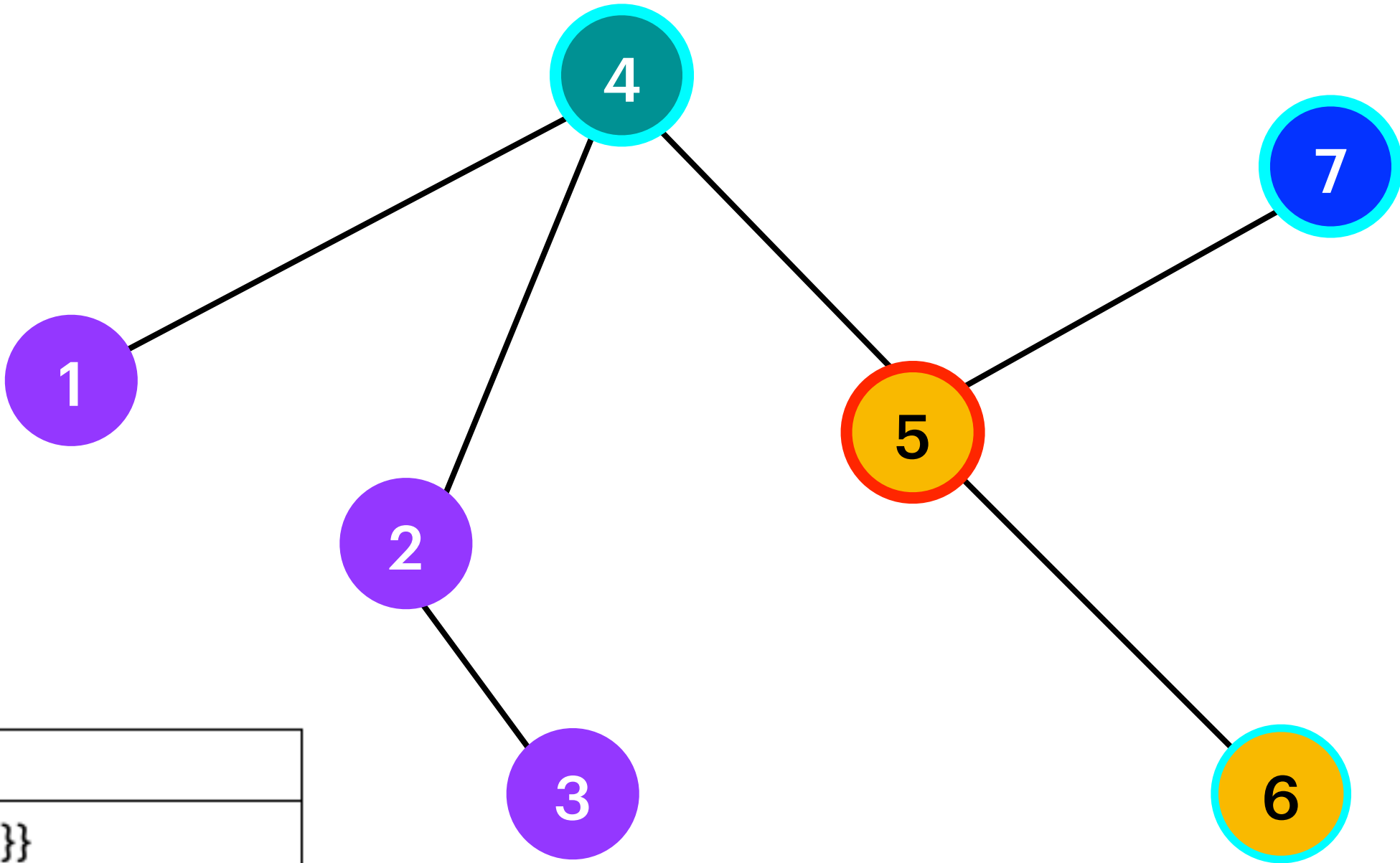
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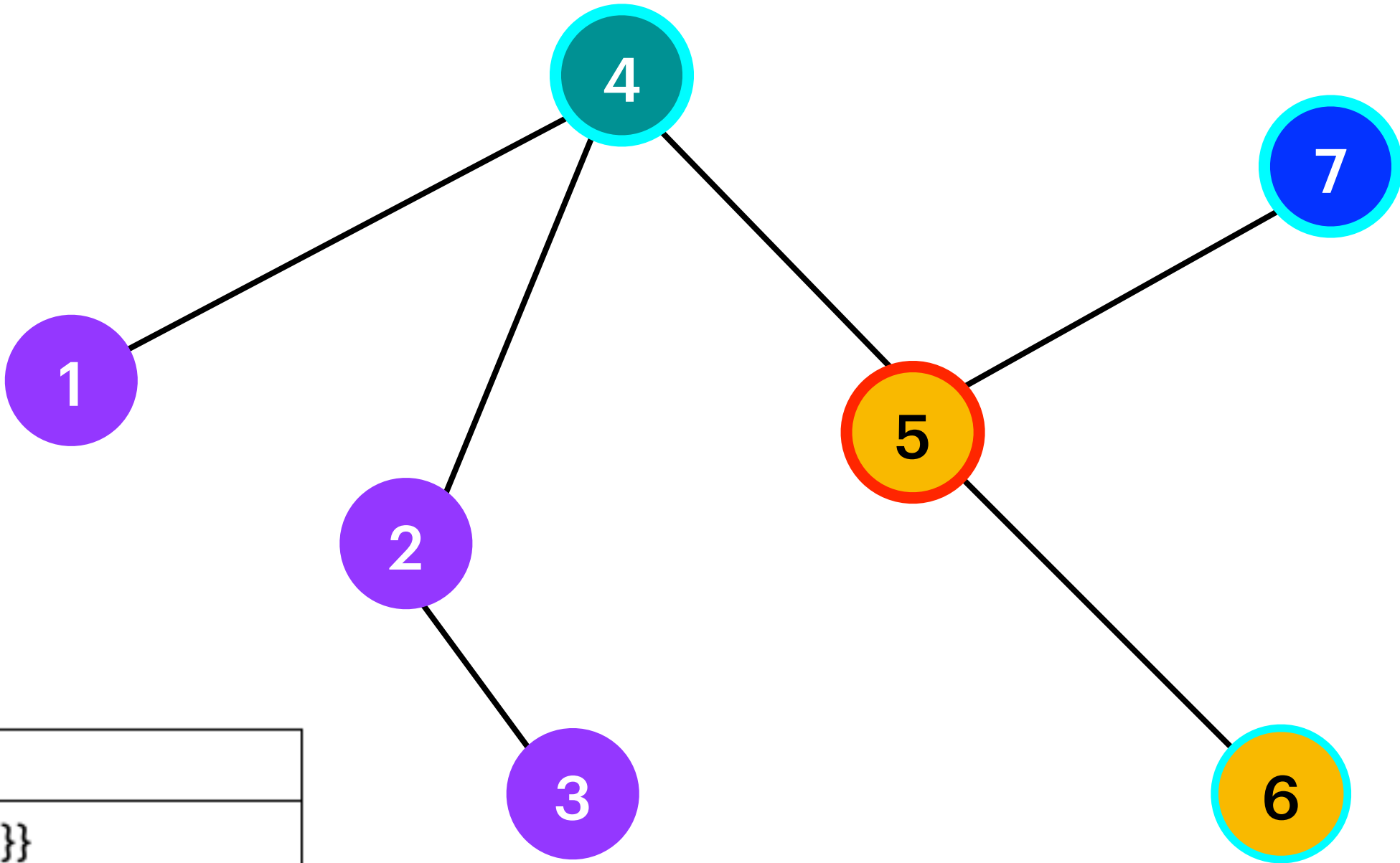
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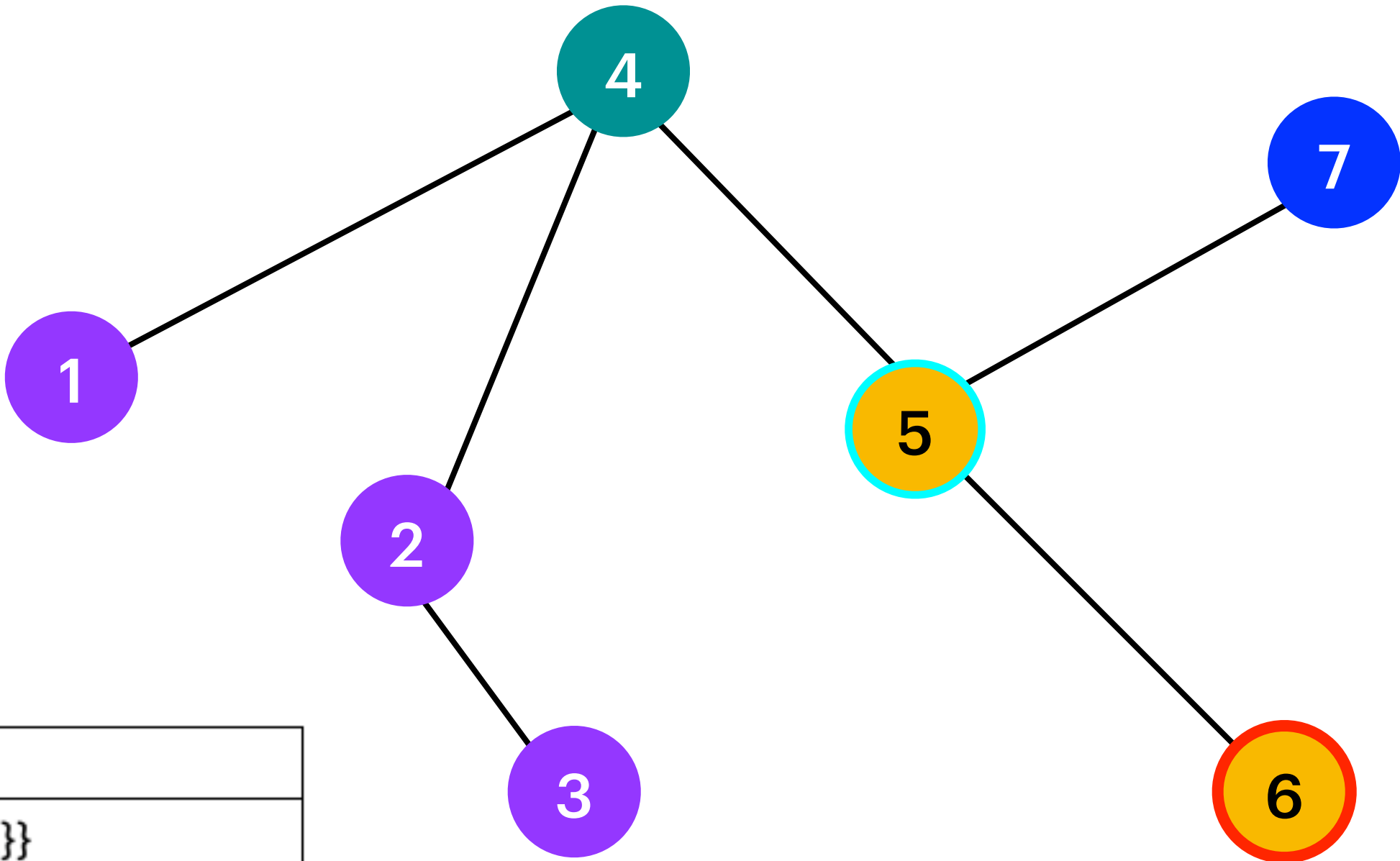
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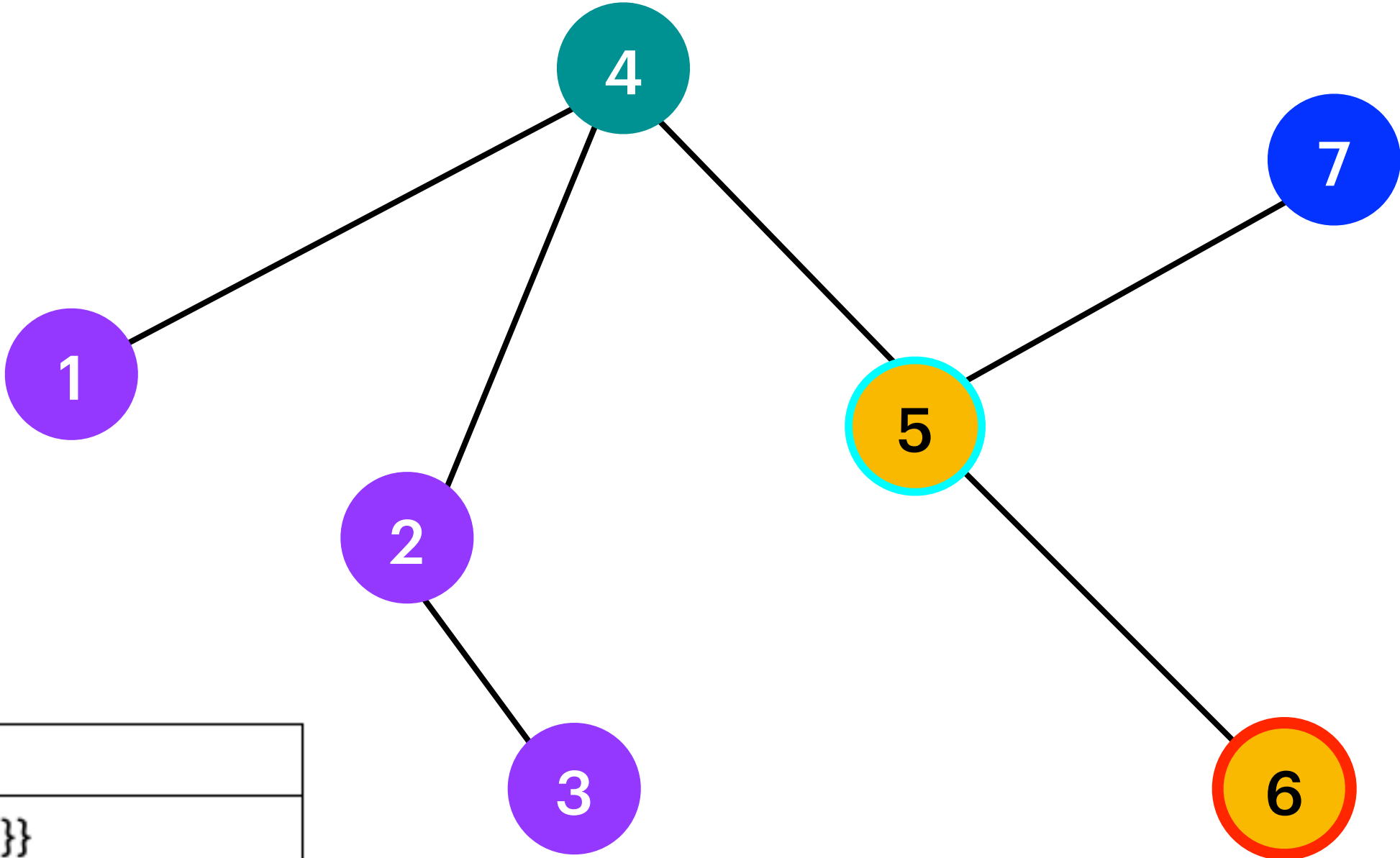
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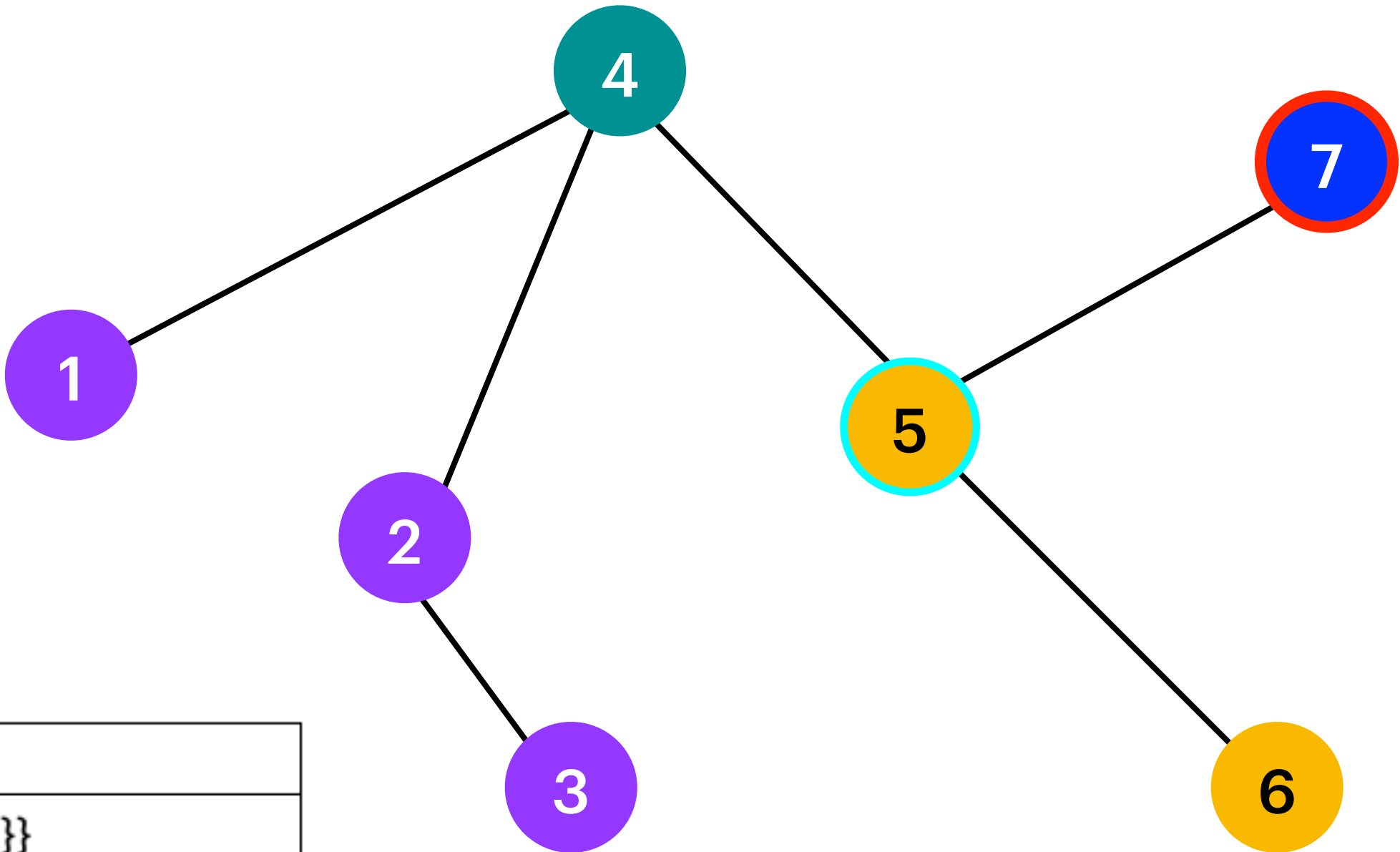
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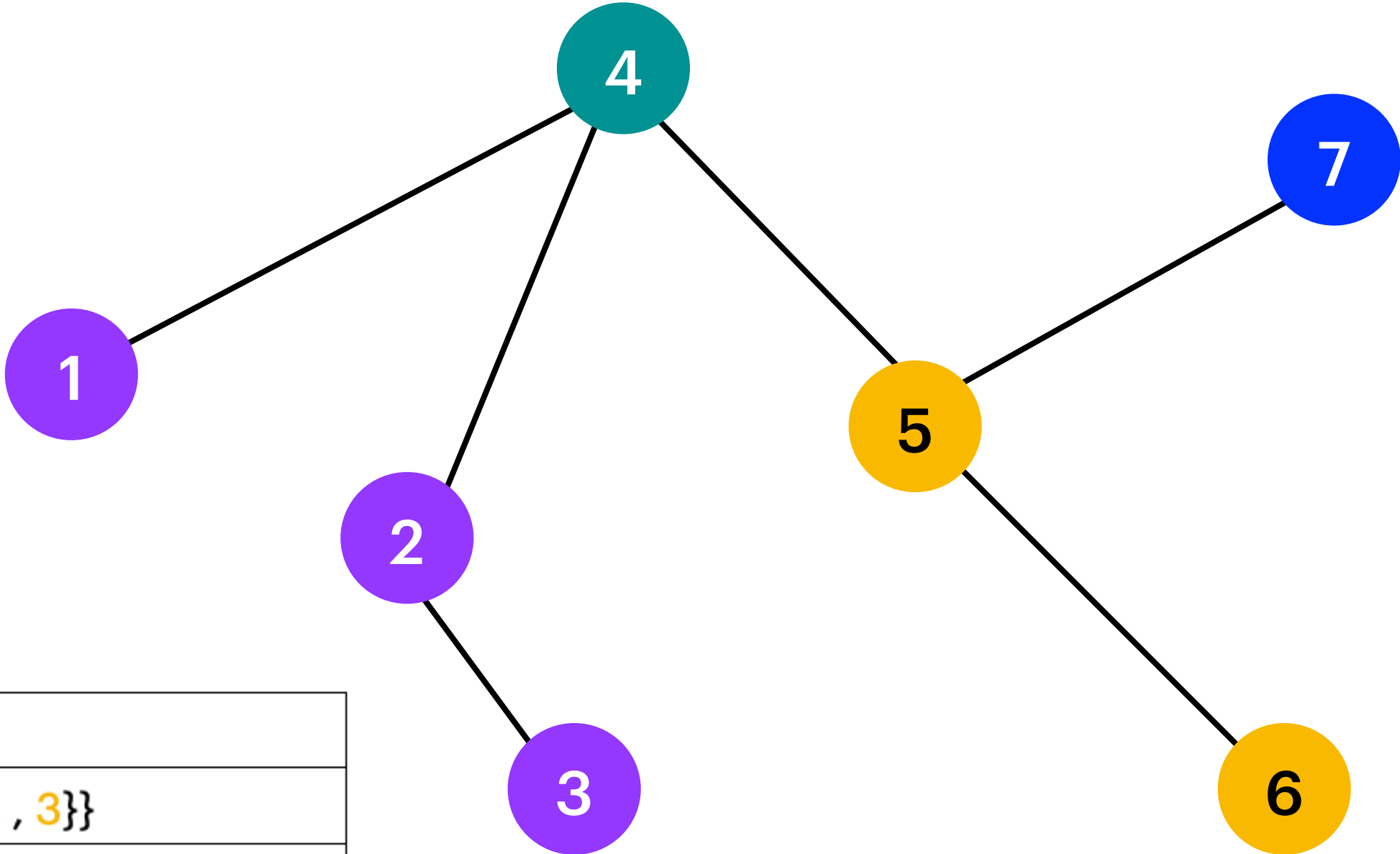
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| | |
|--------------------|--|
| P ₃ | |
| P ₃ (1) | |
| P ₃ (2) | |
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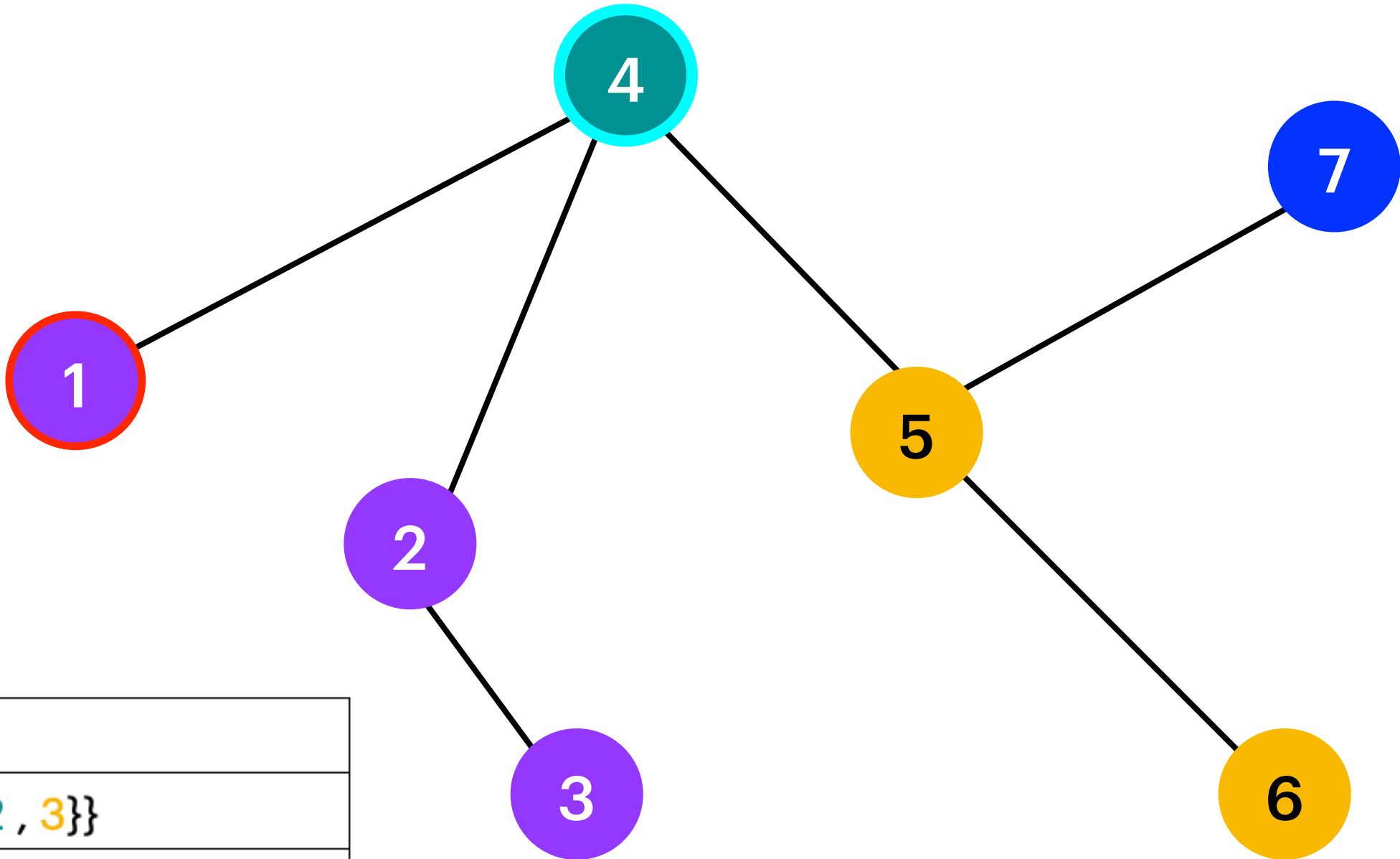
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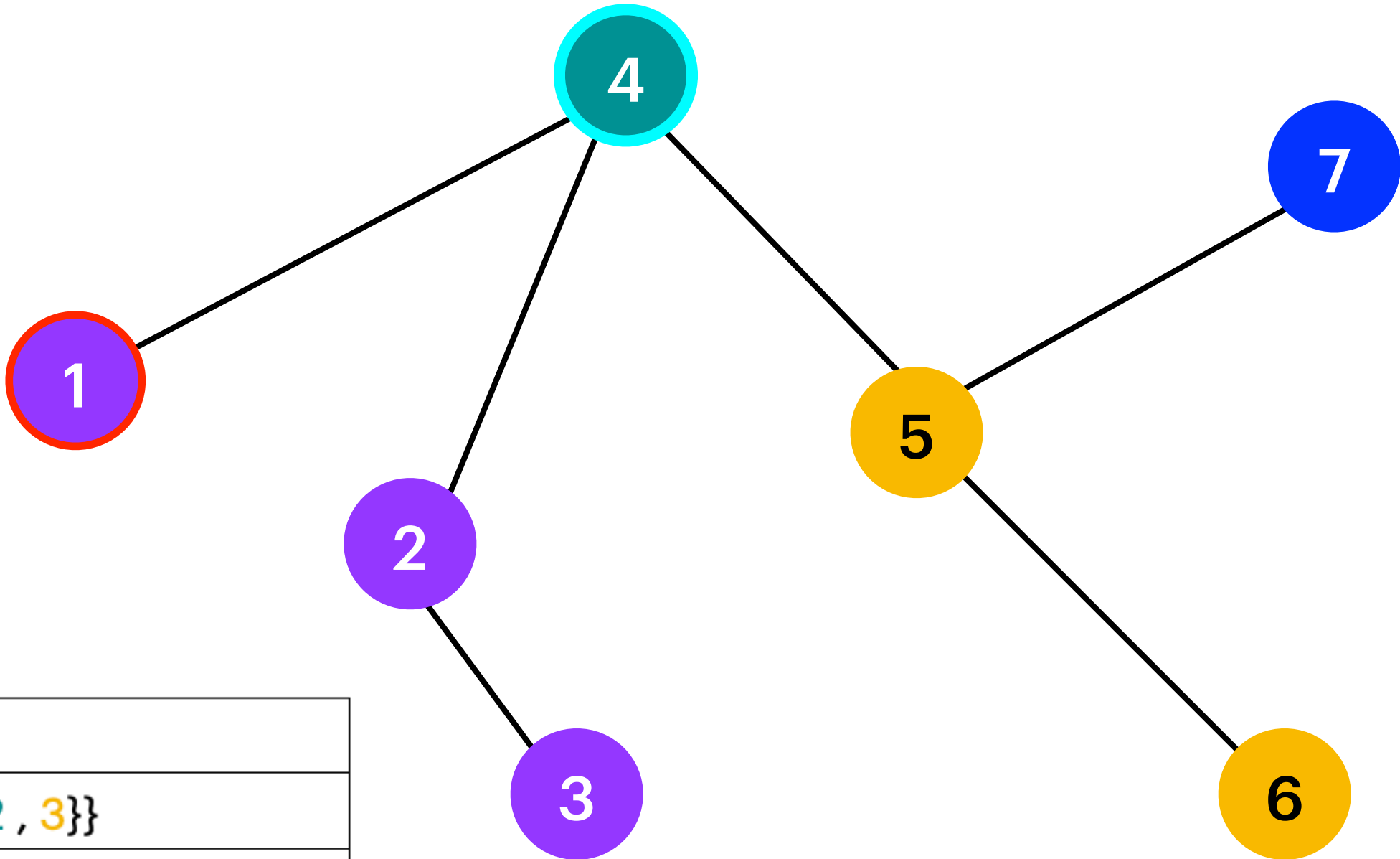
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| | |
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| | |
|----------|-------------------|
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γ 1, 2, 3, 4

Colorful Paths

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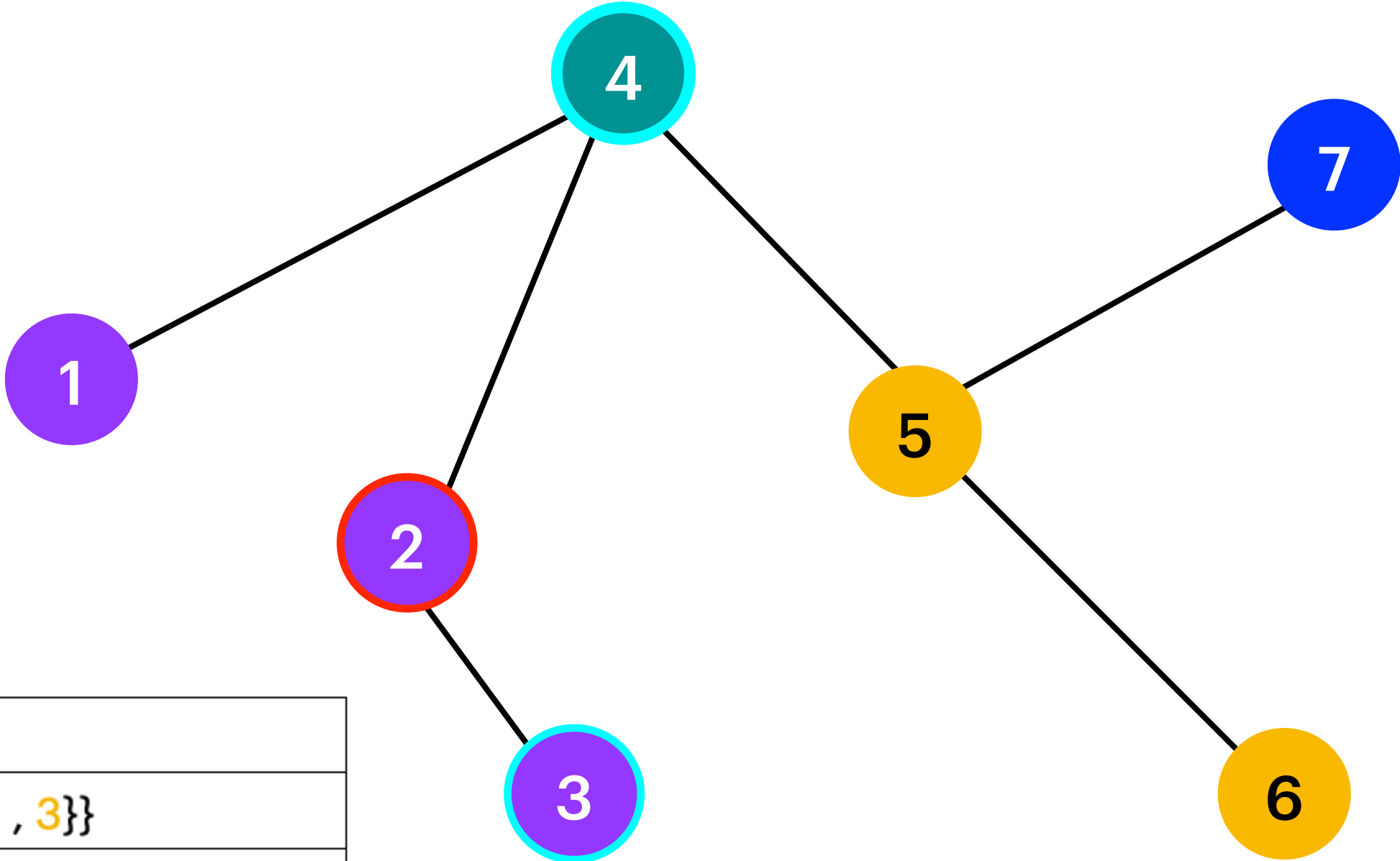
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| | |
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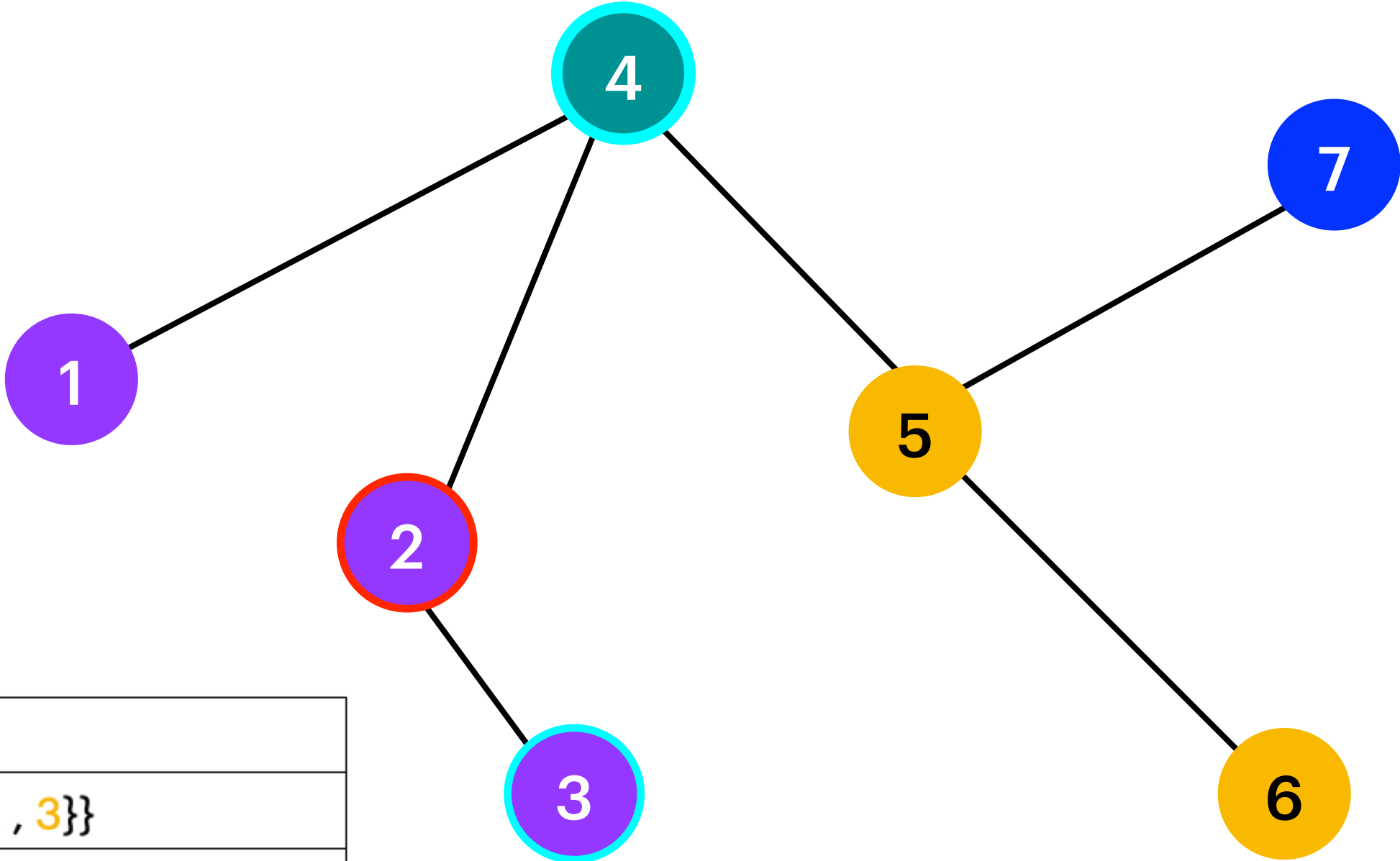
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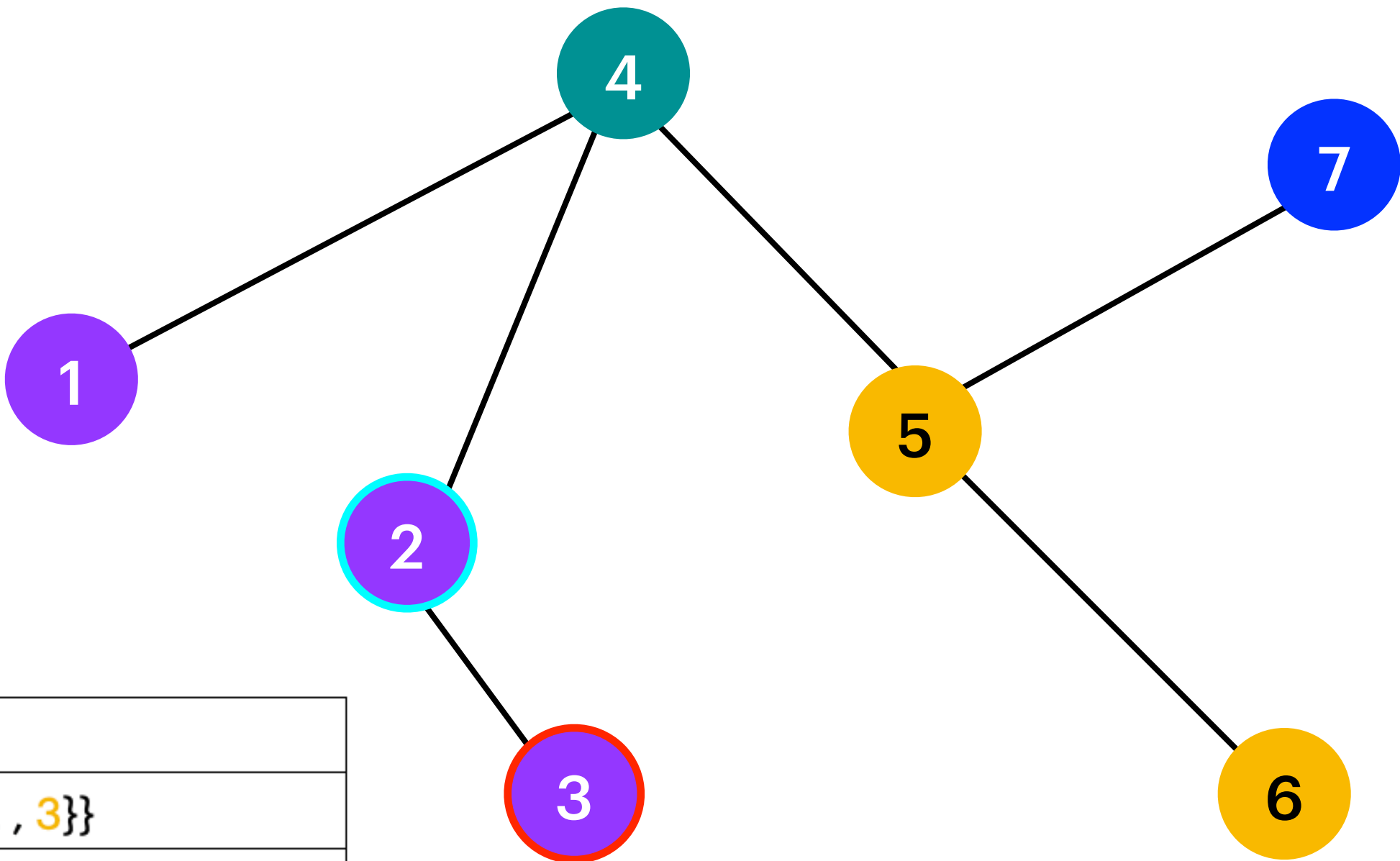
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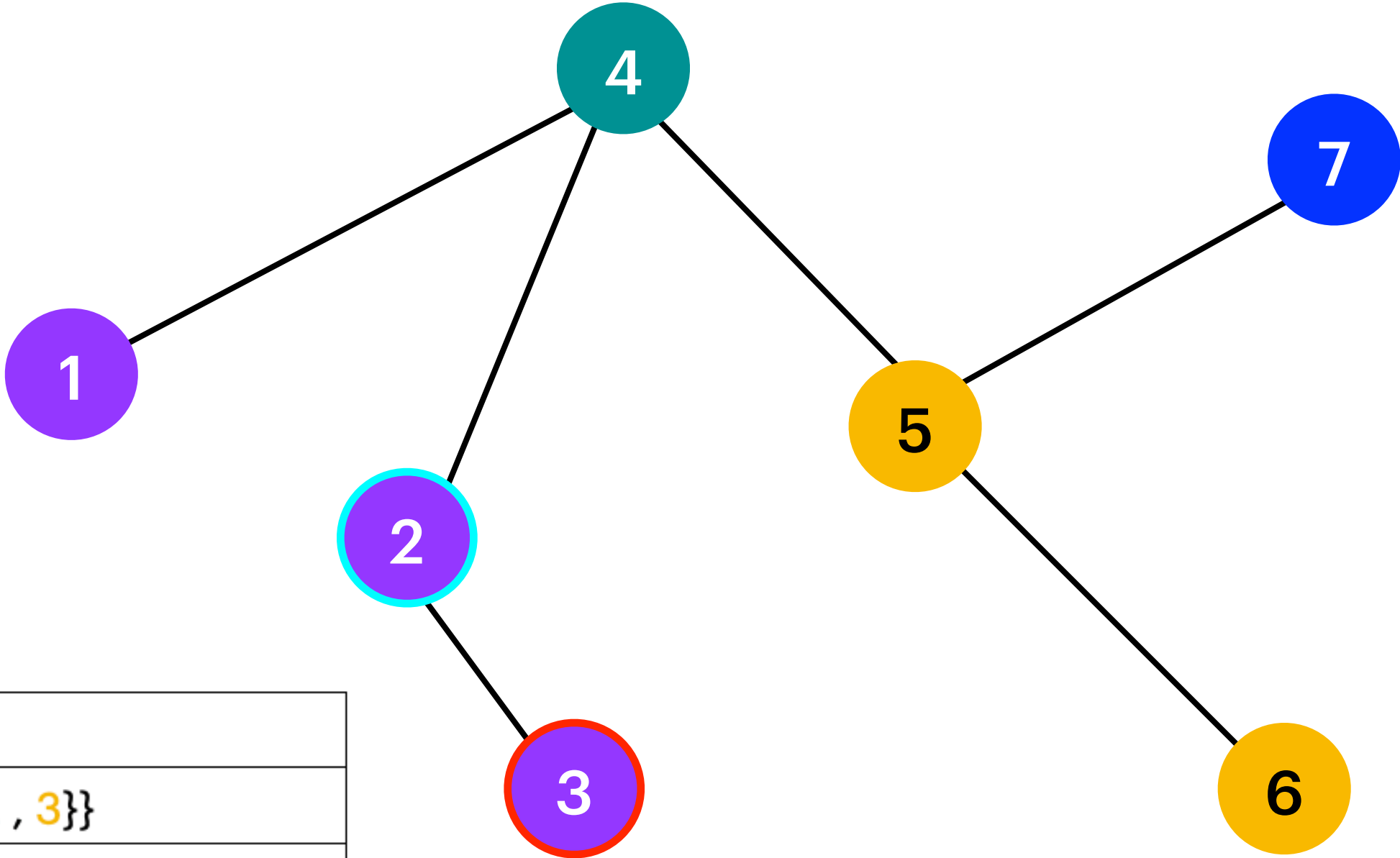
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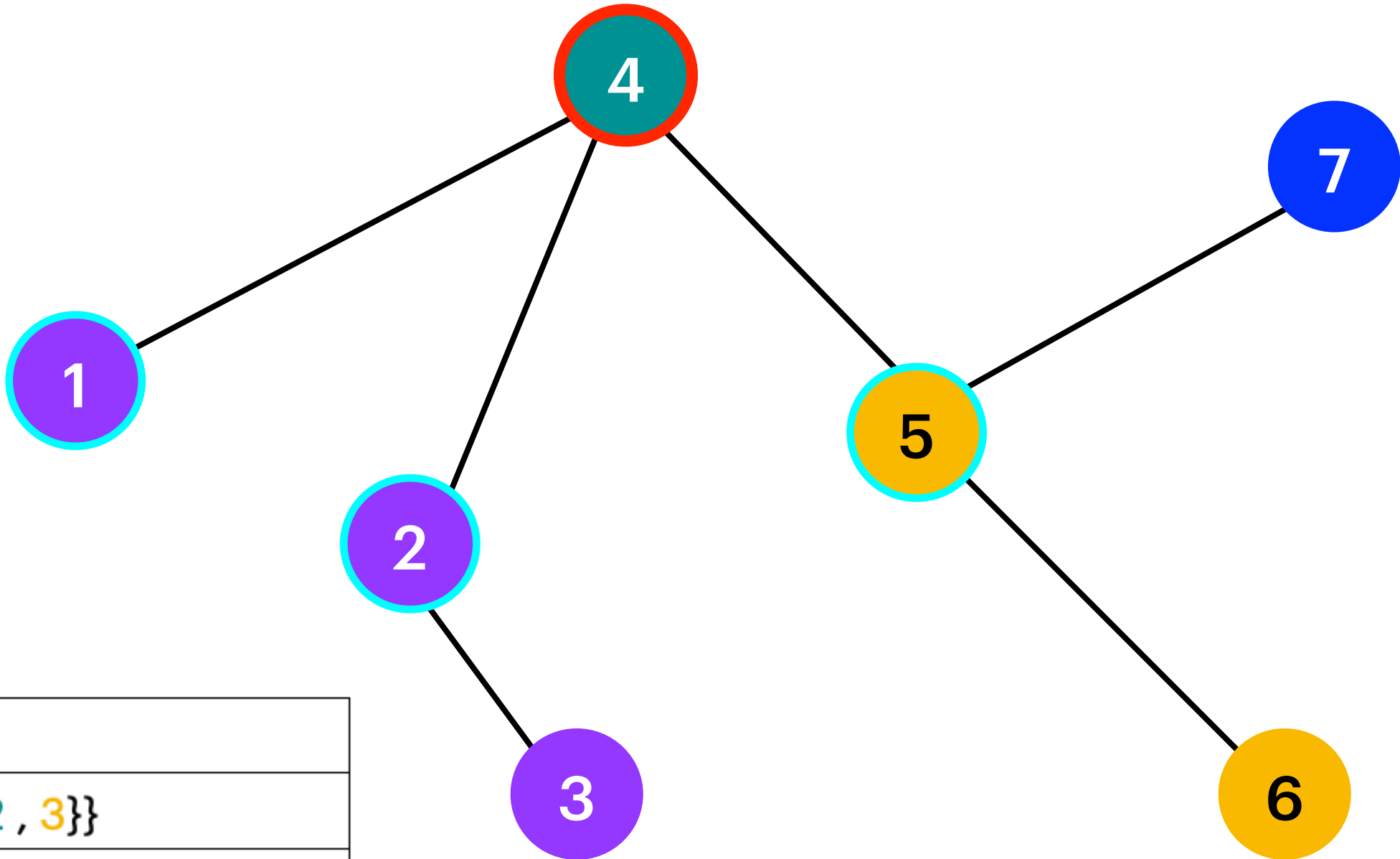
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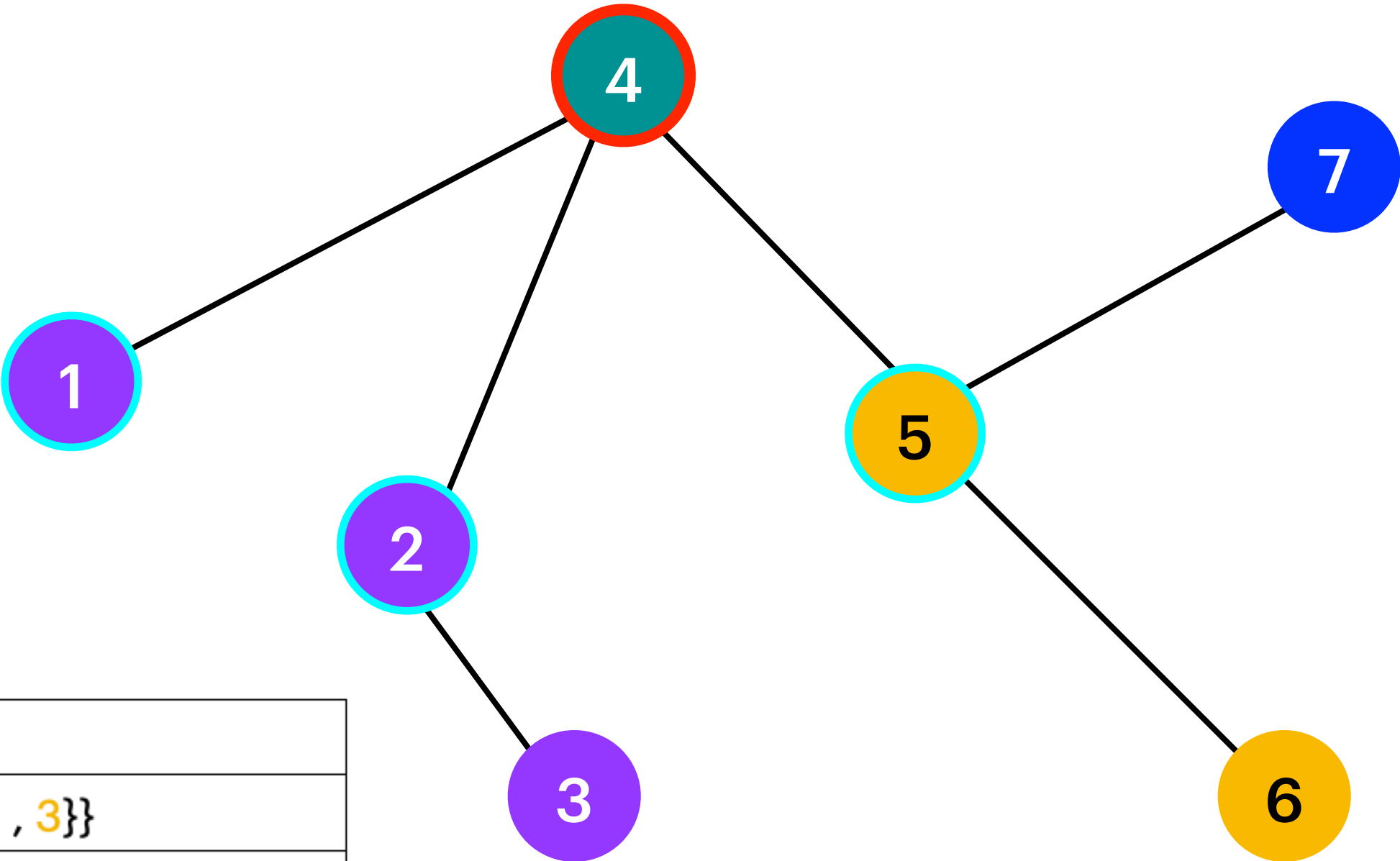
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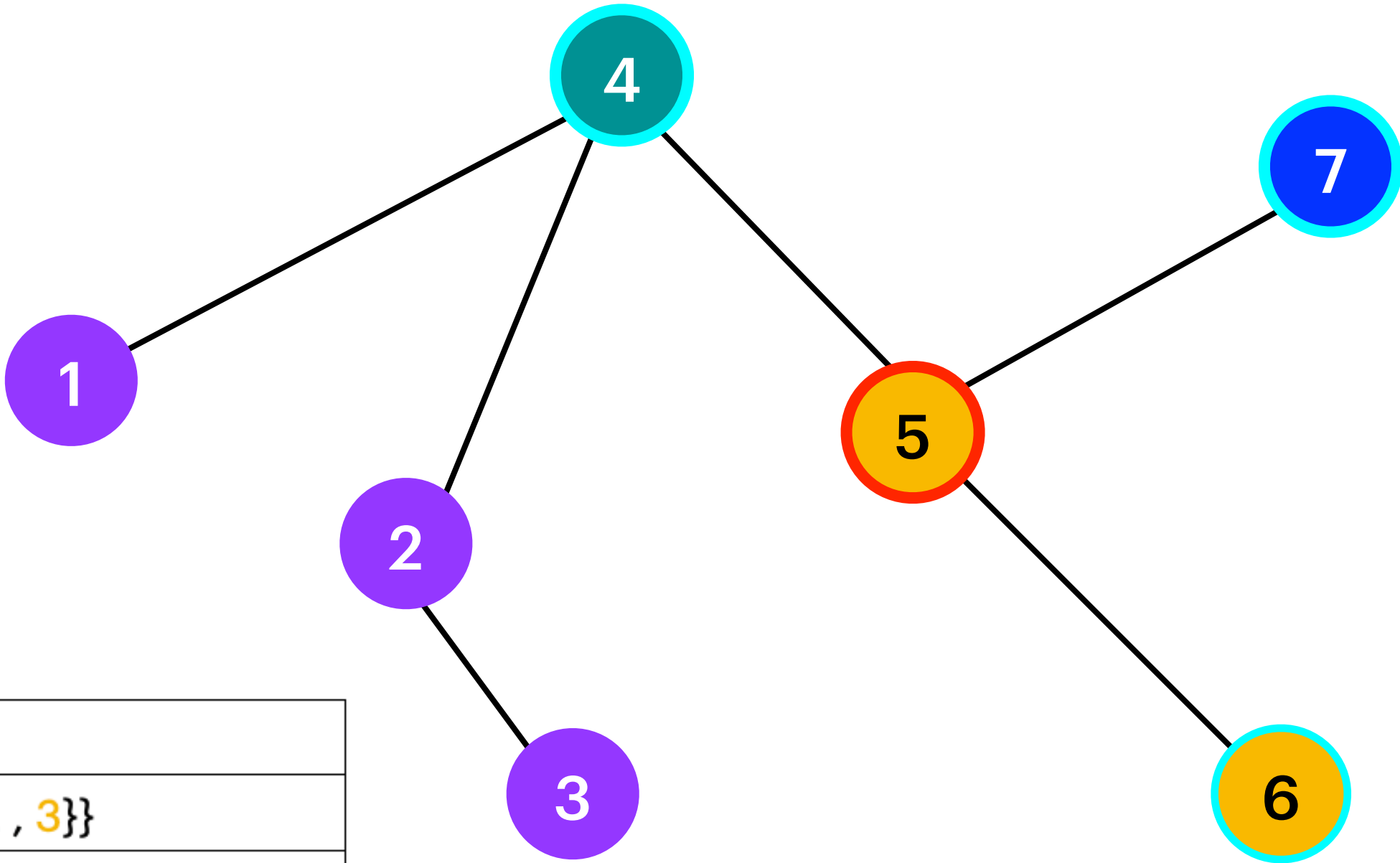
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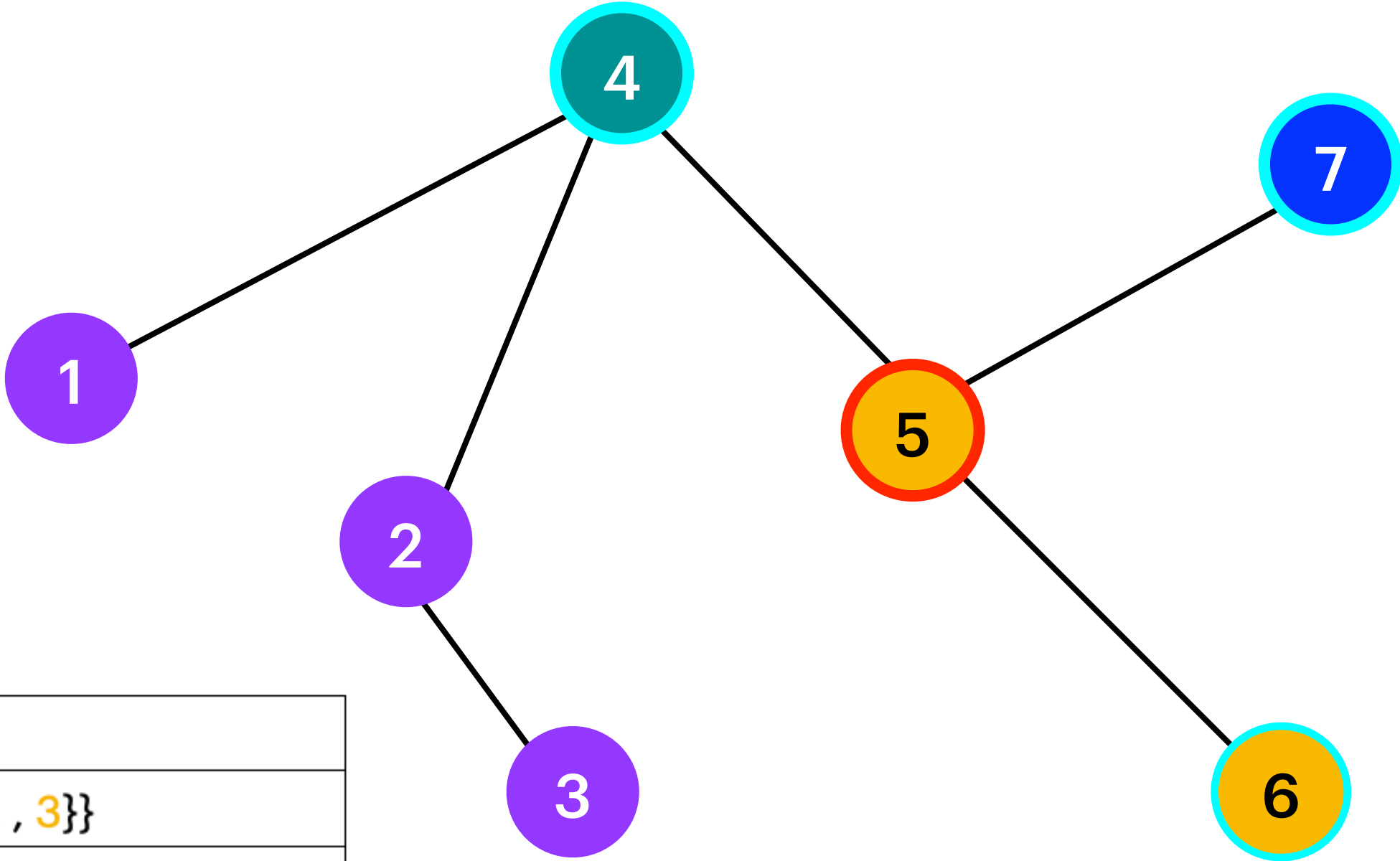
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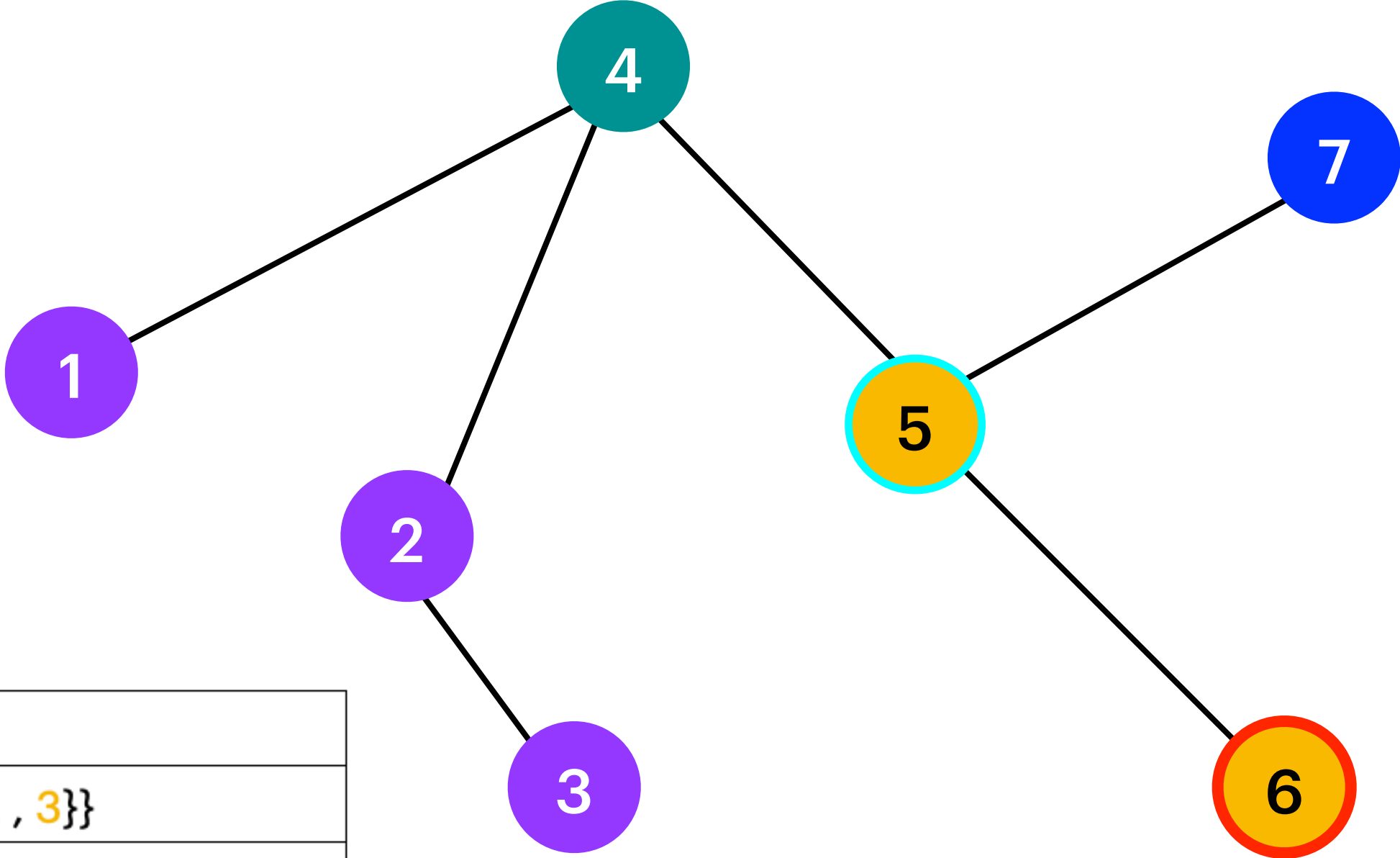
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1 forall  $v \in V$  do
2    $P_0(v) \leftarrow \{\{\gamma(v)\}\}$ ;
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4    $\text{COLORFUL}(G, i)$ ;
5 return  $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$ ;
```

Algorithm 1: COLORFUL(G, i)

```
1 forall  $v \in V$  do
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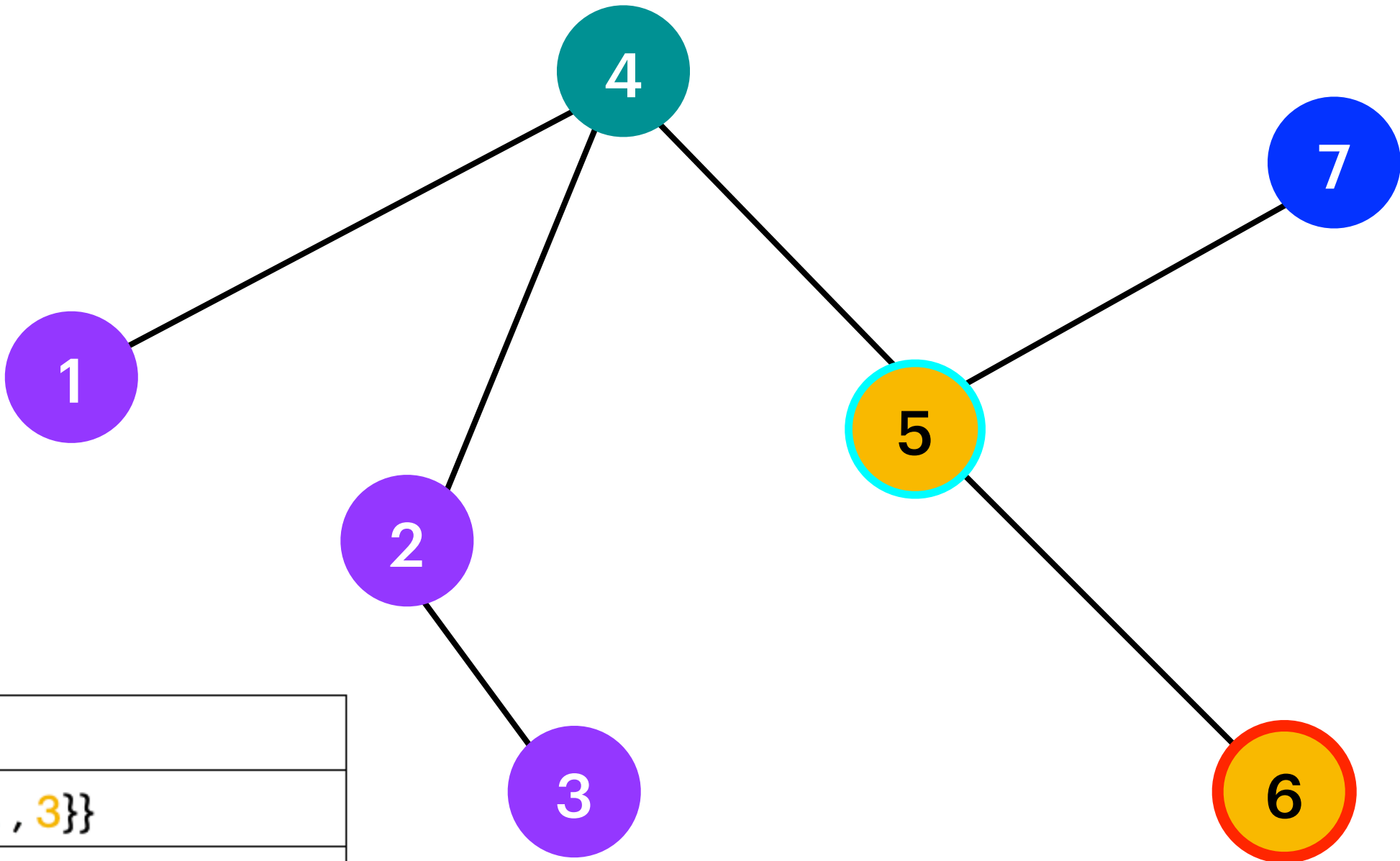
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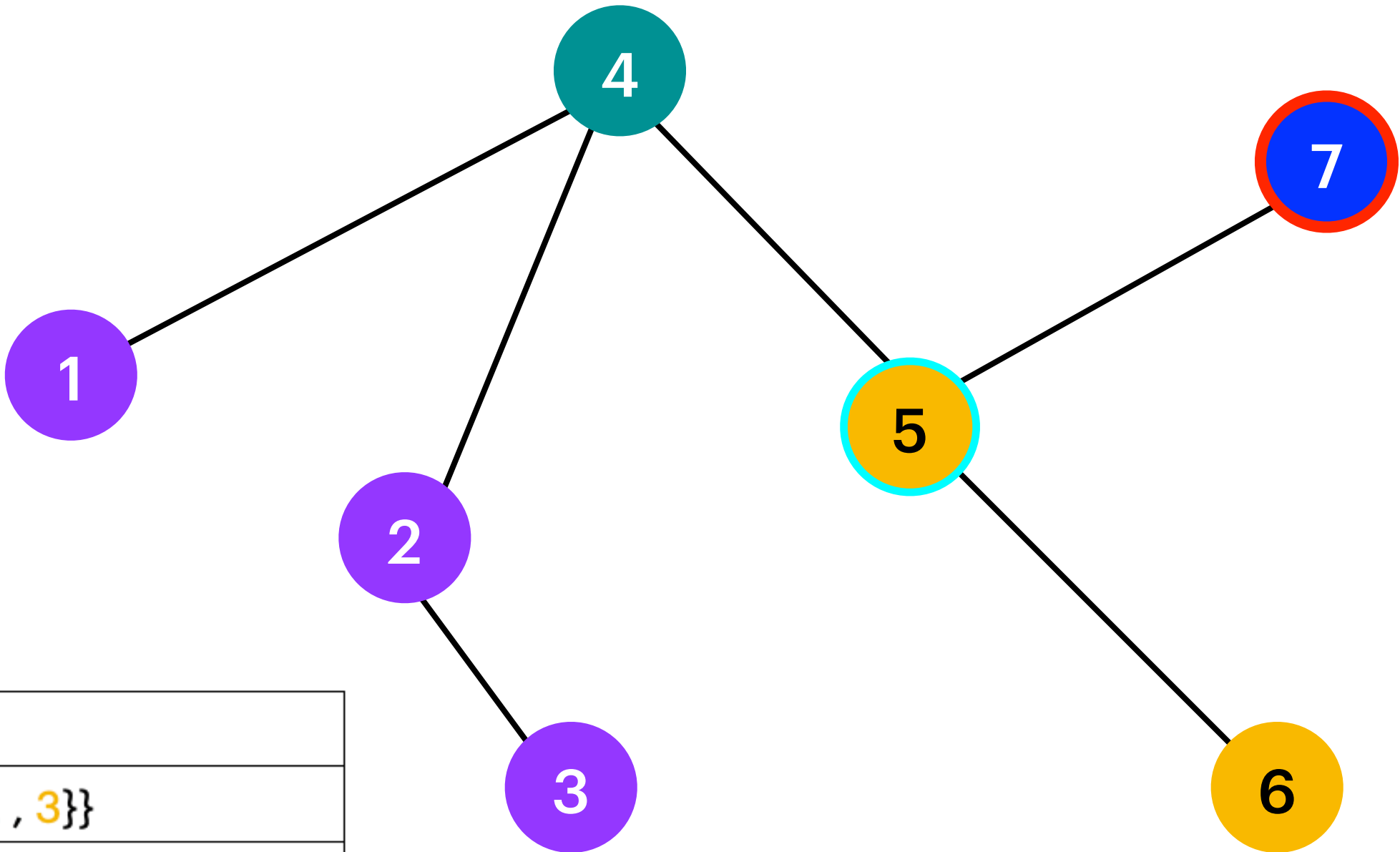
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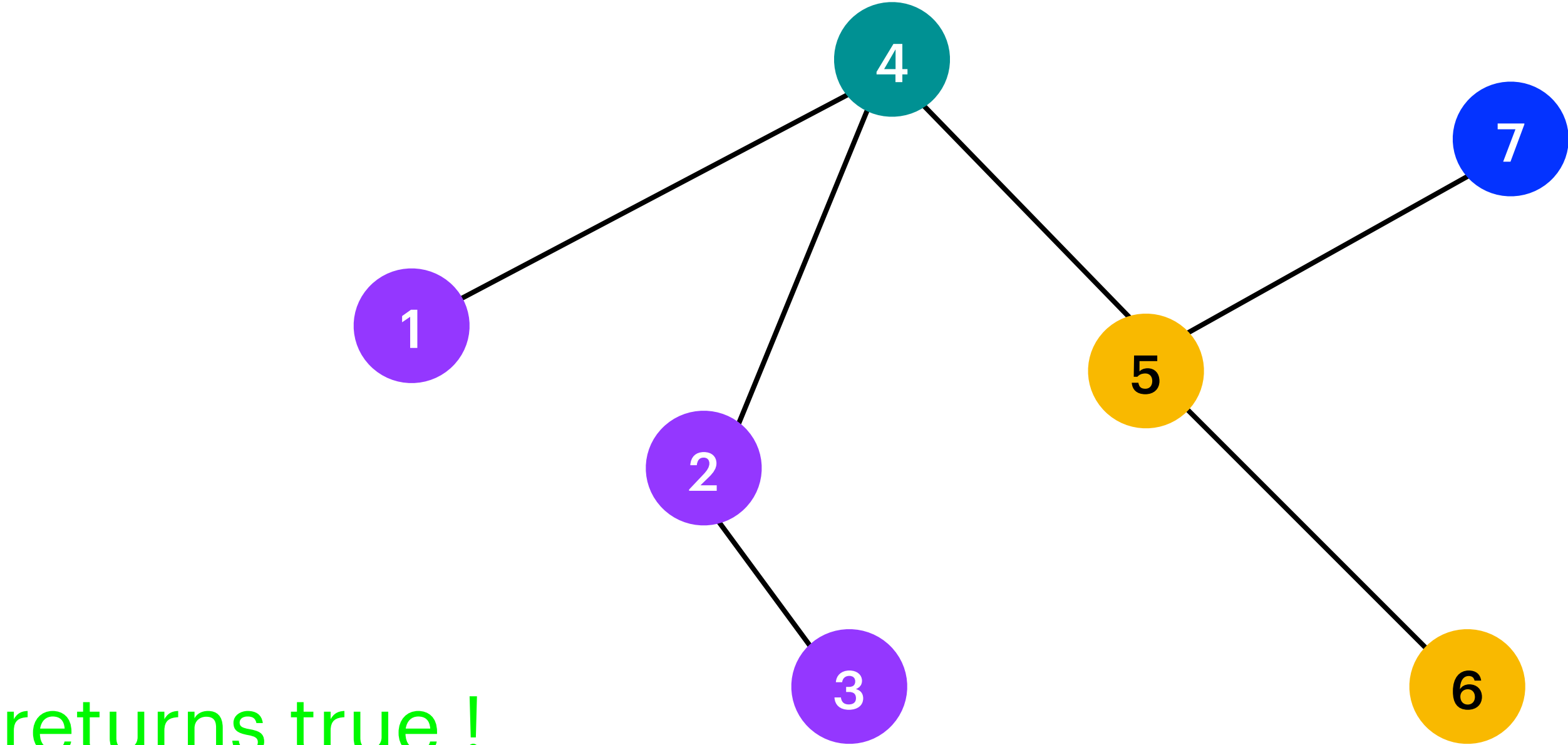
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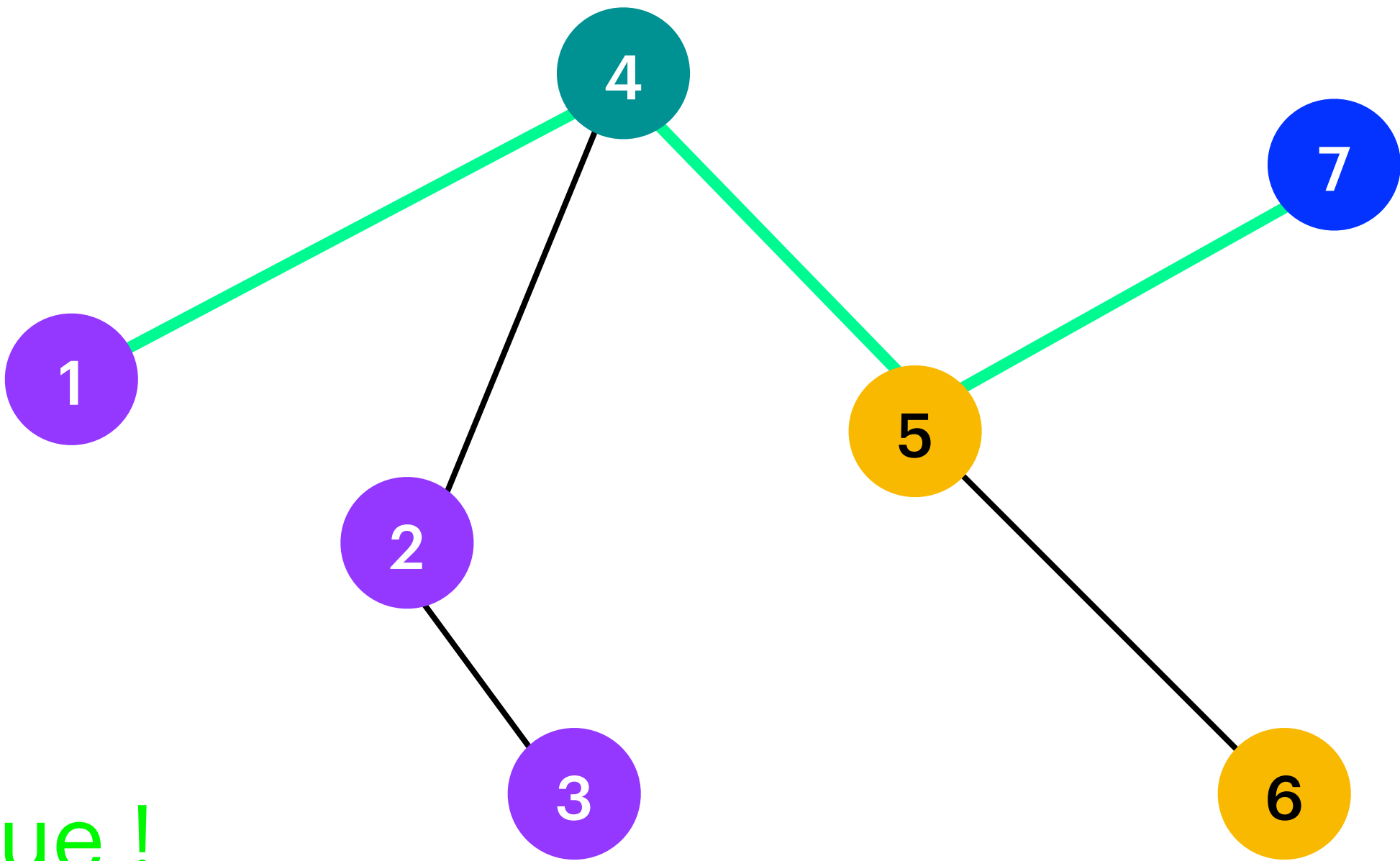
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returns true !

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given : A graph $G = (V, E)$
A coloring of its vertices with k colors $\gamma : V \rightarrow [k]$
to find : Does there exist a colorful path of length $k - 1$ in a randomly colored graph ?
A path is colorful if all of the vertices in the path have a different color
 \exists colorful path of length $k - 1 \iff \bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$

1 , 2 , 3 , 4

Colorful Paths

Algorithm + Probability

given : A graph $G = (V, E)$

A coloring of its vertices with k colors $\gamma : V \rightarrow [k]$

to find : Does there exist a **colorful** path of length $k - 1$ in a randomly colored graph ? A path is **colorful** if all of the vertices in the path have a different color

$$\exists \text{ colorful path of length } k - 1 \iff \bigcup_{v \in V} P_{k-1}(v) \neq \emptyset$$

$$\mathcal{O}(2^k \cdot k \cdot m)$$

| Algorithm 1: COLORFUL(G, i) | G a γ -colored graph |
|--|-------------------------------|
| <pre> 1 forall $v \in V$ do 2 $P_i(v) \leftarrow \emptyset;$ 3 forall $x \in N(v)$ do 4 forall $R \in P_{i-1}(x)$ such that $\gamma(v) \notin R$ do 5 $P_i(v) \leftarrow P_i(v) \cup \{R \cup \{\gamma(v)\}\};$ </pre> | |

| Algorithm 2: RAINBOW(G, γ) | G a graph, γ a k -coloring |
|---|---------------------------------------|
| <pre> 1 forall $v \in V$ do 2 $P_0(v) \leftarrow \{\{\gamma(v)\}\};$ 3 for $i = 1$ to $k - 1$ do 4 COLORFUL(G, i); 5 return $\bigcup_{v \in V} P_{k-1}(v) \neq \emptyset;$ </pre> | |

Satz 3.2

Let G be a graph that contains a path of length $k - 1$.

1. A random coloring of the graph using k colors produces a colorful path of length $k - 1$ with probability at least

$$p_{\text{success}} \geq \frac{k!}{k^k} \geq e^{-k}$$

2. If we repeat the coloring process multiple times, then the **expected number of repetitions** needed until success is at most

$$\frac{1}{p_{\text{success}}} \leq e^k$$

Satz 3.3

1. The full randomized algorithm (including repetitions) has a runtime of

$$\mathcal{O}(\lambda \cdot (2e)^k \cdot km)$$

where $\lambda > 1$ is a tunable parameter (confidence level).

2. If the algorithm answers **YES**, then the graph **does contain** a path of length $k - 1$.
3. If the graph does contain a path of length $k - 1$, then the probability that the algorithm answers **NO** is at most

$$e^{-\lambda}$$

Helper

Mathematical Tools and Notations

$$[n] := \{1, 2, \dots, n\}$$

$$[n]^k := \text{the set of sequences over } [n] \text{ of length } k$$

$$|[n]^k| = n^k$$

$$\binom{[n]}{k} := \text{the set of } k\text{-element subsets of } [n]$$

$$\left| \binom{[n]}{k} \right| = \binom{n}{k}.$$

The k nodes on a path of length $k - 1$ can be colored using $[k]$ in exactly k^k ways
 $k!$ of these colorings use each color exactly once

Helper

Mathematical Tools and Notations

Handshaking lemma : For all graphs , it holds that $\sum_{v \in V} \deg(v) = 2 |E|$.

If you repeat an experiment with success probability p until success, then the expected number of trials is $\frac{1}{p}$ ($Geo(p)$)

Helper

Mathematical Tools and Notations

For $c, n \in \mathbb{R}^+$, it holds that $c^{\log n} = n^{\log c}$

$2^{\log n} = n^{\log 2} = n$ and $2^{\mathcal{O}(\log n)} = n^{\mathcal{O}(1)}$ is always polynomial in n

For $n \in \mathbb{N}_0$, it holds that $\sum_{i=0}^n \binom{n}{i} = 2^n$ (binomial theorem)

For $n \in \mathbb{N}_0$, it holds that $\frac{n!}{n^n} \geq e^{-n}$ (power series expansion of the exponential function)



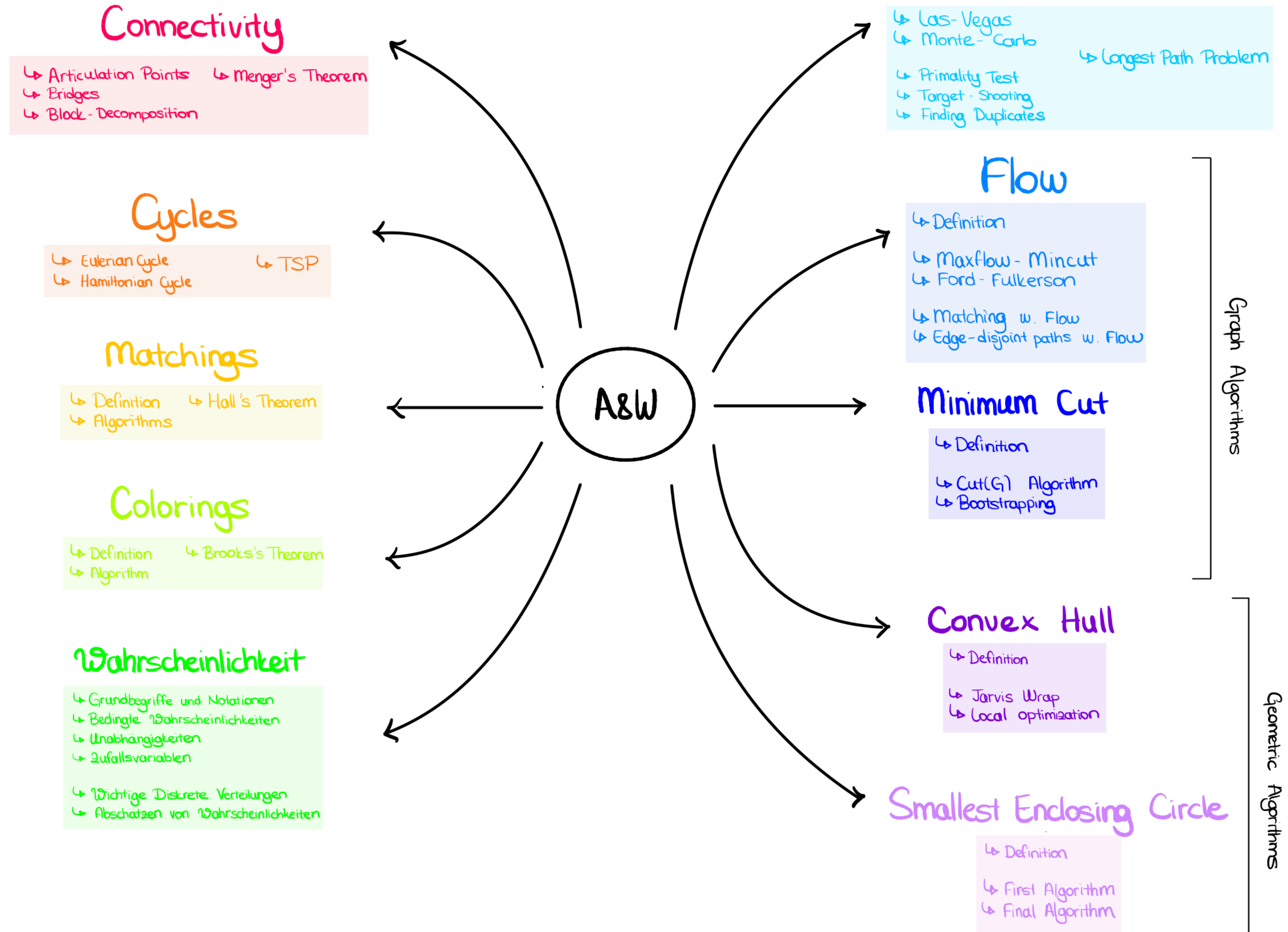
A&W

Exercise Session 11

Flow

Nil Ozer

A&W Overview



Last Weeks ...

- 08.05 : Randomized Algorithms II
- 15.05 : Flow
- 22.05 online : Minimum Cut , Convex Hull I (shortly remaining primality tests)
- 28.05 extra session : Convex Hull II , Smallest Enclosing Cycle
- 30.05 last extra session : Exam Prep Session + Pizza and Drinks

Outline

- Minitest 5
- Flow

Minitest 5

Flow

Flow

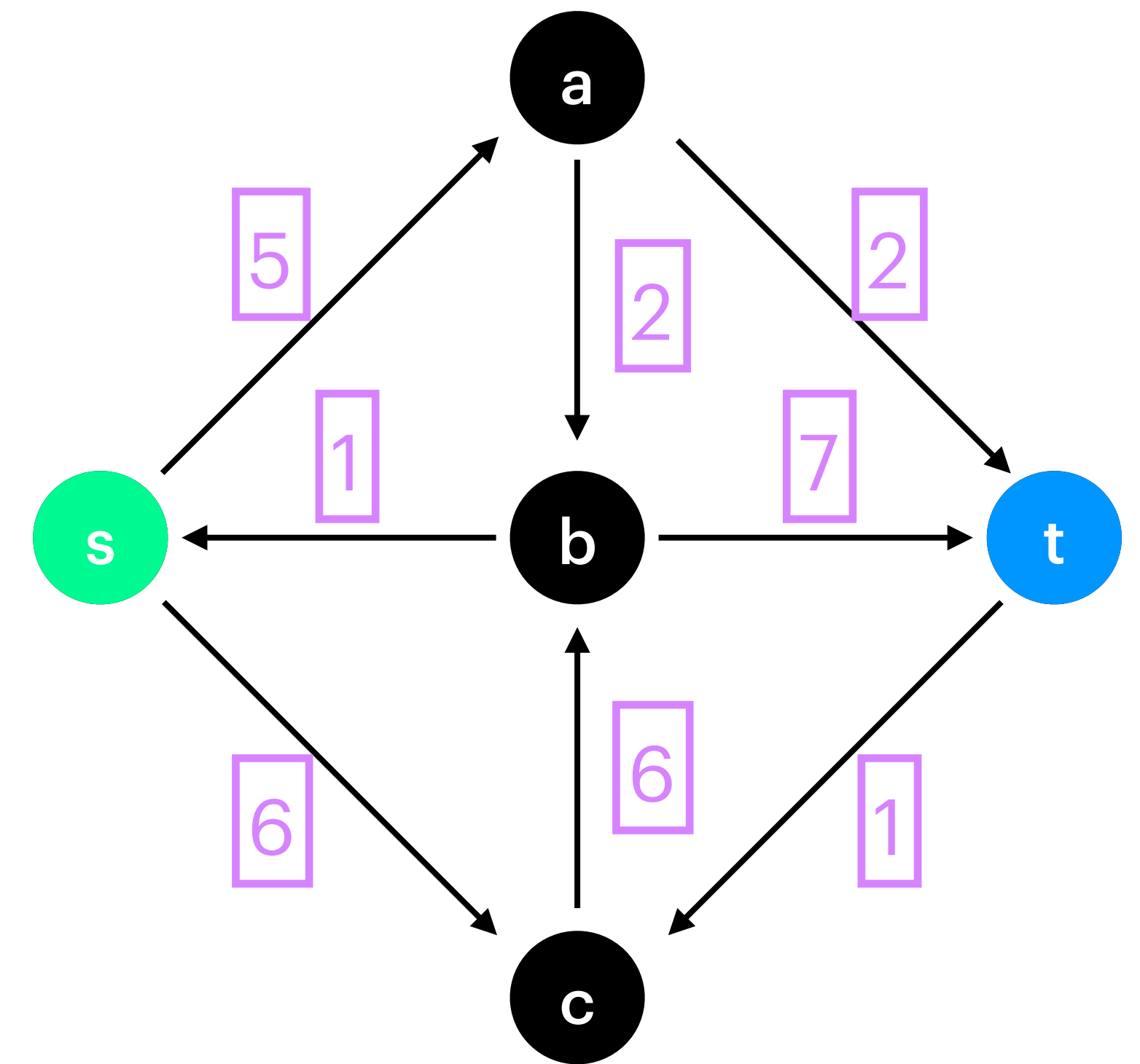
Definitions

- Network N :
 - $N = (V, A, c, s, t)$
 - (V, A) is a directed graph (without loops)
 - $s \in V$ is the source
 - $t \in V \setminus s$ is the sink
 - $c : A \rightarrow \mathbb{R}_0^+$ is the capacity function

Flow

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Flow Definitions

- Flow f in N : $f: A \rightarrow \mathbb{R}$

Capacity constraint : $0 \leq f(e) \leq c(e)$ for all $e \in A$

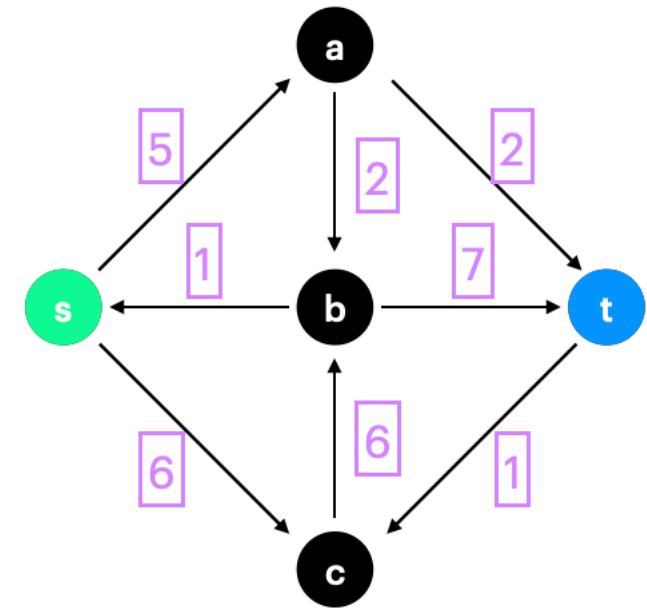
Flow conservation : $\sum_{u \in V: (u,v) \in A} f(u,v) = \sum_{u \in V: (v,u) \in A} f(v,u)$ for all $v \in V \setminus \{s, t\}$

The value of a flow : $\text{val}(f) := \text{netoutflow}(s) := \sum_{u \in V: (s,u) \in A} f(s,u) - \sum_{u \in V: (u,s) \in A} f(u,s)$

what flows out of the source, must flow into the sink

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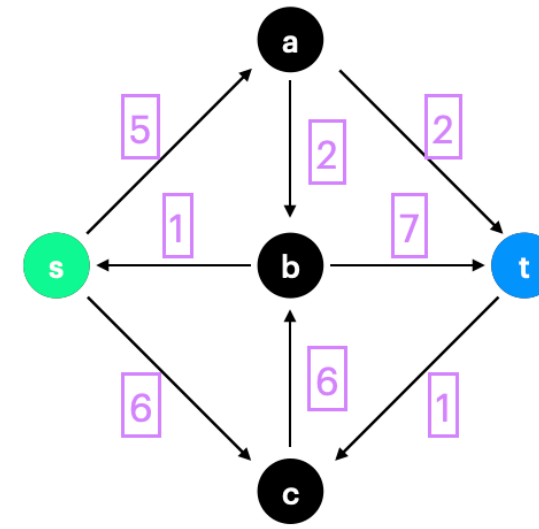
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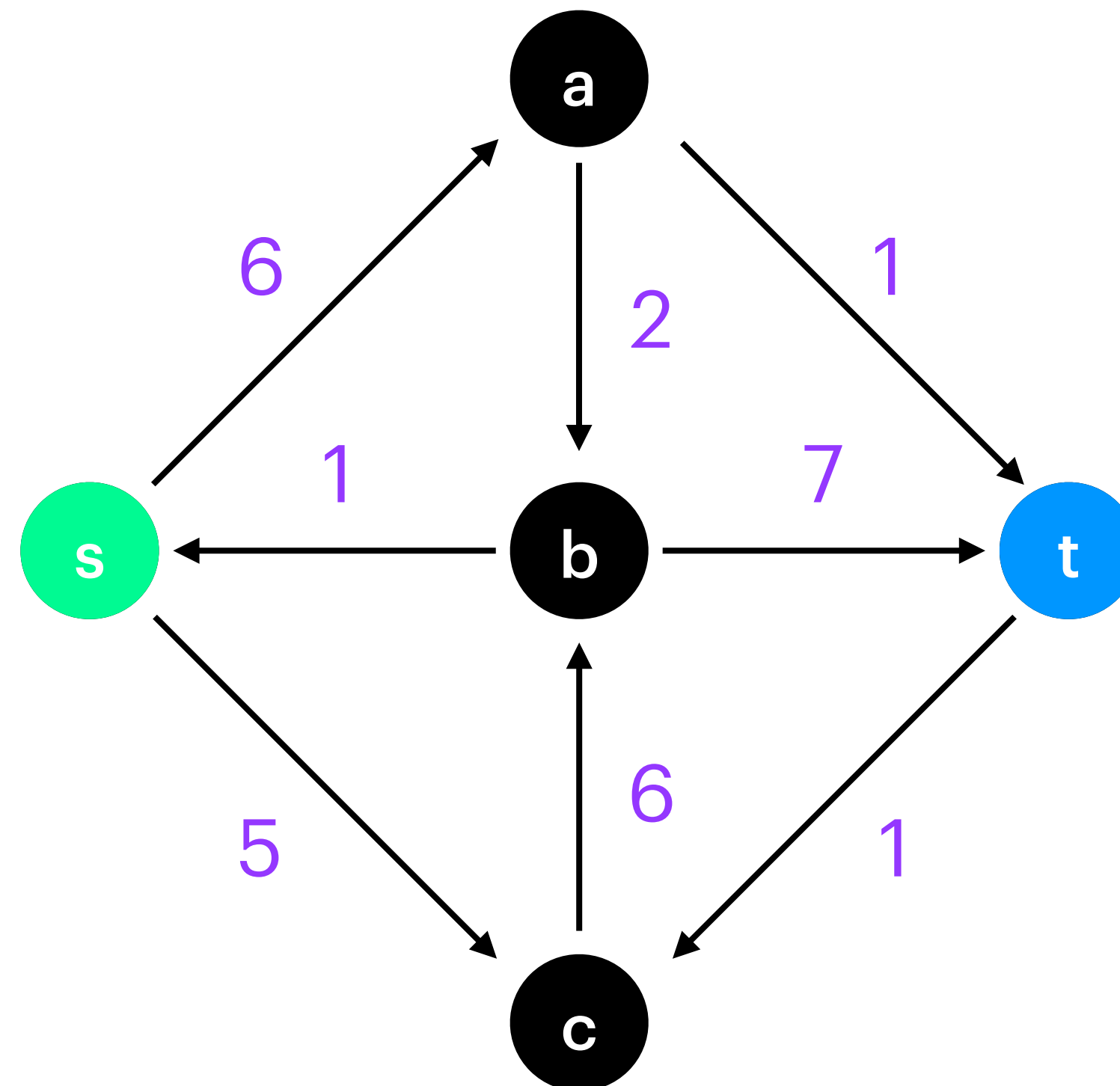
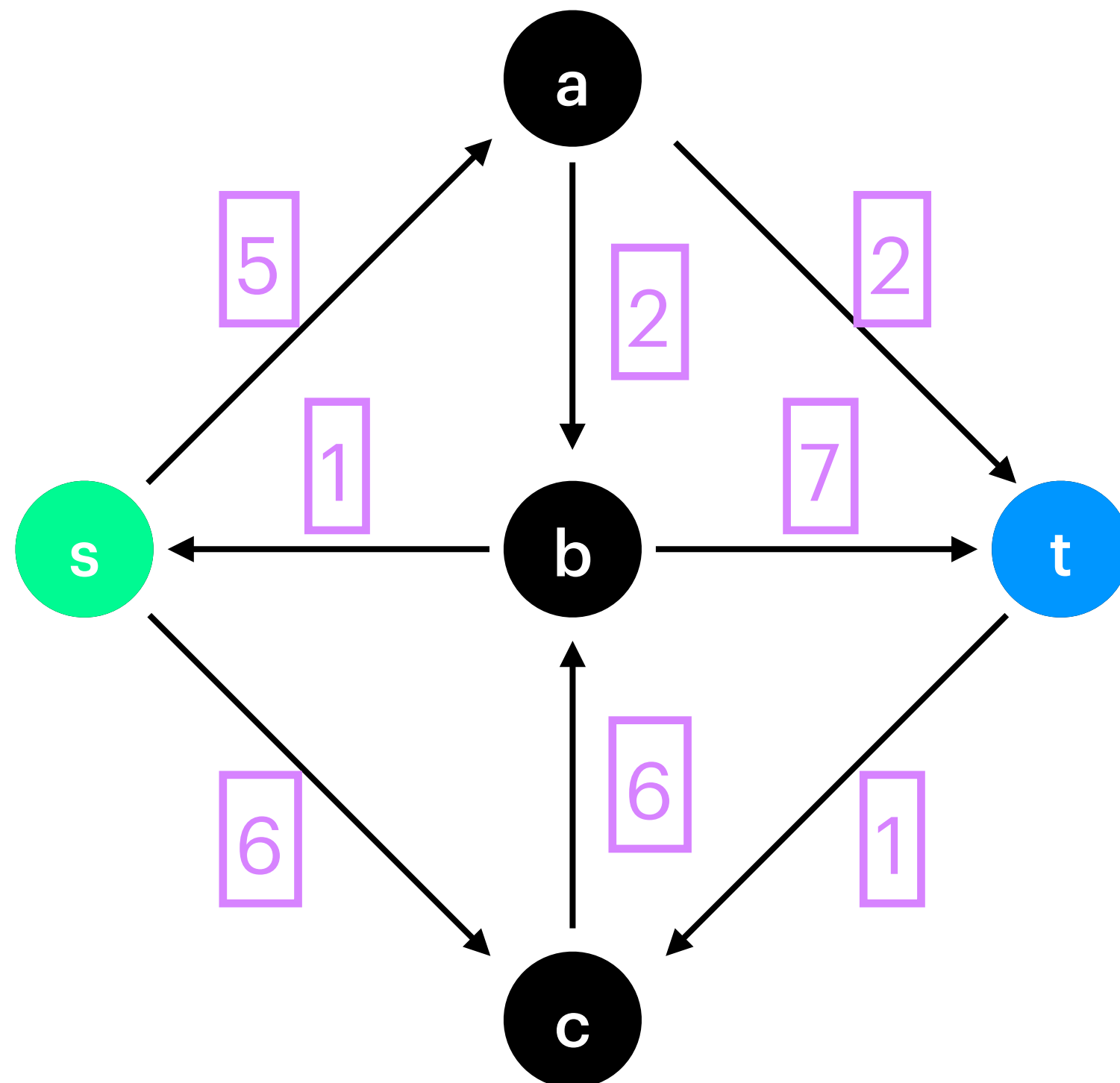
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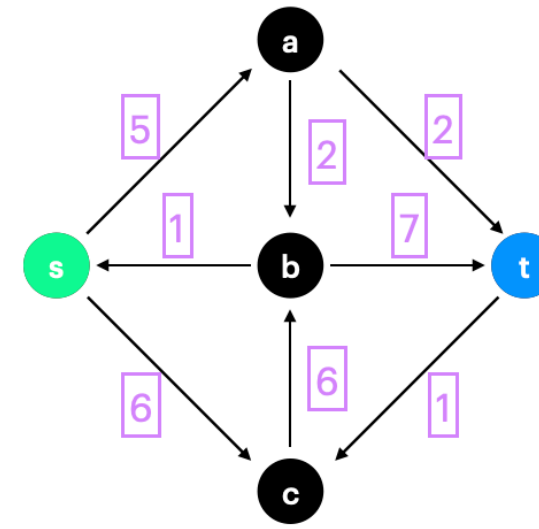
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Is this a correct flow function ?



Flow Definitions

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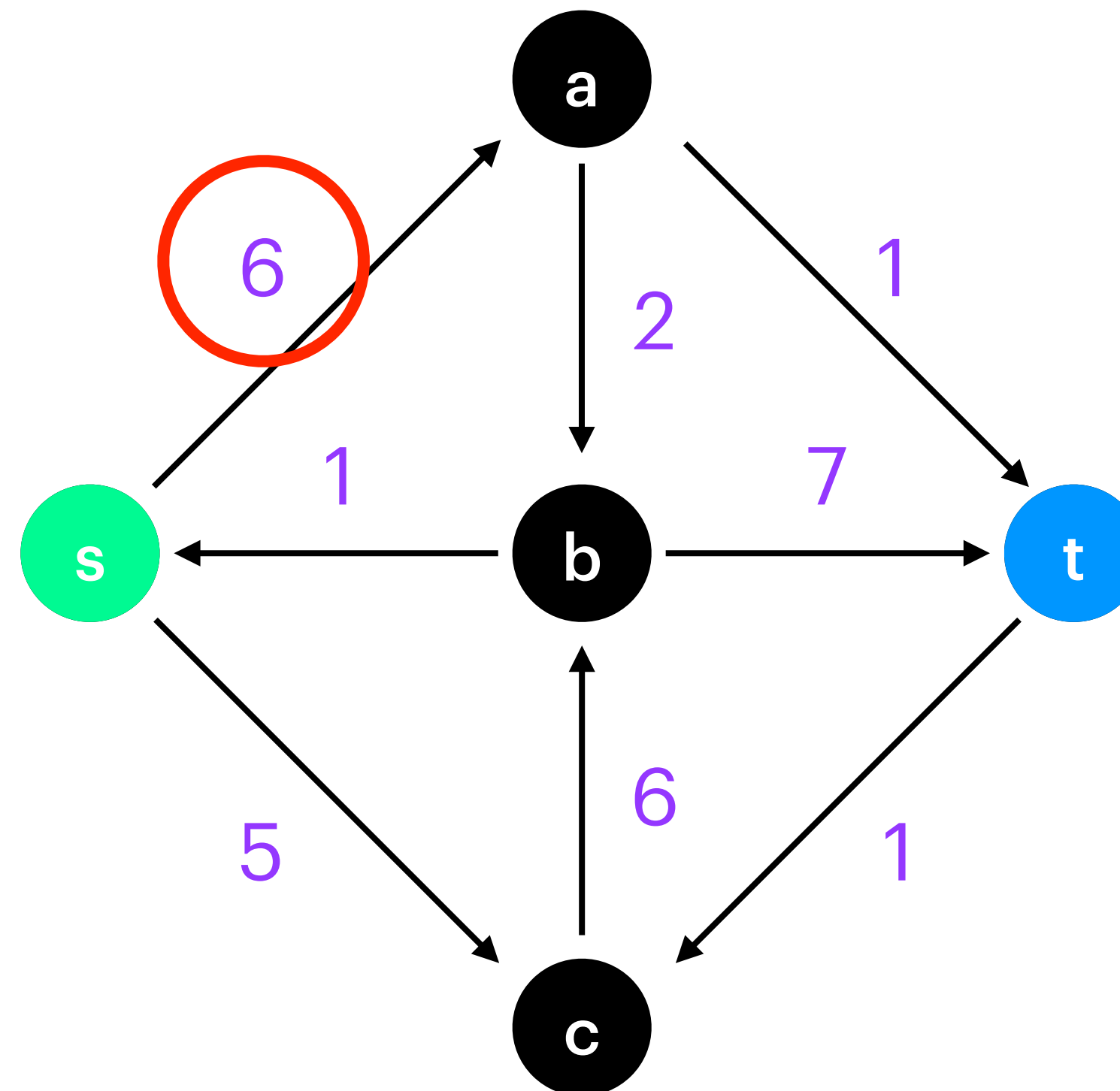
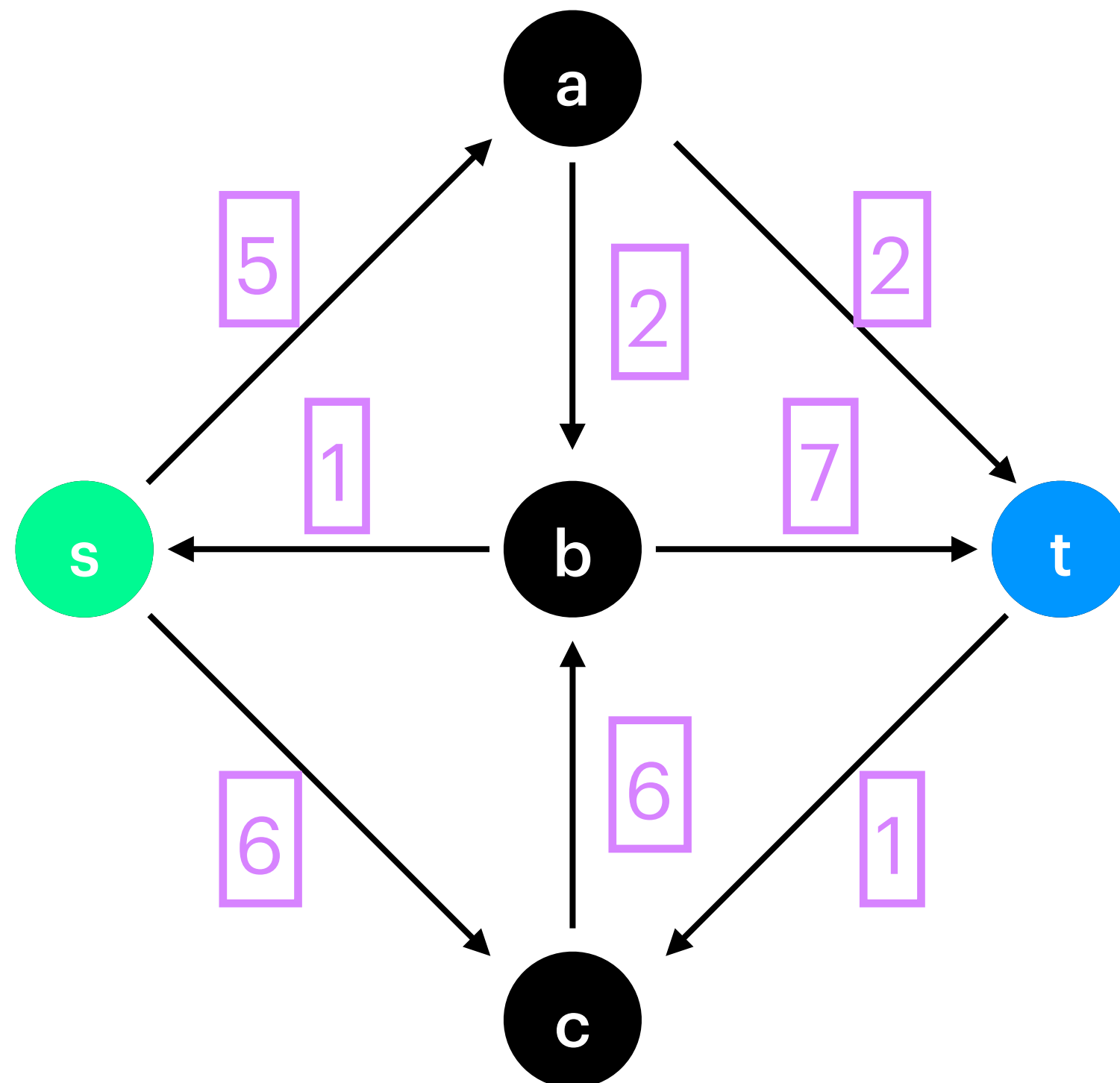
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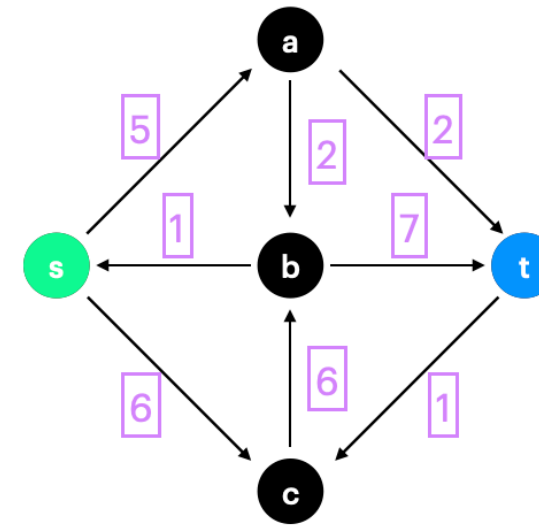
No !

Harms the capacity constraint

$0 \leq f(e) \leq c(e)$ for all $e \in A$

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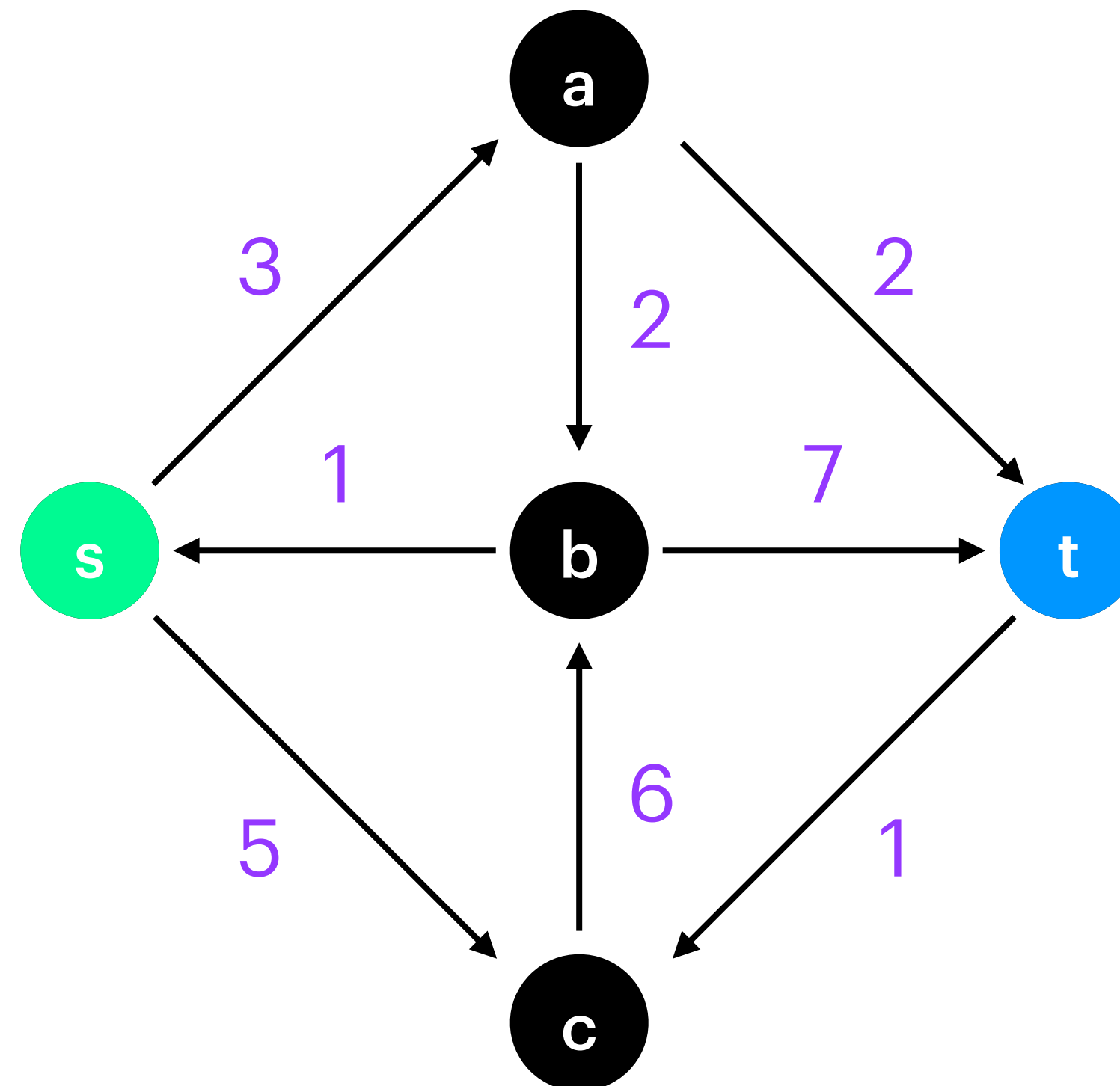
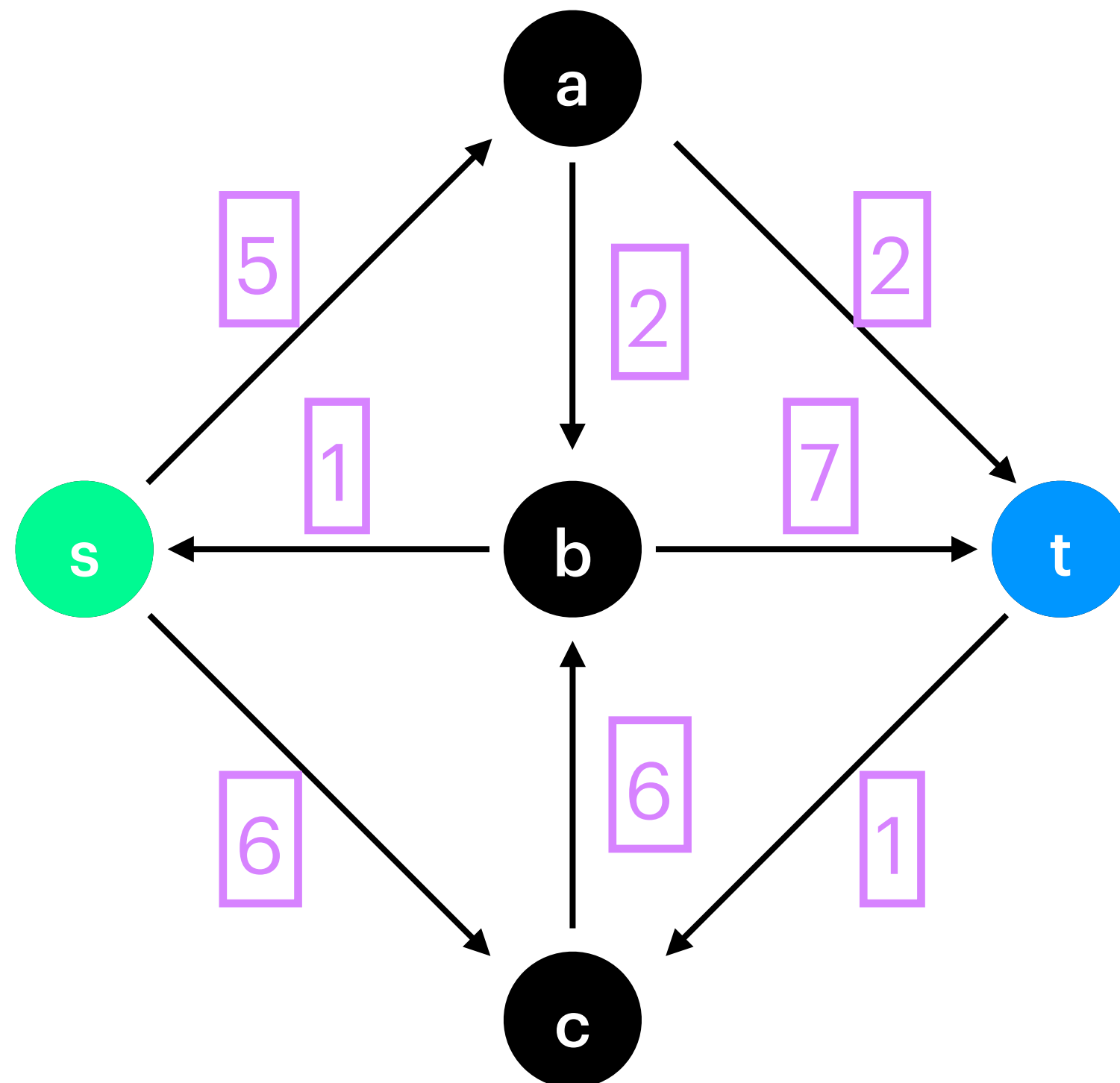
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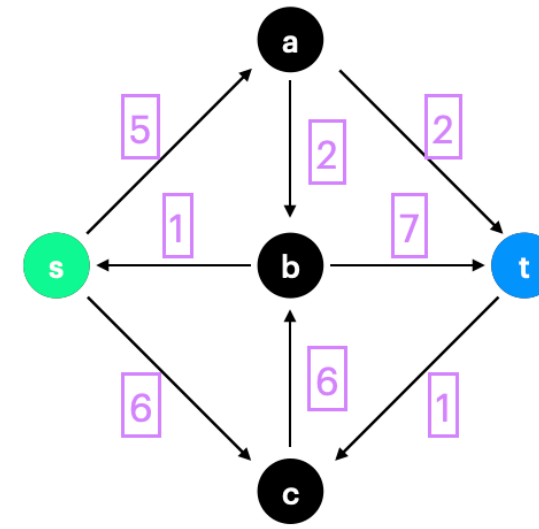
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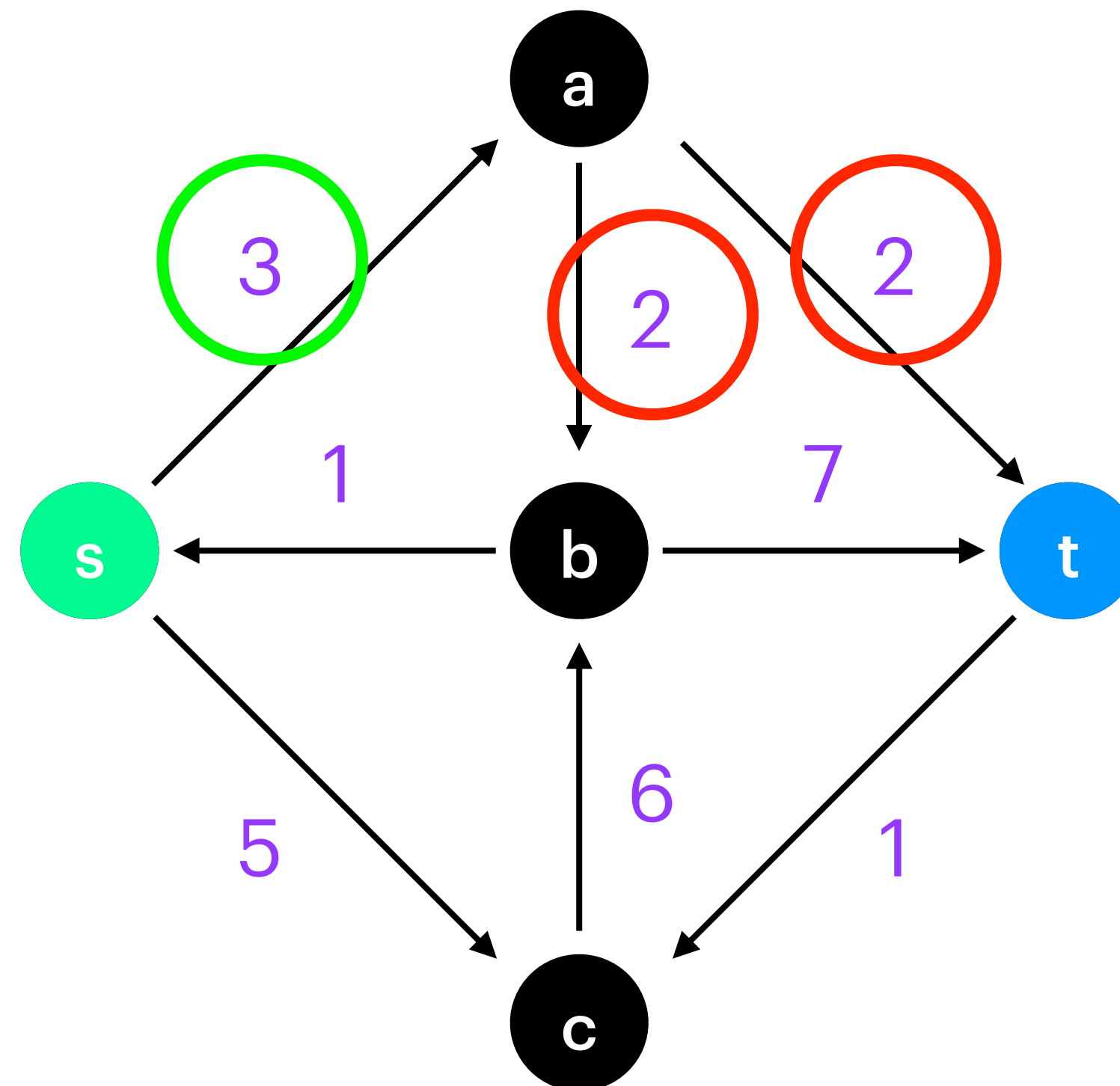
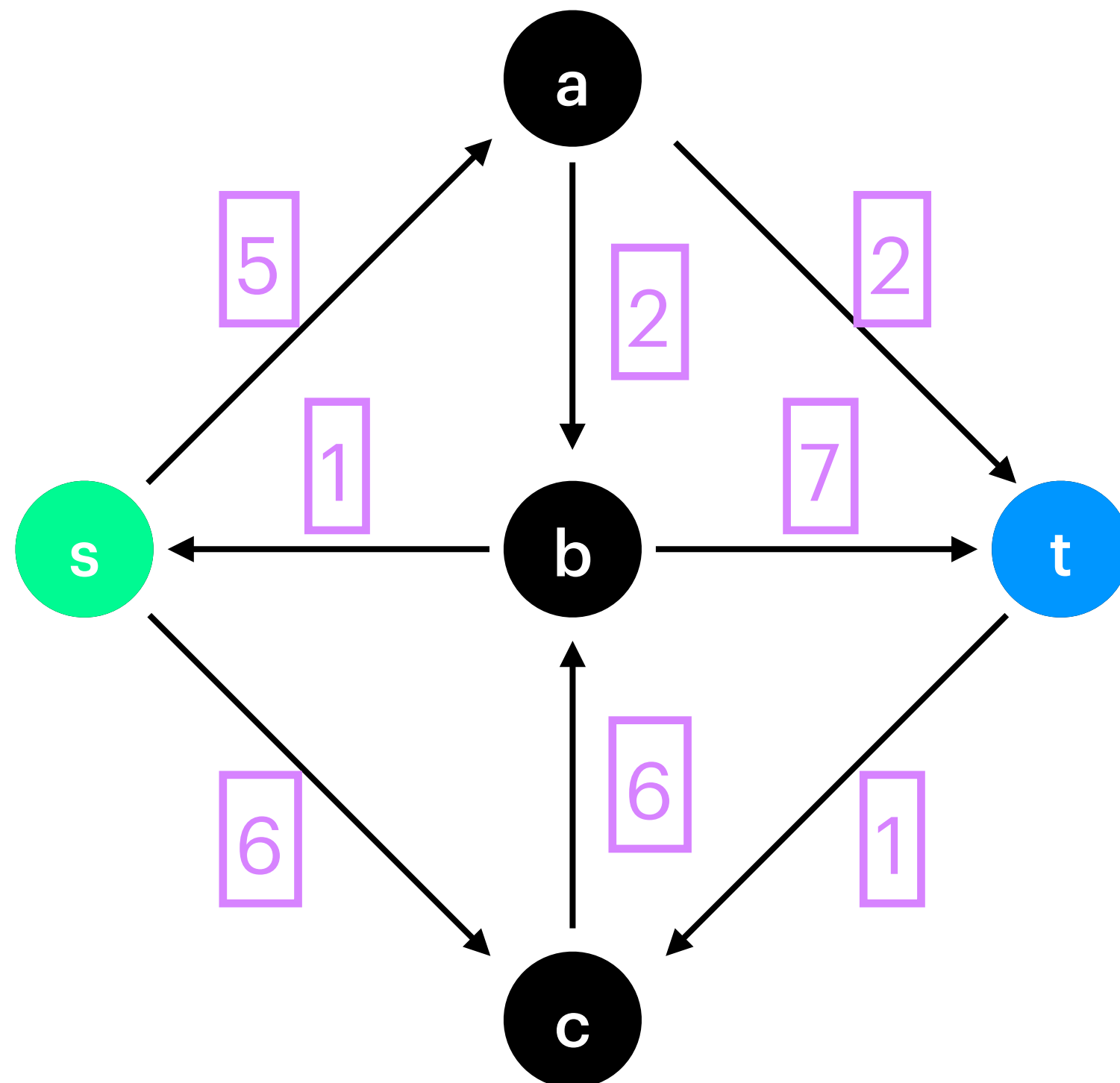
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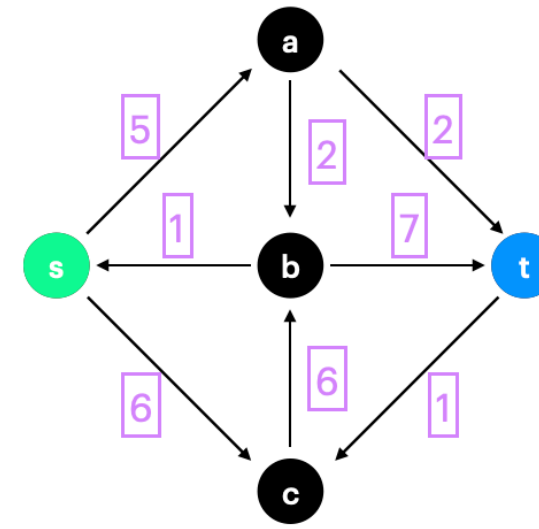
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$$\sum_{u \in V: (u,v) \in A} f(u,v) = \sum_{u \in V: (v,u) \in A} f(v,u) \text{ for all } v \in V \setminus \{s, t\}$$

the total inflow equals total outflow

Flow Definitions

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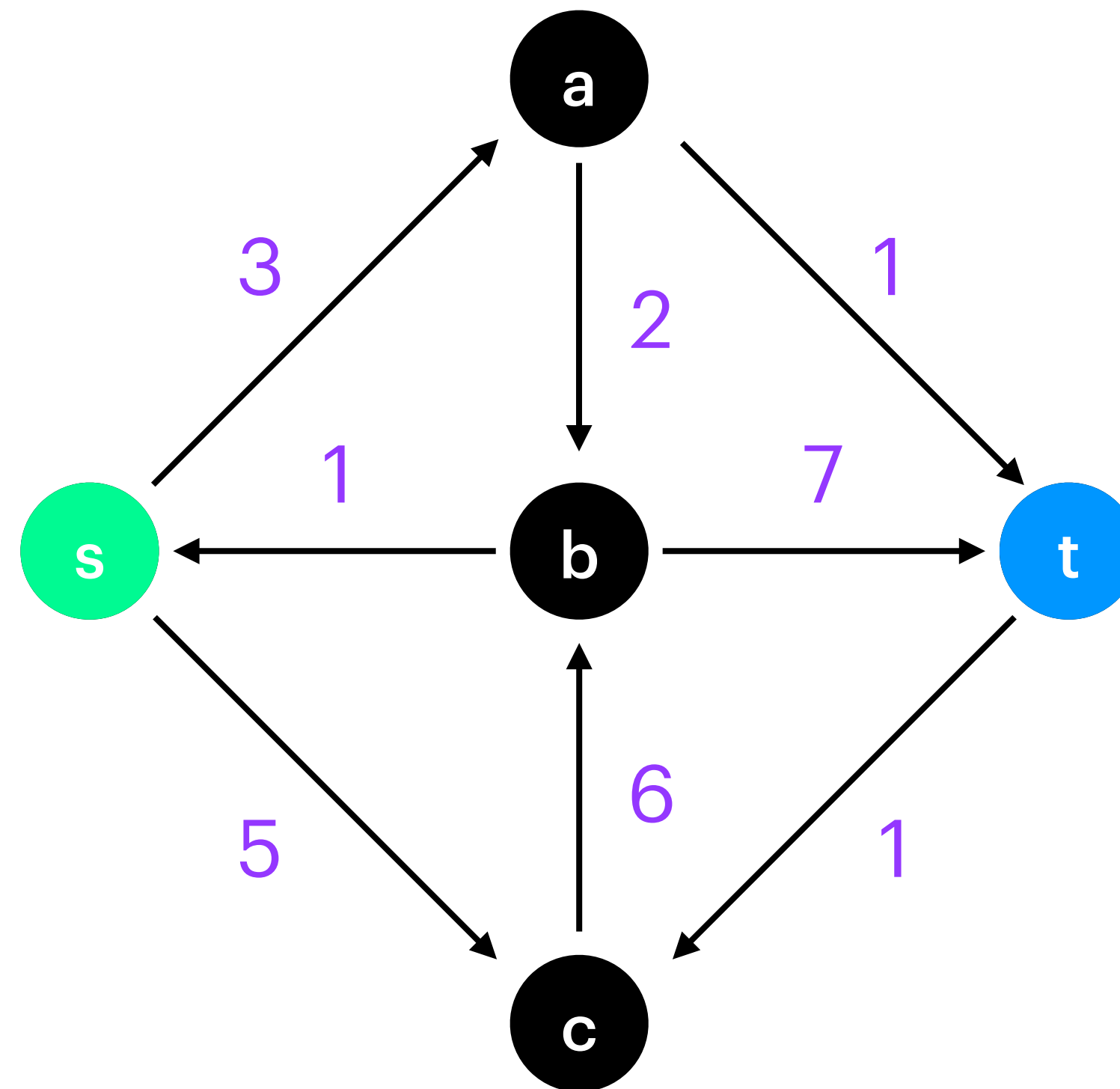
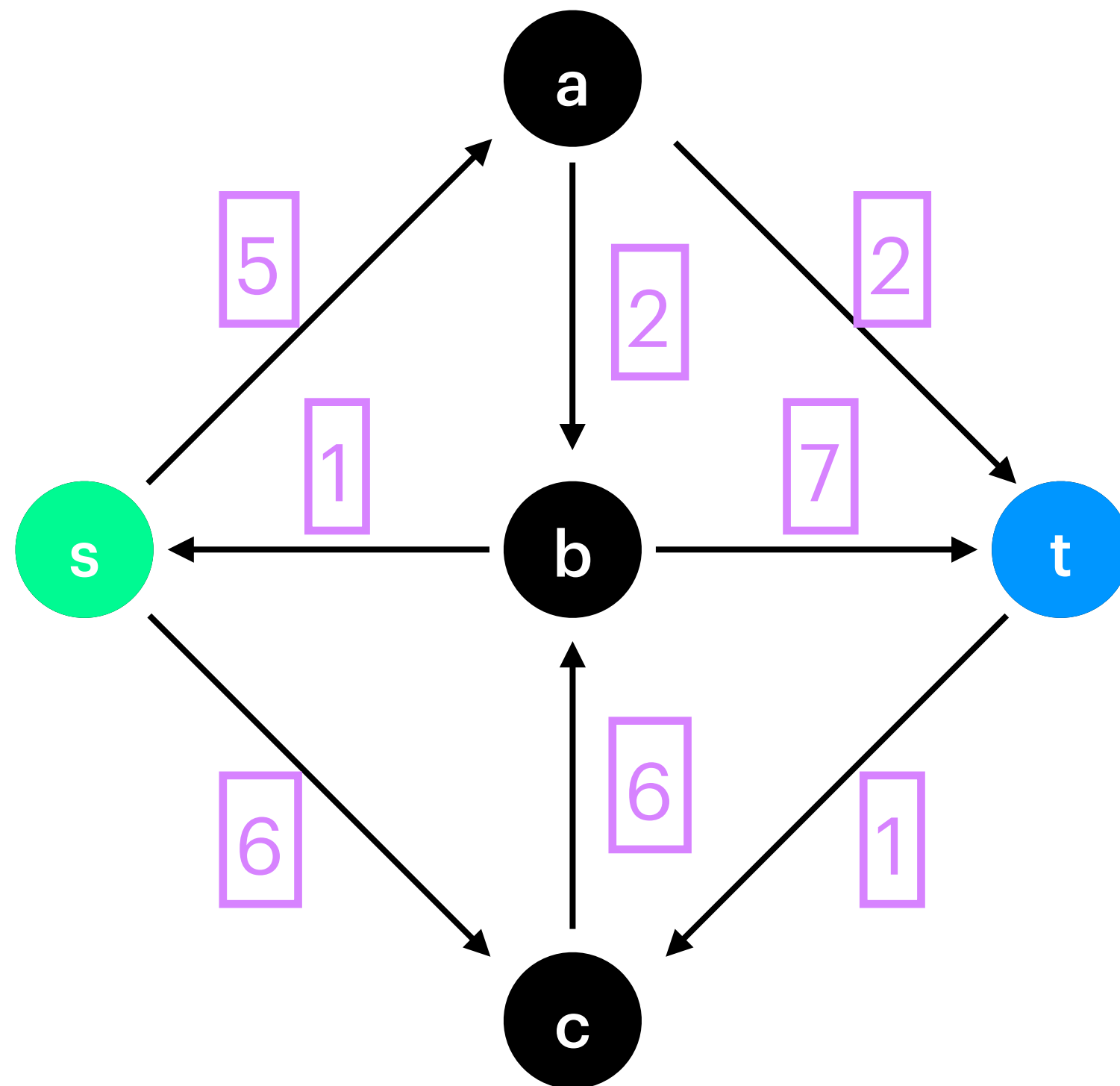
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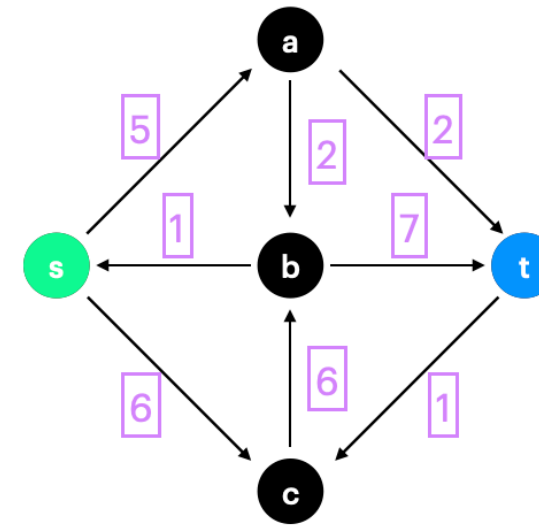
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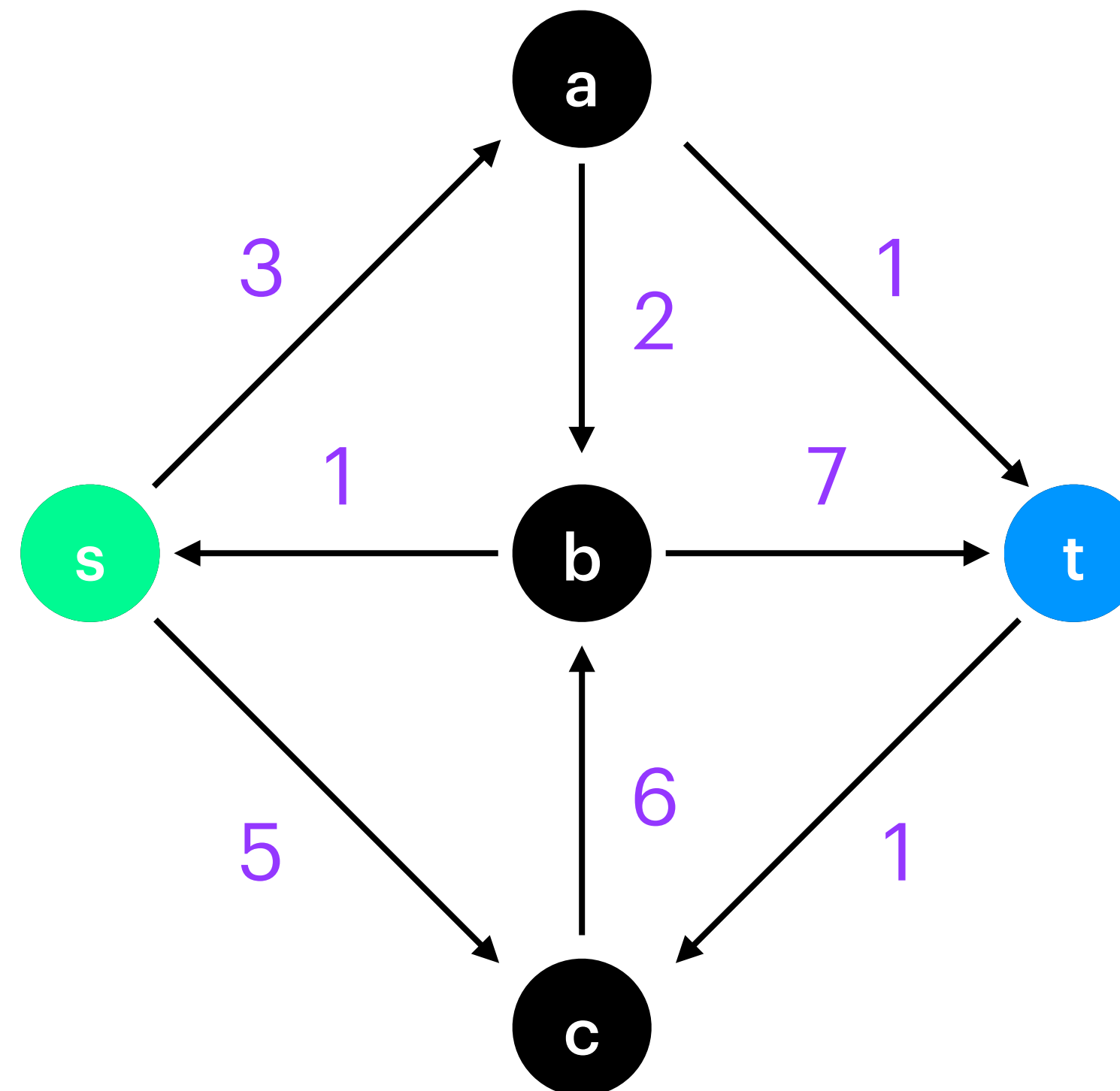
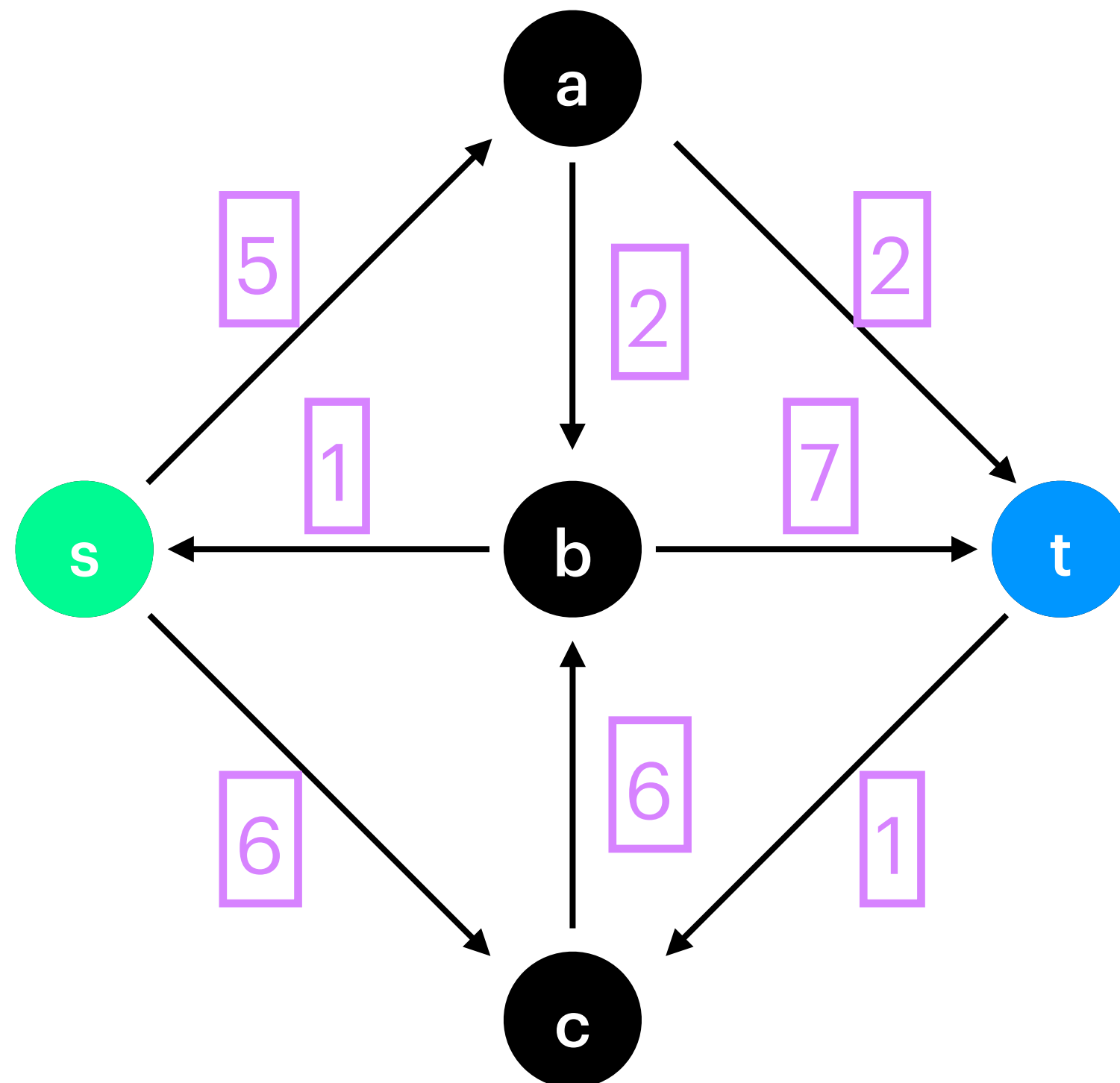
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what flows out of the source, must flow into the sink

$\text{val}(f) = \text{netinflow}(t) := \sum_{u \in V: (u,t) \in A} f(u,t) - \sum_{u \in V: (t,u) \in A} f(t,u)$

Is this a correct flow function ?



Yes !

What is $\text{val}(f)$?

$$3 + 5 - 1 = 7$$

$$7 + 1 - 1 = 7$$

what flows out of the source, must flow into the sink

Flow Definitions

- s-t-cut (S, T) for a network (V, A, c, s, t)

- is a partition of V

Partition (S, T) : $S \cup T = V$ und $S \cap T = \emptyset$

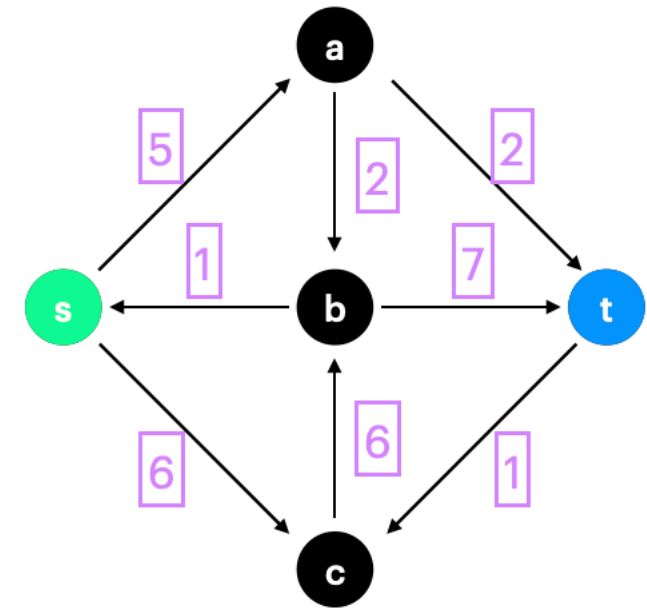
- (S, T) with $s \in S$ and $t \in T$

- capacity of an s-t-cut (S, T)

$$\text{cap}(S, T) := \sum_{(u,w) \in (S \times T) \cap A} c(u, w)$$

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Flow

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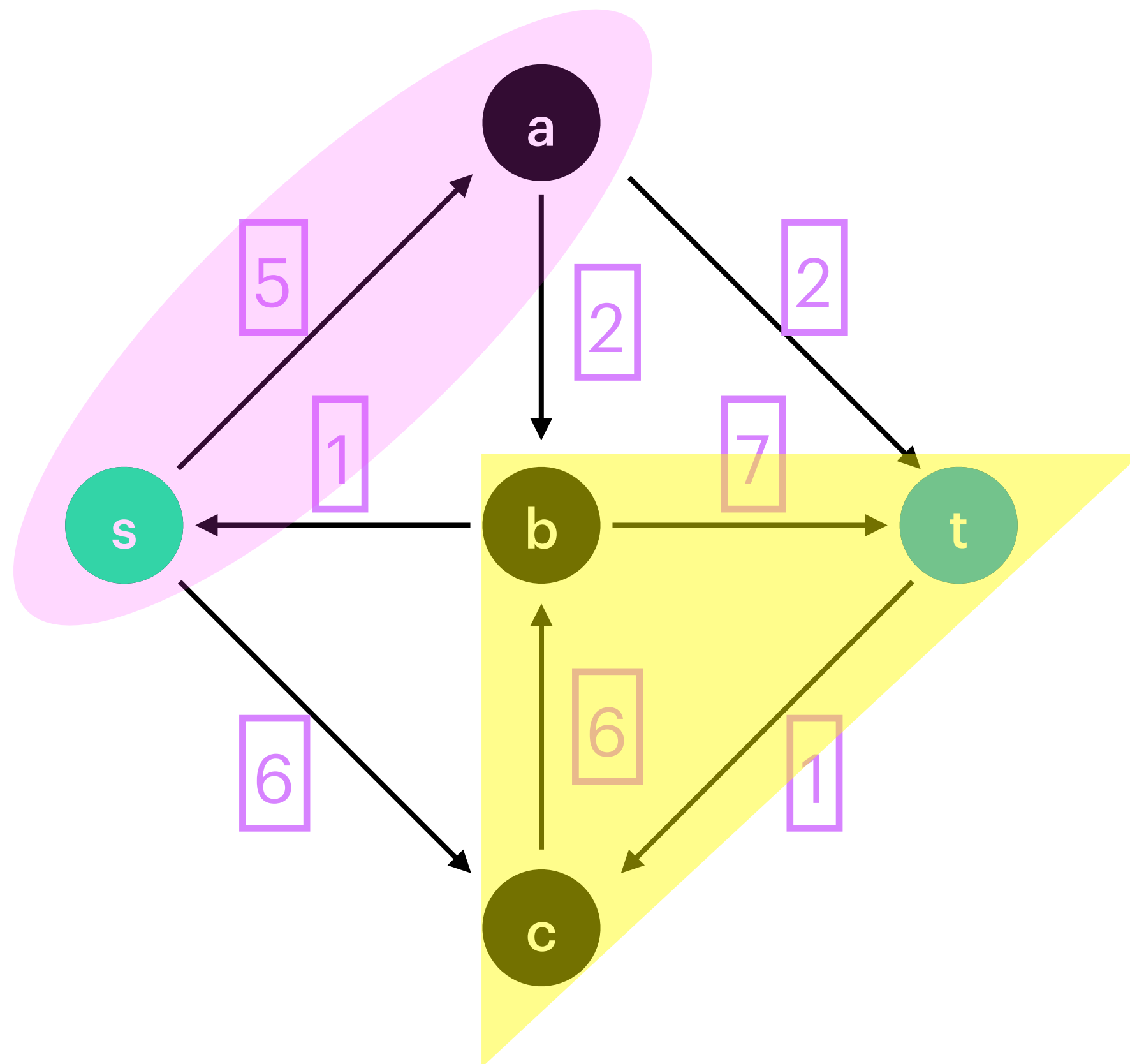
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$$\text{cap}(S, T) := \sum_{(u, w) \in (S \times T) \cap A} c(u, w)$$

$$\text{cap}(S, T) = ?$$



Flow

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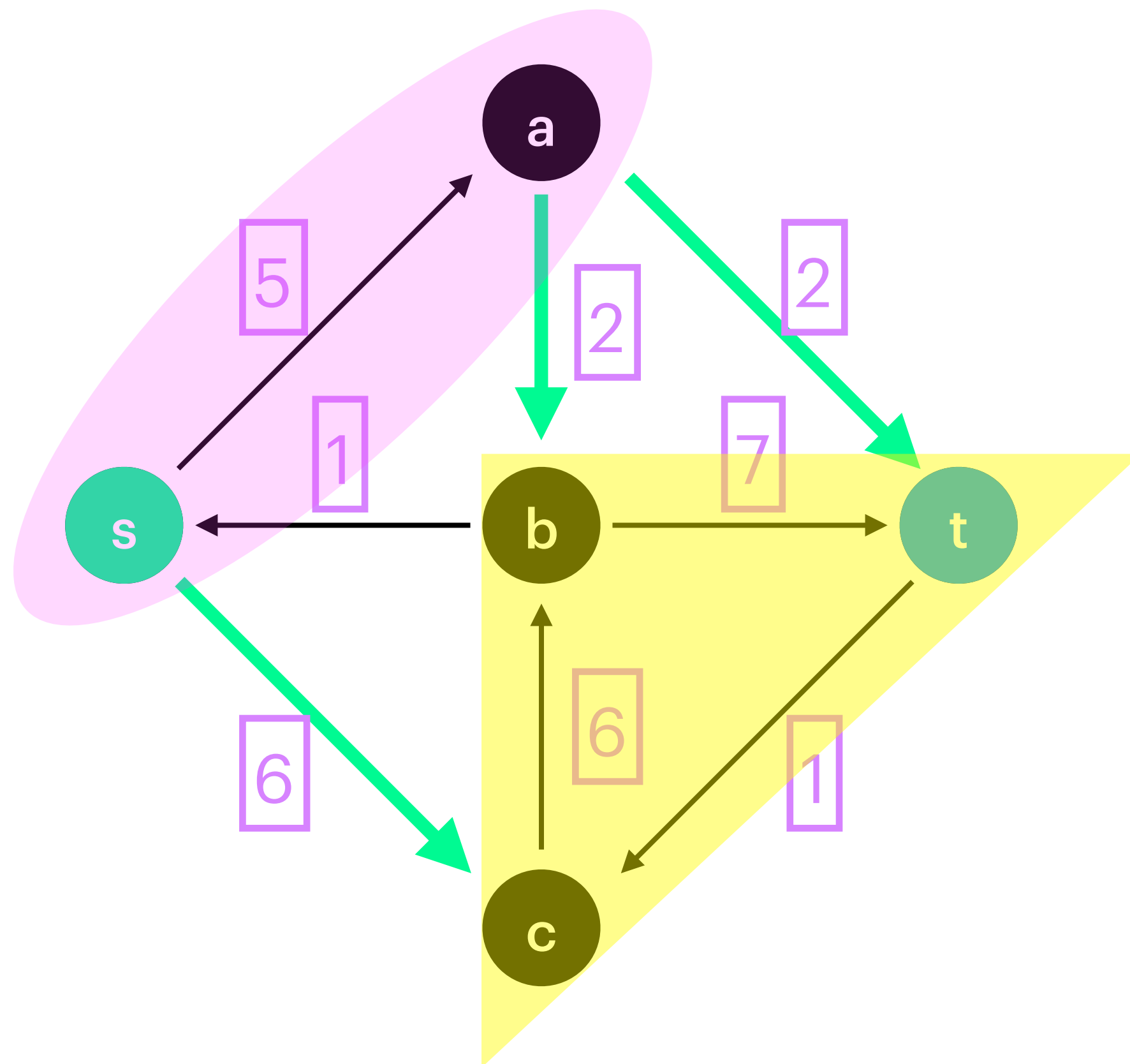
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$$\text{cap}(S, T) := \sum_{(u,w) \in (S \times T) \cap A} c(u, w)$$

$$\text{cap}(S, T) = 6 + 2 + 2 = 10$$



Flow Lemmas



If we can find an s-t-cut s.t. $\text{val}(f) = \text{cap}(S, T)$
then f is a maximum flow.

- Let f be a flow and (S, T) an s-t-cut in a network (V, A, c, s, t) :

$$\text{val}(f) \leq \text{cap}(S, T)$$

A flow can never exceed the capacity of an s-t-cut

- Maxflow-Mincut Theorem :

Every network satisfies

$$\max_f \text{val}(f) = \min_{(S,T) \text{ s-t-cut}} \text{cap}(S, T)$$

Flow

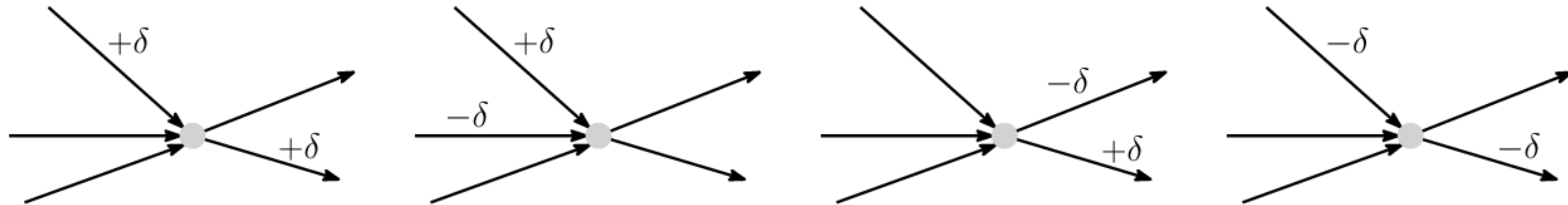
Problem Description

given : A network $N = (V, A, c, s, t)$

to find : A flow of maximum value

Flow

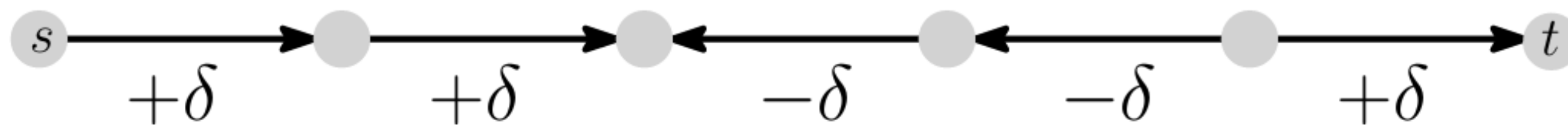
Local changes to the flow that preserve flow conservation



When increasing : watch out for the capacity

When decreasing : watch out for the previous flow

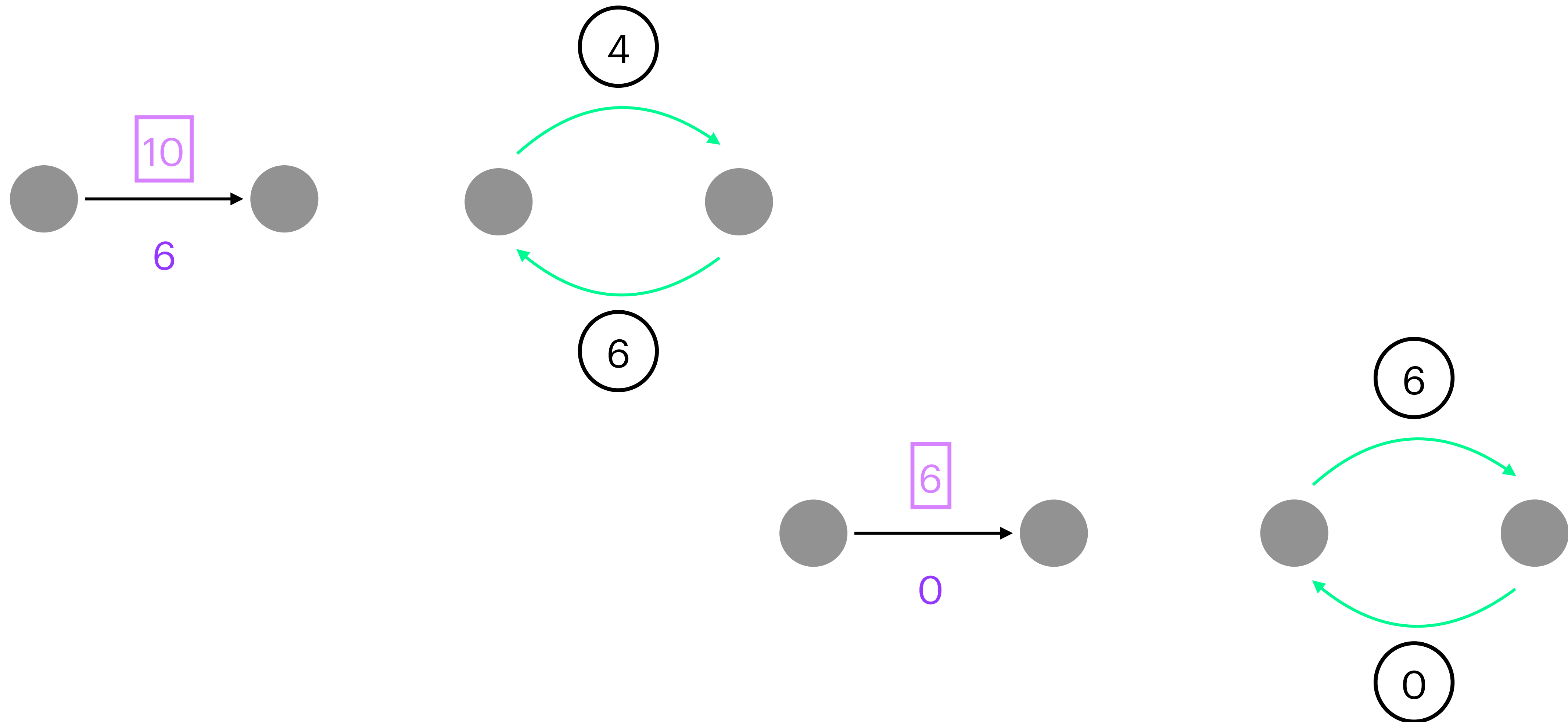
undirected augmenting path :



the total inflow equals
total outflow

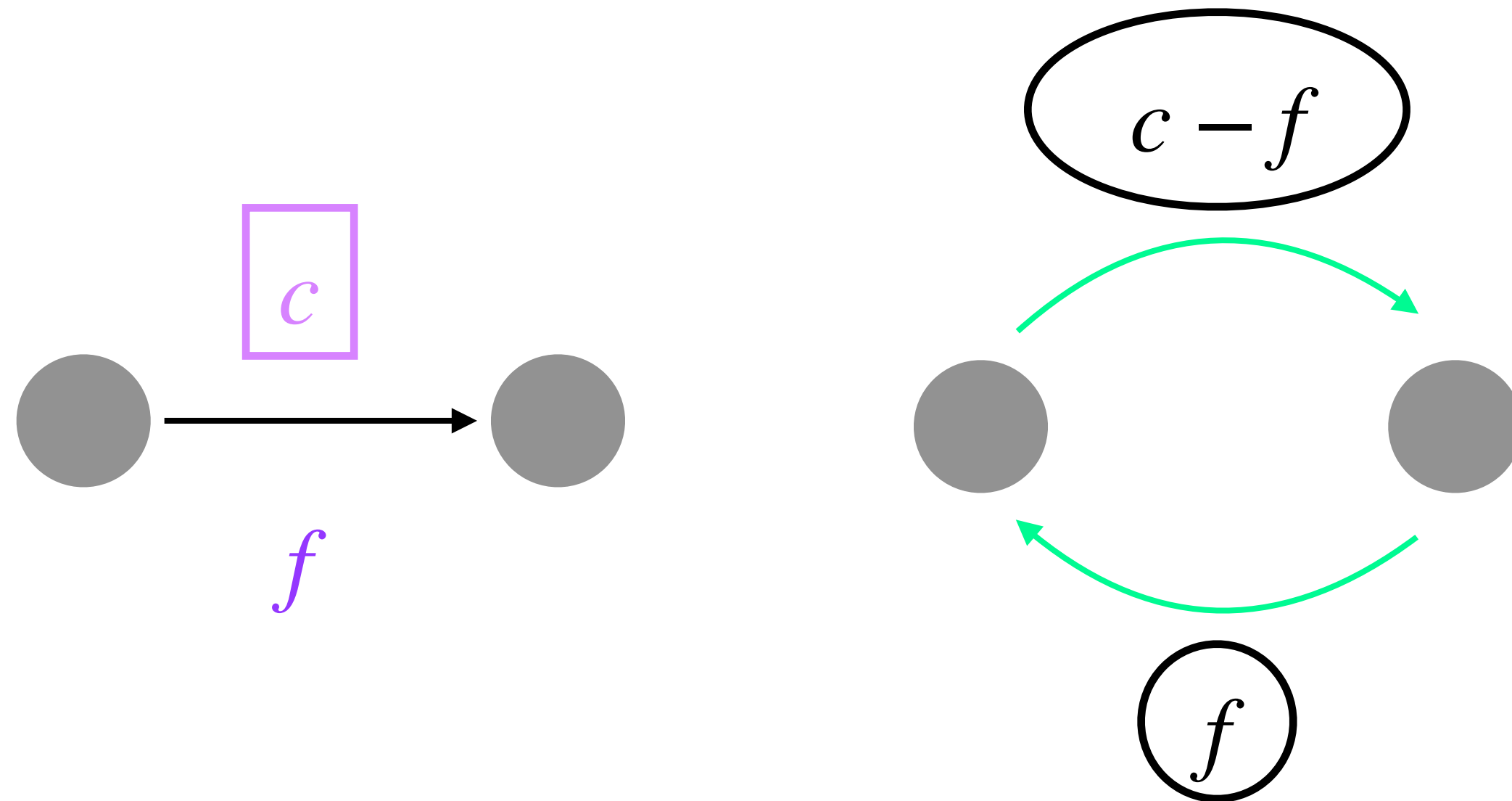
Flow

Local changes to the flow that preserve flow conservation



Flow

Local changes to the flow that preserve flow conservation



Flow

Residual Network

- Residual Network N_f :

- Let $N = (V, A, c, s, t)$ be a network, f flow for N

- $N_f = (V, A_f, r_f, s, t)$

r_f “residual capacity”

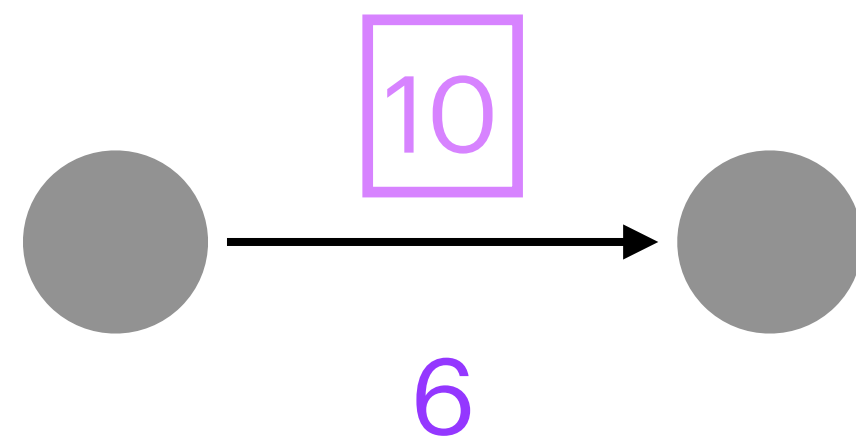
- $e \in A, f(e) < c(e)$: put e in A_f , $r_f(e) := c(e) - f(e)$

- $e \in A, f(e) > 0$: put e^{opp} in A_f , $r_f(e^{opp}) := f(e)$

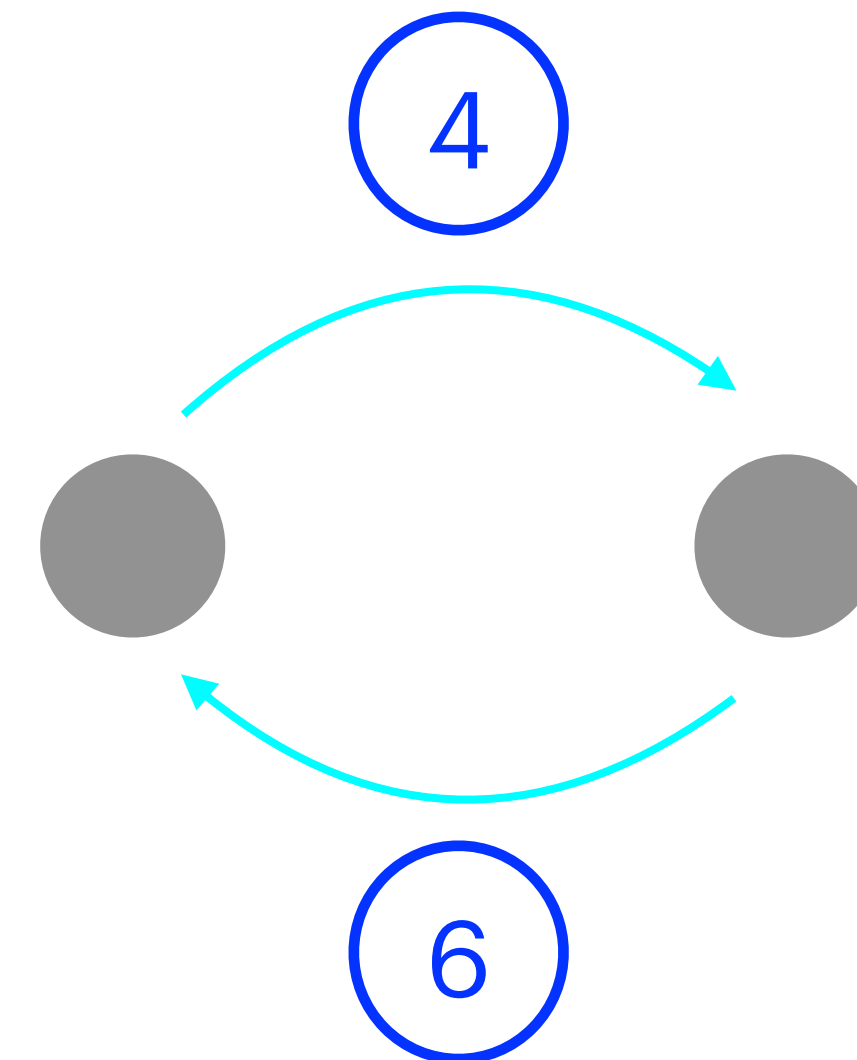
Flow

Residual Network examples

in Network



in Residual Network



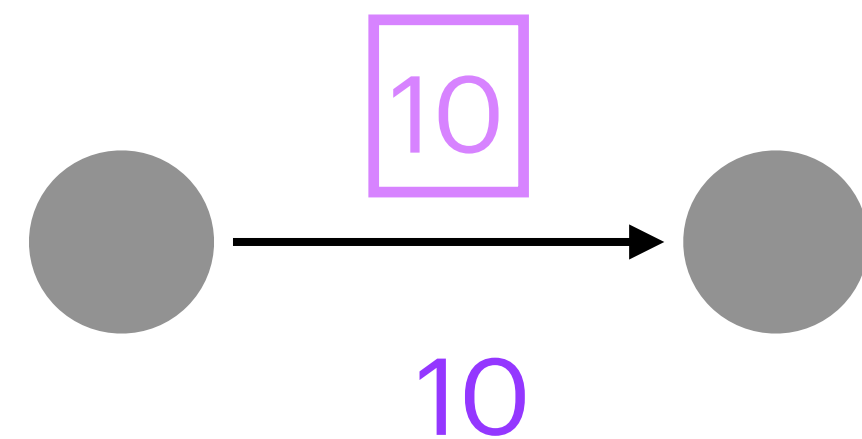
- Residual Network N_f :

- Let $N = (V, A, c, s, t)$ be a network, f flow for N
- $N_f = (V, A_f, r_f, s, t)$ r_f "residual capacity"
 - $e \in A, f(e) < c(e)$: put e in A_f , $r_f(e) := c(e) - f(e)$
 - $e \in A, f(e) > 0$: put e^{opp} in A_f , $r_f(e^{opp}) := f(e)$

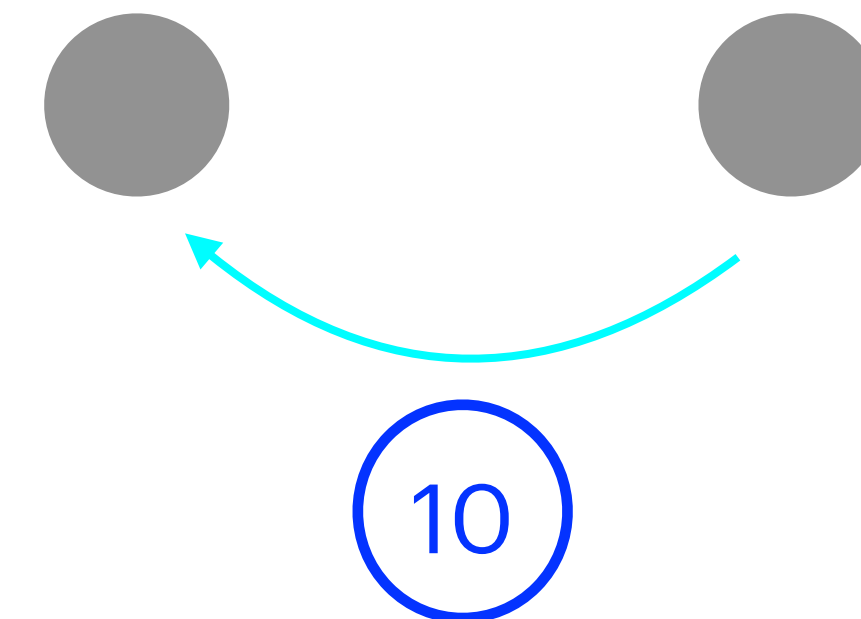
Flow

Residual Network examples

in Network



in Residual Network



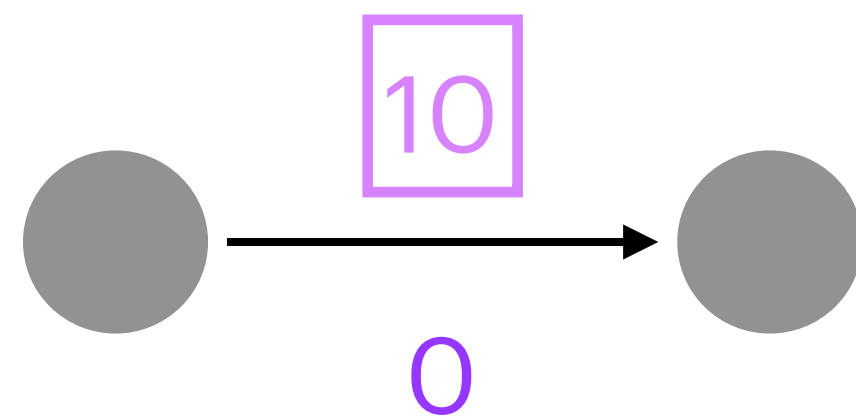
- Residual Network N_f :

- Let $N = (V, A, c, s, t)$ be a network, f flow for N
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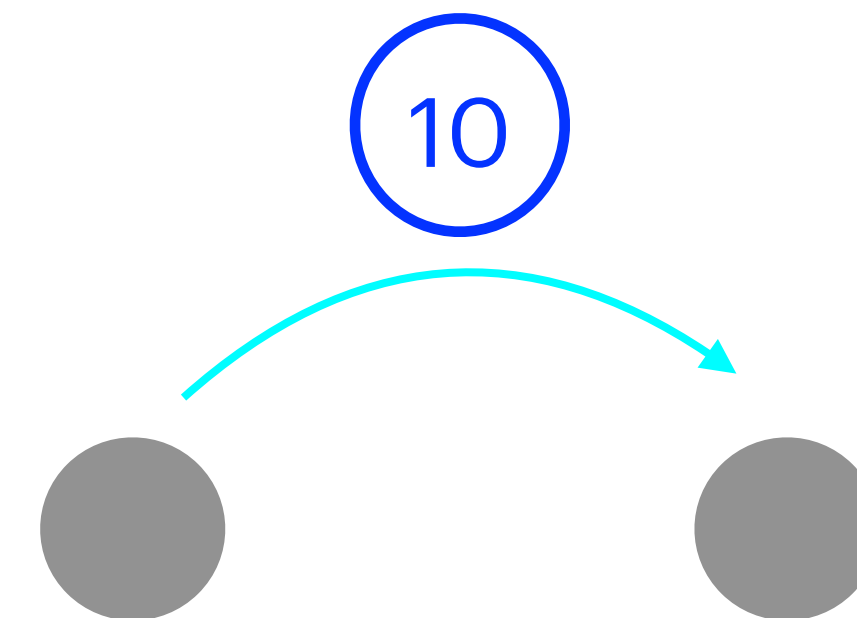
Flow

Residual Network examples

in Network



in Residual Network



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Flow

Remember



If we can find an s-t-cut s.t. $\text{val}(f) = \text{cap}(S, T)$
then f is a maximum flow.

- Let f be a flow and (S, T) an s-t-cut in a network (V, A, c, s, t) :

$$\text{val}(f) \leq \text{cap}(S, T)$$

A flow can never exceed the capacity of an s-t-cut

- Maxflow-Mincut Theorem :

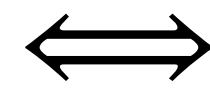
Every network satisfies

$$\max_f \text{val}(f) = \min_{(S,T) \text{ s-t-cut}} \text{cap}(S, T)$$

Flow Theorem

Let N be a network without reverse edges

A flow f is a maximum flow



There exists no **directed** s-t-path in the residual network N_f

Flow

Ford-Fulkerson Algorithm

1 : $f \leftarrow 0$

2 : while \exists s-t-path P in N_f do

3 : Augment the flow along P

4 : return f

Augmenting along P :

Take a look at all the residual capacities on this path

Take the minimum $r_{f,min}$

Increase the flow on this path with the minimum $r_{f,min}$

No termination guarantees in \mathbb{R}

integer capacities , no reverse edges :

There exists an integer maximum flow and
it can be computed in $O(mnU)$

U is the maximum capacity

Flow

Ford-Fulkerson Algorithm

1) Start with initial flow as 0

2) While there exists an augmenting path from the source to the sink:

Find an augmenting path using any path-finding algorithm, such as breadth-first search or depth-first search.

Determine the amount of flow that can be sent along the augmenting path, which is the minimum residual capacity along the edges of the path.

Increase the flow along the augmenting path by the determined amount.

3) Return the maximum flow.

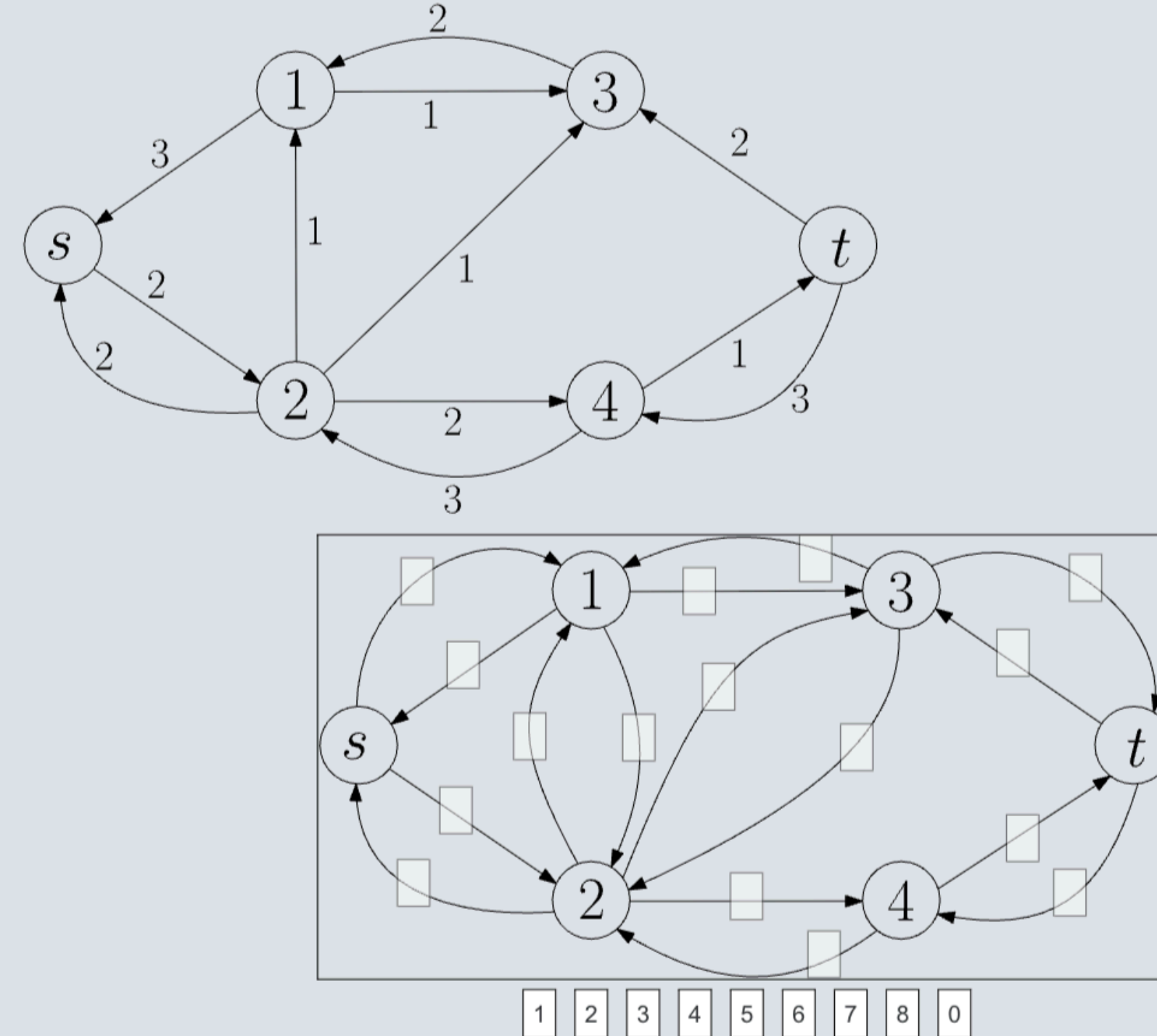
Let's take a break



Flow

Example question

Sei N ein Netzwerk ohne entgegengesetzte Kanten. Betrachten Sie das abgebildete Restnetzwerk R_f . Berechnen Sie den zugehörigen Fluss f und ziehen Sie die Flusswerte auf die entsprechenden Kanten (verwenden Sie die 0 für Kanten, über die kein Fluss fließt)



Flow

Applications Maximum Bipartite Matching Problem

given : A bipartite graph G (unweighted, undirected)

to find : Find a cardinality-maximum Matching

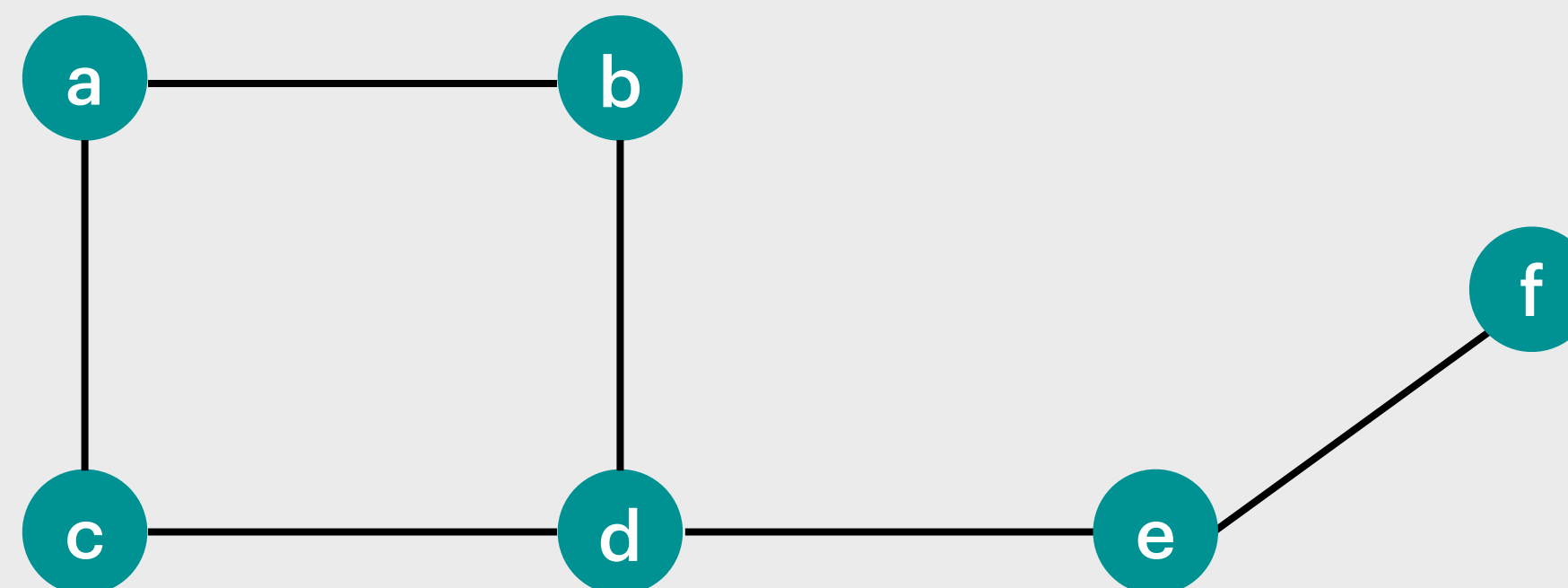
Matching

Recap

- Matching :
 - A subset of edges $M \subseteq E$ in a Graph $G = (V, E)$ is called a **Matching**, if no vertex in the graph is incident to more than one edge from M

no two edges share common vertices

Is this a matching ?



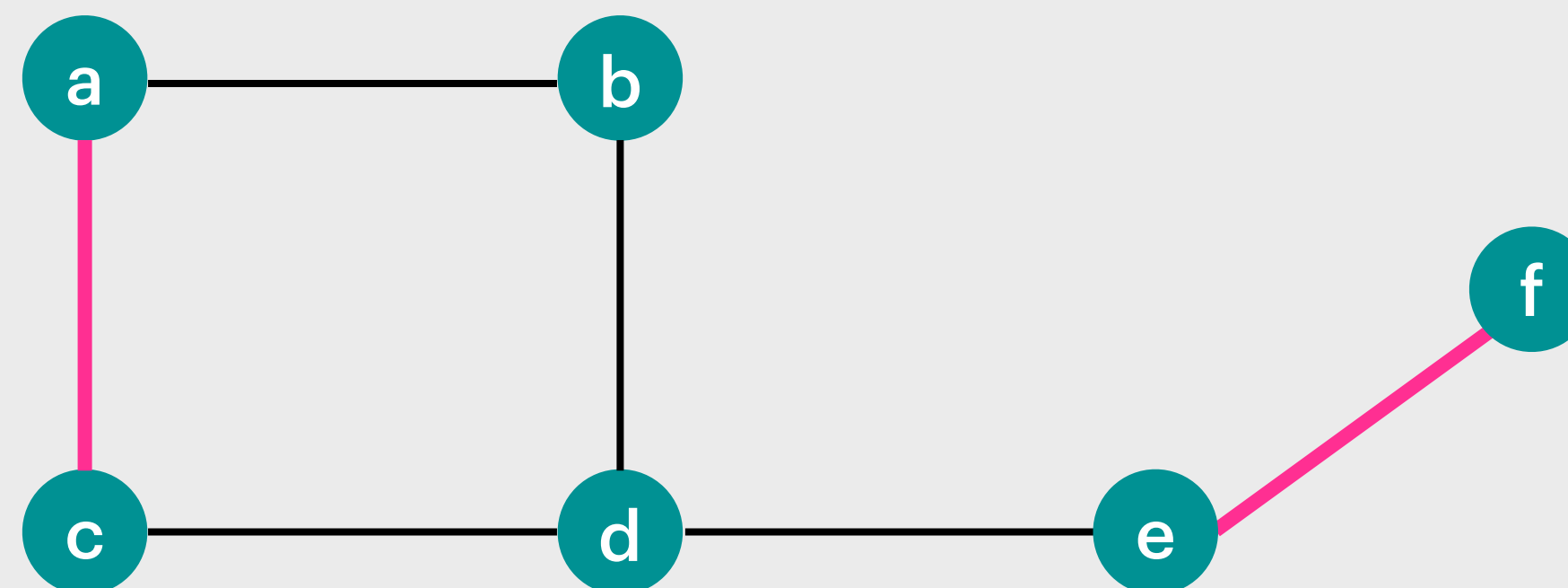
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$$M_1 = \{\{a,c\}, \{e,f\}\}$$



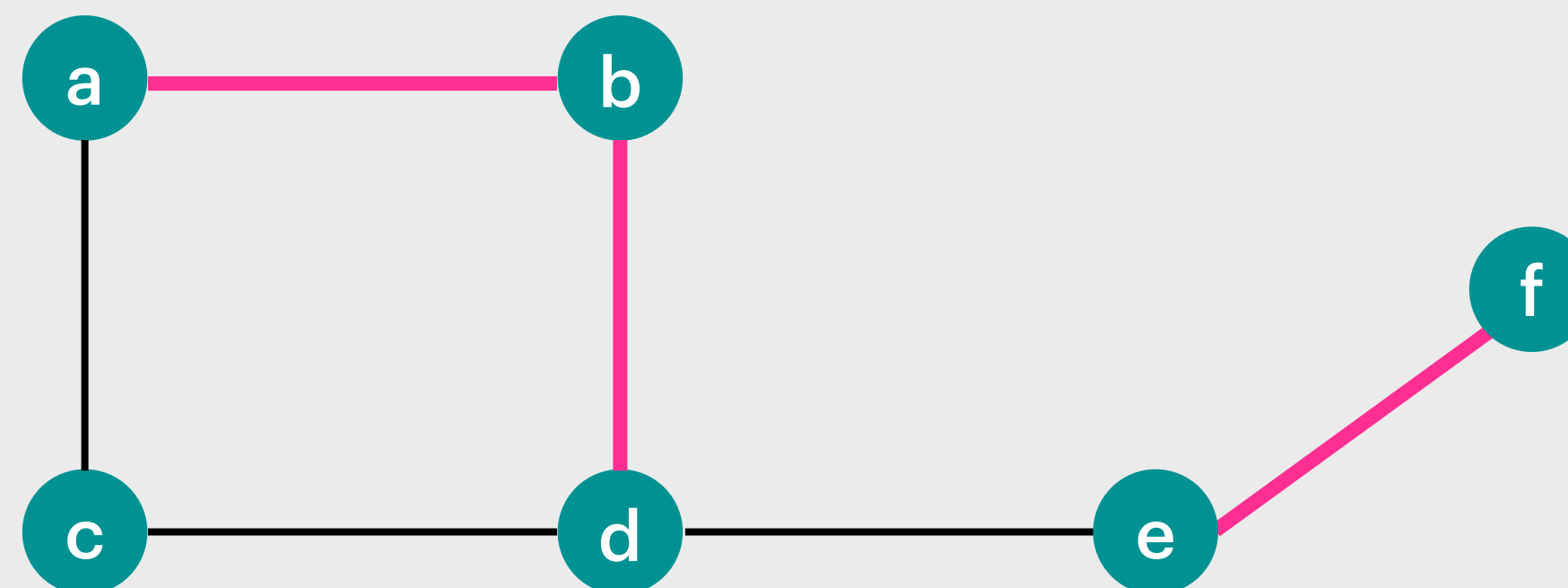
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Matching

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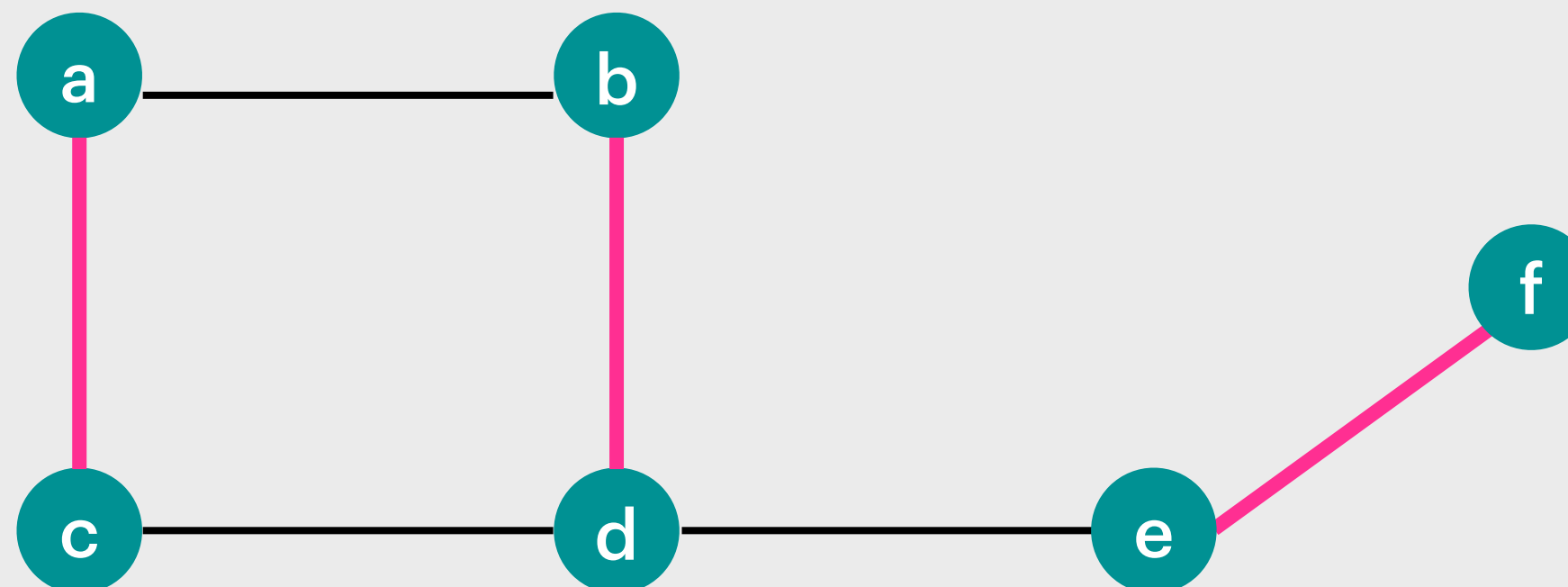
- inclusion-maximal : “no edge can be added to this matching”
 - A matching $M \subseteq E$ is inclusion-maximal , if there is no other matching M' s.t. $M \subseteq M'$ (strict inclusion) and $|M'| > |M|$
- (cardinality-) maximum : “one can't find a bigger matching”
 - A matching $M \subseteq E$ is (cardinality-) maximum , if there is no other matching M' s.t. $|M'| > |M|$

Matching

Recap

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Is this maximum ?



Is this inclusion-maximal ?

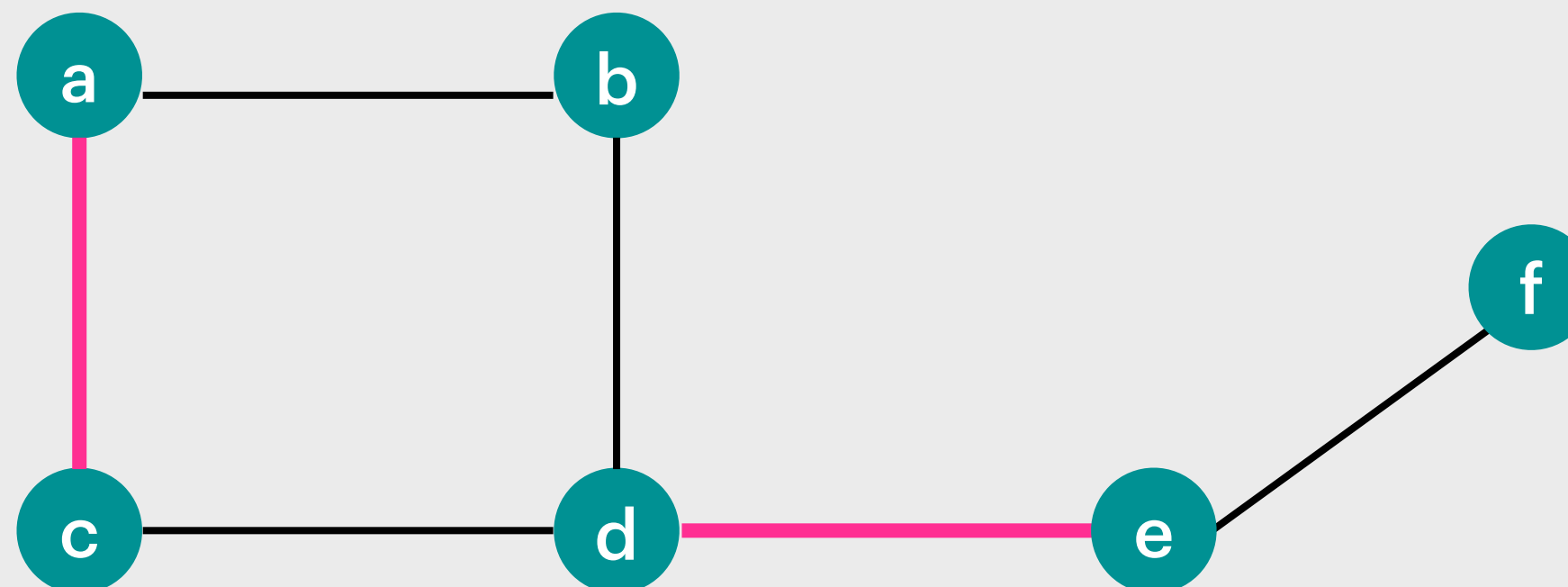


Matching

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Is this maximum ?



Is this inclusion-maximal ?



Flow

Applications Maximum Bipartite Matching Problem

Graph to Network : Build a Network N_G

$$\underbrace{G = (U \uplus W, E)}_{\text{bipartite } G} \mapsto \underbrace{N_G = \left(\underbrace{U \uplus W \uplus \{s, t\}}_{\text{Vertex Set}}, A, c, s, t \right)}_{\text{Network}}$$

add s and t , additional vertices s.t. $s \neq t$

$$A = (\{s\} \times U) \cup \{(u, w) \in U \times W \mid \{u, w\} \in E\} \cup (W \times \{t\})$$

$$c \equiv 1$$

Flow

Applications Maximum Bipartite Matching Problem

given : A bipartite graph $G = (U \cup W, E)$ (unweighted, undirected)

to find : Find a cardinality-maximum Matching

Algorithm :

Build a Network N_G

$$\underbrace{G = (U \uplus W, E)}_{\text{bipartite } G}$$

\mapsto

$$N_G = \left(\underbrace{U \uplus W \uplus \{s, t\}}_{\text{Vertex Set}}, A, c, s, t \right)$$

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Network

$$A = (\{s\} \times U) \cup \{(u, w) \in U \times W \mid \{u, w\} \in E\} \cup (W \times \{t\}) \quad c \equiv 1$$

$$\max_{M \text{ Matching in } G} |M| = \max_{f \text{ Flow in } N_G} \text{val}(f)$$

Flow

Applications Edge-disjoint paths problem

given : A graph G with two vertices u, v s.t. $u \neq v$.

to find : Maximum number of edge-disjoint $u - v$ paths

Connectivity

Menger's Theorem

G is k -edge connected

\iff

For $\forall u, v \in V, u \neq v$ there
exists k **edge-disjoint** u - v
paths

Flow

Applications Edge-disjoint paths problem

Graph to Network :

$$\underbrace{G = (V, E), u, v \in V}_{\text{Graph with 2 vertices}} \Rightarrow \underbrace{N_G^* = (V, A, c, u, v)}_{\text{Network}}$$

$$A := \{(x, y), (y, x) \mid \{x, y\} \in E\}$$

$$c \equiv 1$$

Flow

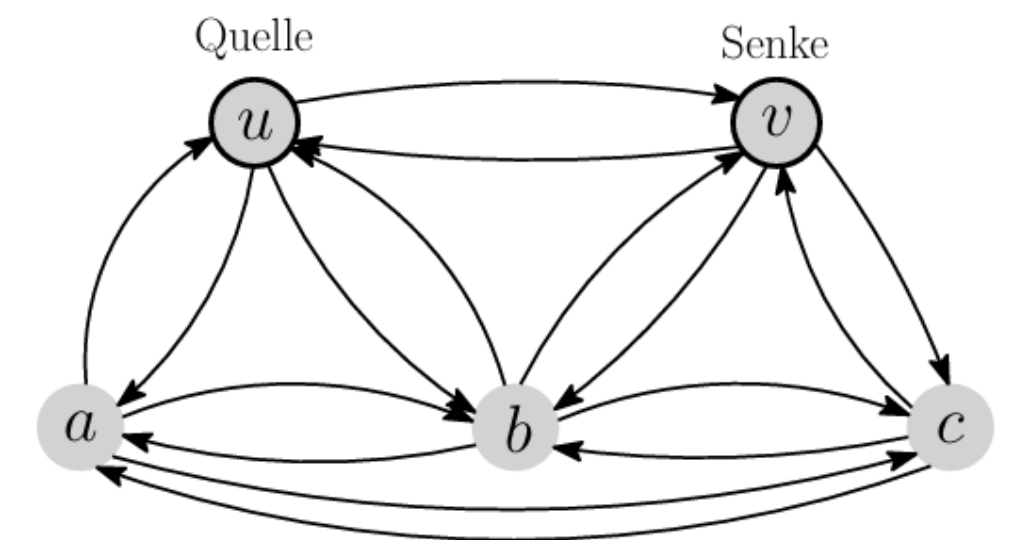
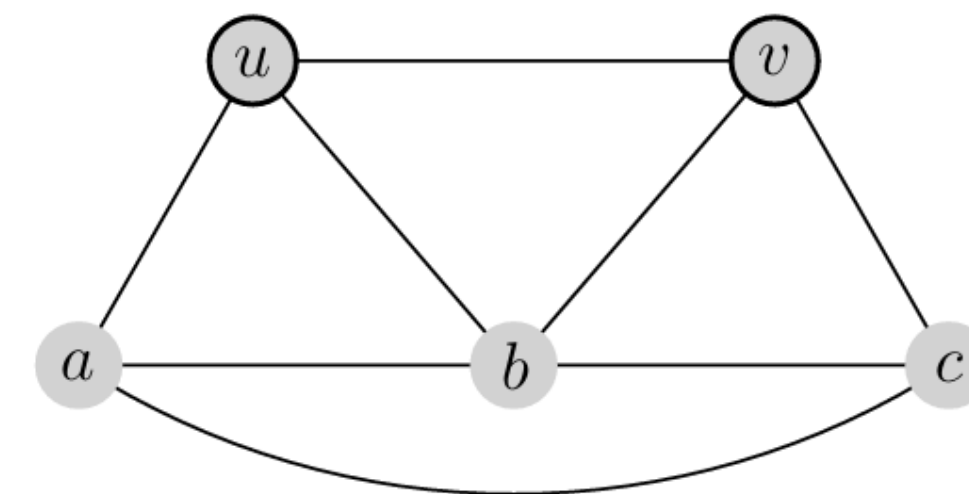
Applications Edge-disjoint paths problem

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Flow

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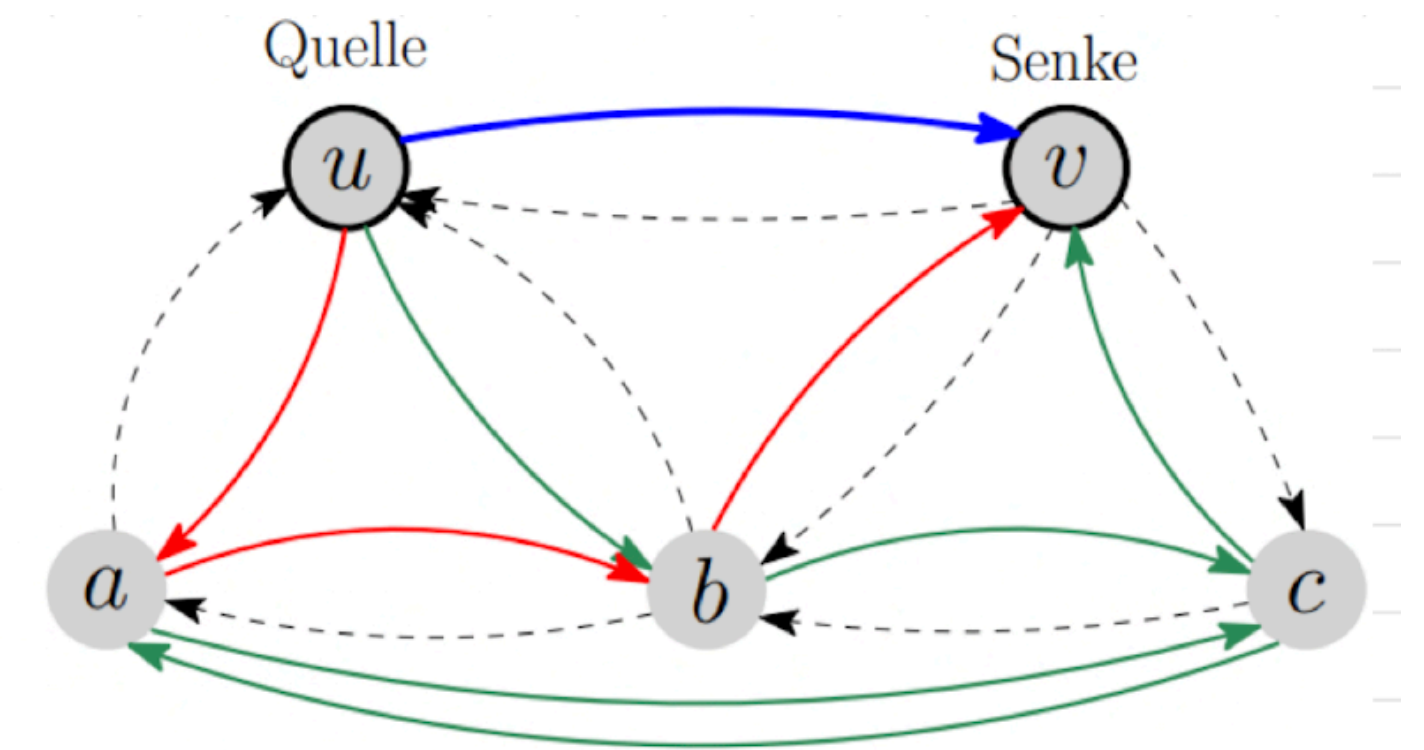
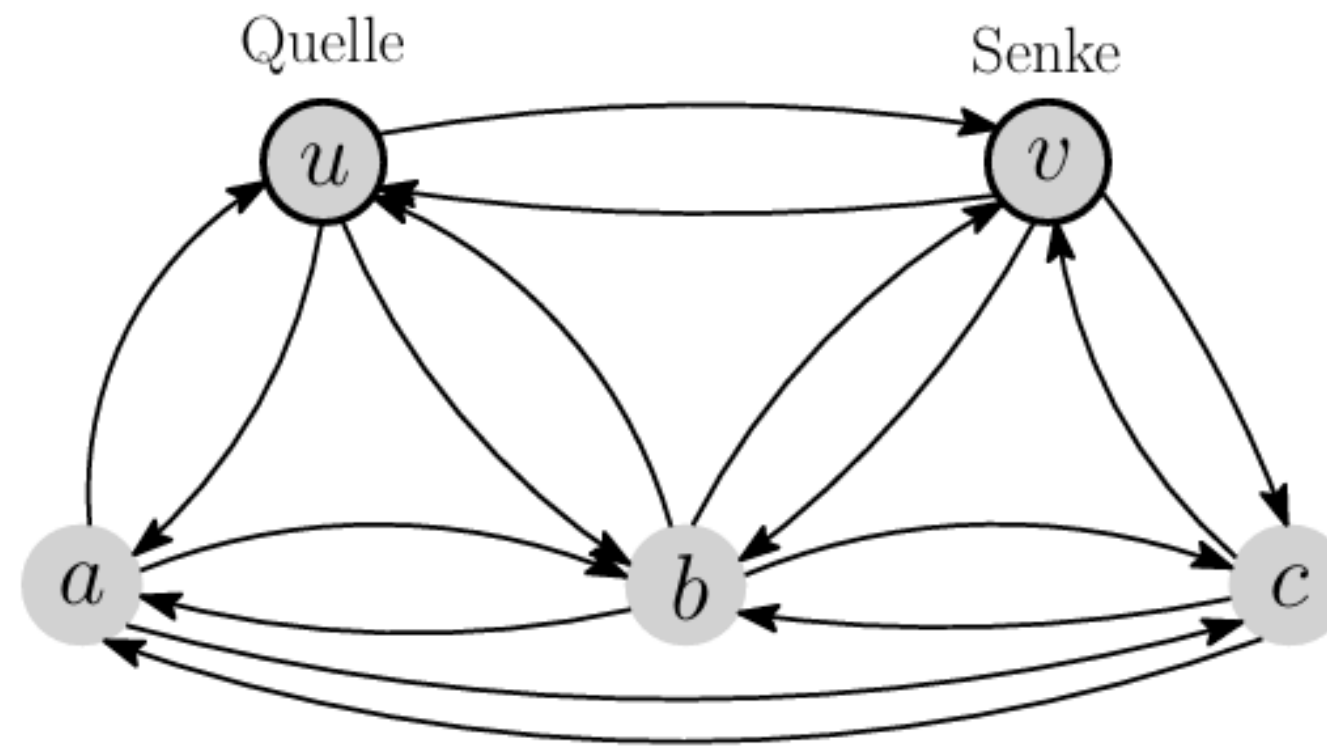
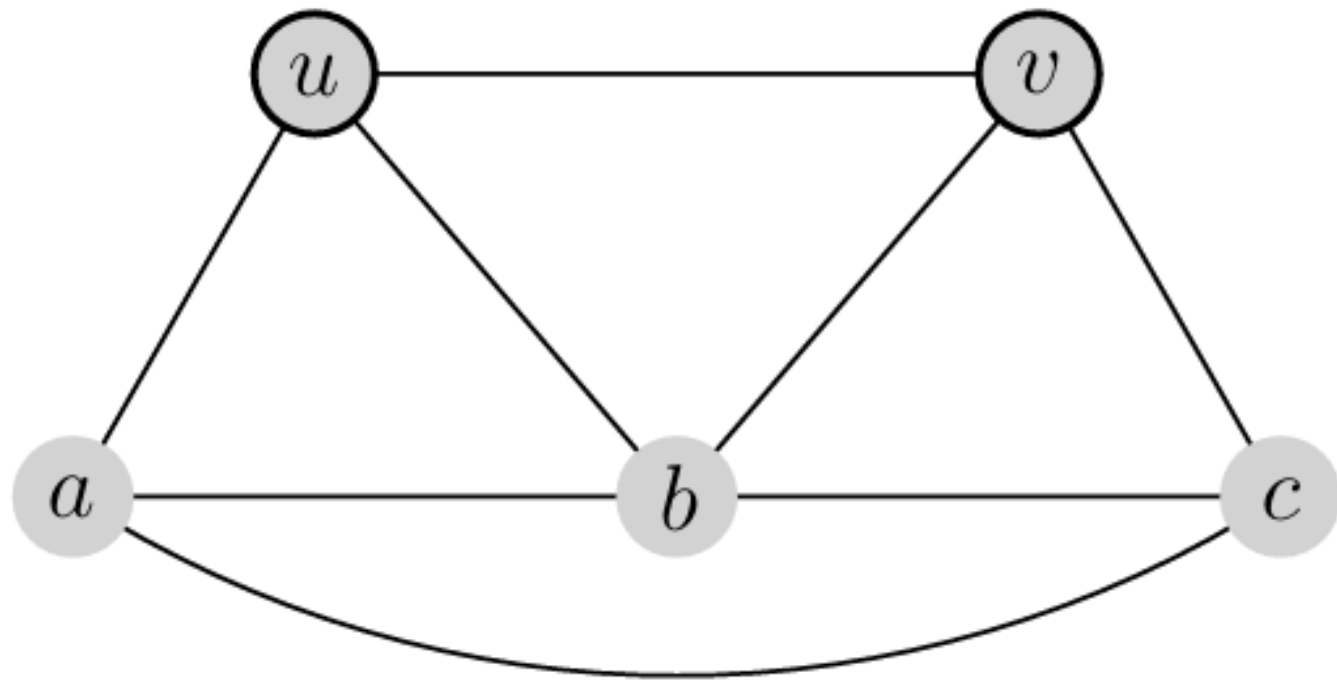
Build a Network N_G $\underbrace{G = (V, E), u, v \in V}_{\text{Graph with 2 vertices}} \Rightarrow \underbrace{N_G^* = (V, A, c, u, v)}_{\text{Network}}$

$$A := \{(x, y), (y, x) \mid \{x, y\} \in E\} \quad c \equiv 1$$

$$\# \text{ edge disjoint } u\text{-}v \text{ paths} = \max_{f \text{ Flow in } N_G} \text{val}(f)$$

Flow

Applications Edge-disjoint paths problem



Flow

Applications Image Segmentation

given : An image modeled as a graph $G = (P, E)$

color information $\chi : P \rightarrow \text{colors}$

to find : separate foreground from background

color information $\chi : P \rightarrow \text{colors}$

per-pixel estimates $\alpha : P \rightarrow \mathbb{R}_0^+$ $\beta : P \rightarrow \mathbb{R}_0^+$ $\gamma : E \rightarrow \mathbb{R}_0^+$

α_p is bigger \implies more likely foreground

β_p is bigger \implies more likely background

γ_e is bigger \implies more likely belong to the same region

Flow

Applications Image Segmentation

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β_p is bigger \implies more likely background

γ_e is bigger \implies more likely belong to the same region

Quality function : $q(A, B) := \sum_{p \in A} \alpha_p + \sum_{p \in B} \beta_p - \sum_{\substack{e \in E, \\ |e \cap A| = 1}} \gamma_e$ maximize

$q'(A, B) := \sum_{p \in A} \beta_p + \sum_{p \in B} \alpha_p + \sum_{\substack{e \in E \\ |e \cap A| = 1}} \gamma_e$ minimize

Flow

Applications Image Segmentation

Build a Network N_G

$$N_G := \left(P \cup \{s, t\}, \overrightarrow{E}, c, s, t \right)$$

add s and t , additional vertices s.t. $s \neq t$

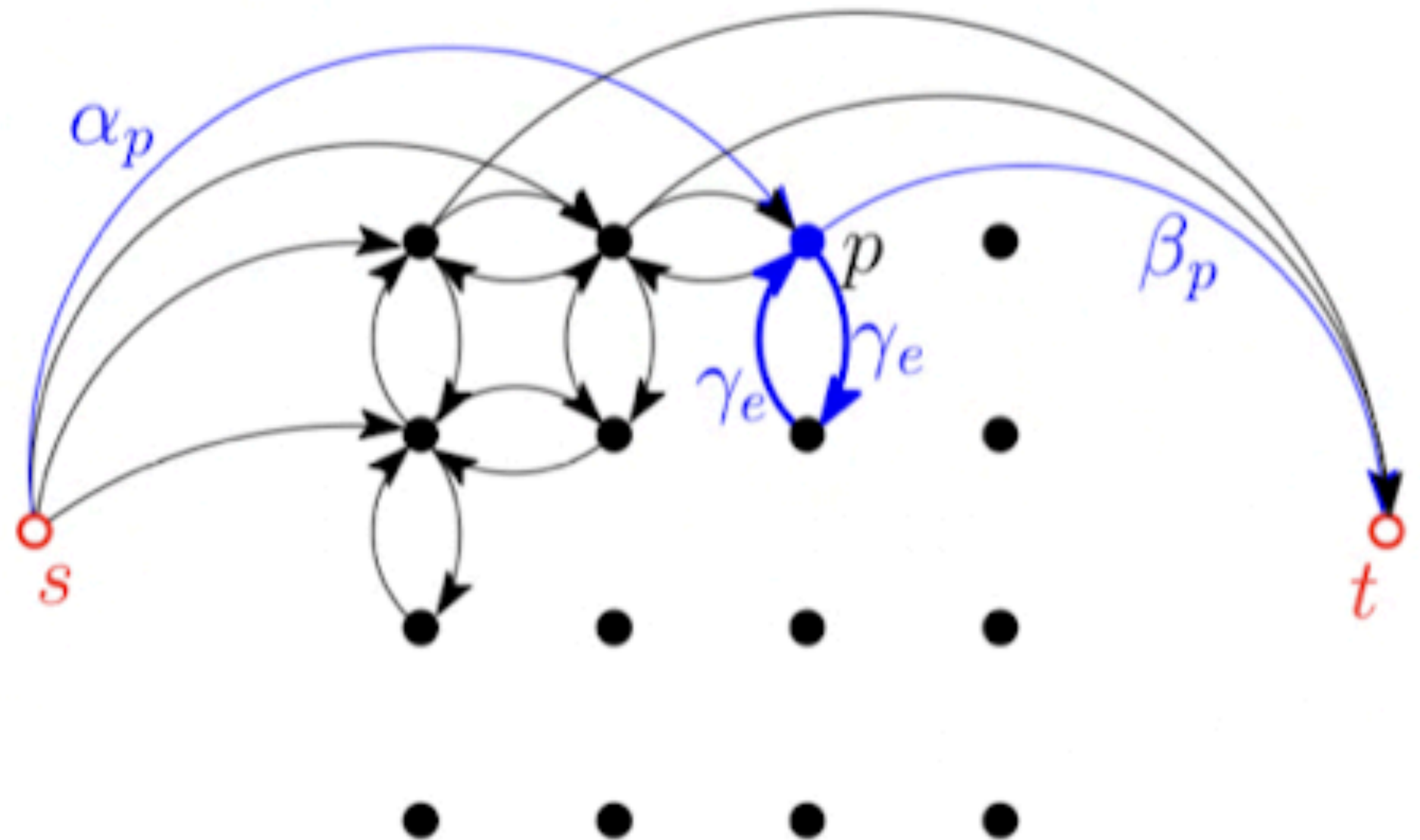
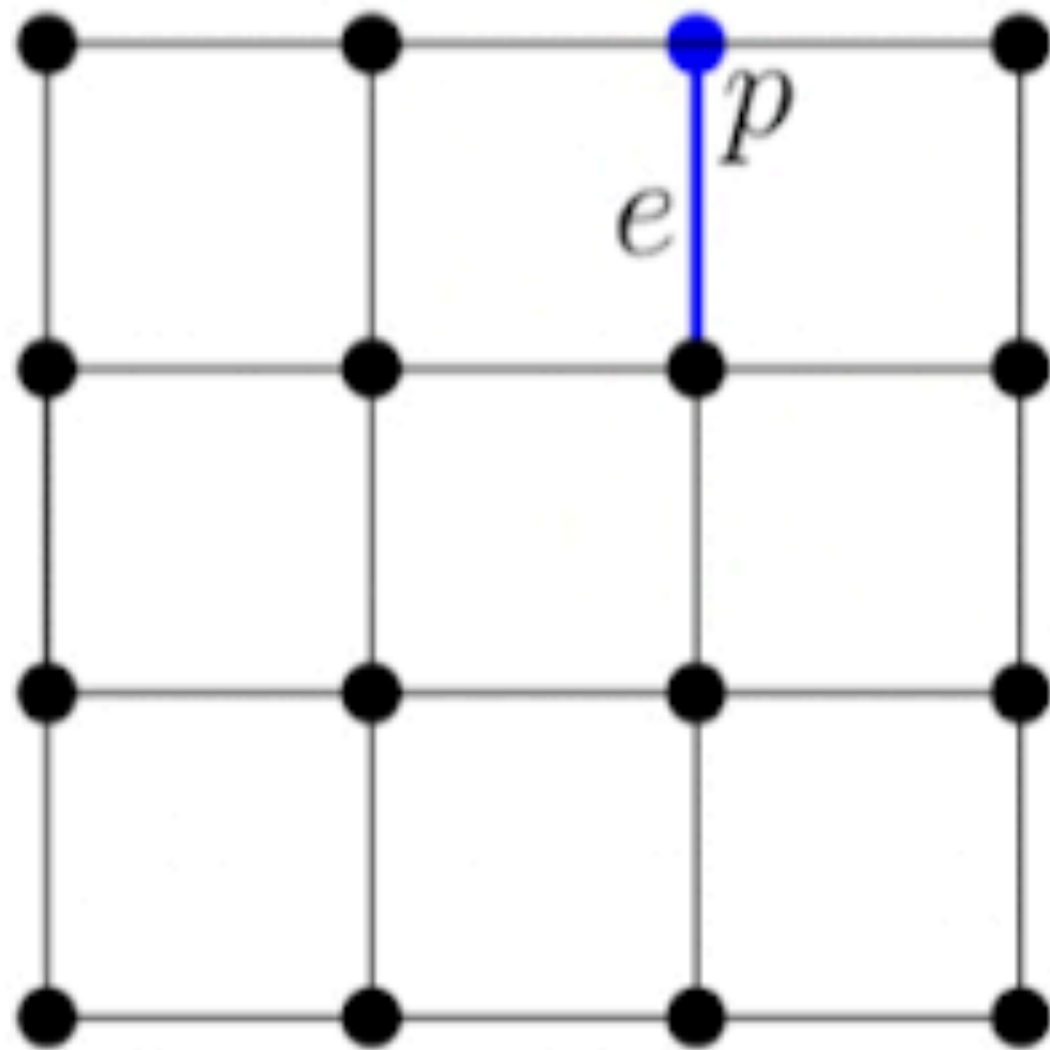
s has a directed edge to each pixel $p \in P$ with capacity α_p

each pixel $p \in P$ has a directed edge to t with capacity β_p

for each edge $e = \{p, p'\} \in E$ there are two directed edges (p, p') and (p', p) with capacity γ_e

Flow

Applications Image Segmentation



Flow

Applications Image Segmentation

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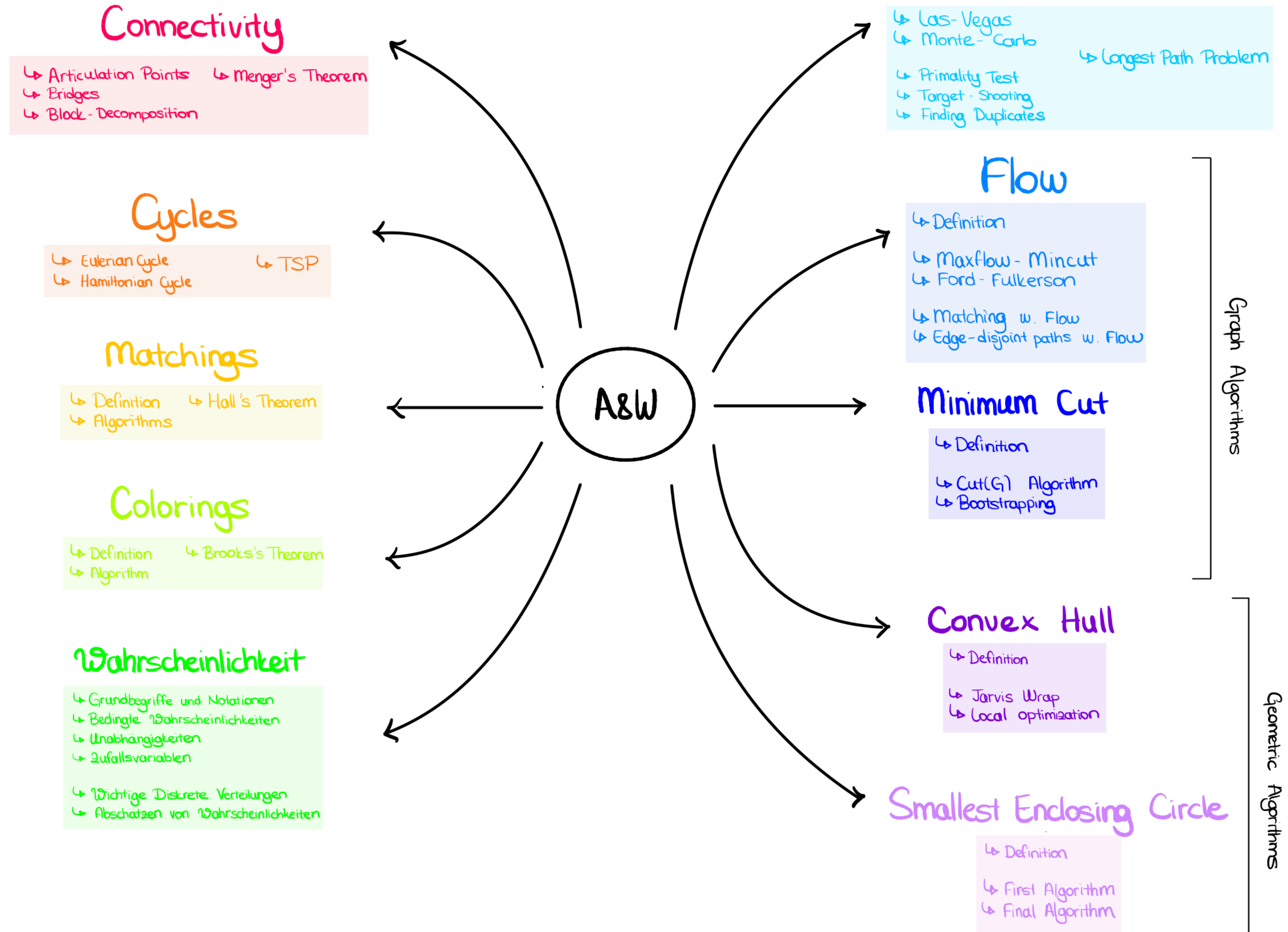
A&W

Exercise Session 12

Minimum Cut, Smallest Enclosing Cycle

Nil Ozer

A&W Overview



Last Weeks ...

- 08.05 : Randomized Algorithms II
- 15.05 : Flow
- 23.05 online : Minimum Cut , Smallest Enclosing Circle
- 28.05 extra session : Exam Prep Session + Pizza and Drinks
- 30.05 last extra session : Convex Hull (shortly remaining primality tests)

Outline

- Minimum Cut
- Smallest Enclosing Circle

Minimum Cut

Min-Cut

Definitions



- Multigraph :
 - undirected, unweighted, without self-loops
 - possibly with multiple edges between the same pair of nodes

Min-Cut

Definitions



- Multigraph :
 - undirected, unweighted, without self-loops
 - possibly with multiple edges between the same pair of nodes
- Edge Cut C :
 - A set of edges C s.t. $G' = (V, E \setminus C)$ is a disconnected graph.

Min-Cut

Definitions



- Multigraph :
 - undirected, unweighted, without self-loops
 - possibly with multiple edges between the same pair of nodes
- Edge Cut C :
 - A set of edges C s.t. $G' = (V, E \setminus C)$ is a disconnected graph.
- $\mu(G)$:
 - the cardinality of the smallest possible edge cut in graph G .

$$\mu(G) := \min_{\substack{C \subseteq E, \\ (V, E \setminus C) \text{ disconnected}}} |C|$$

Min-Cut

Problem Description

given : A multigraph G

to find : $\mu(G)$

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Min-Cut

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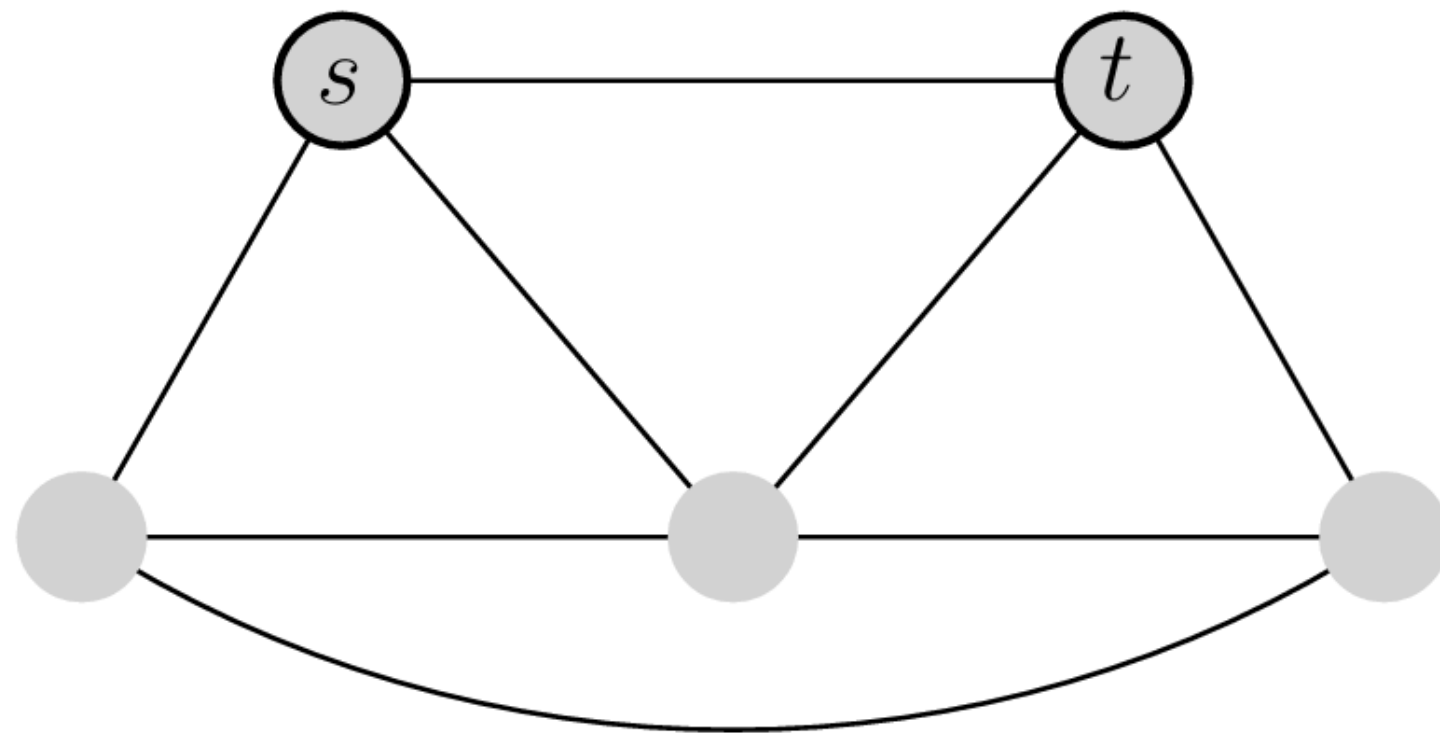
Examples :

- Multigraph :
 - undirected, unweighted, without self-loops
 - possibly with multiple edges between the same pair of nodes
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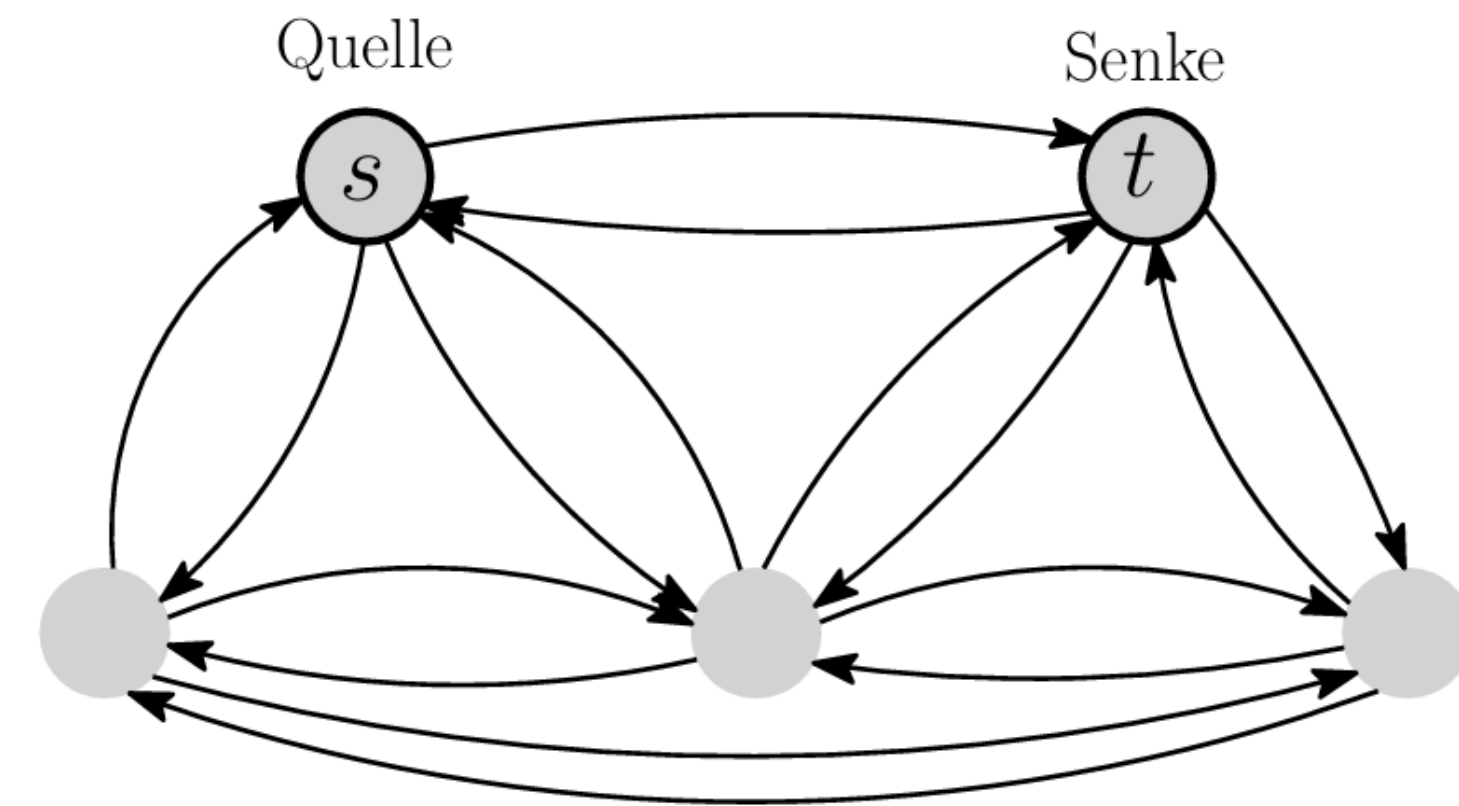
$$\mu(G) := \min_{\substack{C \subseteq E, \\ (V, E \setminus C) \text{ disconnected}}} |C|$$

Min-Cut

First Known Solution



minimum s-t cut in $O(mn \log n)$



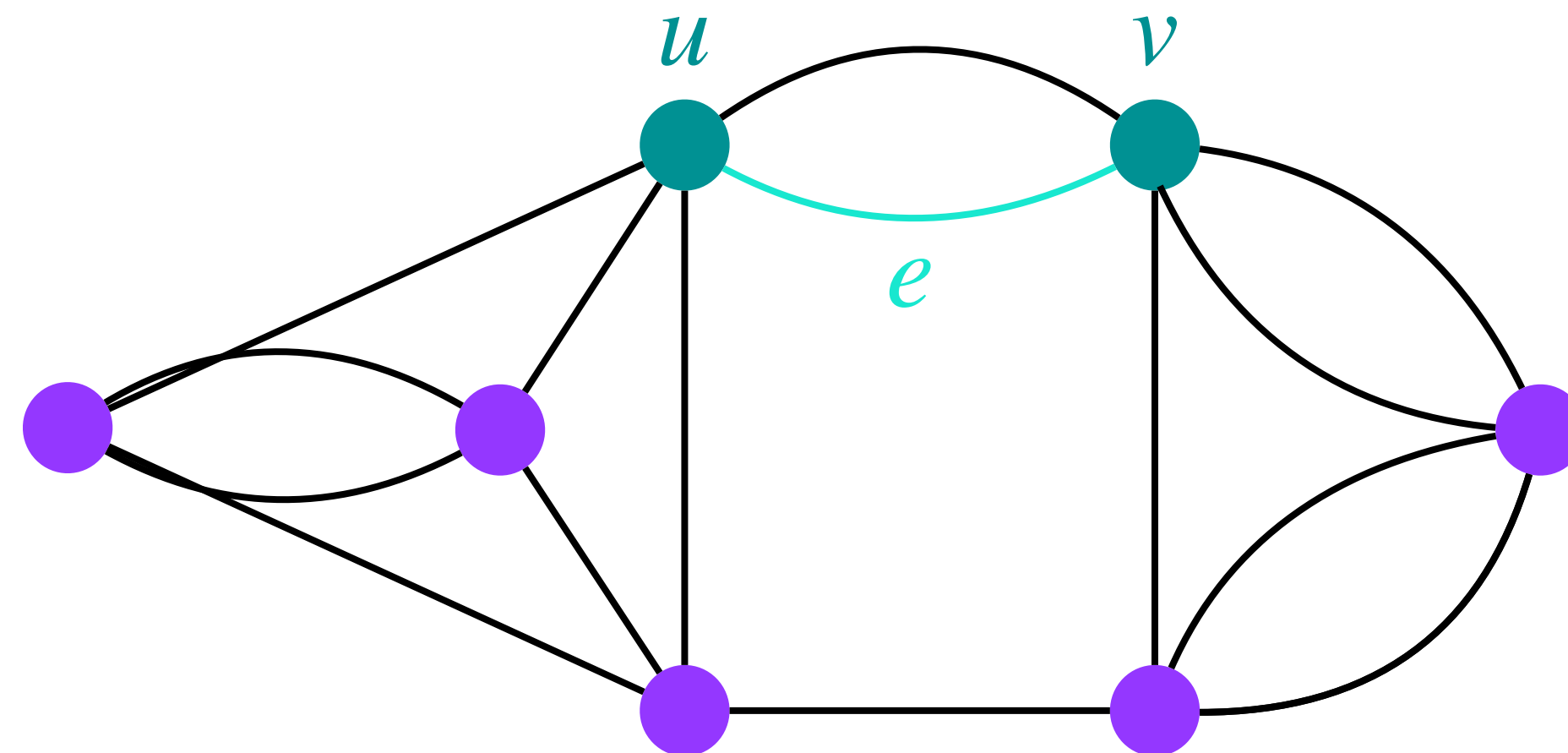
- Fix a source node s
- Then consider $t \in V \setminus \{s\}$, for each t compute the minimum s-t cut
- The global min-cut is the smallest of these s-t cuts

total runtime : $O((n-1)mn \log n) = O(n^4 \log n)$

Min-Cut

Edge Contraction of e

$$e = \{u, v\} \in E$$

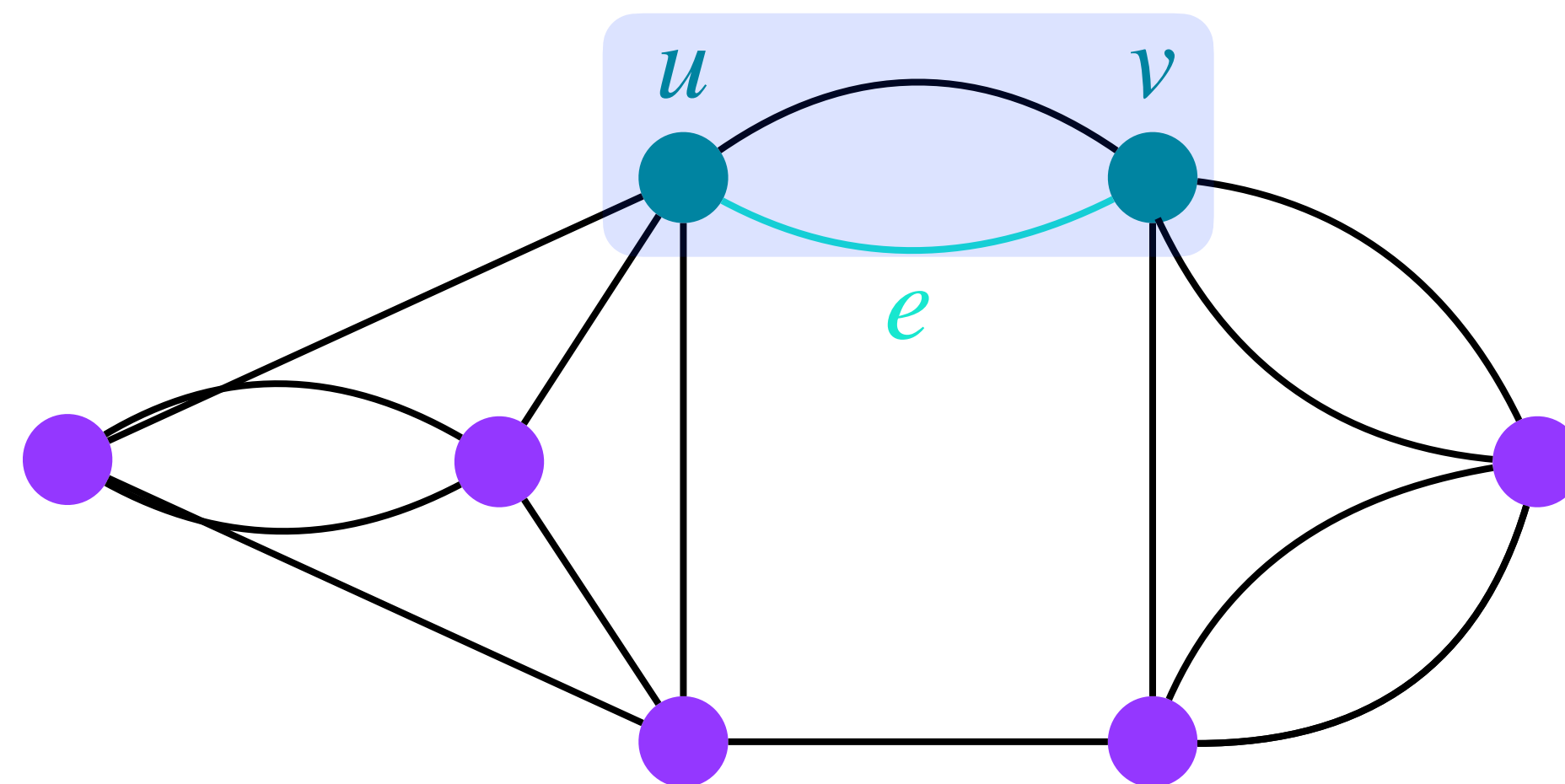


G

Min-Cut

Edge Contraction of e

$$e = \{u, v\} \in E$$



G

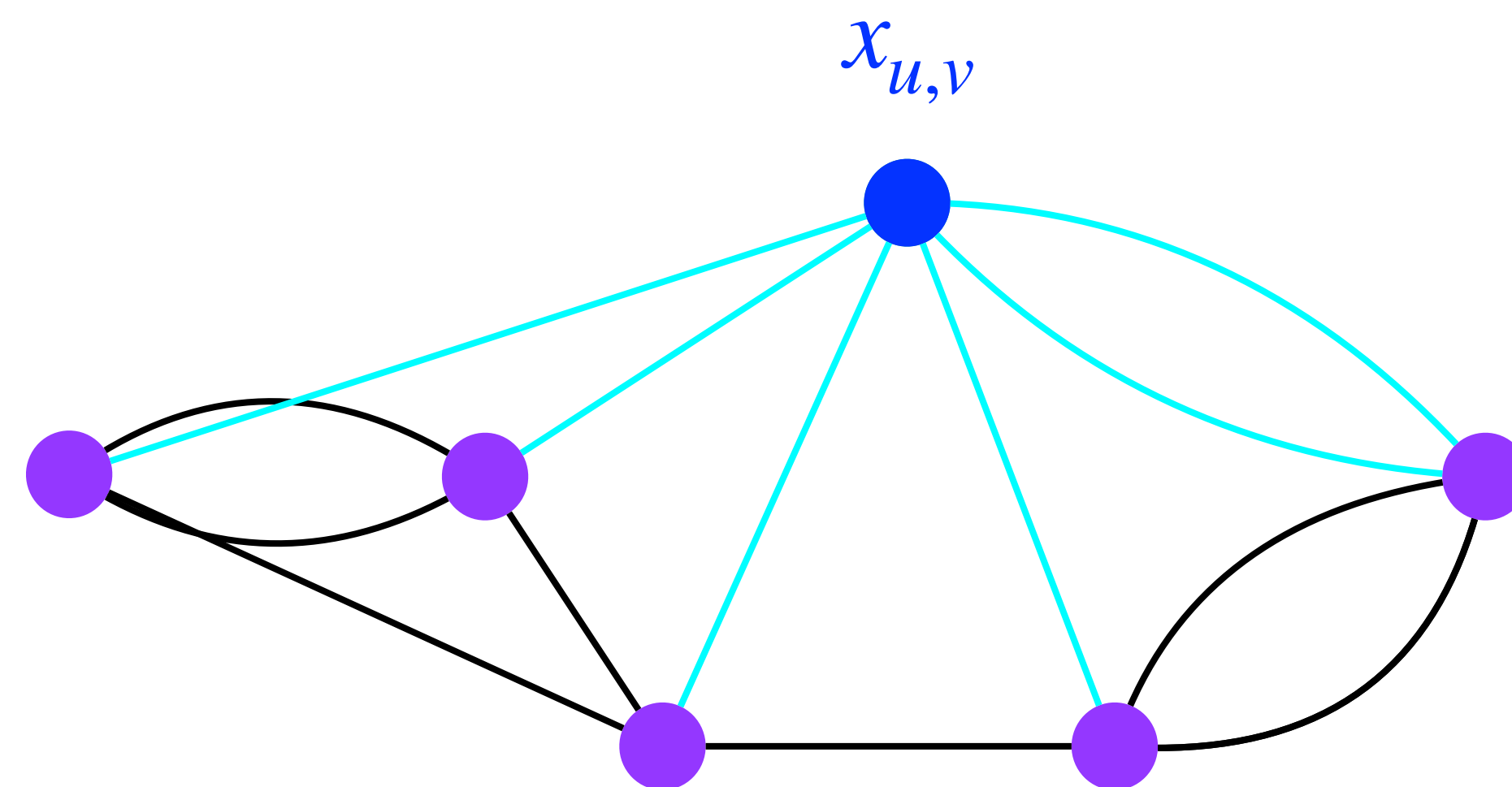
For $w, w' \in V(G) \setminus \{u, v\}$:

$$\{w, w'\} \mapsto \{w, w'\}, \quad \{w, u\} \mapsto \{w, x_{u,v}\}, \quad \{w, v\} \mapsto \{w, x_{u,v}\}$$

Min-Cut

Edge Contraction of e

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G / e

For $w, w' \in V(G) \setminus \{u, v\}$:

$$\{w, w'\} \mapsto \{w, w'\}, \quad \{w, u\} \mapsto \{w, x_{u,v}\}, \quad \{w, v\} \mapsto \{w, x_{u,v}\}$$

Min-Cut

Lemma

- $\mu(G)$:
 - the cardinality of the smallest possible edge cut in graph G .

Let $G = (V, E)$ be a multigraph, $e \in E$

$$\mu(G \setminus e) \geq \mu(G)$$

If G has a minimum cut C s.t. $e \notin C$

$$\mu(G \setminus e) = \mu(G)$$

Find e whose
contraction preserves μ

The minimum cut value μ can never decrease when contracting an edge
 μ stays unchanged if there exists a minimum cut that doesn't contain the edge being contracted

Min-Cut

Cut(G)

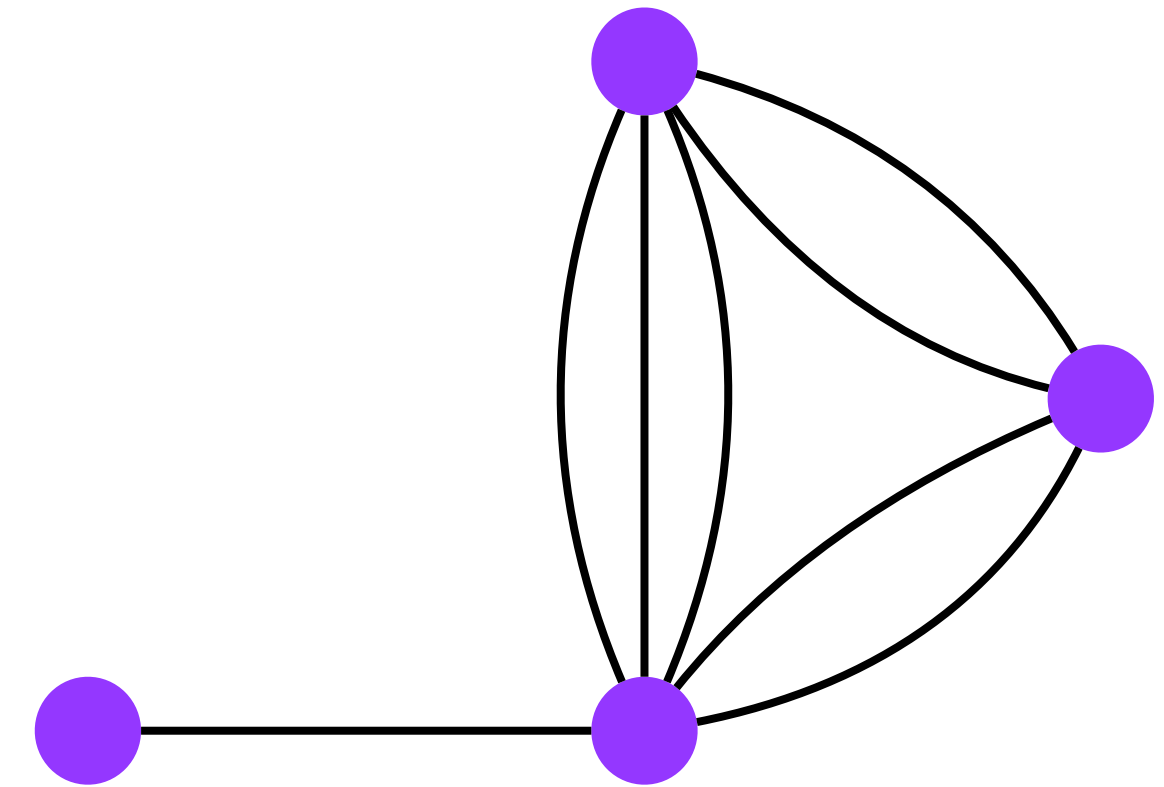
```
1 :  $G' \leftarrow G$   
2 : while  $|V(G')| > 2$  do  
3 :    $e \leftarrow$  uniformly random edge in  $G'$   
4 :    $G' \leftarrow G' \setminus e$   
5 : return size of the unique cut in  $G'$ 
```

Runtime : $O(n^2)$

Min-Cut

Cut(G)

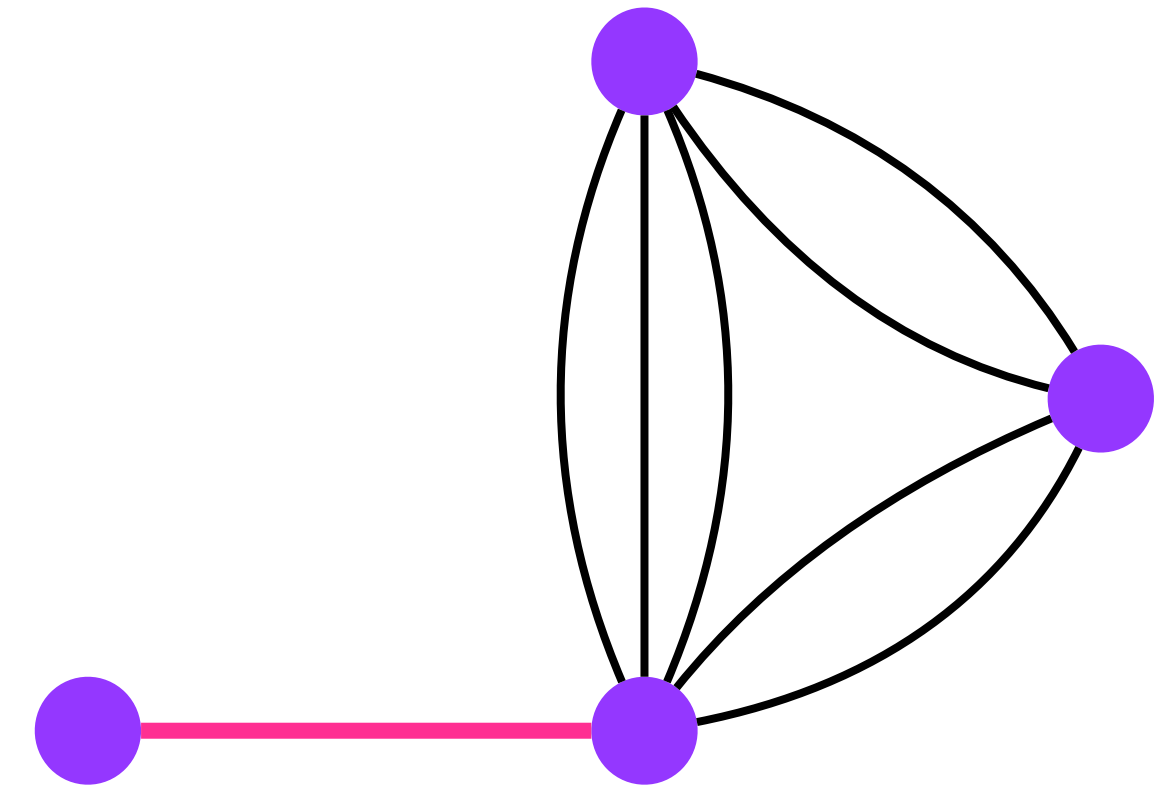
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Min-Cut

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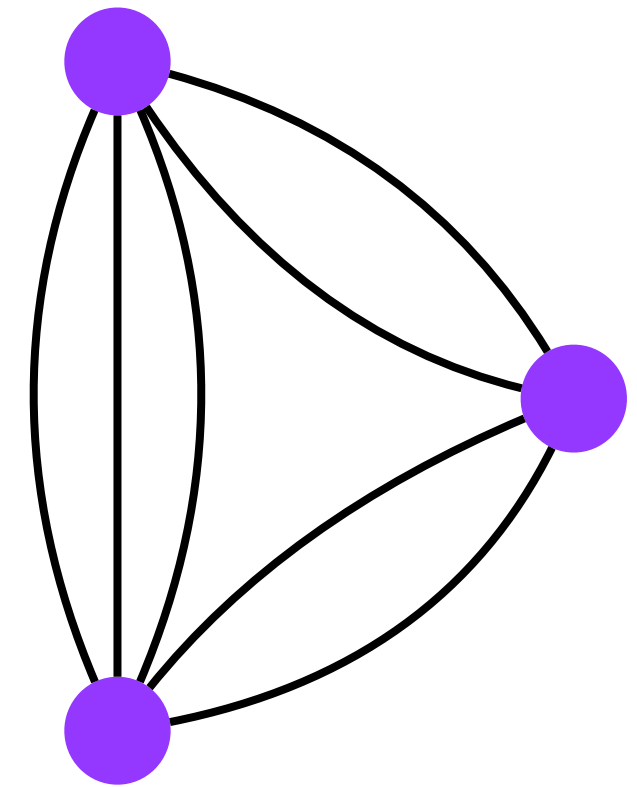
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Min-Cut

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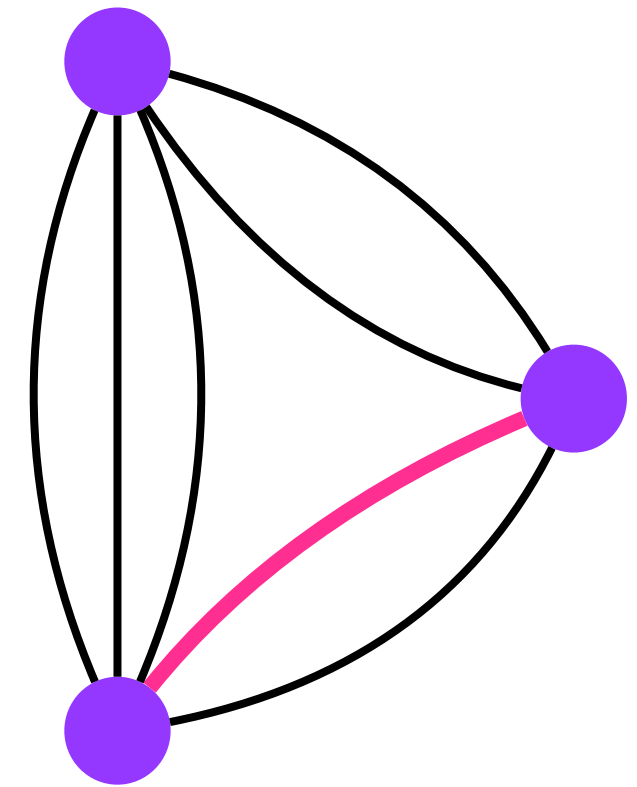
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Min-Cut

Cut(G)

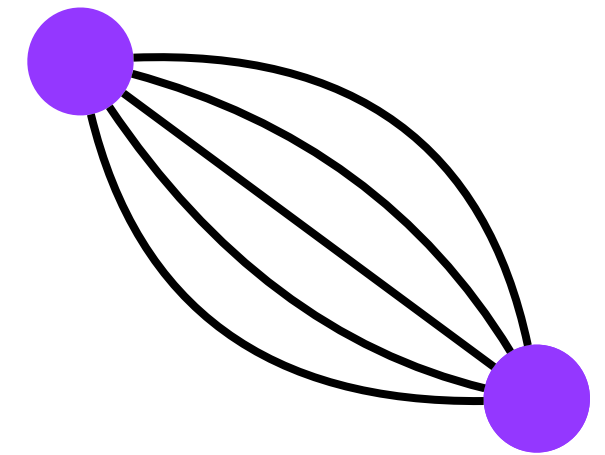
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Min-Cut

Cut(G)

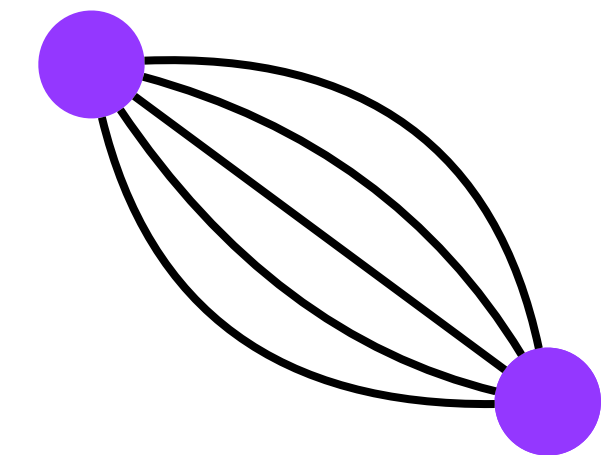
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Min-Cut

Cut(G)

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```



5 !

Min-Cut

Cut(G)

For an edge e :

$$Pr[\mu(G) = \mu(G/e)] \geq 1 - \frac{2}{n}$$

For all G with $|V| = n, n \geq 3$:

$\hat{p}(G) :=$ Probability that $\text{Cut}(G)$ returns the value $\mu(G)$

$$\hat{p}(n) := \inf_{\substack{G = (V, E), \\ |V| = n}} \hat{p}(G) \quad \hat{p}(n) \geq \left(1 - \frac{2}{n}\right) \cdot \hat{p}(n-1) \quad \hat{p}(n) \geq \frac{2}{n(n-1)} = \frac{1}{\binom{n}{2}}$$

Min-Cut

Cut(G)

We repeat the algorithm Cut(G) $\lambda \binom{n}{2}$ times for some $\lambda > 0$ and return the smallest value obtained.

Runtime : $O(\lambda n^4)$

Success The smallest encountered value equals $\mu(G)$ with probability at

Probability : least $1 - e^{-\lambda}$

$\lambda := \ln n$, runtime is $O(n^4 \log n)$ with failure probability $\leq 1/n$

we already had a deterministic solution with this runtime !

Min-Cut

Idea : Last steps are critical

Cut(G) + Strategy Switch in the Critical Region

Stop contracting when there are t vertices remaining

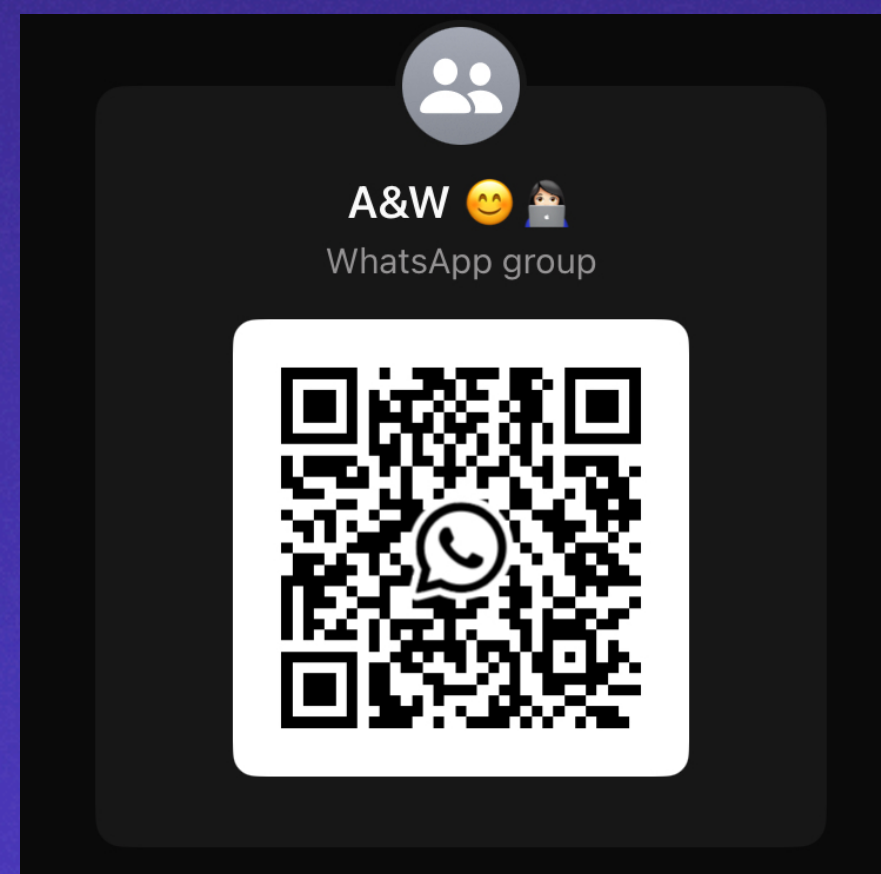
switch to a randomized $O(t^4)$ algorithm with success probability $\geq 1 - e^{-1}$

$$\text{Runtime : } O\left(\lambda \left(\frac{n^4}{t^2} + n^2 t^2\right)\right) \stackrel{t=\sqrt{n}}{=} O(\lambda n^3)$$

$$\text{Success Probability : } \geq 1 - e^{-1}$$

Bootstrapping : We can use the same method to improve further. In “Limit” we have a $O(n^2 \text{polylog}(n))$ algorithm.

Let's take a break



Smallest Enclosing Circle

Smallest Enclosing Circle

Problem Description

given : A finite set of points $P \subseteq \mathbb{R}^2$

to find : The circle with the smallest radius that encloses all points in P

C encloses P :

$C' :=$ the closed disk bounded by C

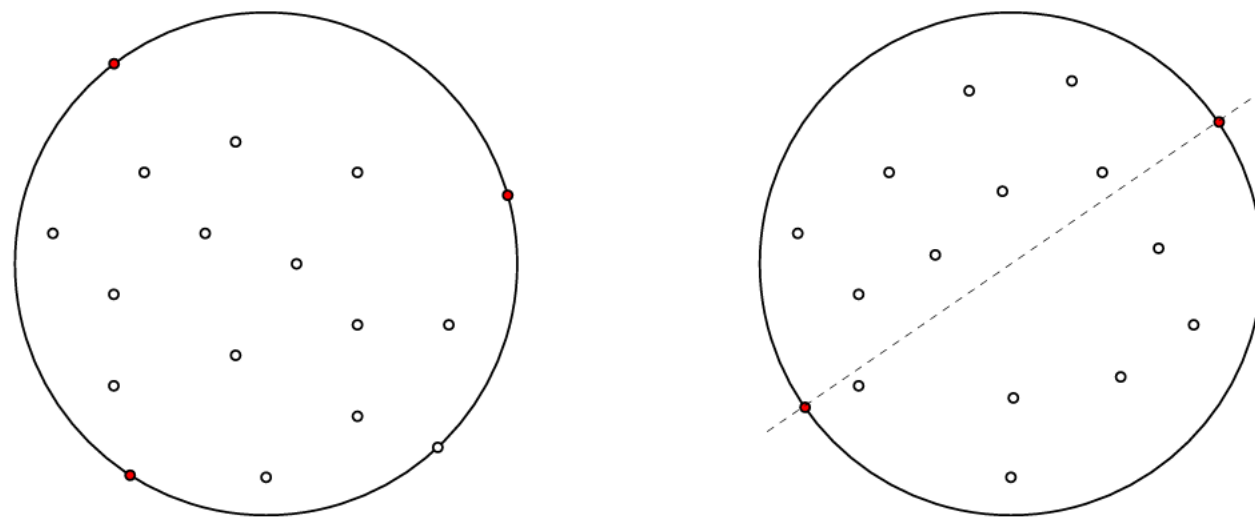
C encloses P if $P \subseteq C'$

Smallest Enclosing Circle

Lemmas

For every finite set of points $P \subseteq \mathbb{R}^2$ there exists a unique smallest enclosing cycle $C(P)$

For every finite set of points $P \subseteq \mathbb{R}^2$ with $|P| \geq 3$ there exists a subset $Q \subseteq P$ with $|Q| = 3$ s.t. $C(Q) = C(P)$



Q acts as a certificate for $C(P)$

Smallest Enclosing Circle

Easy Algorithm

Erster einfacher Algorithmus

$$\left| \binom{P}{3} \right| = O(n^3) \quad (|P| = n)$$

CompleteEnumeration(P)

```
1: for all  $Q \in \binom{P}{3}$  do
2:   { bestimme  $C(Q)$ 
3:     { if  $P \subseteq C^\bullet(Q)$  then
4:       return  $C(Q)$  }
```

Für alle Teilmengen $Q \subseteq P$
mit $|Q| = 3$

Falls der kleinste
umschliessende Kreis von Q alle
Punkte von P enthält, dann

Innerer Teil vom Loop: $O(n)$

Gesamte Laufzeit $O(n^4)$

Smallest Enclosing Circle

Algorithm

Runtime : $O(n \log n)$

1 : $P' \leftarrow P$

2 : **repeat**

3 : randomly and uniformly choose a subset $Q \subseteq P'$ with $|Q| = 11$

4 : compute $C(Q)$

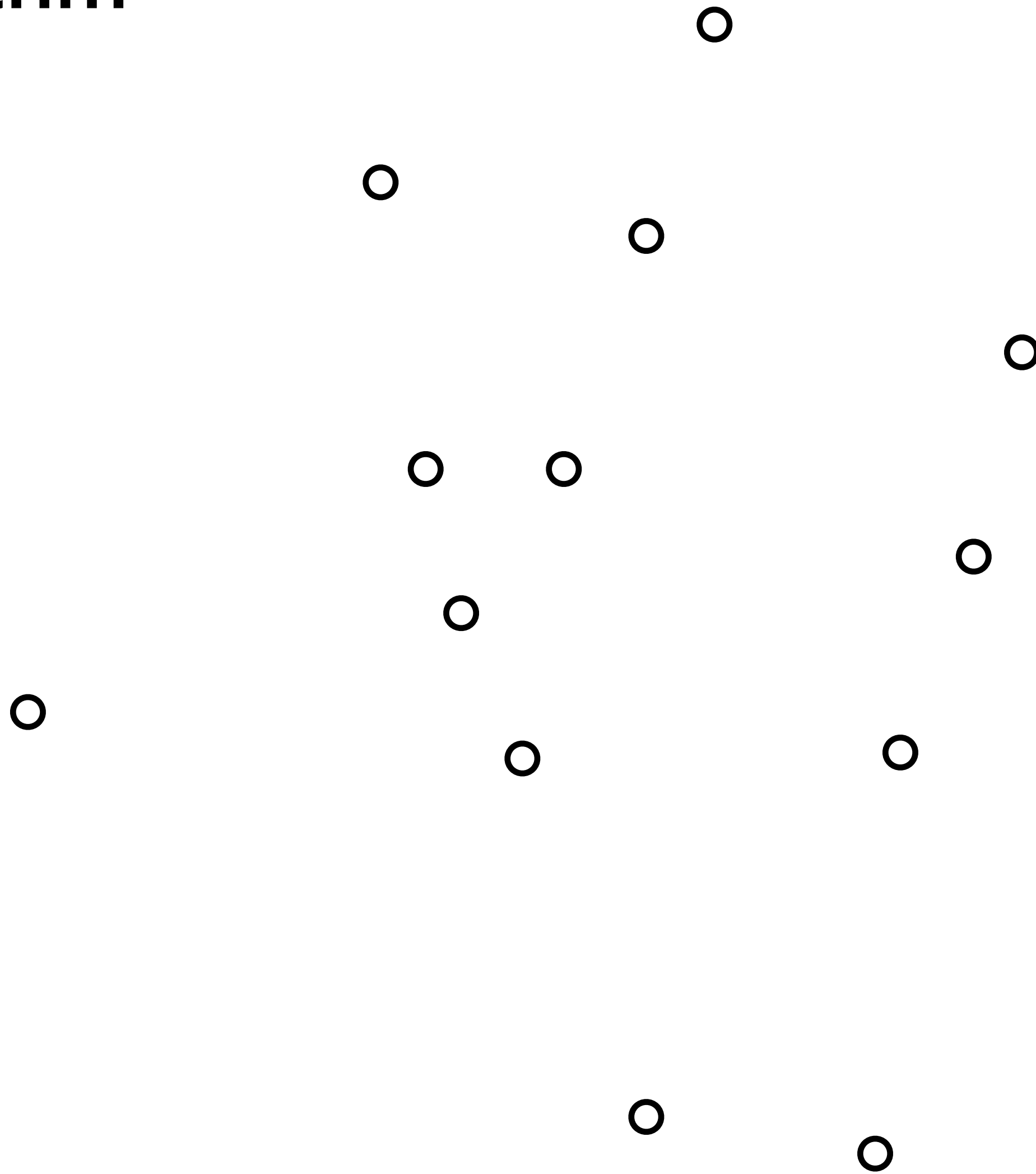
5 : if $P \subseteq C'(Q)$ then return $C(Q)$

6 : else double all points in P' that lie outside of $C(Q)$

7 : **forever**

Smallest Enclosing Circle

Algorithm



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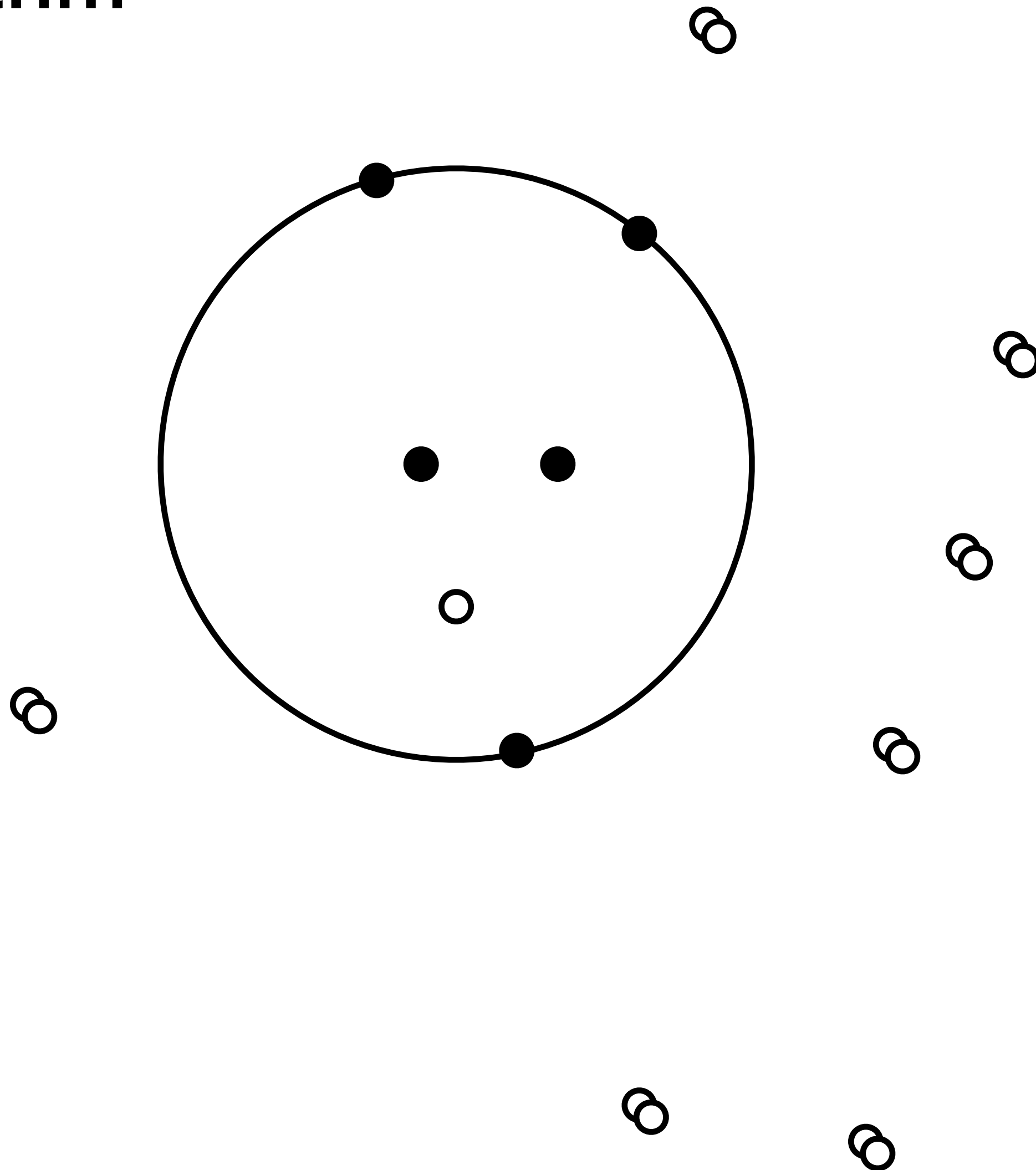
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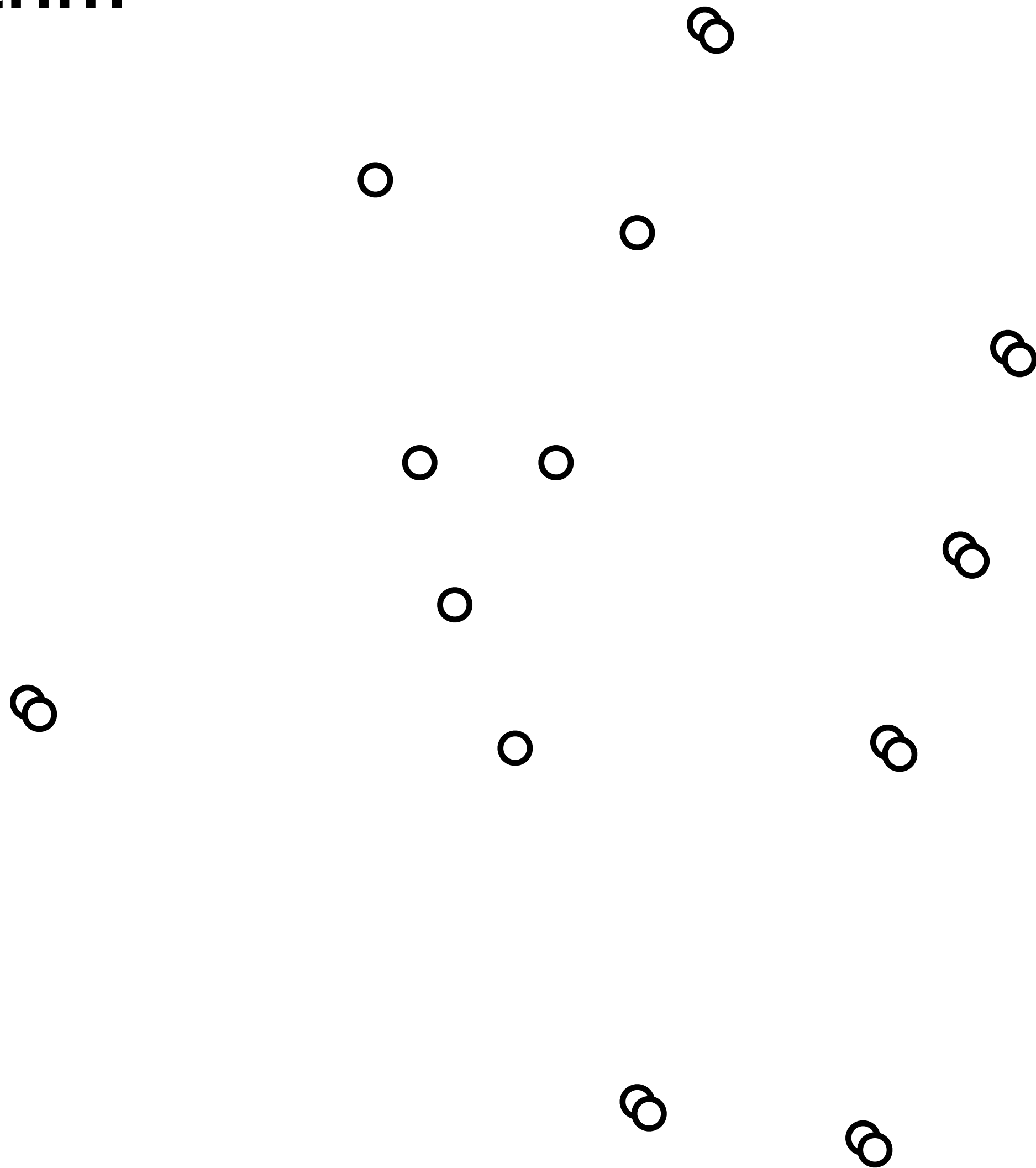
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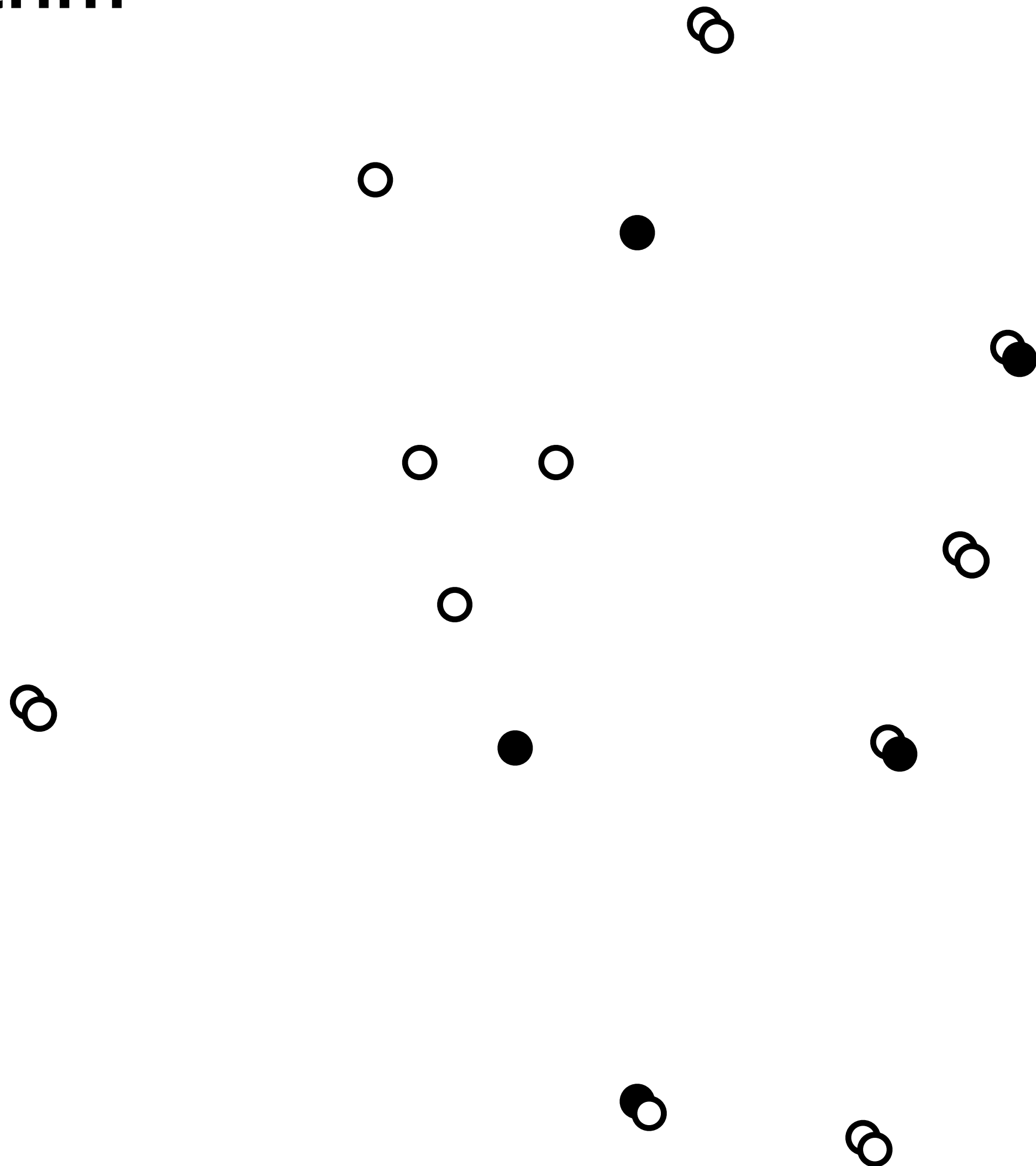
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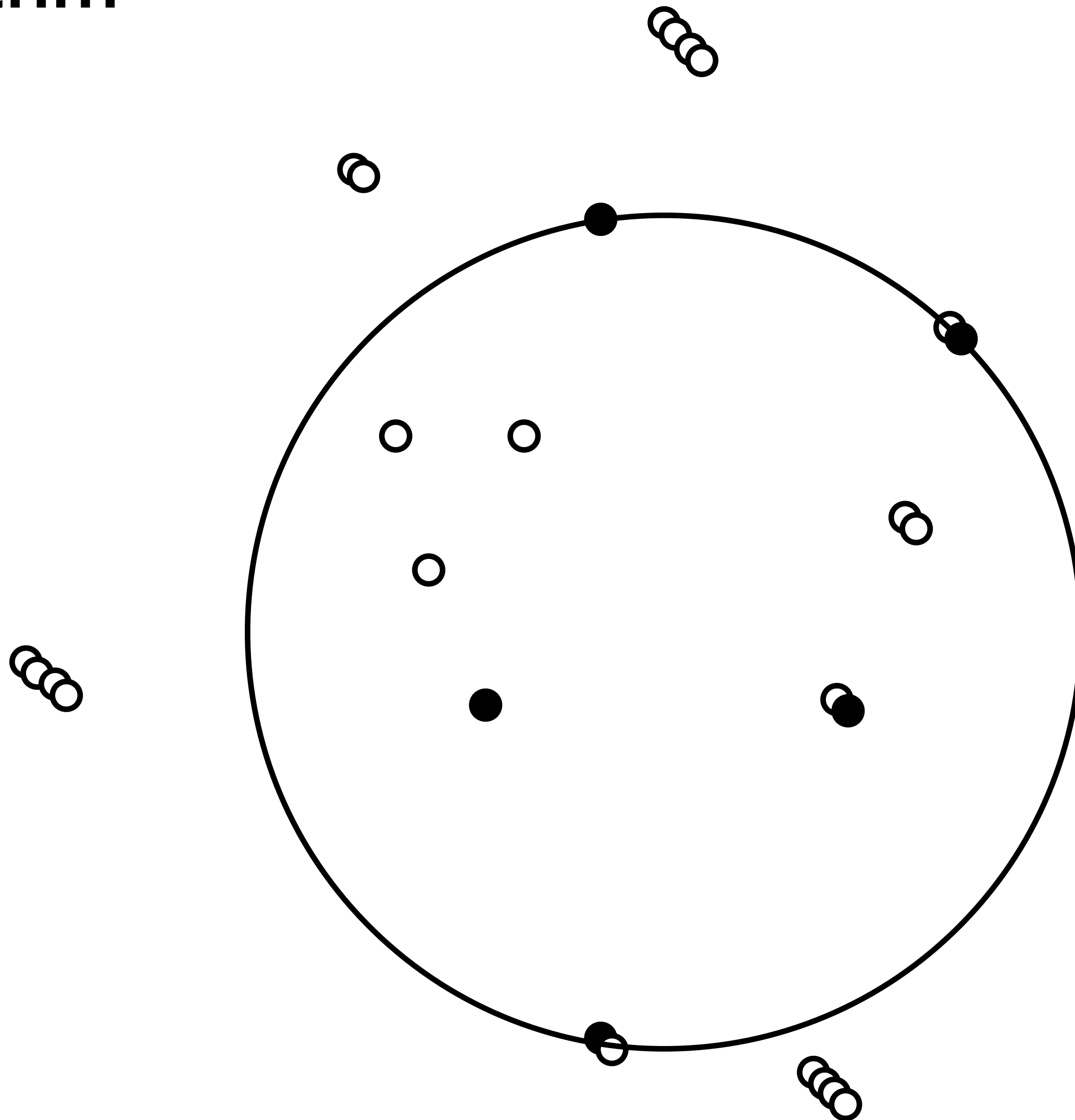
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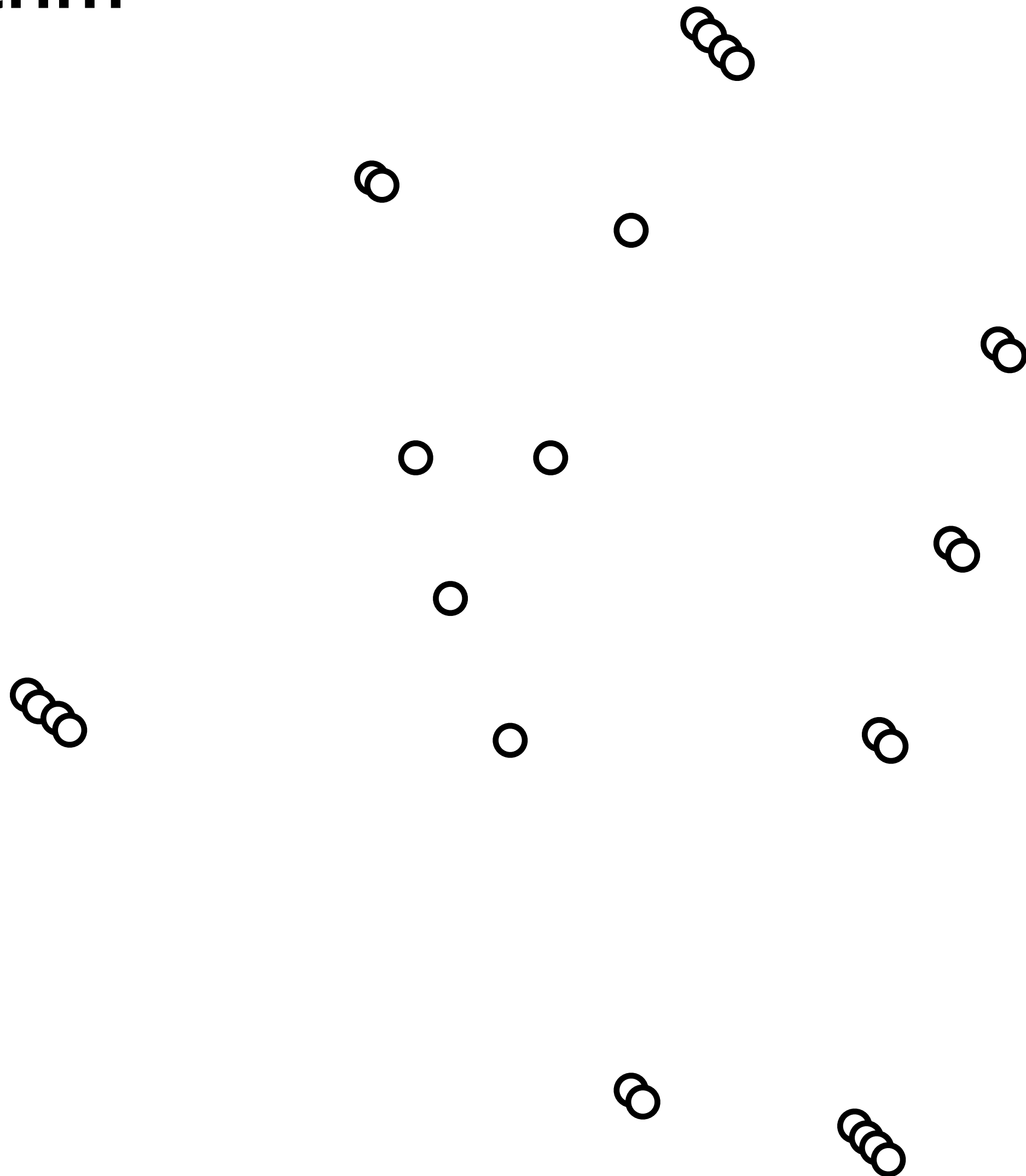
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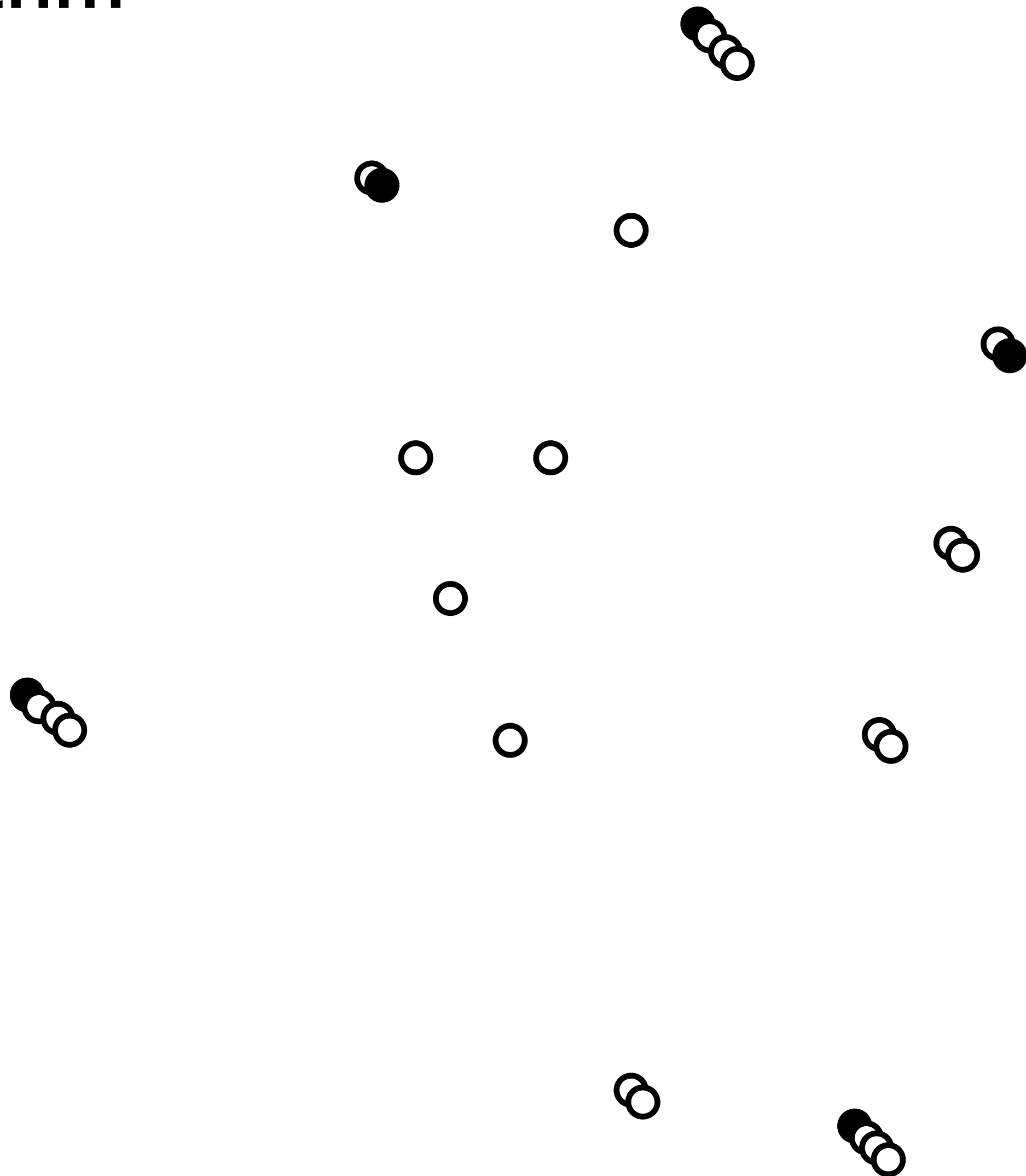
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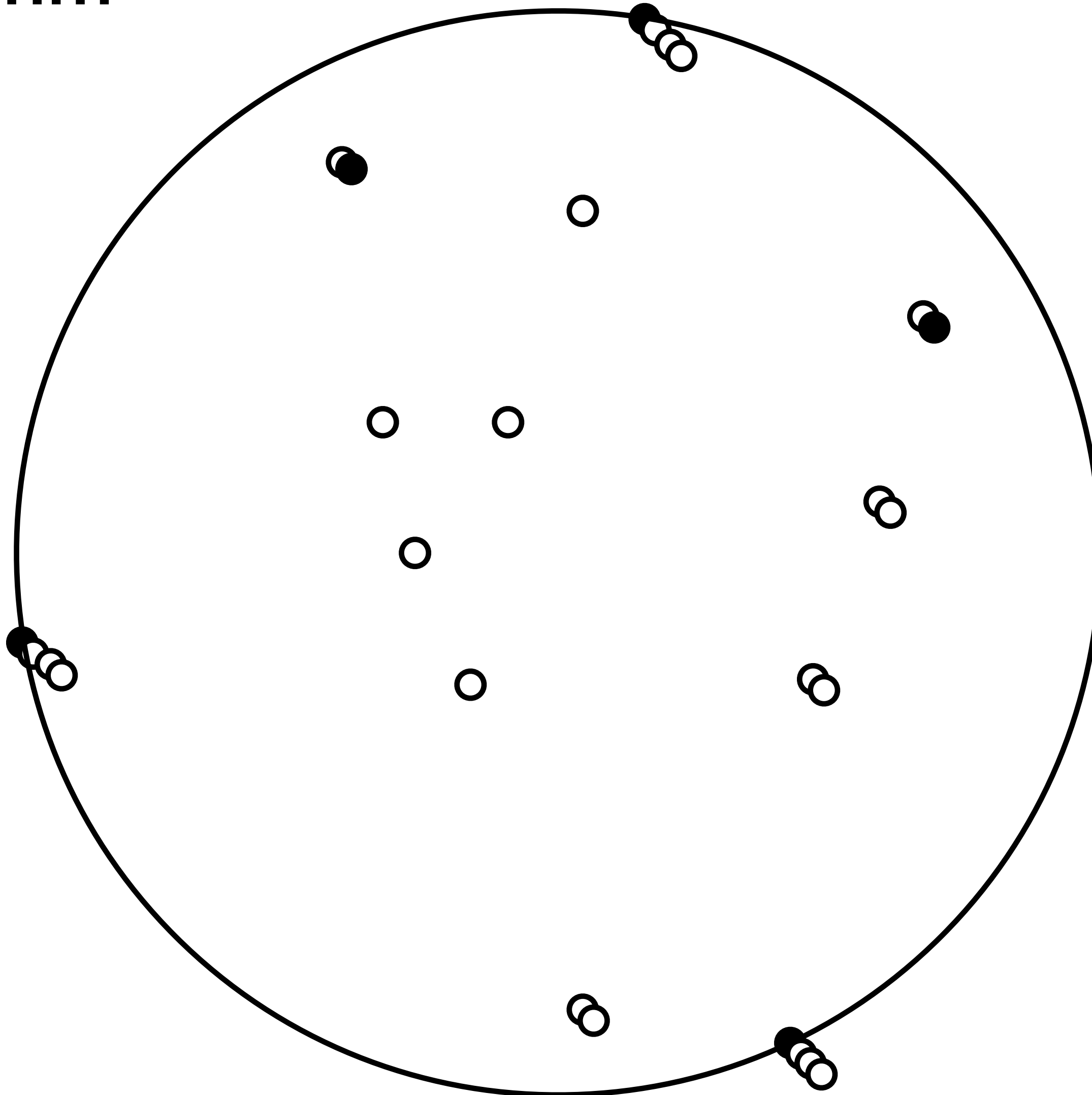
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Smallest Enclosing Circle

Sampling Lemma

Let $r, N \in \mathbb{N}$, $r \leq N$ and $P' \subseteq \mathbb{R}^2$ be a multiset with $|P'| = N$

For R chosen uniformly at random from $\binom{P'}{r}$, the following holds :

$$\mathbb{E} \left[\left| \underbrace{P' \setminus C'(R)}_{\text{Points in } P' \text{ outside } C(R)} \right| \right] \leq 3 \cdot \frac{N - r}{r + 1} \leq 3 \cdot \frac{N}{r + 1}$$

Minitest 5 solutions



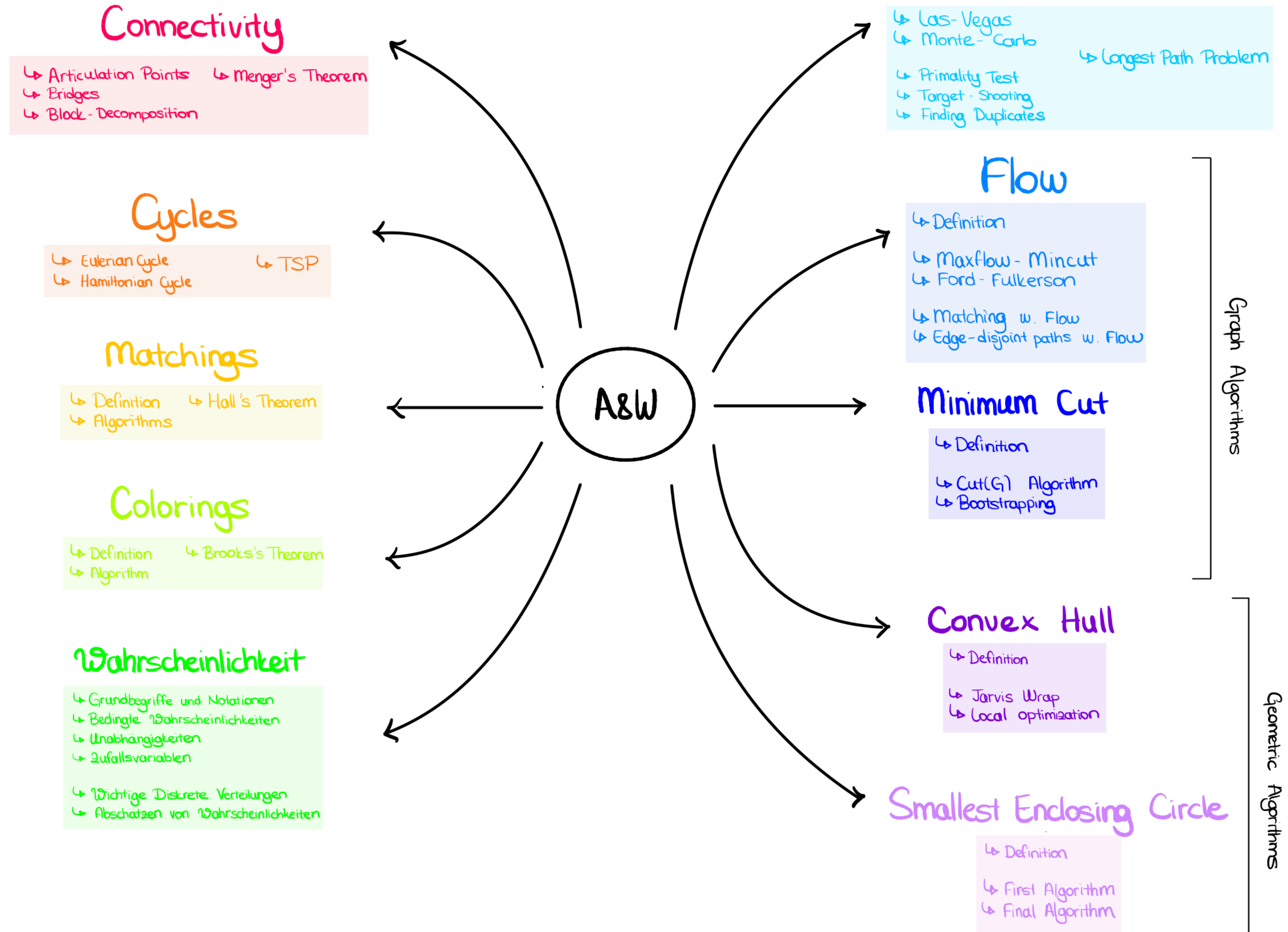
A&W

Exercise Session 13

Convex Hull , Primality Test II

Nil Ozer

A&W Overview



Last Weeks ...

- 08.05 : Randomized Algorithms II
- 15.05 : Flow
- 23.05 online : Minimum Cut , Smallest Enclosing Circle
- 28.05 extra session : Exam Prep Session + Pizza and Drinks
- 30.05 last extra session : **Convex Hull** (shortly remaining primality tests)

Outline

- Convex Hull
- Primality Tests II

Convex Hull

Convex Hull

Definitions

- line segment $\overline{v_0 v_1}$:

for $v_0, v_1 \in \mathbb{R}^d$, $\overline{v_0 v_1} := \{(1 - \lambda)v_0 + \lambda v_1 \mid \lambda \in \mathbb{R}, 0 \leq \lambda \leq 1\}$

Convex Hull

Definitions

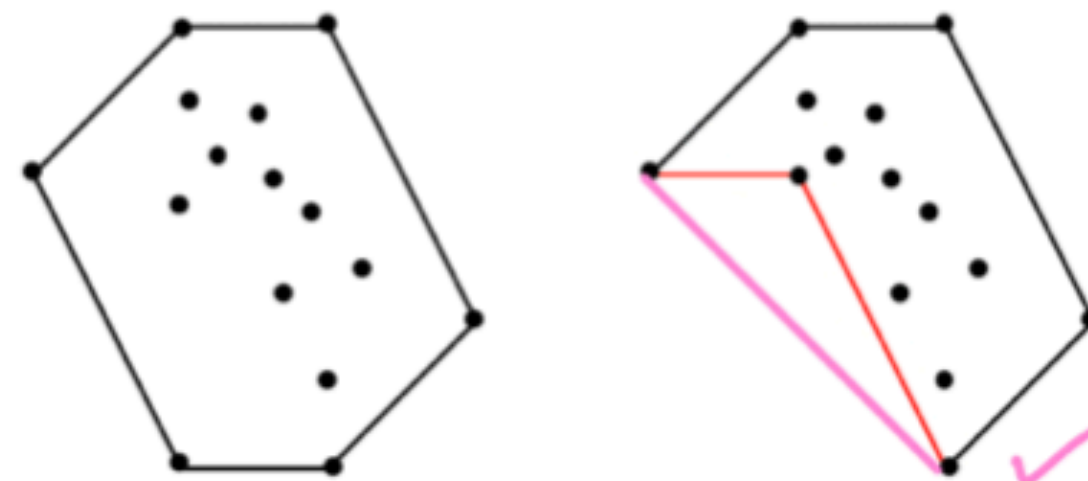
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- convex :

A set $C \subseteq \mathbb{R}^d$ is called convex if $\forall v_0, v_1 \in C : \overline{v_0v_1} \subseteq C$

- **Convex** : there is no vertex at which the polygon bends inward.
 - **Convex def** : If all interior angles are less than 180 degrees, meaning none of the vertices "point inward."
 - **Concave def** : If at least one interior angle is greater than 180 degrees, meaning at least one vertex "points inward."



Convex Hull

Definitions

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- convex :

A set $C \subseteq \mathbb{R}^d$ is called convex if $\forall v_0, v_1 \in C : \overline{v_0 v_1} \subseteq C$

- convex hull $\text{conv}(S)$:

The convex hull of a set $S \subseteq \mathbb{R}^d$ is the intersection of all convex sets that contain S.

$$\text{conv}(S) := \bigcap_{\substack{S \subseteq C \subseteq \mathbb{R}^d \\ C \text{ konvex}}} C$$

Convex Hull

Problem Description

given : A finite set of points $P \subseteq \mathbb{R}^2$

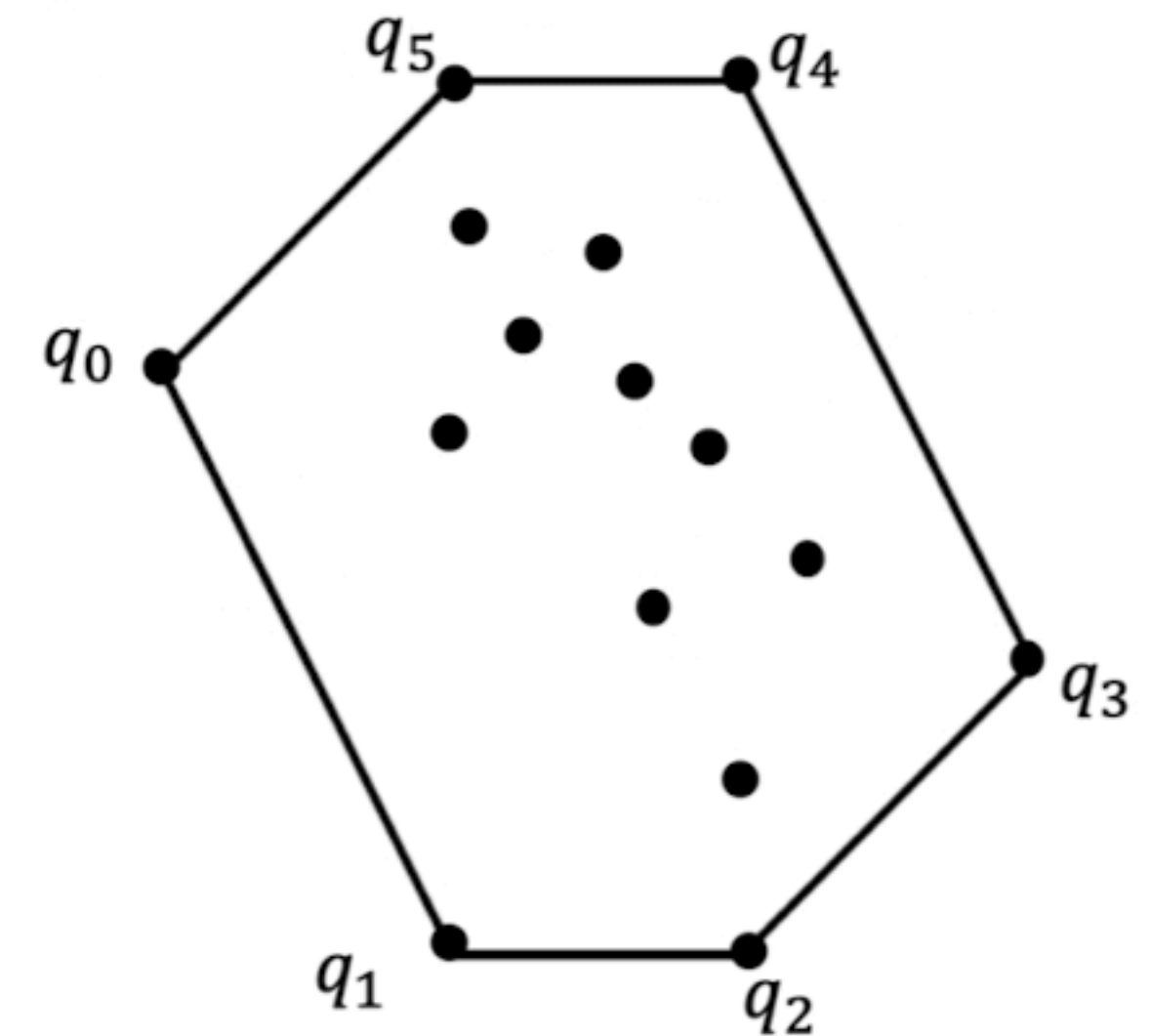
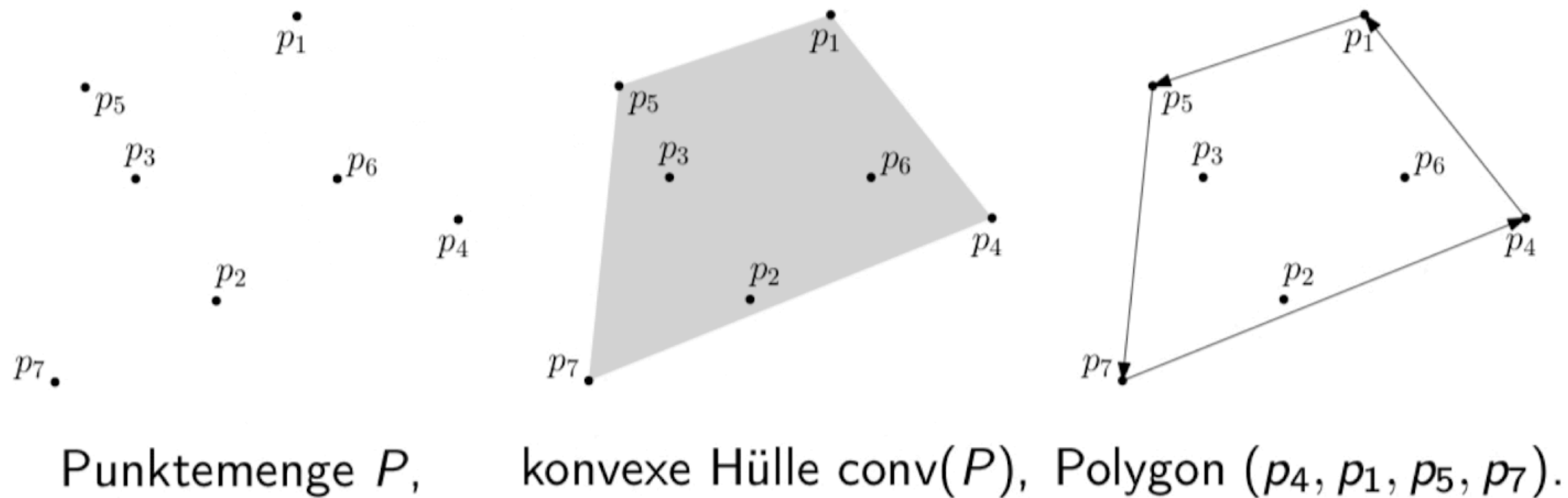
to find : The convex hull of P

- Points are in **general position** !
 - No three points lie on the same line
 - No two points share the same x-coordinate

Convex Hull

Problem Description

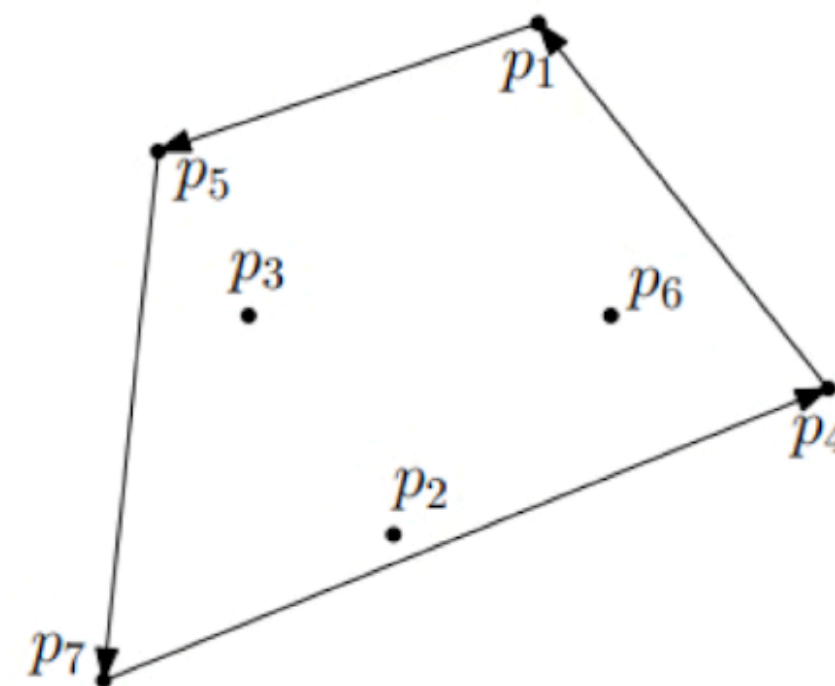
- Output: We want to determine the vertices of the convex polygon. So, we want to determine a sequence $(q_0, q_1, \dots, q_{h-1})$, $h \leq n$, that defines the vertices in a counterclockwise order.



Convex Hull

Boundary Edge

- A pair $qr \in P$, with $q \neq r$, is called a *boundary edge* of P , if all points in $P \setminus \{q, r\}$ lie to the left of the line segment qr .



Idea :

$(q_0, q_1, \dots, q_{h-1})$ is the sequence of vertices of the polygon enclosing $\text{conv}(P)$ in a counterclockwise order



All pairs (q_{i-1}, q_i) are boundary edges of P

Jarvis Wrap

Algorithm

Jarvis Wrap

JarvisWrap(P)

1: $h \leftarrow 0$

2: $p_{\text{now}} \leftarrow$ Point in P with smallest x-coordinate

3: **repeat**

4: $q_h \leftarrow p_{\text{now}}$

5: $p_{\text{now}} \leftarrow \text{FindNext}(q_h)$

6: $h \leftarrow h + 1$

7: **until** $p_{\text{now}} = q_0$

8: **return** $(q_0, q_1, \dots, q_{h-1})$

For sure a vertex of
the convex hull

Consider the
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Find the next vertex that
builds the boundary edge
 (q_h, q_{h+1})

Jarvis Wrap Algorithm

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FindNext(q)

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- 2: $q_{\text{next}} \leftarrow p_0$
- 3: **for all** $p \in P \setminus \{q, p_0\}$ **do**
- 4: **if** p is to the right of qq_{next} **then**
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Jarvis Wrap

Runtime

$O(nh)$

h is the #vertices of the convex hull

Jarvis Wrap

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```

Orientierungstest

Wie entscheiden wir „ p liegt links von qr “?

Lemma

Seien $p = (p_x, p_y)$, $q = (q_x, q_y)$, und $r = (r_x, r_y)$ Punkte in \mathbb{R}^2 . Es gilt $q \neq r$ und p liegt links von qr genau dann wenn

$$\det(p, q, r) := \begin{vmatrix} p_x & p_y & 1 \\ q_x & q_y & 1 \\ r_x & r_y & 1 \end{vmatrix} = \begin{vmatrix} q_x - p_x & q_y - p_y \\ r_x - p_x & r_y - p_y \end{vmatrix} > 0$$

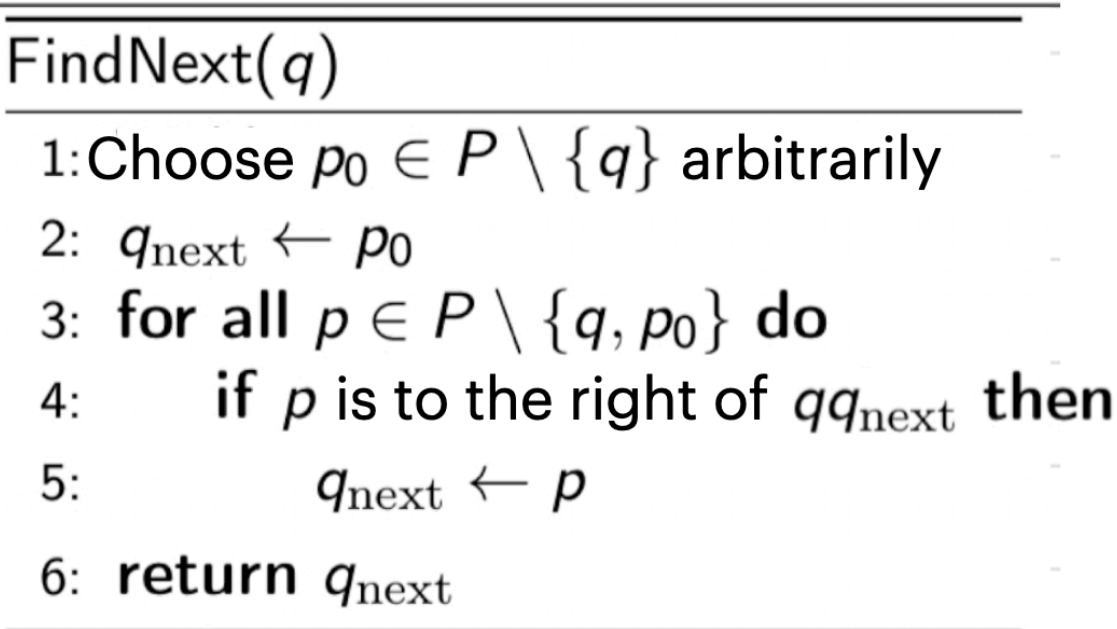
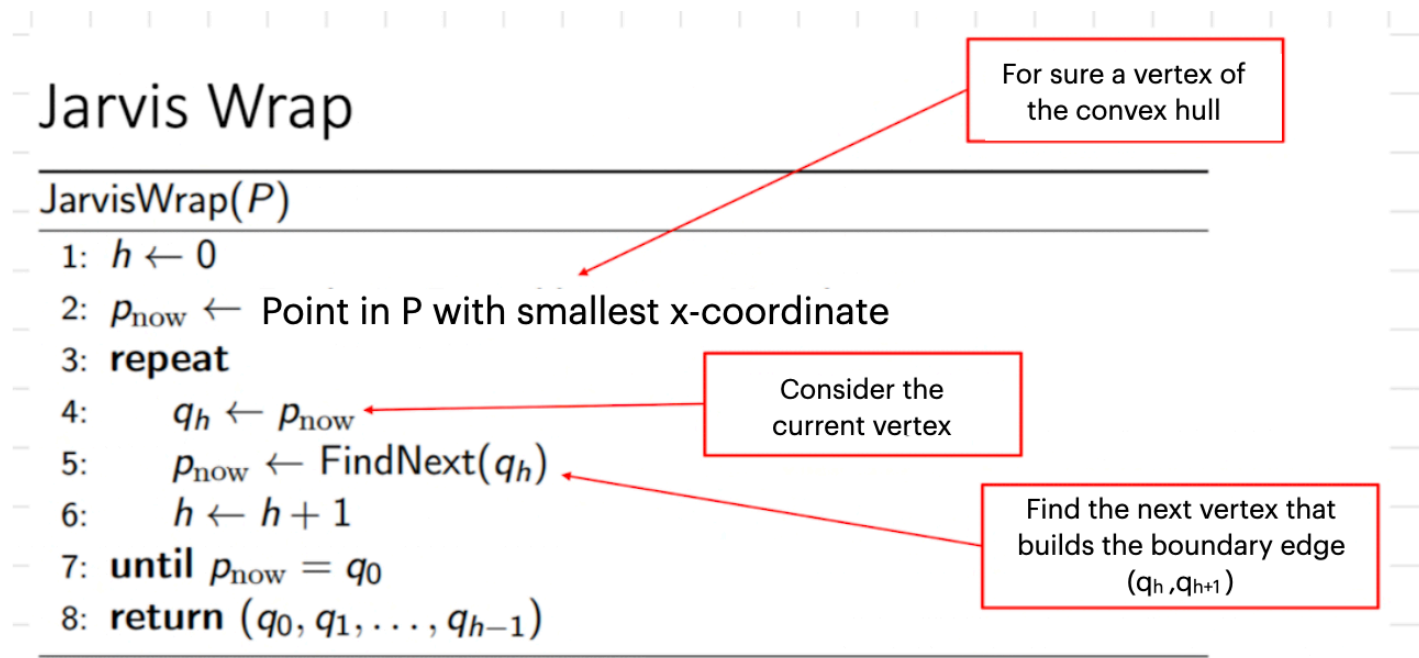
$$\Leftrightarrow (q_x - p_x)(r_y - p_y) > (q_y - p_y)(r_x - p_x)$$

$\frac{1}{2} |\det(p, q, r)|$ = die Fläche des Dreiecks pqr

Das Vorzeichen von $\det(p, q, r)$ bestimmt, wie die Punkte p, q, r den Rand dieses Dreiecks durchlaufen.

Jarvis Wrap

Illustration

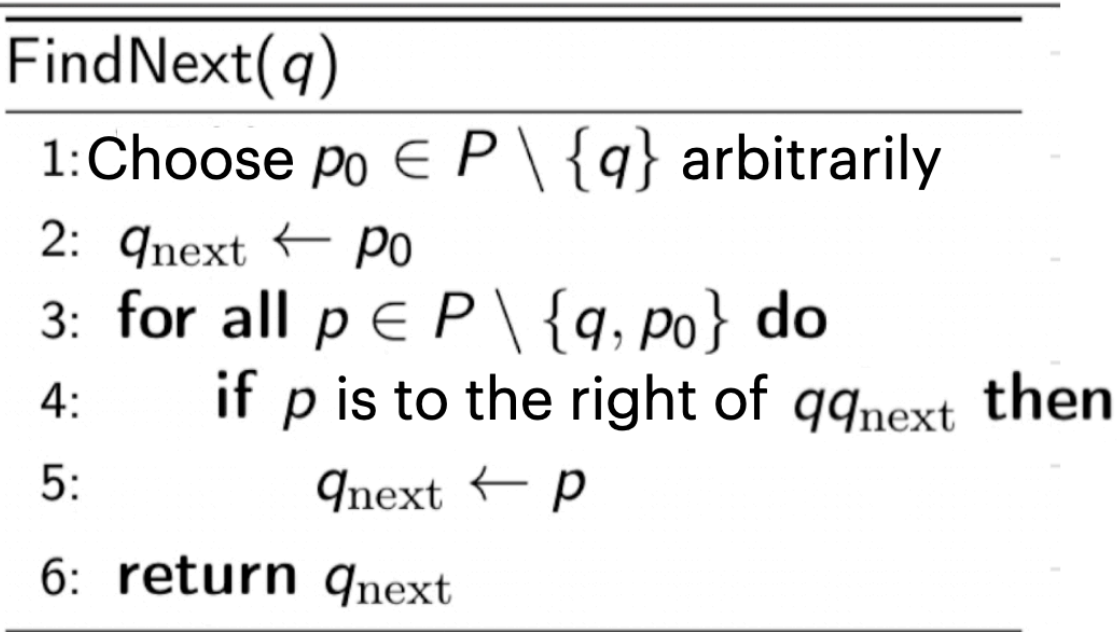
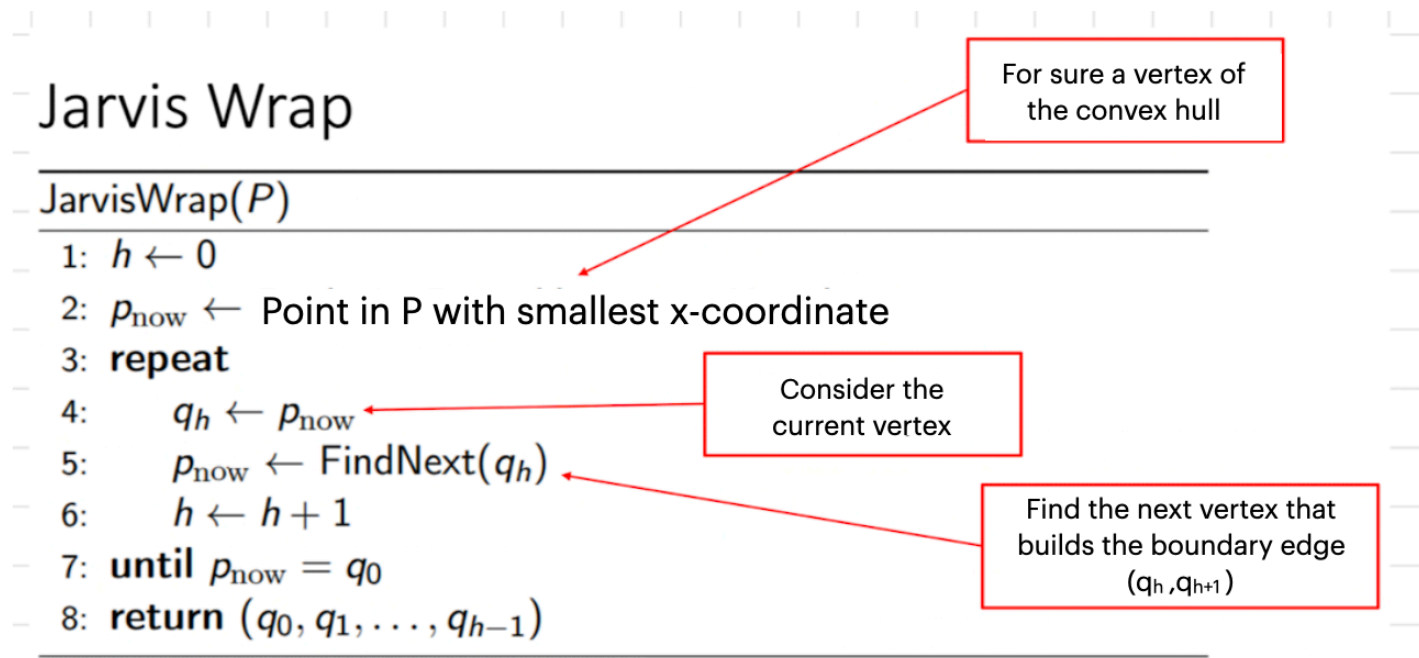


point with smallest x
coordinate



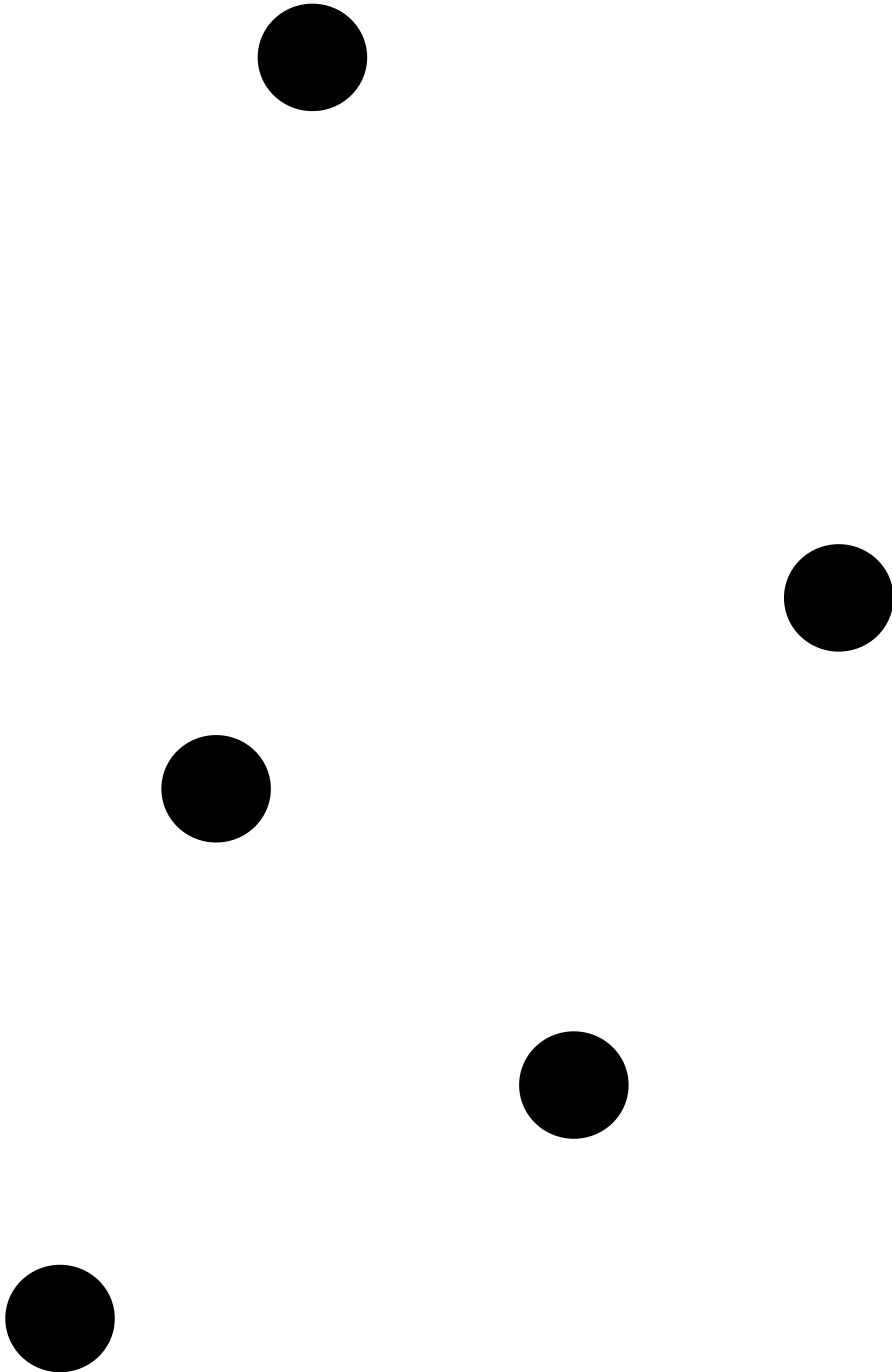
Jarvis Wrap

Illustration



point with smallest x
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q_0



Jarvis Wrap

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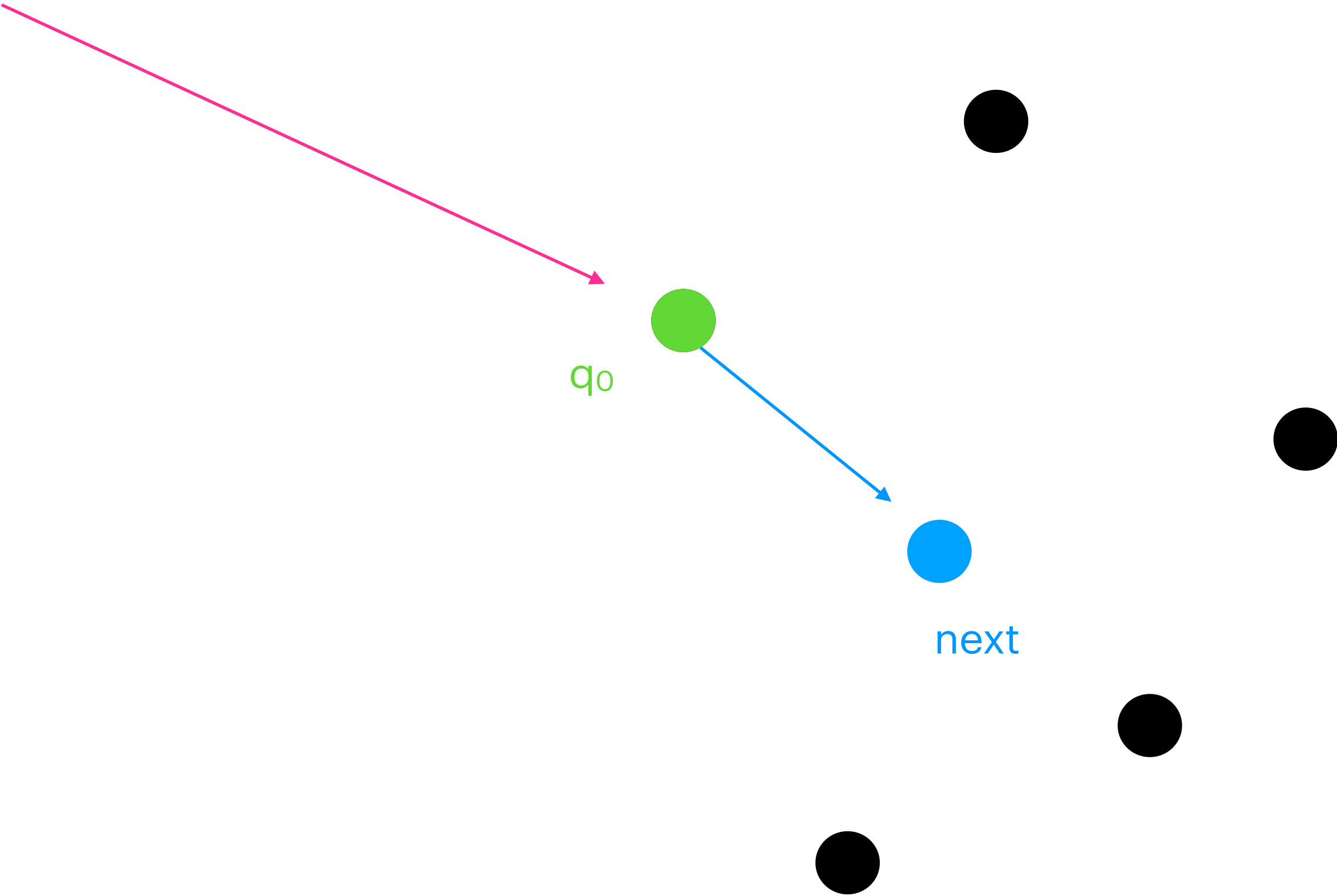
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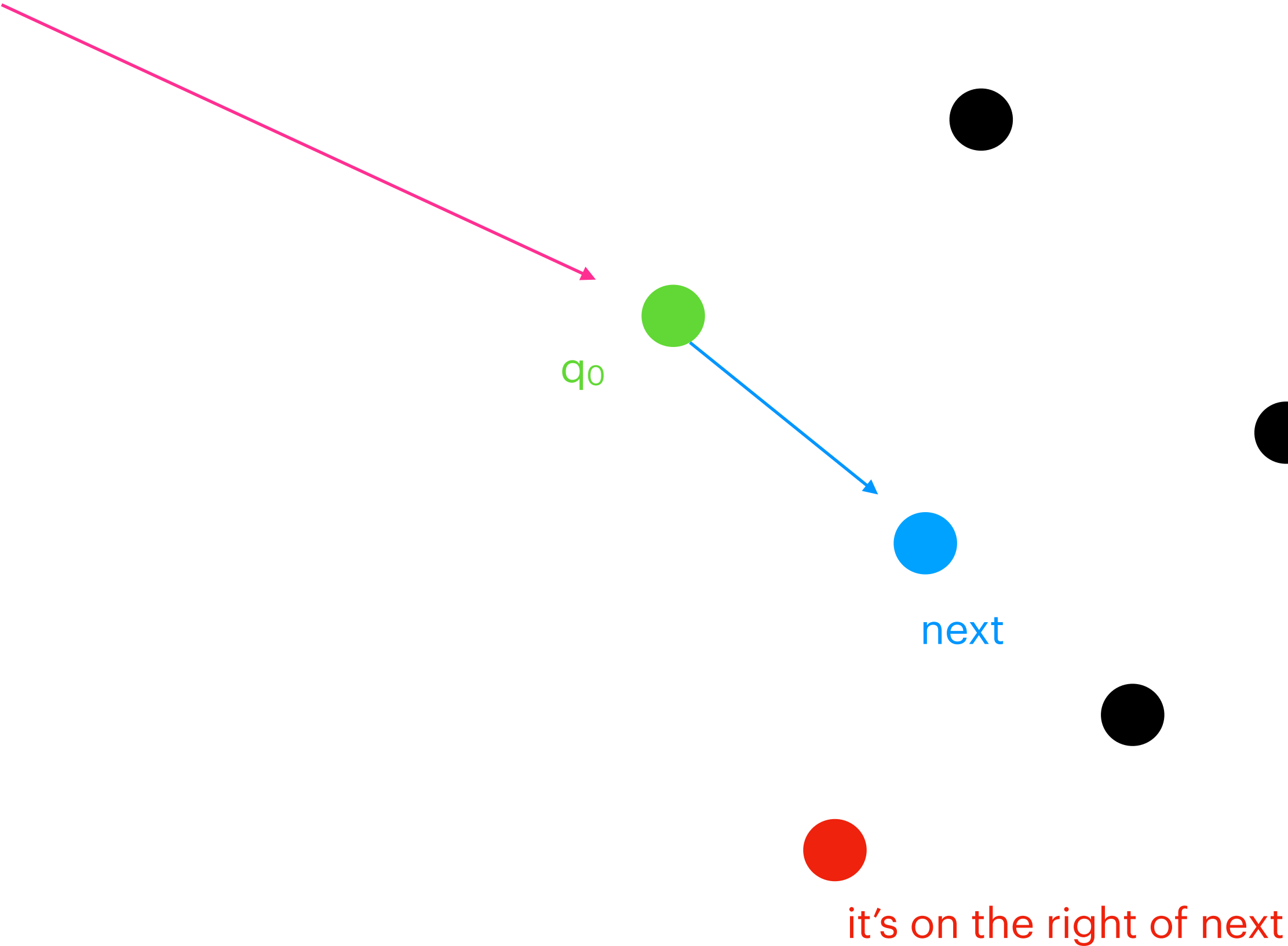
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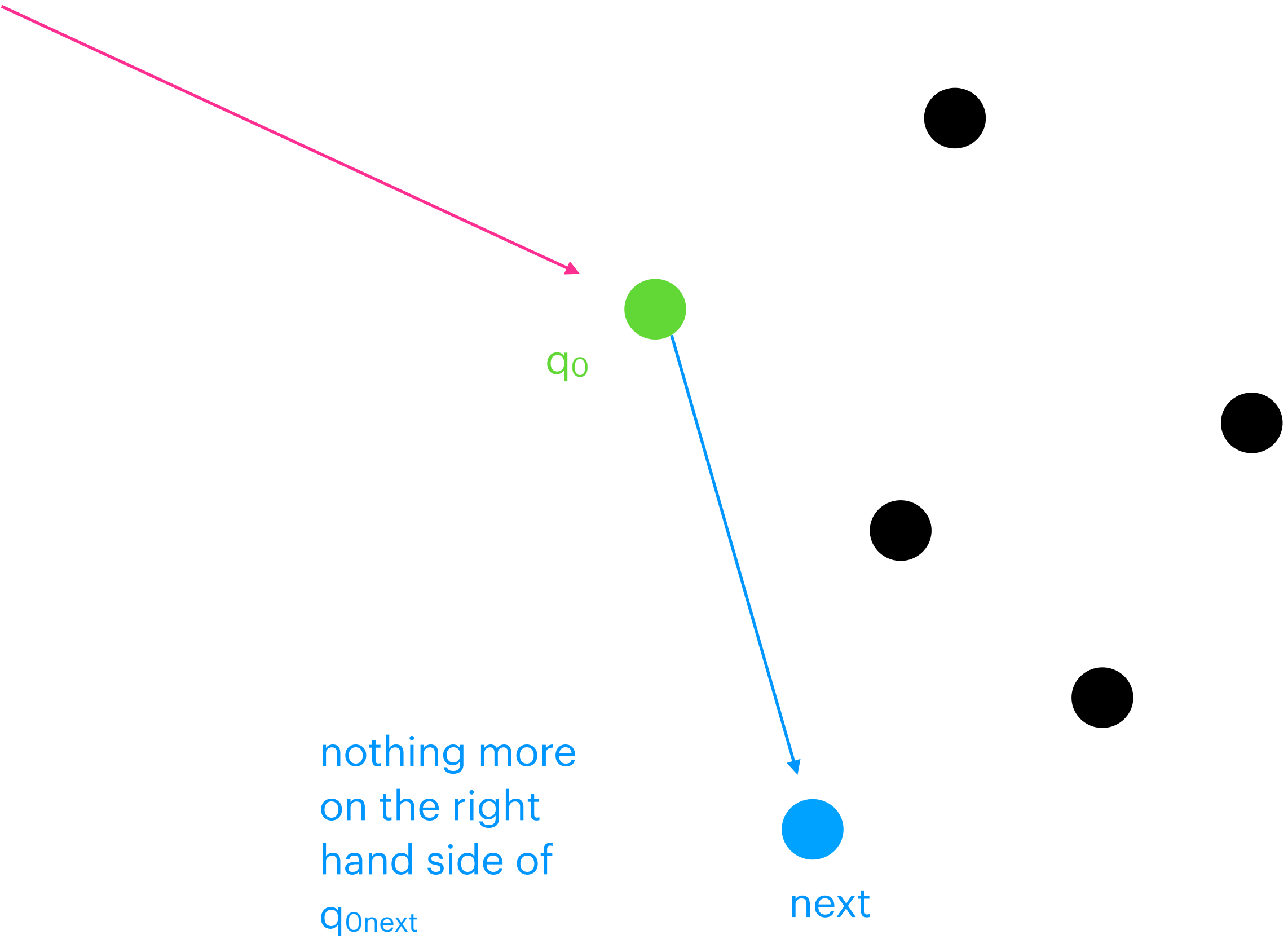
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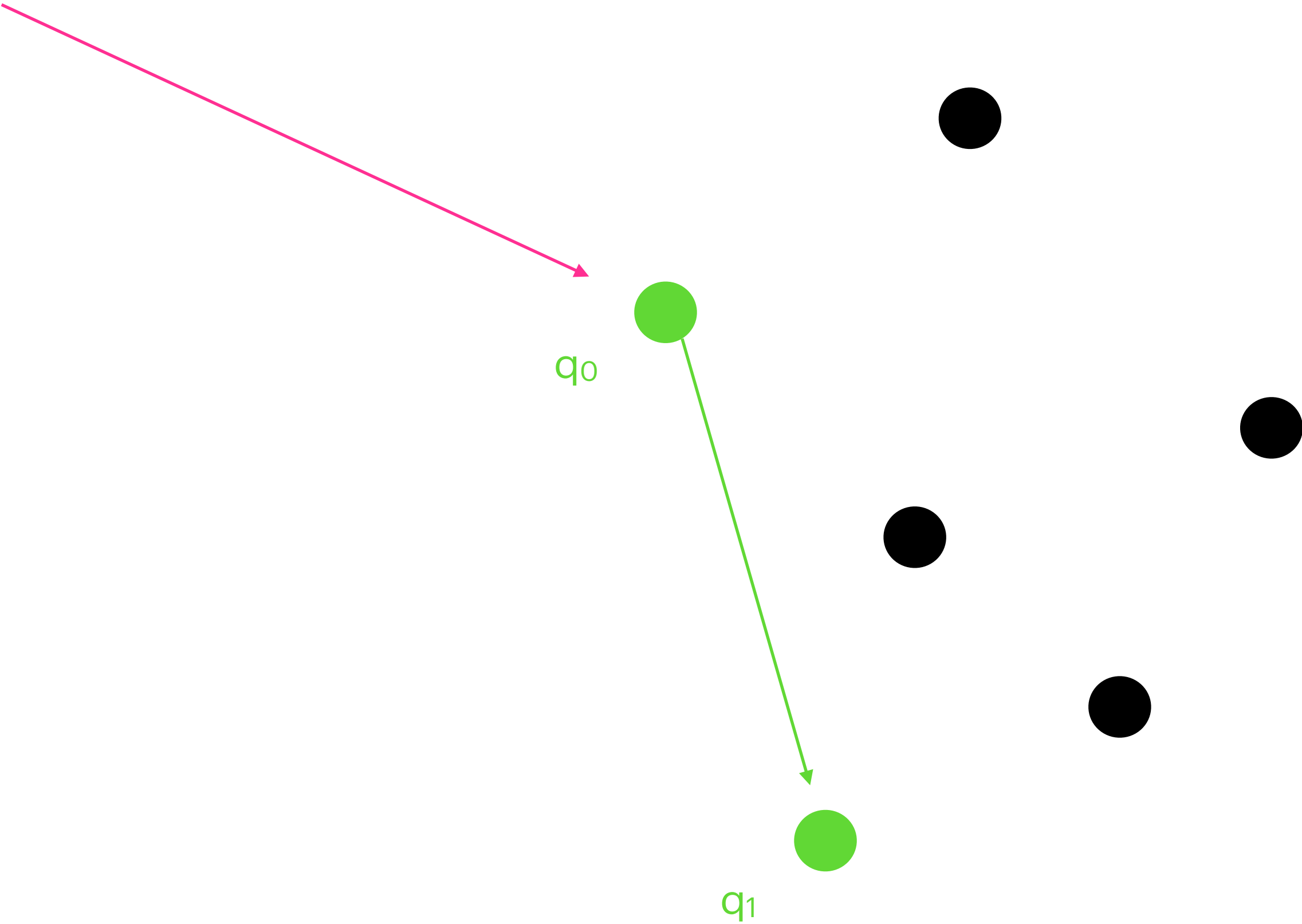
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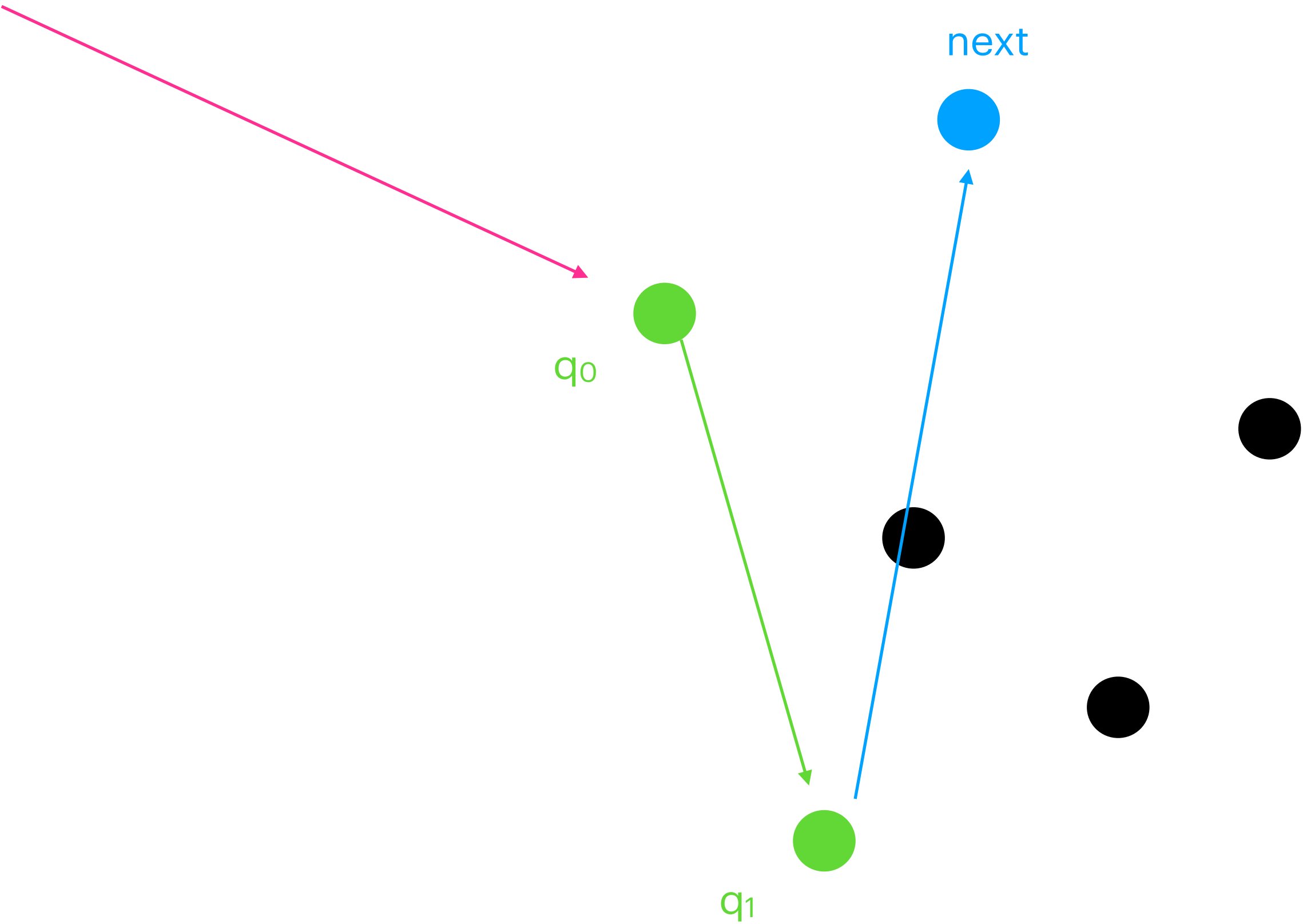
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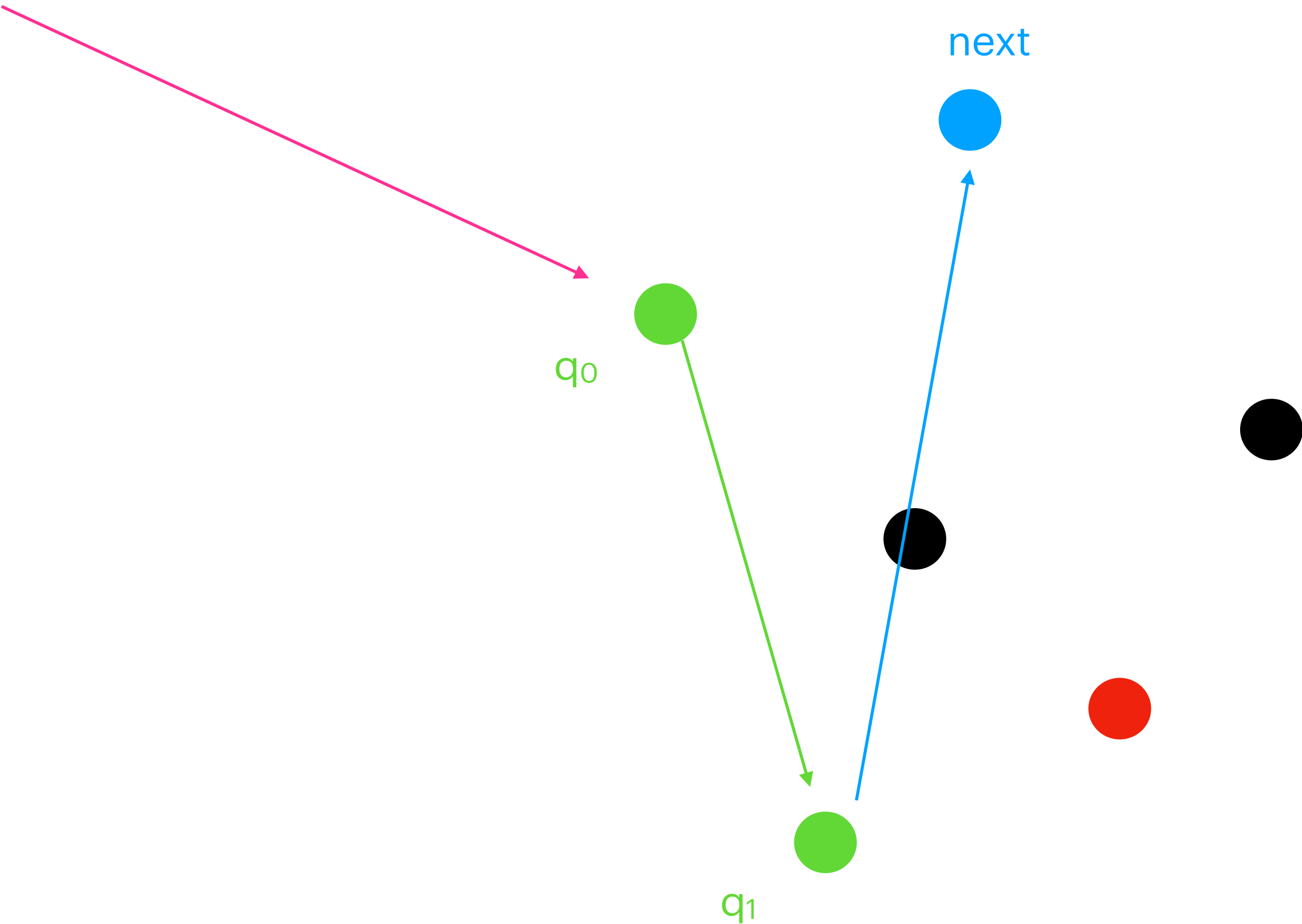
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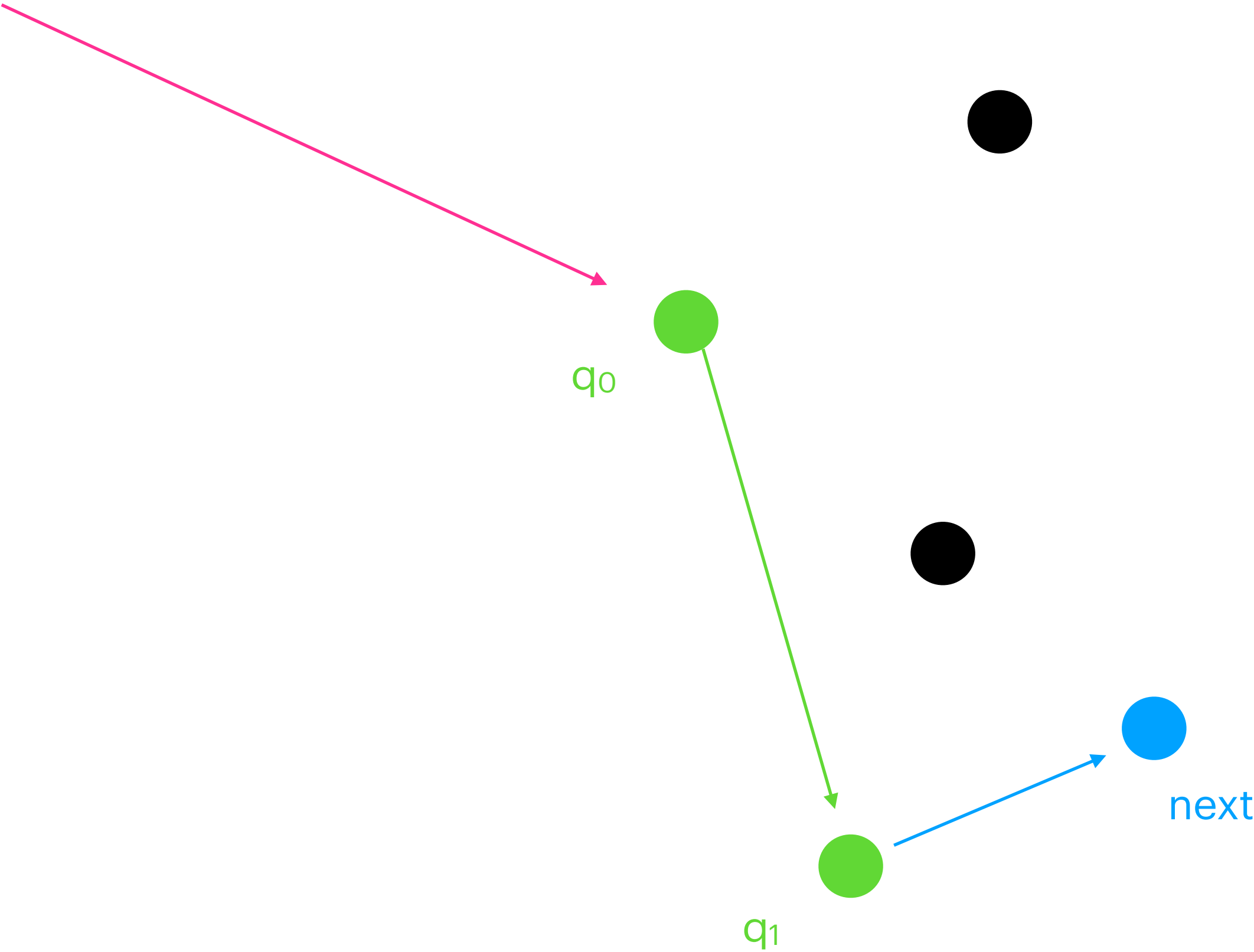
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6: **return** q_{next}



Jarvis Wrap

Illustration

Jarvis Wrap

JarvisWrap(P)

1: $h \leftarrow 0$

2: $p_{\text{now}} \leftarrow$ Point in P with smallest x-coordinate

3: **repeat**

4: $q_h \leftarrow p_{\text{now}}$

5: $p_{\text{now}} \leftarrow \text{FindNext}(q_h)$

6: $h \leftarrow h + 1$

7: **until** $p_{\text{now}} = q_0$

8: **return** $(q_0, q_1, \dots, q_{h-1})$

For sure a vertex of the convex hull

Consider the current vertex

Find the next vertex that builds the boundary edge (q_h, q_{h+1})

FindNext(q)

1: Choose $p_0 \in P \setminus \{q\}$ arbitrarily

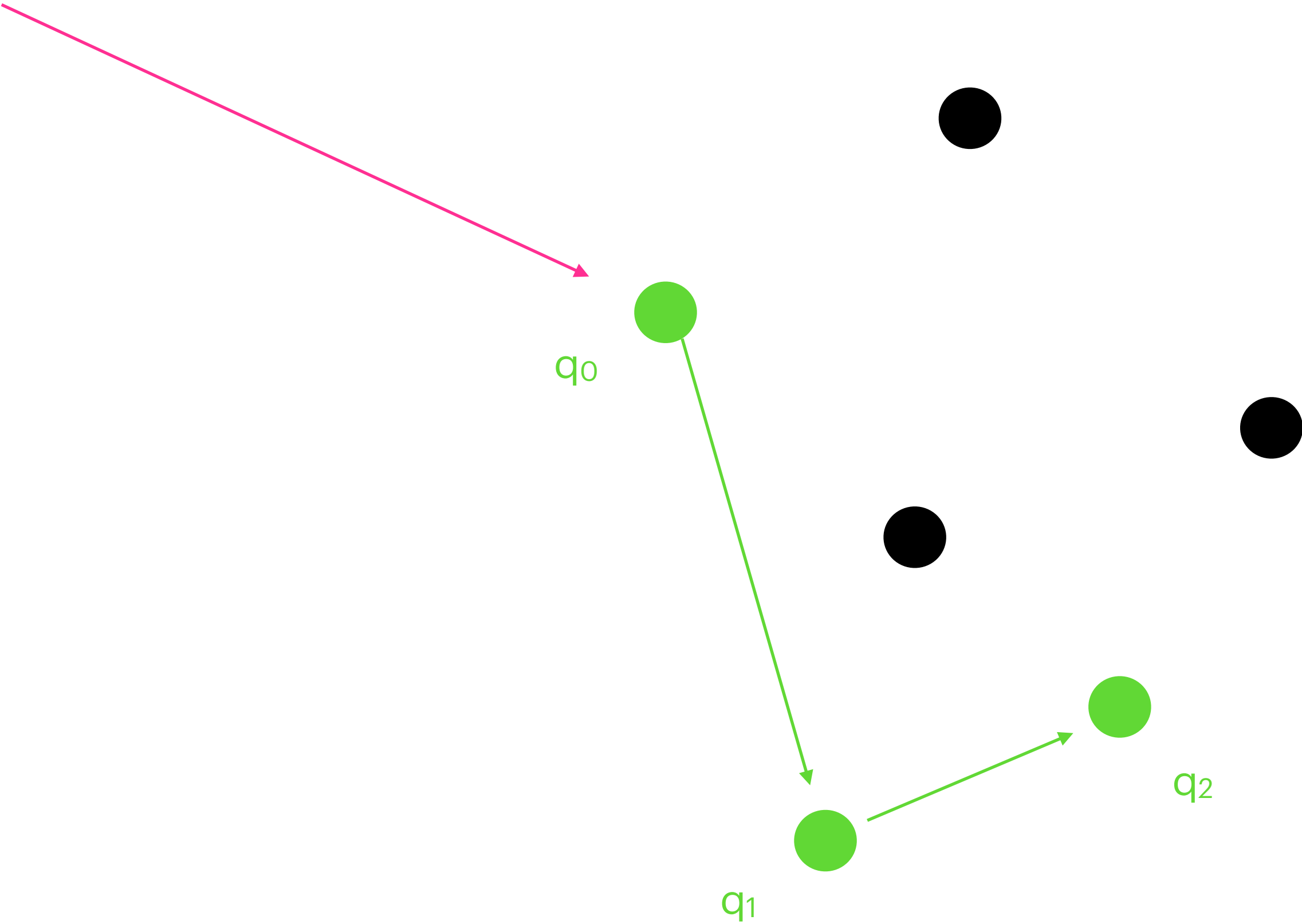
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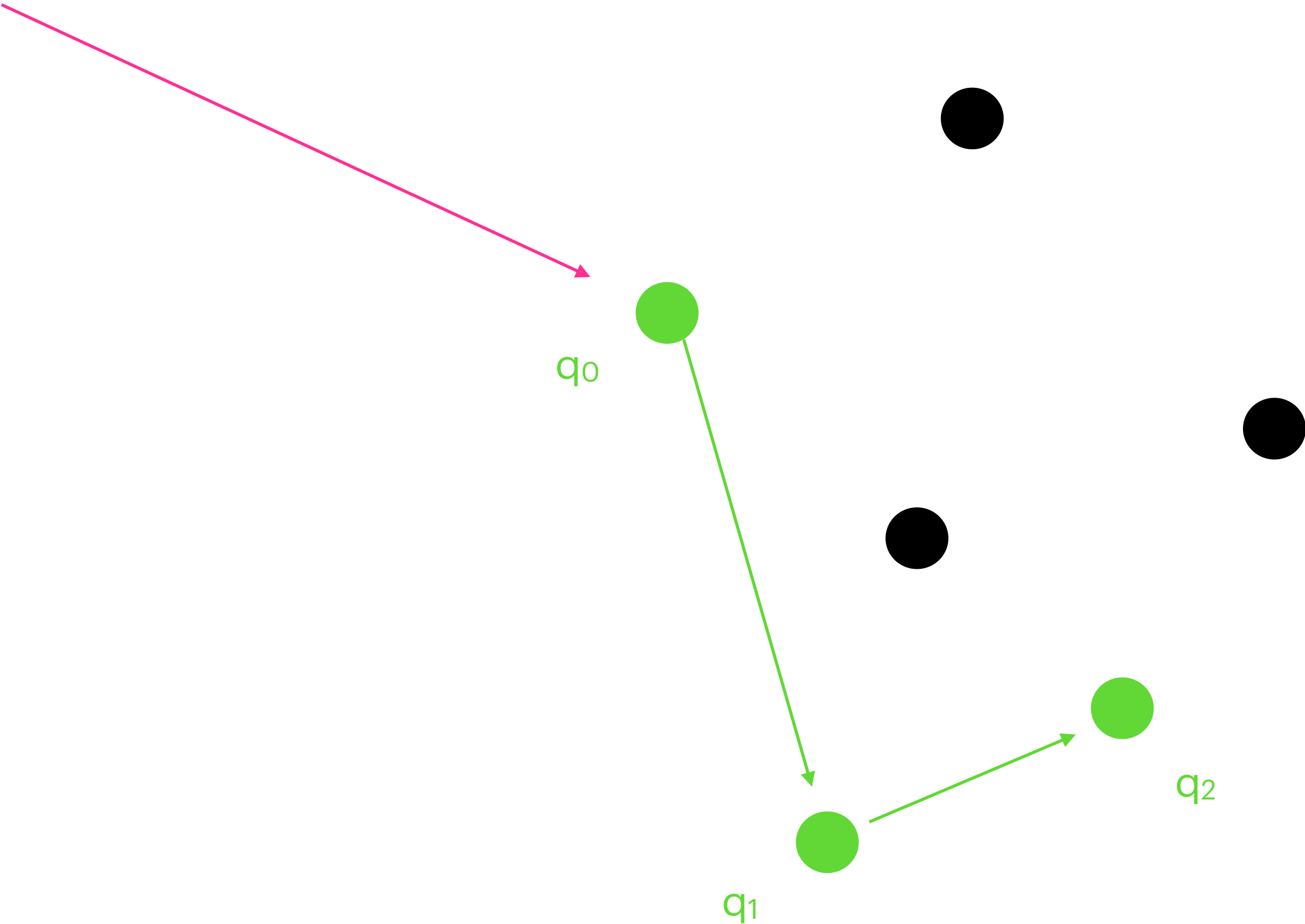
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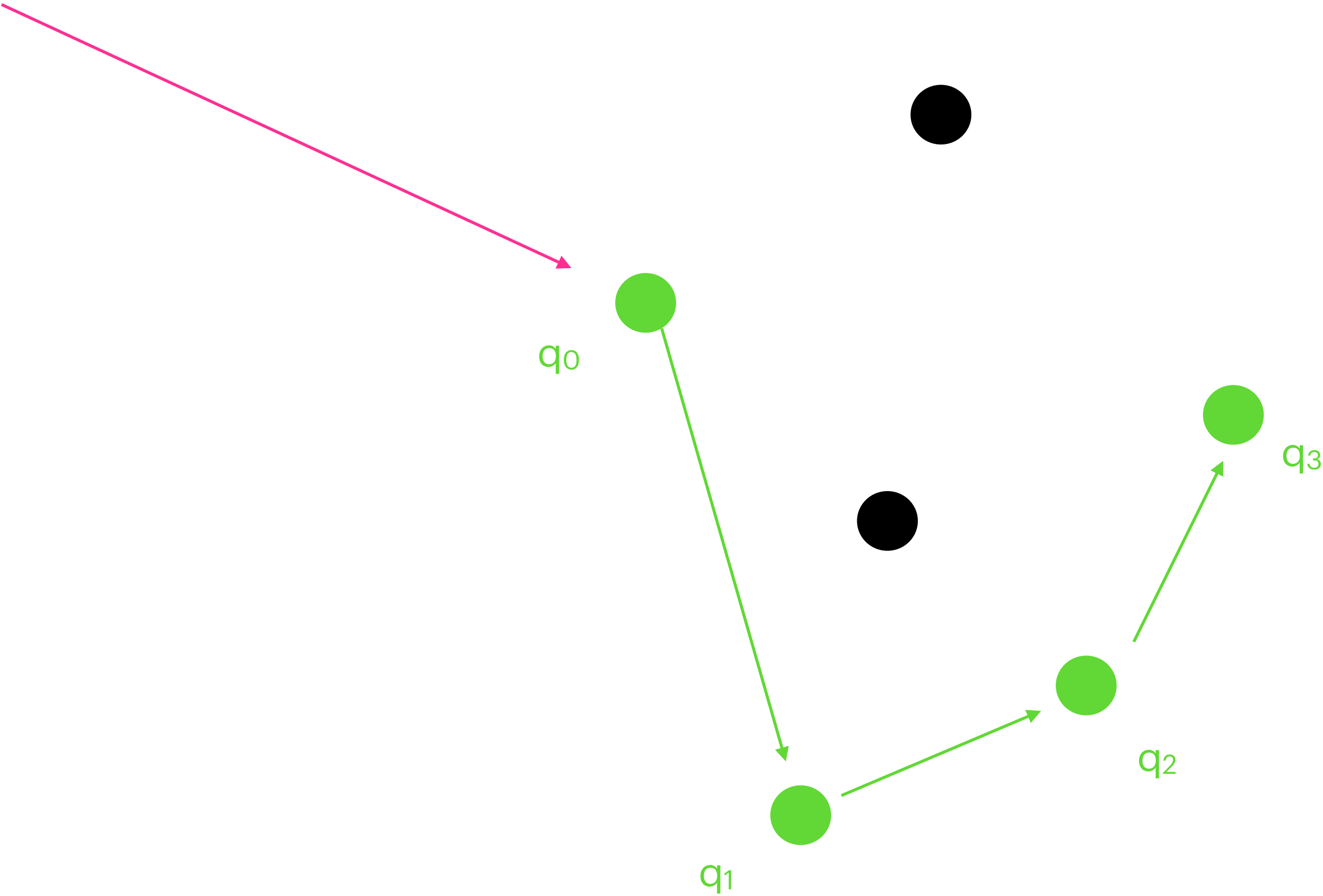
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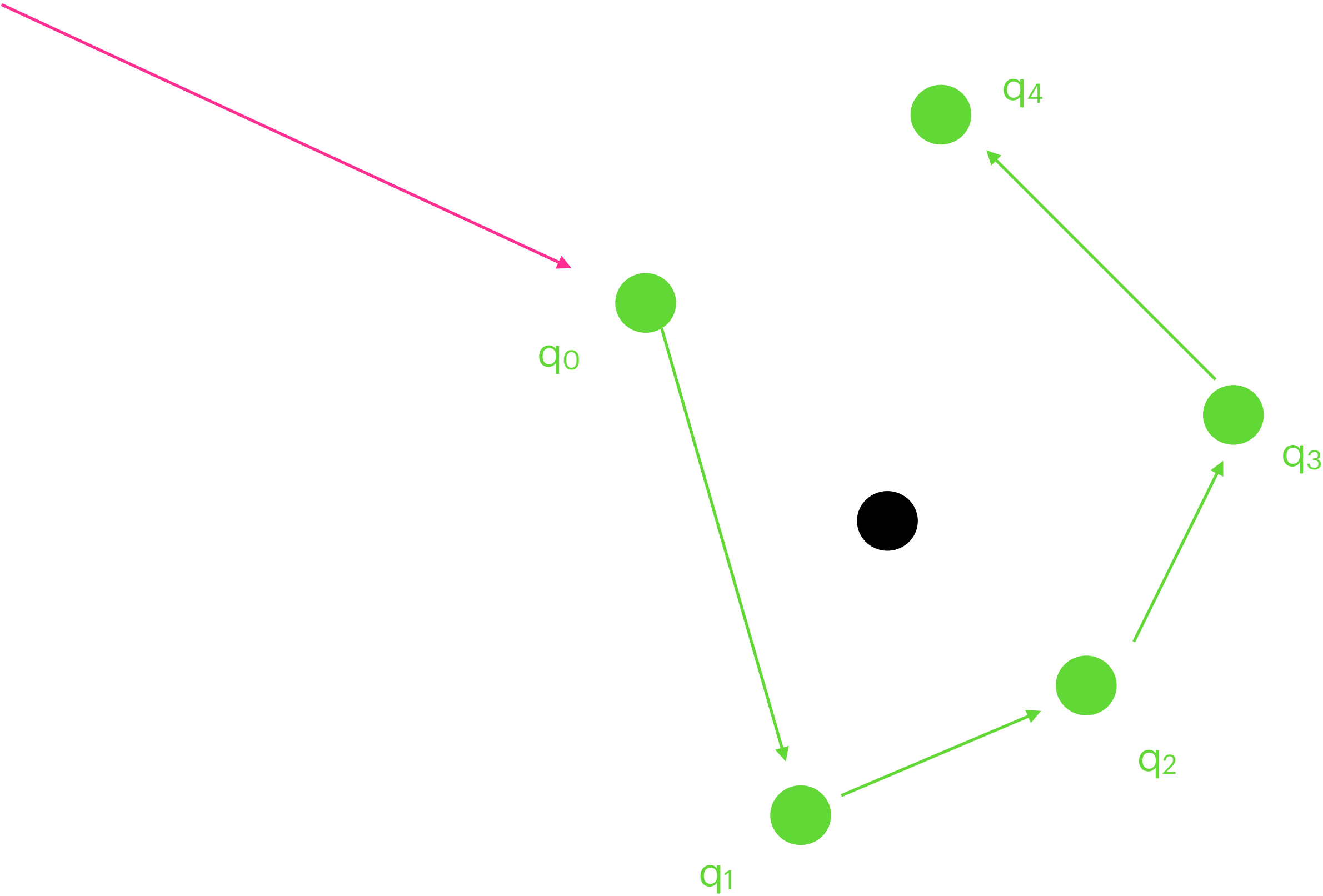
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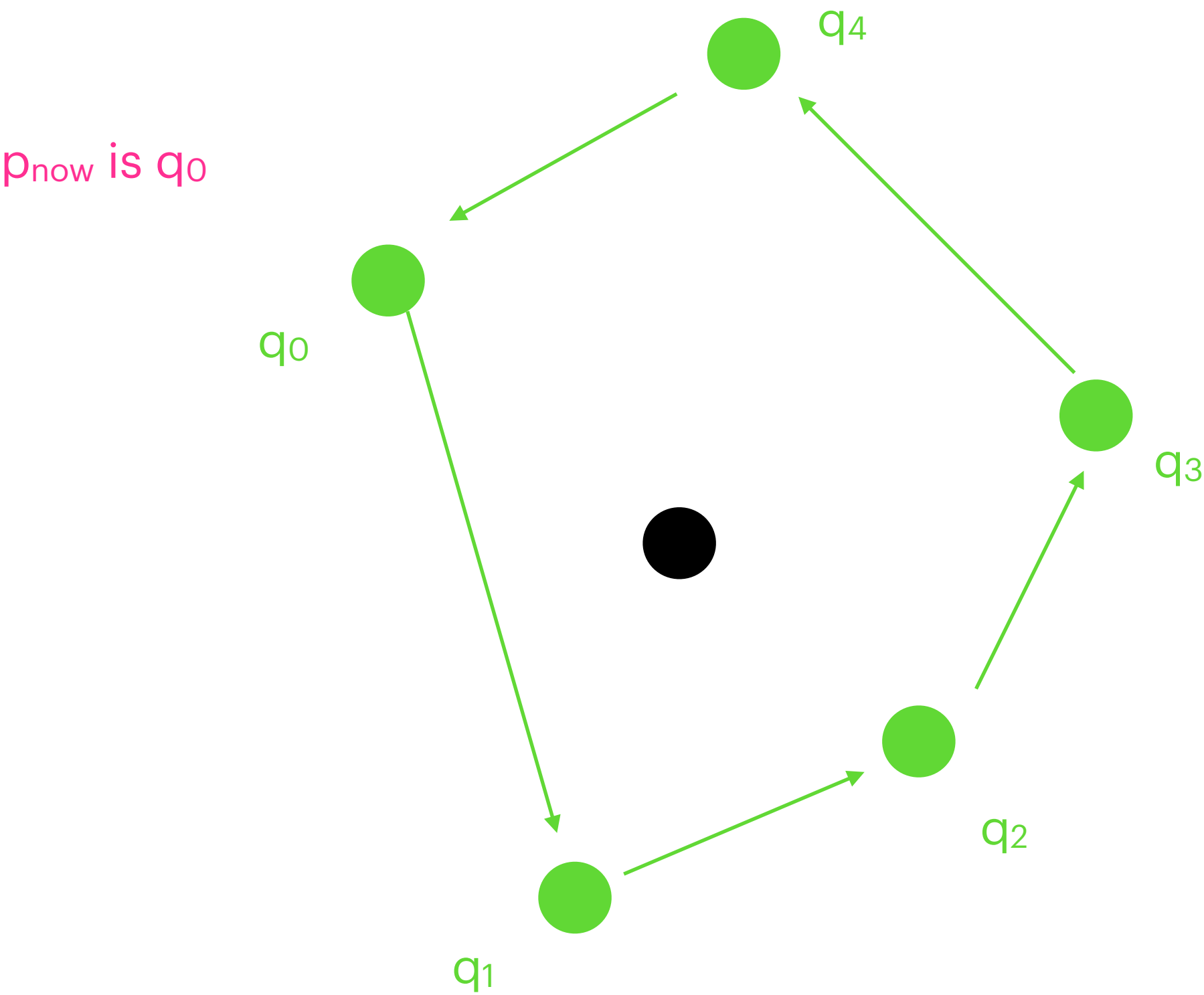
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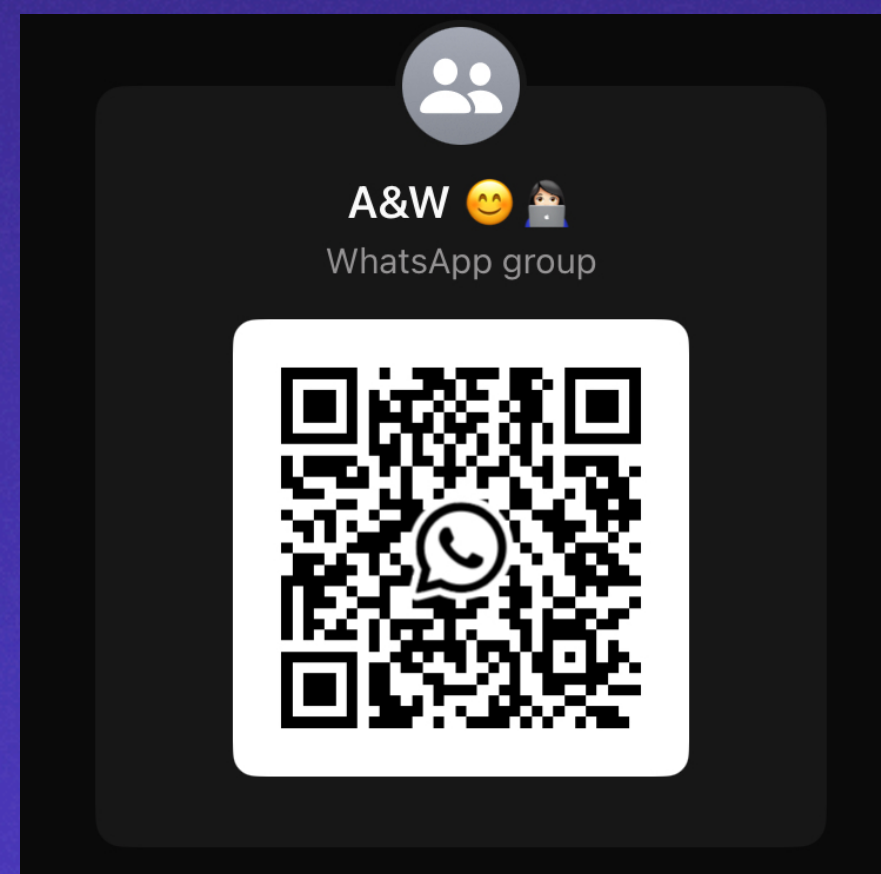
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Let's take a break



Convex Hull

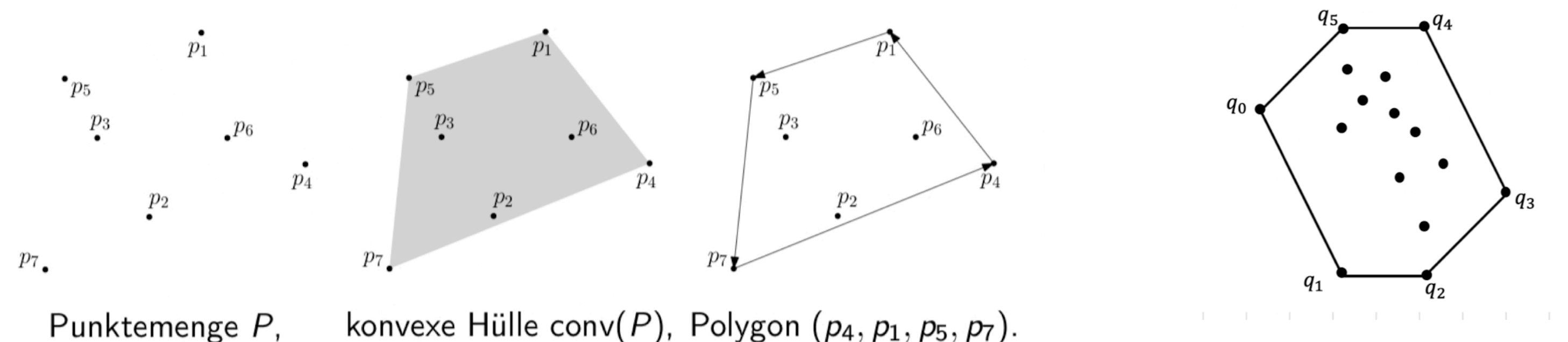
Problem Description

given : A finite set of points $P \subseteq \mathbb{R}^2$

to find : The convex hull of P

- Points are in **general position** !
 - No three points lie on the same line
 - No two points share the same x-coordinate

- Output: We want to determine the vertices of the convex polygon. So, we want to determine a sequence $(q_0, q_1, \dots, q_{h-1})$, $h \leq n$, that defines the vertices in a counterclockwise order.



Convex Hull

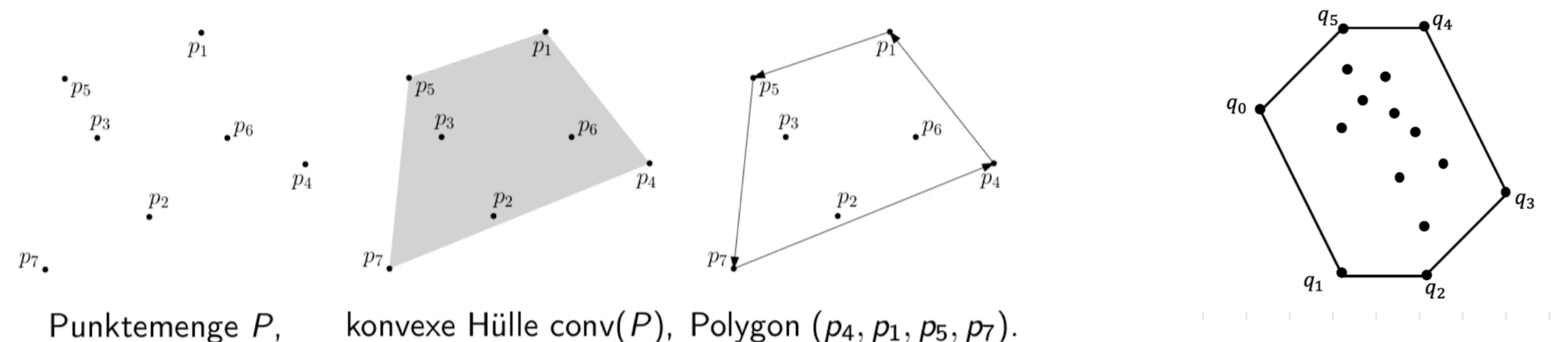
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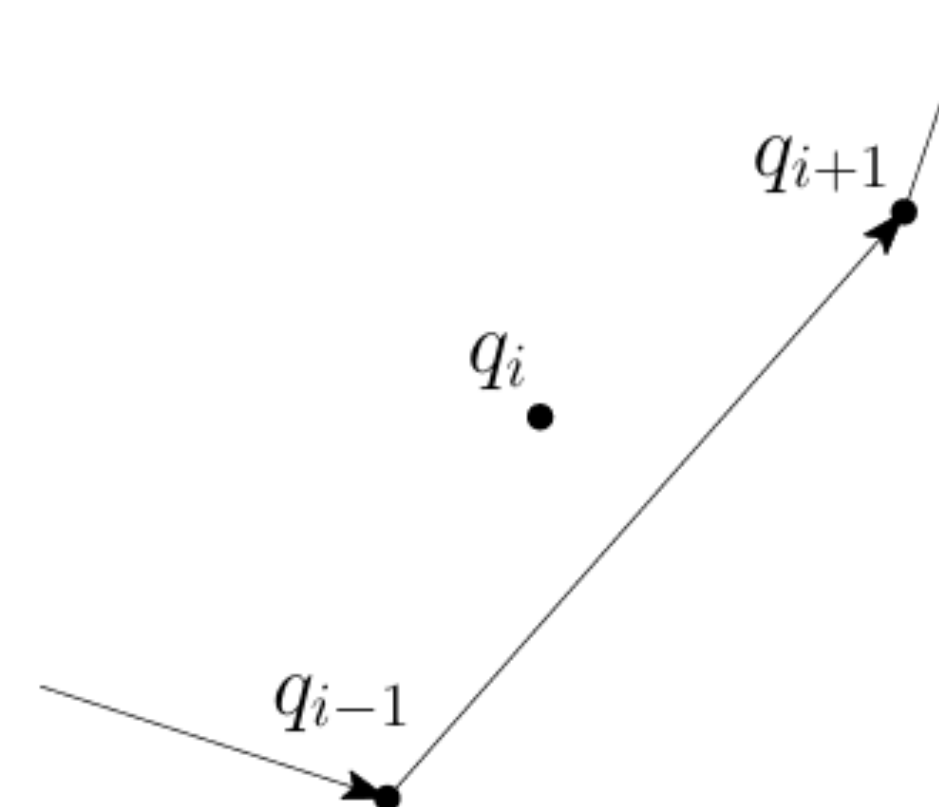
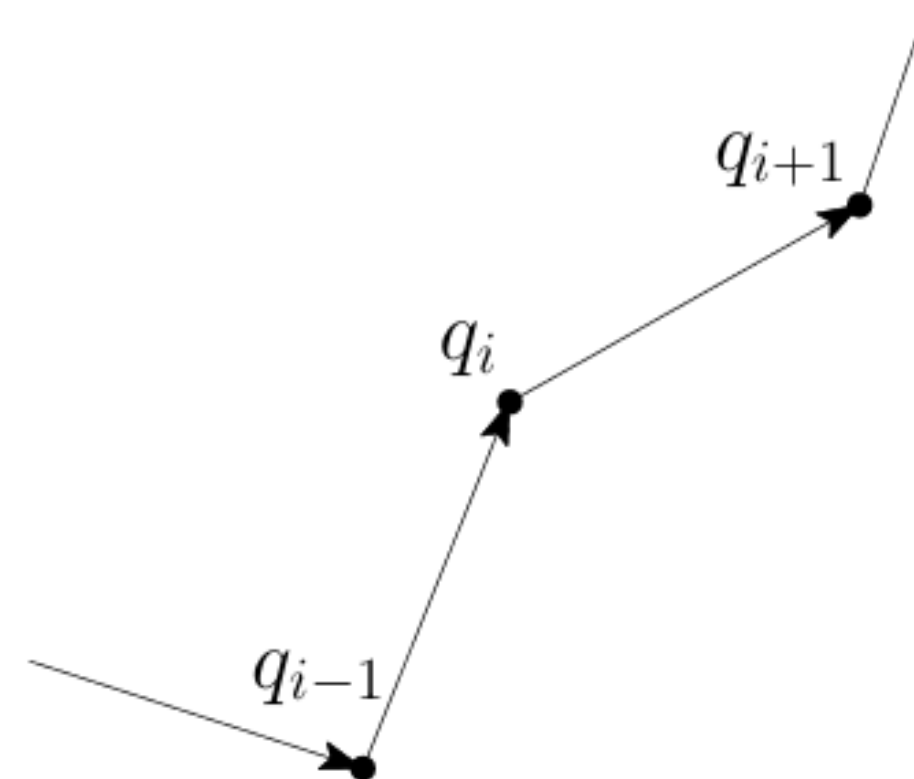
Convex Hull

Local Improvement



Idea :

Given a sequence of points $(q_0, q_1, \dots, q_{h-1})$, if a point q_i lies to the left of the line segment from q_{i-1} to q_{i+1} , remove q_i from the sequence



Local Repair

Algorithm

$O(n \log n)$

$O(n \log n)$ sorting, $O(n)$ local repair

LocalRepair(p_1, p_2, \dots, p_n)

(p_1, p_2, \dots, p_n) sorted

in increasing x-
coordinate
order

1: $q_0 \leftarrow p_1; h \leftarrow 0$

2: **for** $i \leftarrow 2$ **to** n **do**

3: **while** $h > 0$ und q_h on the left of $q_{h-1}p_i$ **do**

4: $h \leftarrow h - 1$

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8: **for** $i \leftarrow n - 1$ **downto** 1 **do**

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12: **return** $(q_0, q_1, \dots, q_{h-1})$

lower rand
(left to right)

upper rand
(right to left)

local repair

setting new points

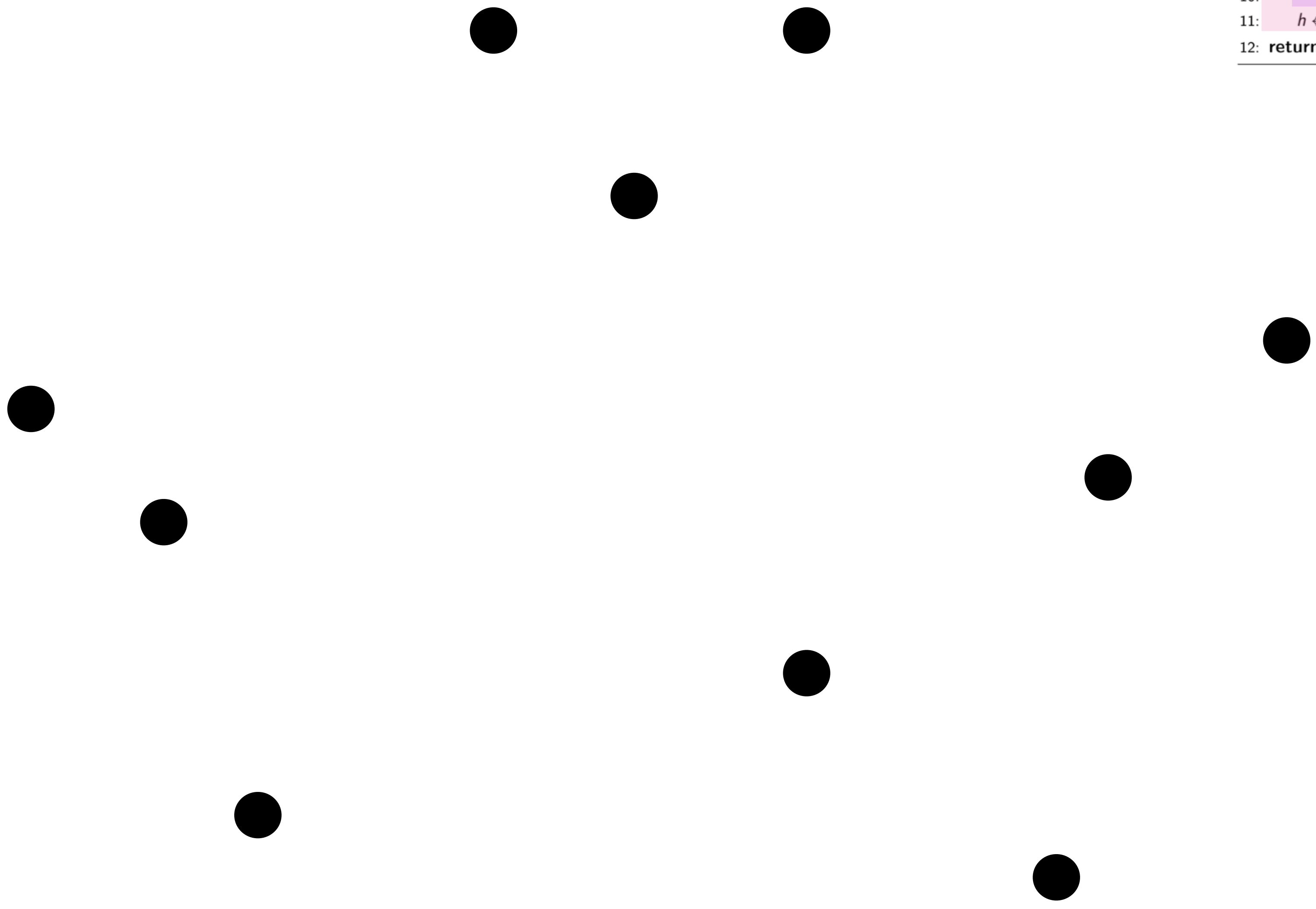
(q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$

local repair

setting new points

Local Repair

Illustration



lower rand
(left to right)

upper rand
(right to left)

LocalRepair(p_1, p_2, \dots, p_n)

(p_1, p_2, \dots, p_n) sorted

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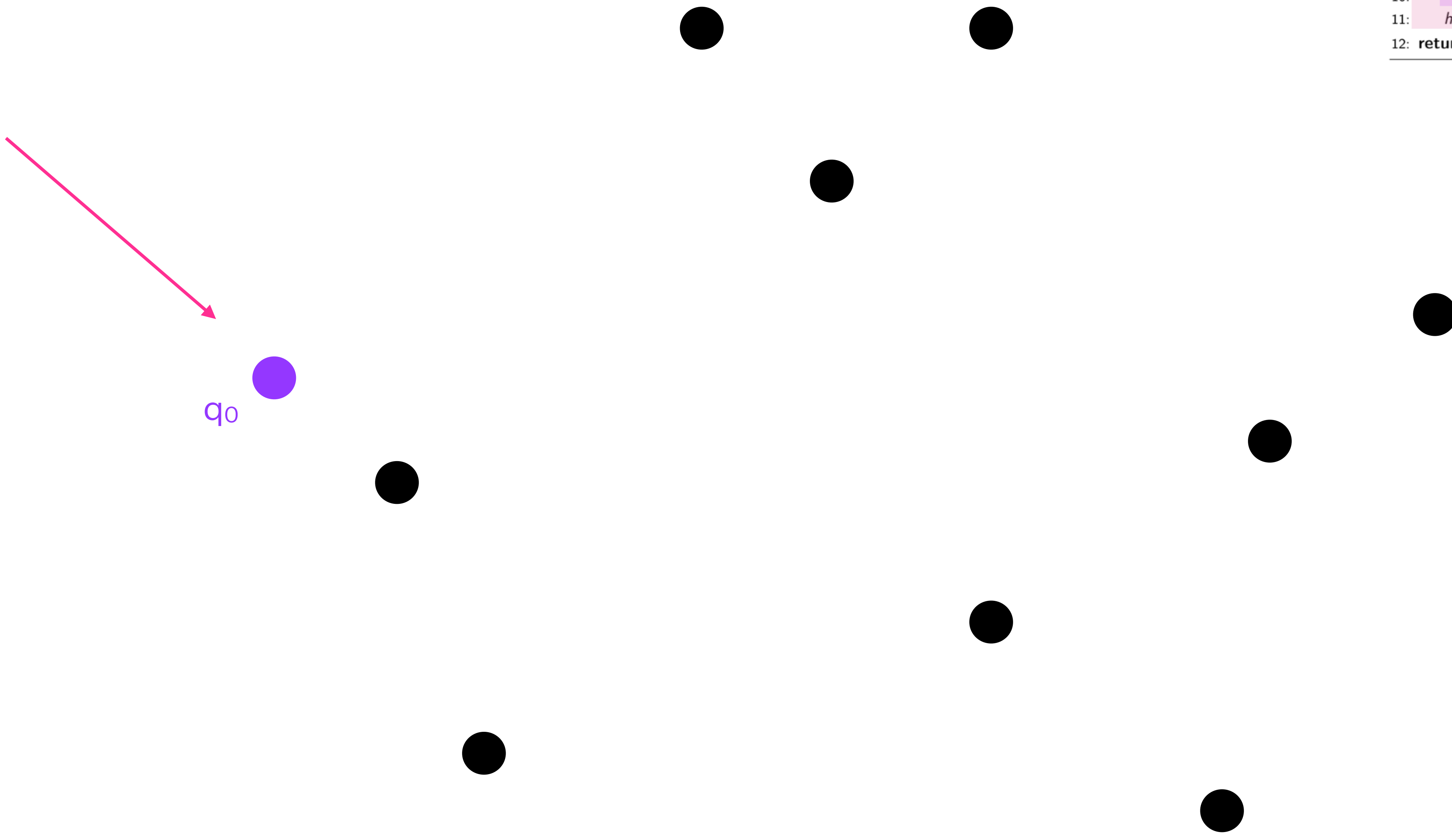
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Local Repair

Illustration



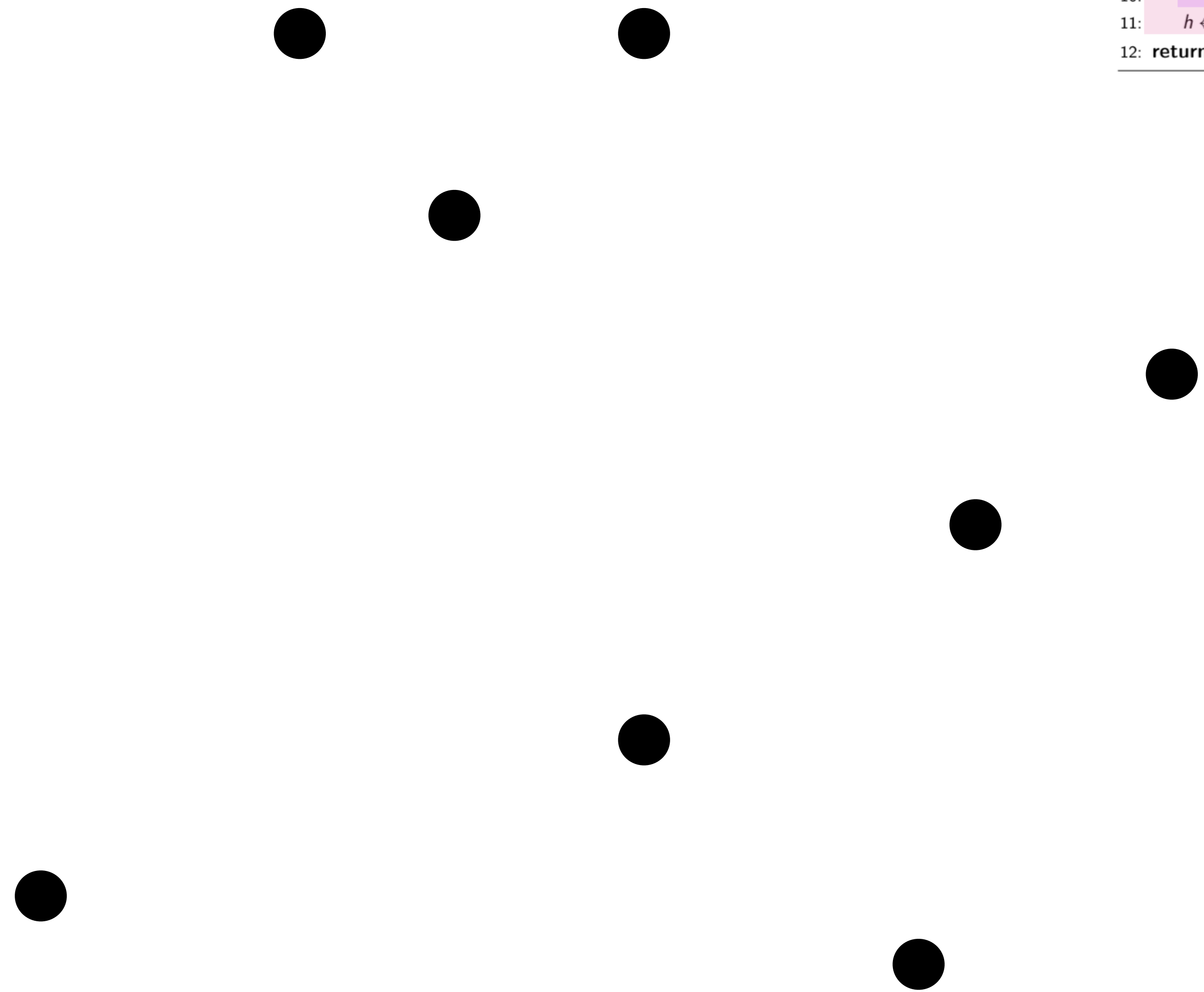
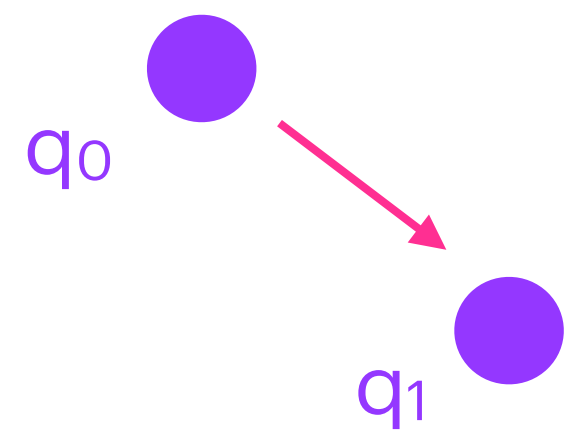
lower rand
(left to right)

upper rand
(right to left)

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Local Repair

Illustration



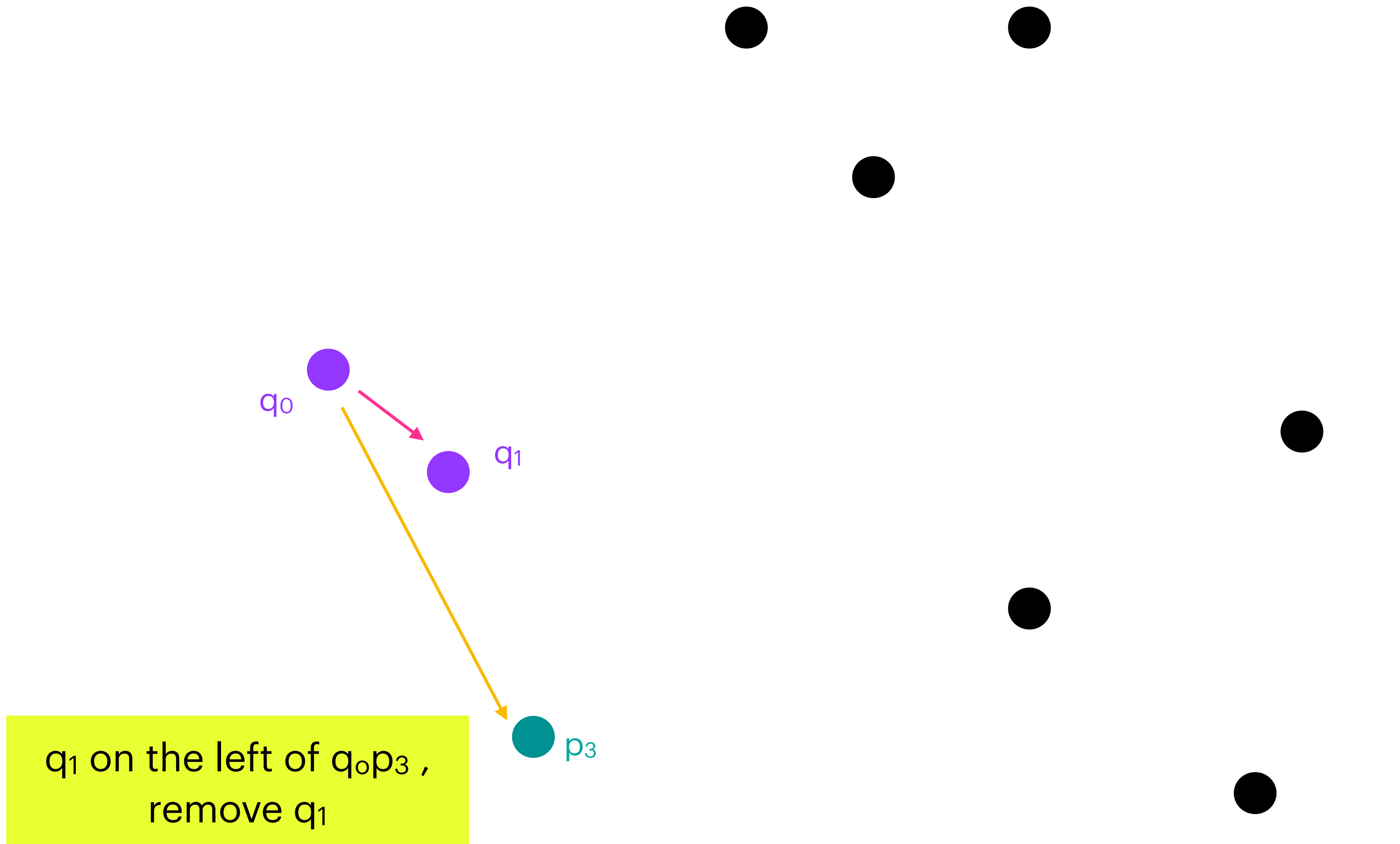
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(left to right)

upper rand
(right to left)

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Local Repair

Illustration



lower rand
(left to right)

upper rand
(right to left)

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local repair

setting new points

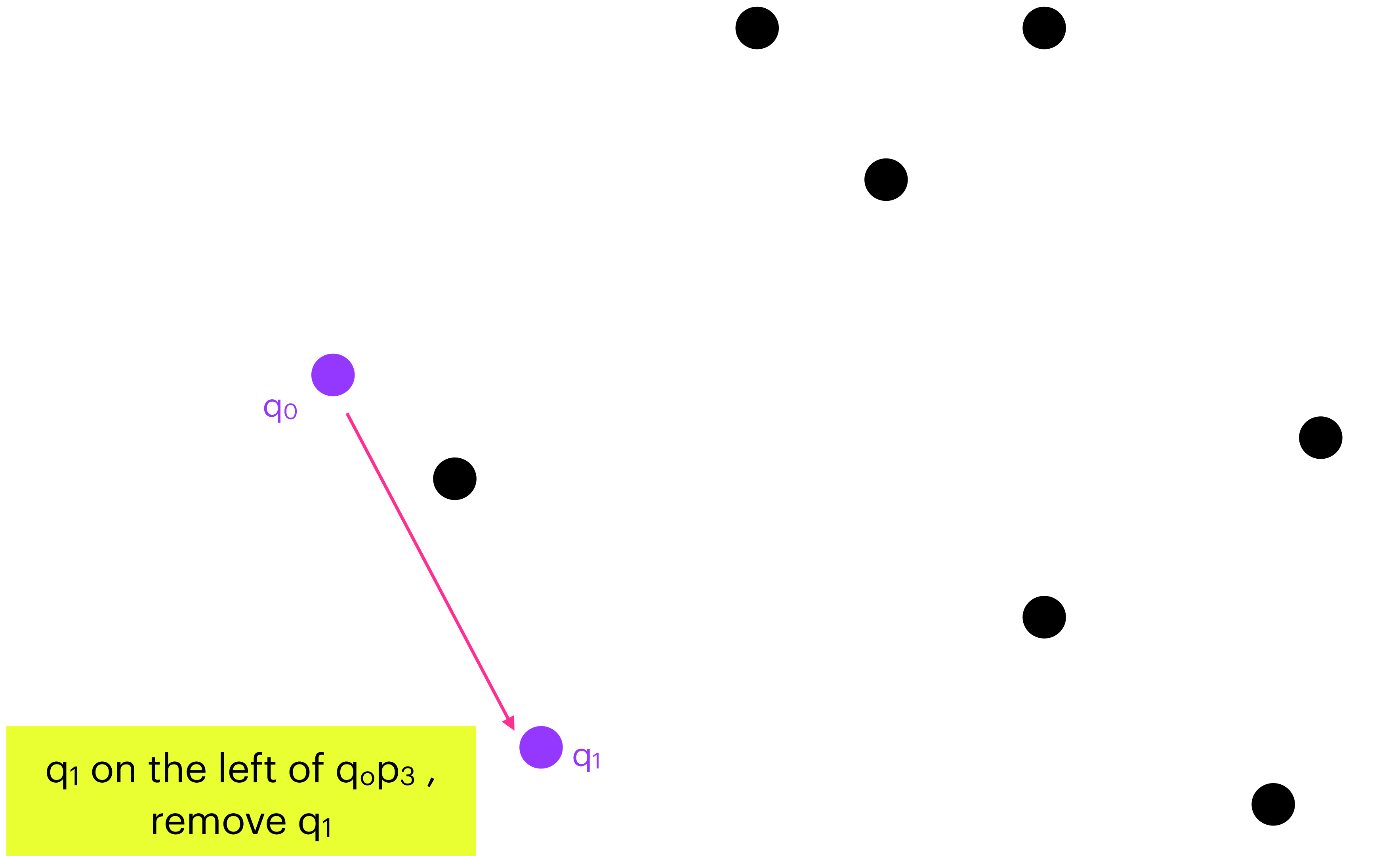
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local repair

setting new points

Local Repair

Illustration



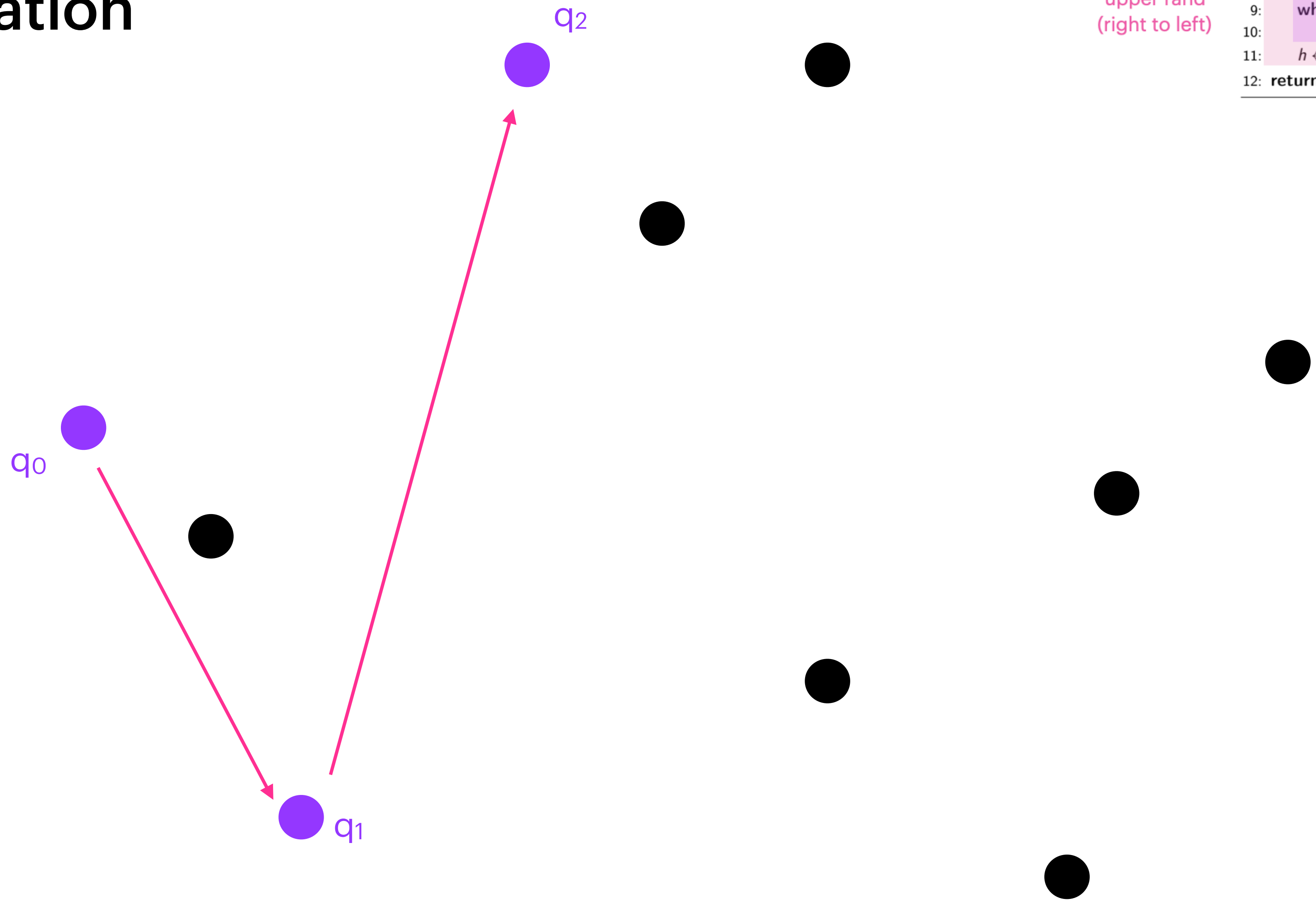
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upper rand
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Local Repair

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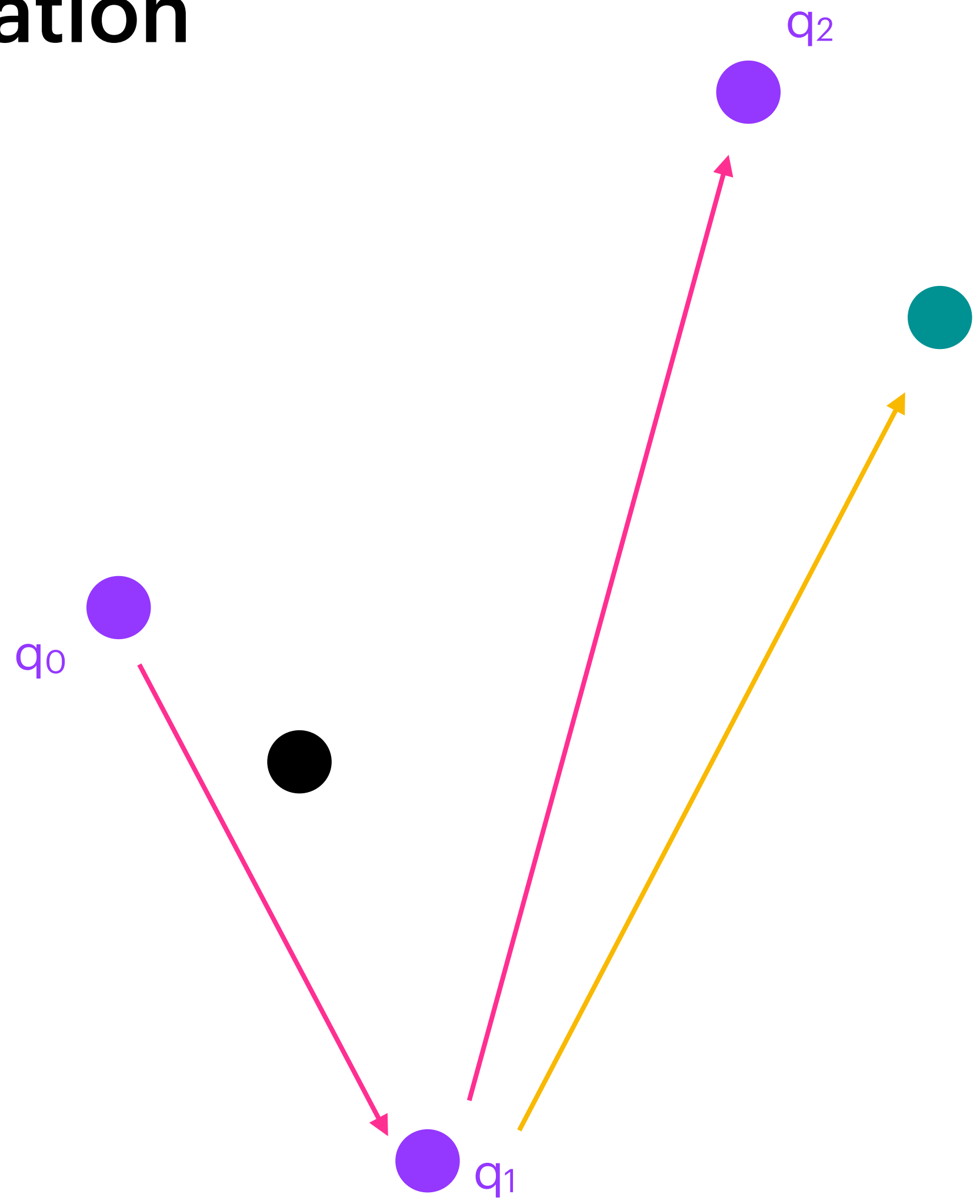
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(left to right)

upper rand
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Local Repair

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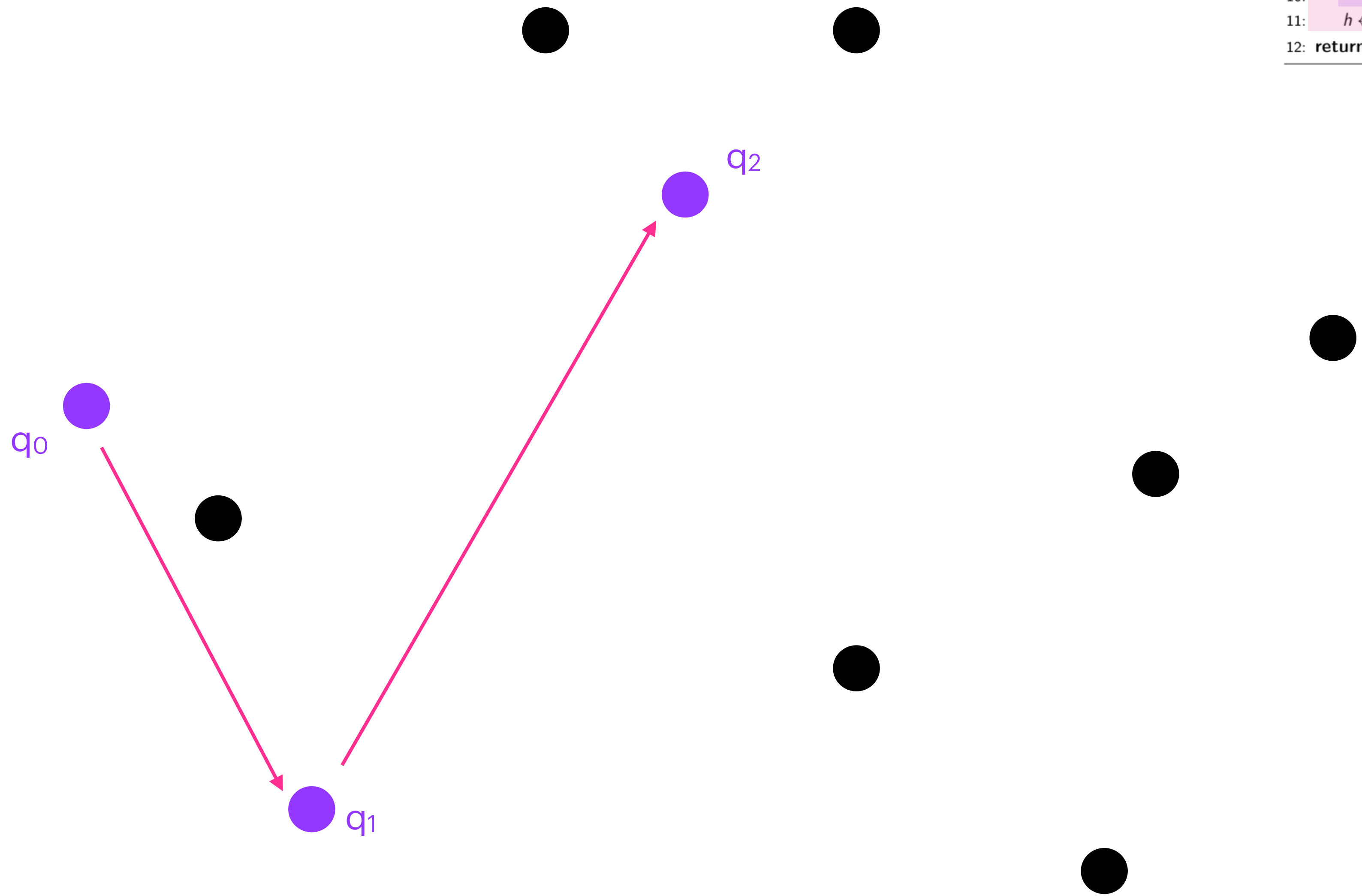
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(left to right)

upper rand
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Local Repair

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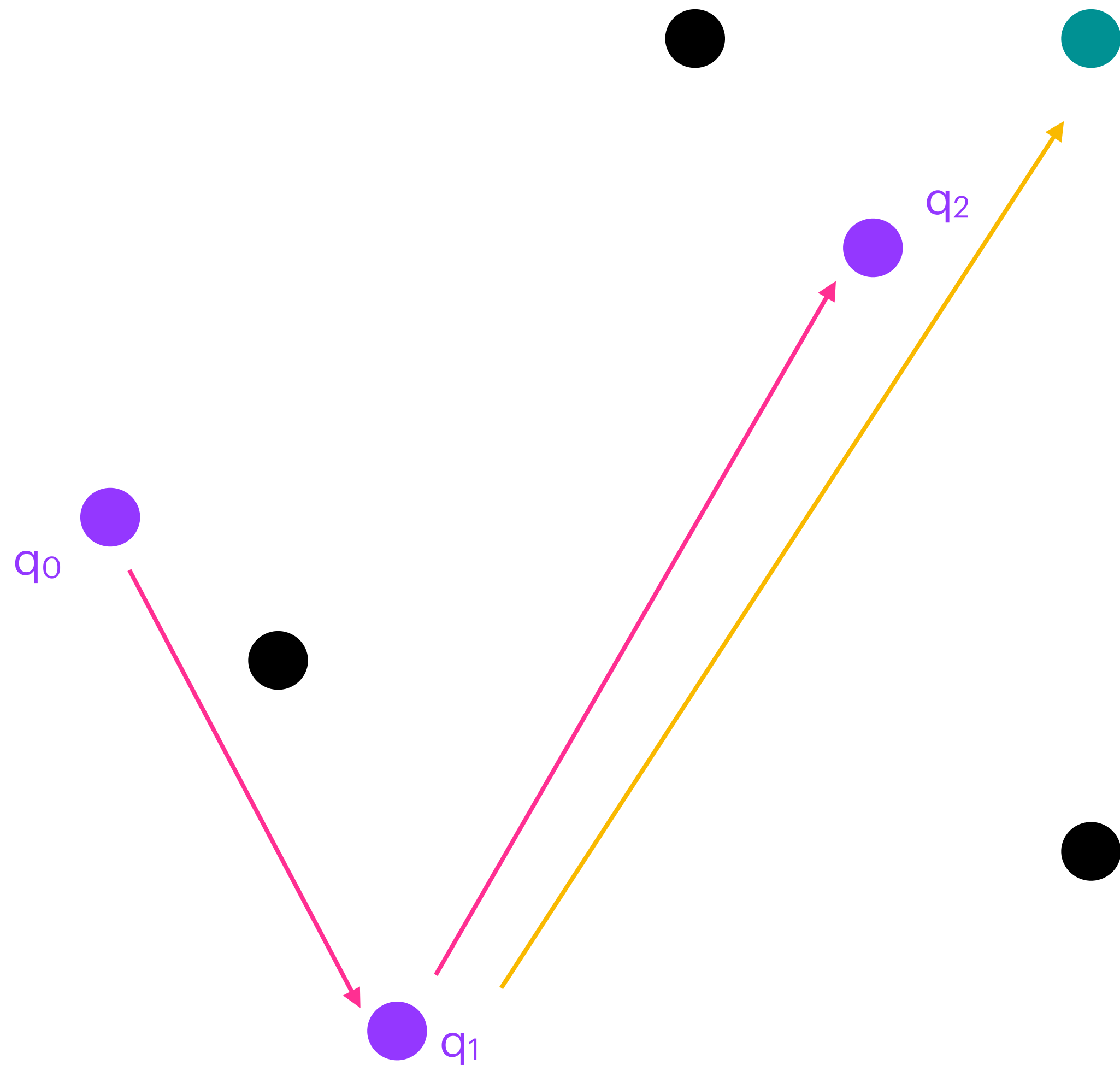
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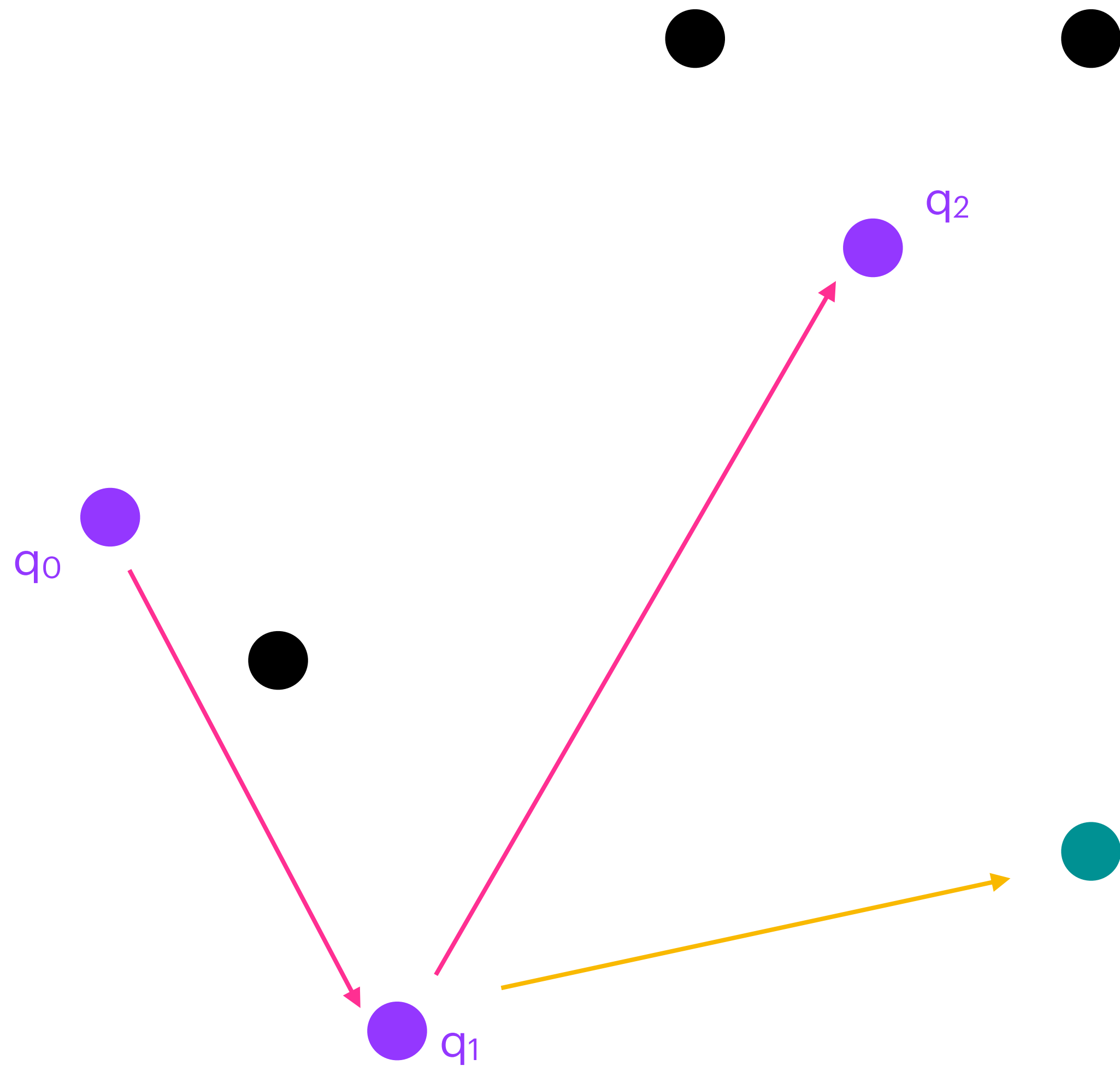
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(left to right)

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Local Repair

Illustration



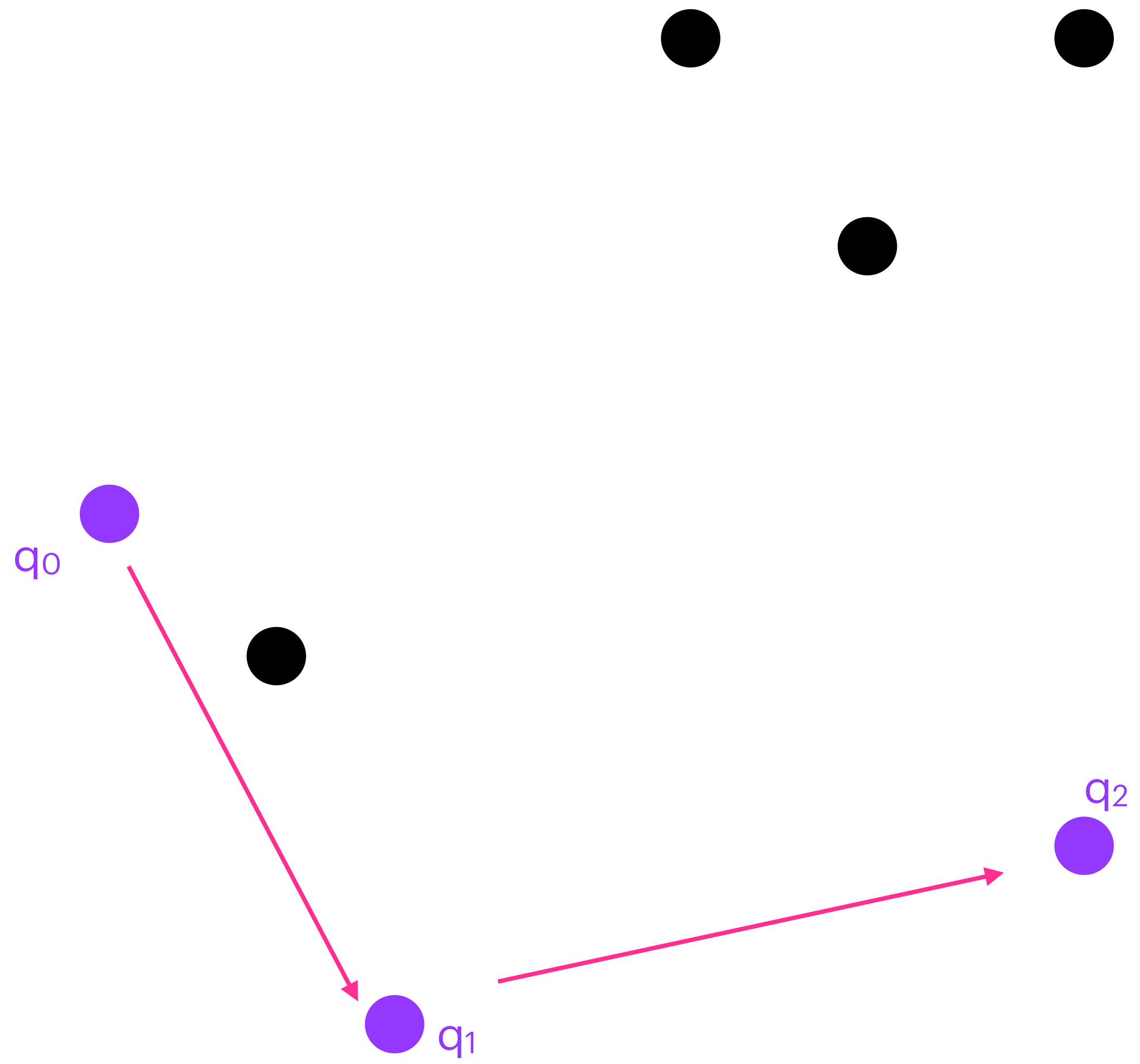
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upper rand
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Local Repair

Illustration



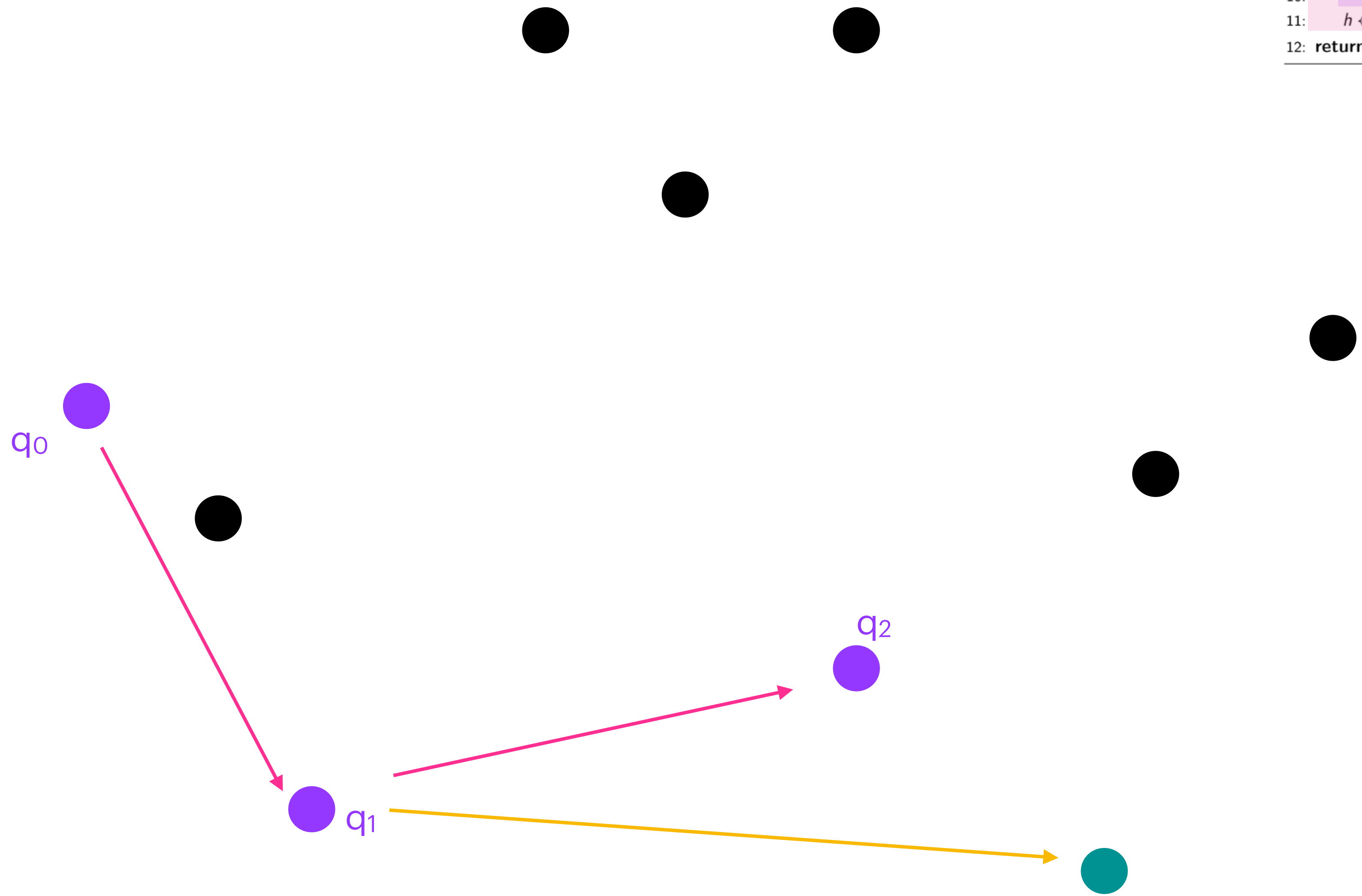
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(left to right)

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| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ und q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



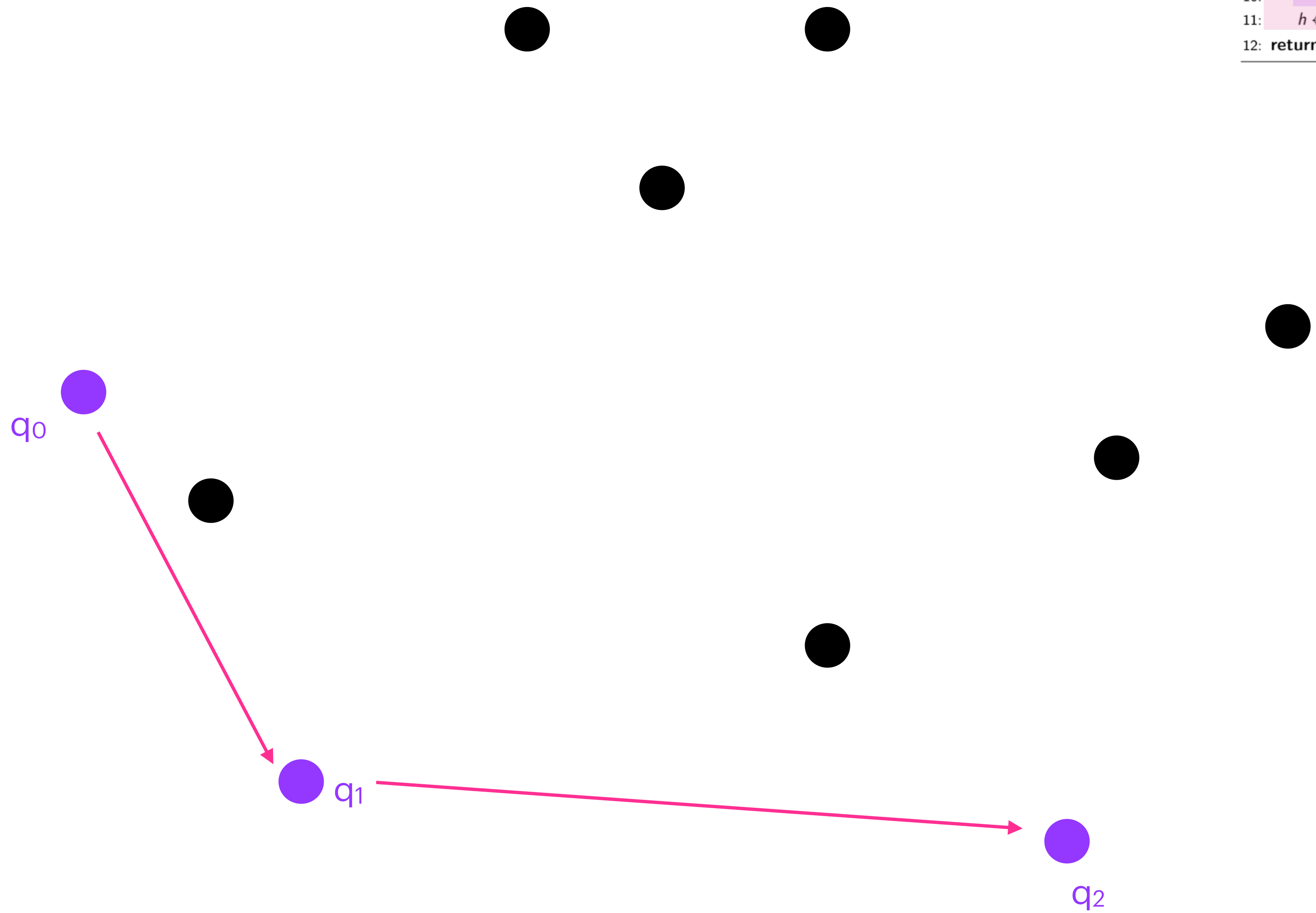
lower rand
(left to right)

upper rand
(right to left)

| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ und q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 6: | |
| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_i, \dots, p_j\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ und q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



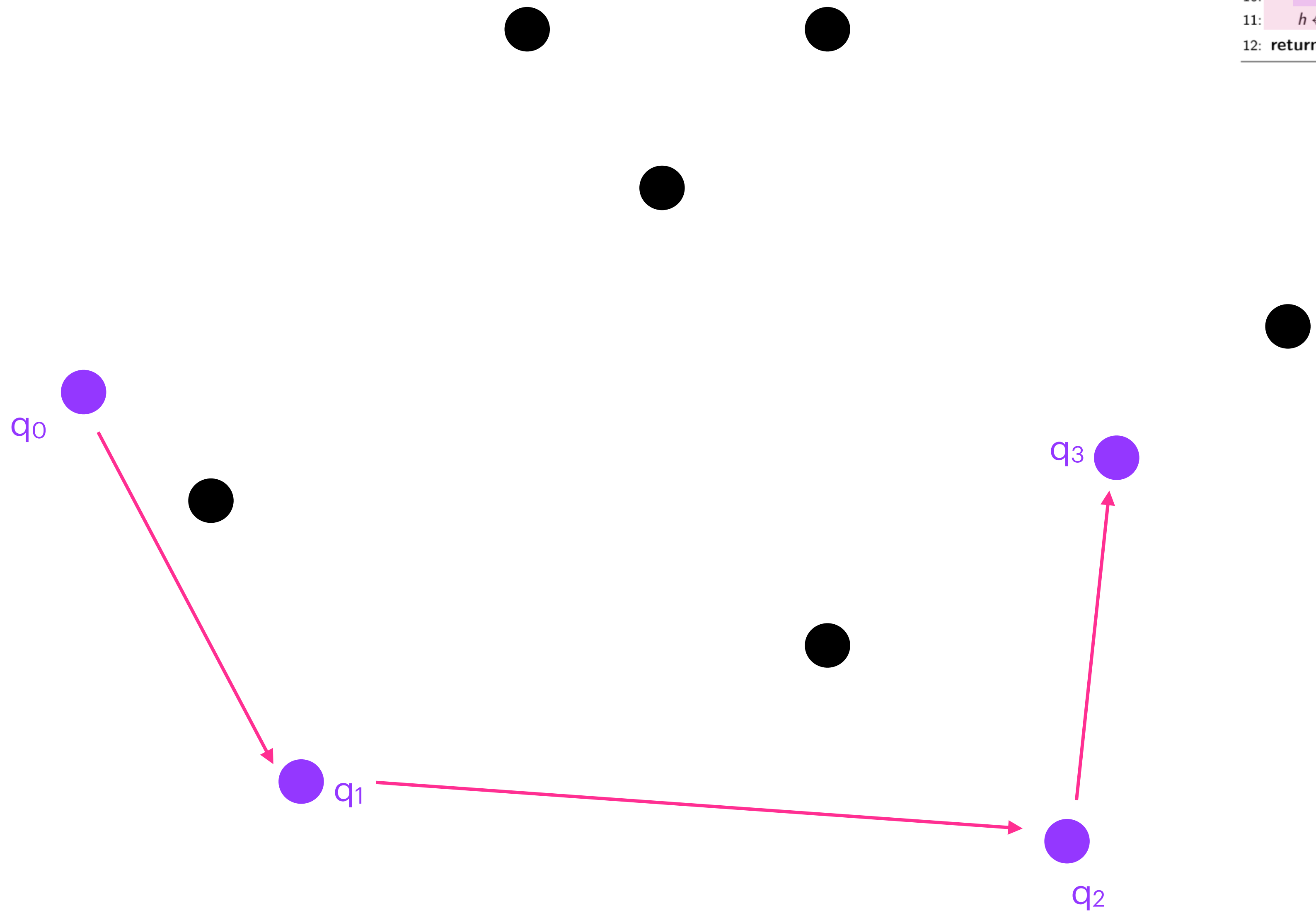
lower rand
(left to right)

upper rand
(right to left)

| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 6: | |
| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



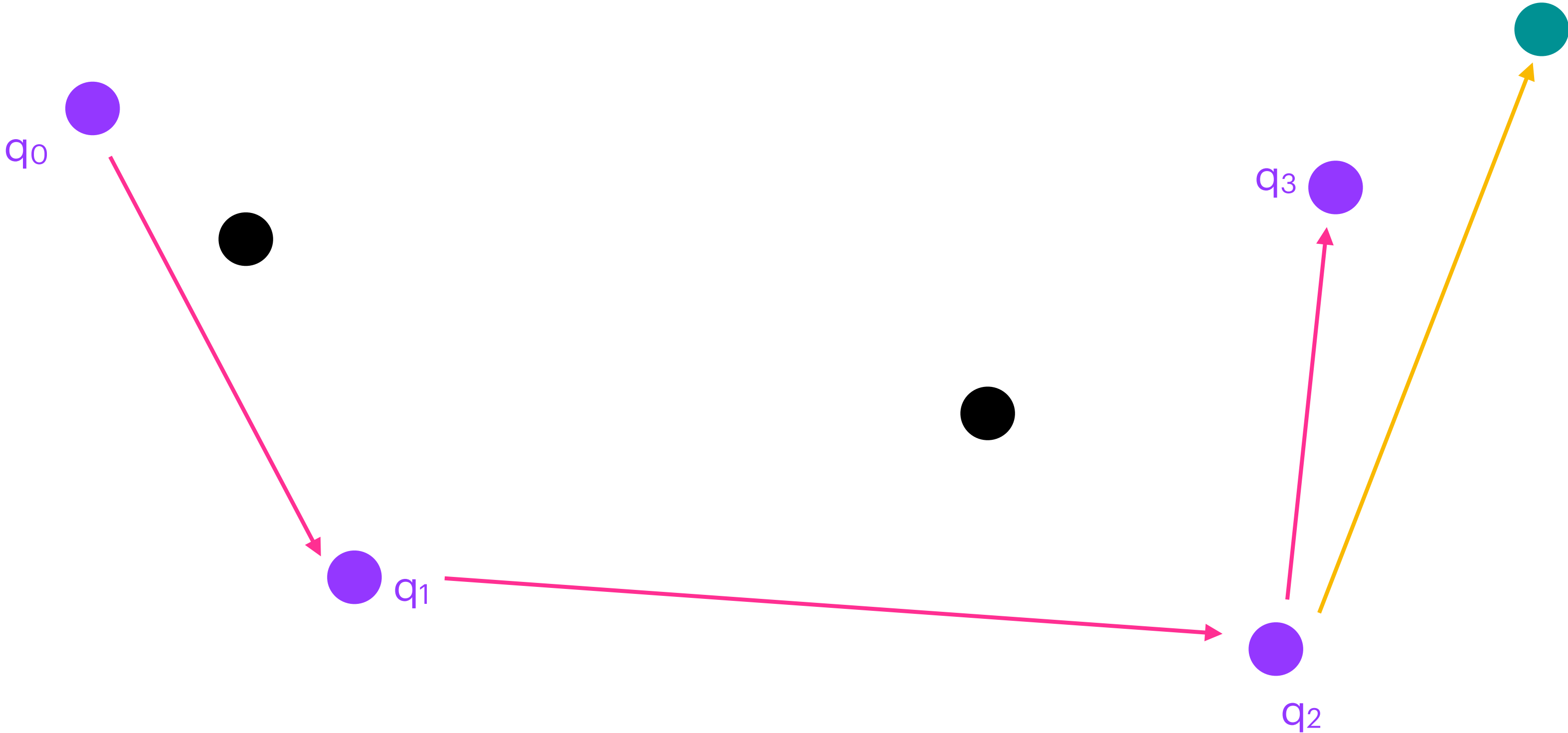
lower rand
(left to right)

upper rand
(right to left)

| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
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| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



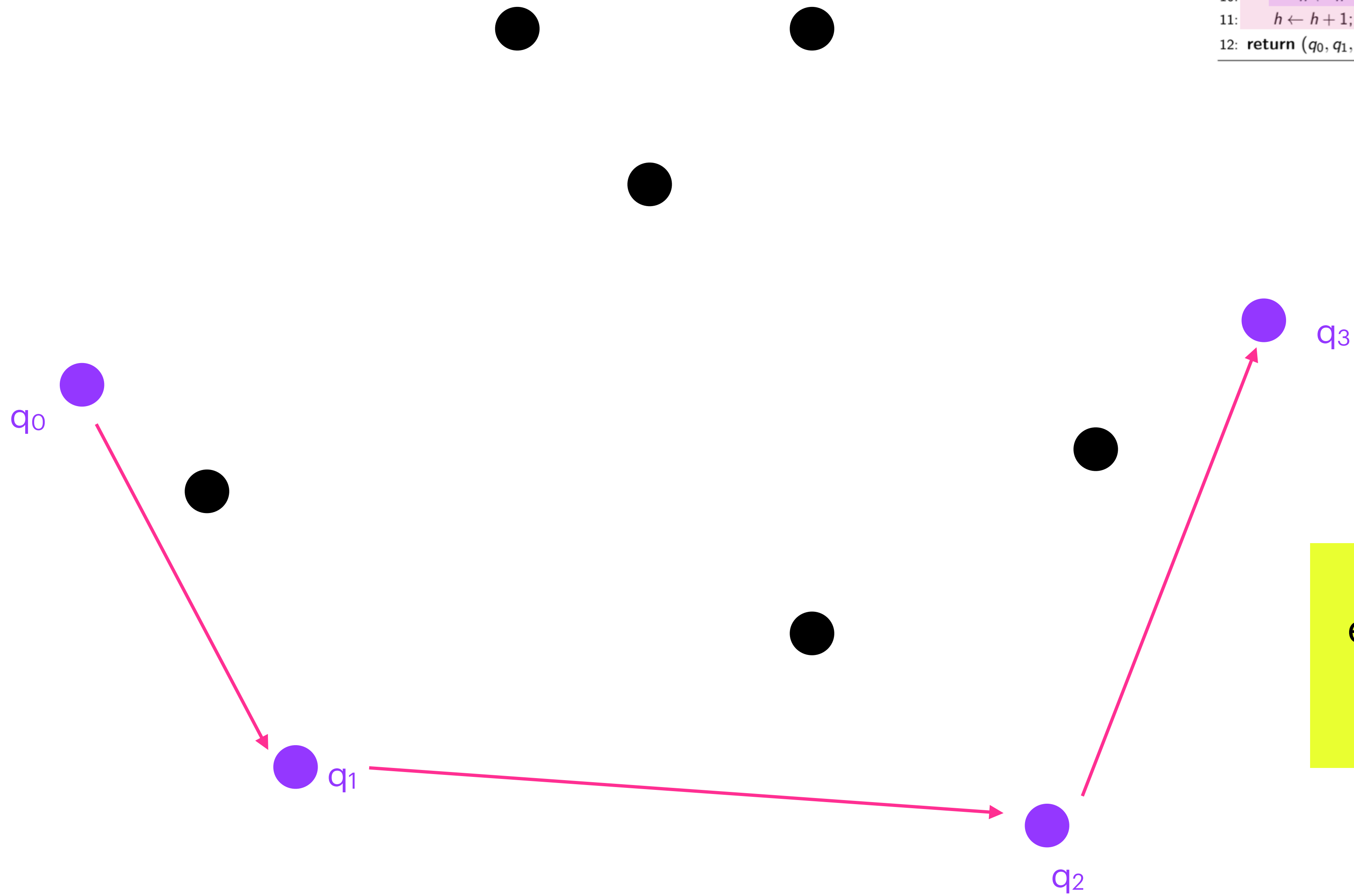
lower rand
(left to right)

upper rand
(right to left)

| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 6: | |
| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



lower rand
(left to right)

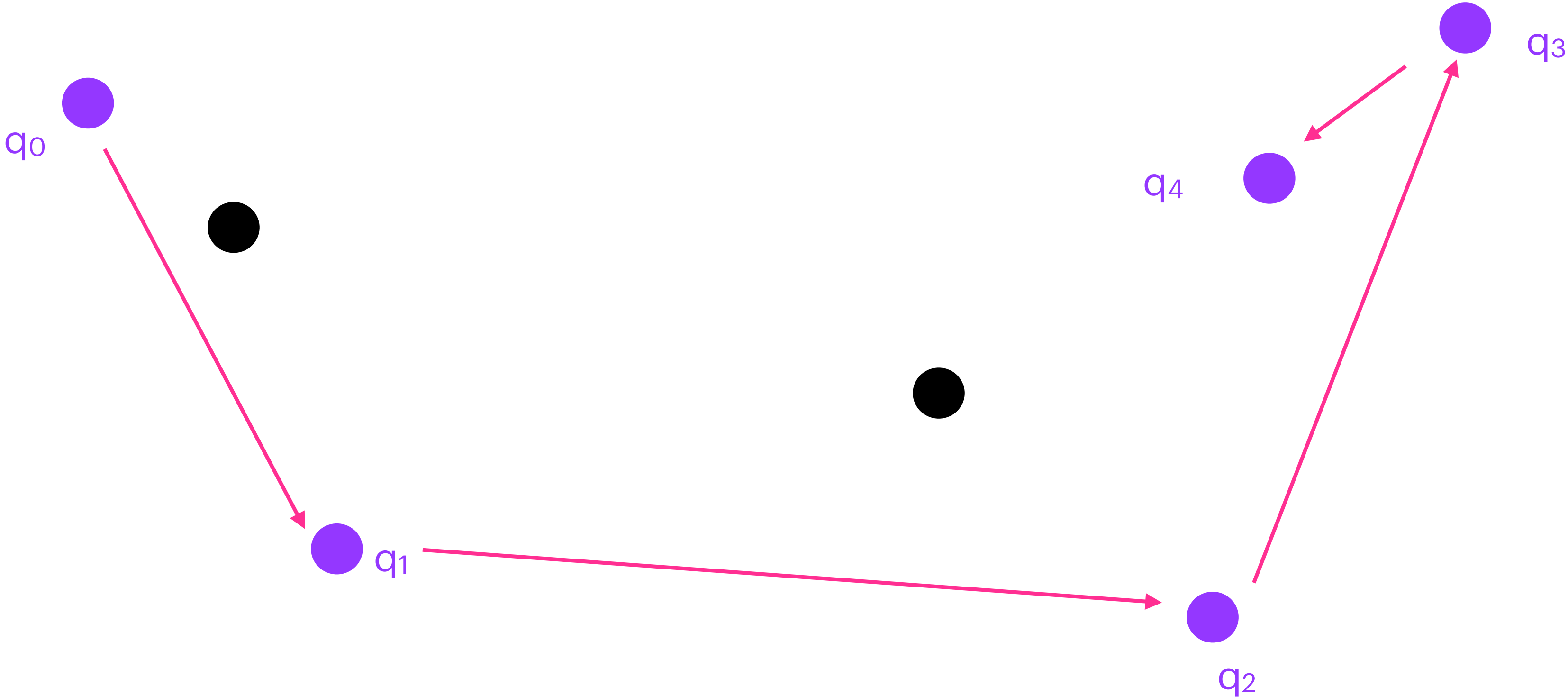
upper rand
(right to left)

```
LocalRepair( $p_1, p_2, \dots, p_n$ )  
1:  $q_0 \leftarrow p_1; h \leftarrow 0$   
2: for  $i \leftarrow 2$  to  $n$  do  
3:   while  $h > 0$  und  $q_h$  on the left of  $q_{h-1}p_i$  do  
4:      $h \leftarrow h - 1$  local repair  
5:    $h \leftarrow h + 1; q_h \leftarrow p_i$  setting new points  
6:  
7:  $h' \leftarrow h$  ( $q_0, \dots, q_h$ ) is lower hull of  $\{p_1, \dots, p_i\}$   
8: for  $i \leftarrow n - 1$  downto  $1$  do  
9:   while  $h > h'$  und  $q_h$  on the left of  $q_{h-1}p_i$  do  
10:     $h \leftarrow h - 1$  local repair  
11:    $h \leftarrow h + 1; q_h \leftarrow p_i$  setting new points  
12: return ( $q_0, q_1, \dots, q_{h-1}$ )
```

We've reached the endpoint. Let's do the same in the other direction

Local Repair

Illustration



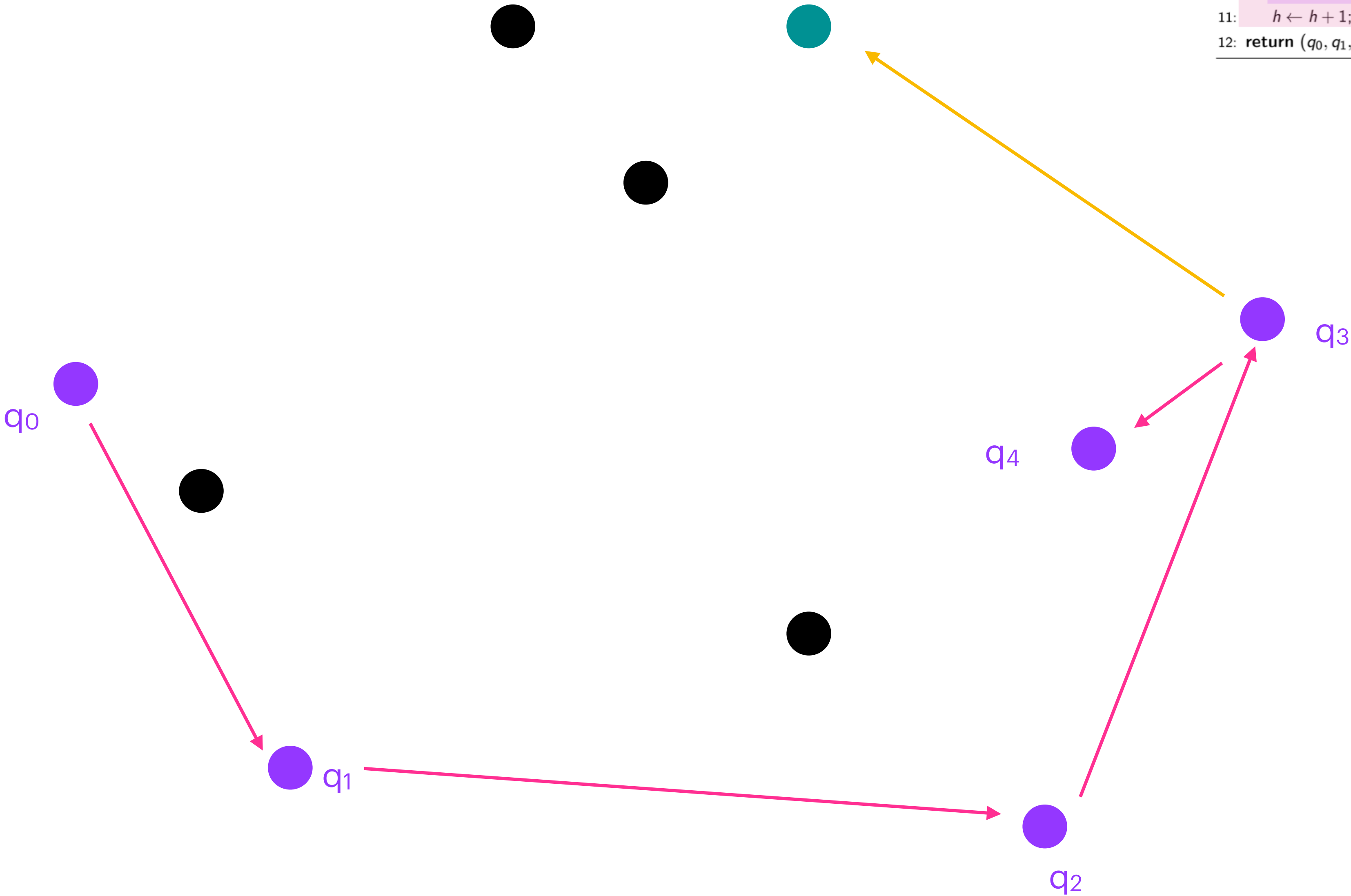
lower rand
(left to right)

upper rand
(right to left)

| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ und q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 6: | |
| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ und q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

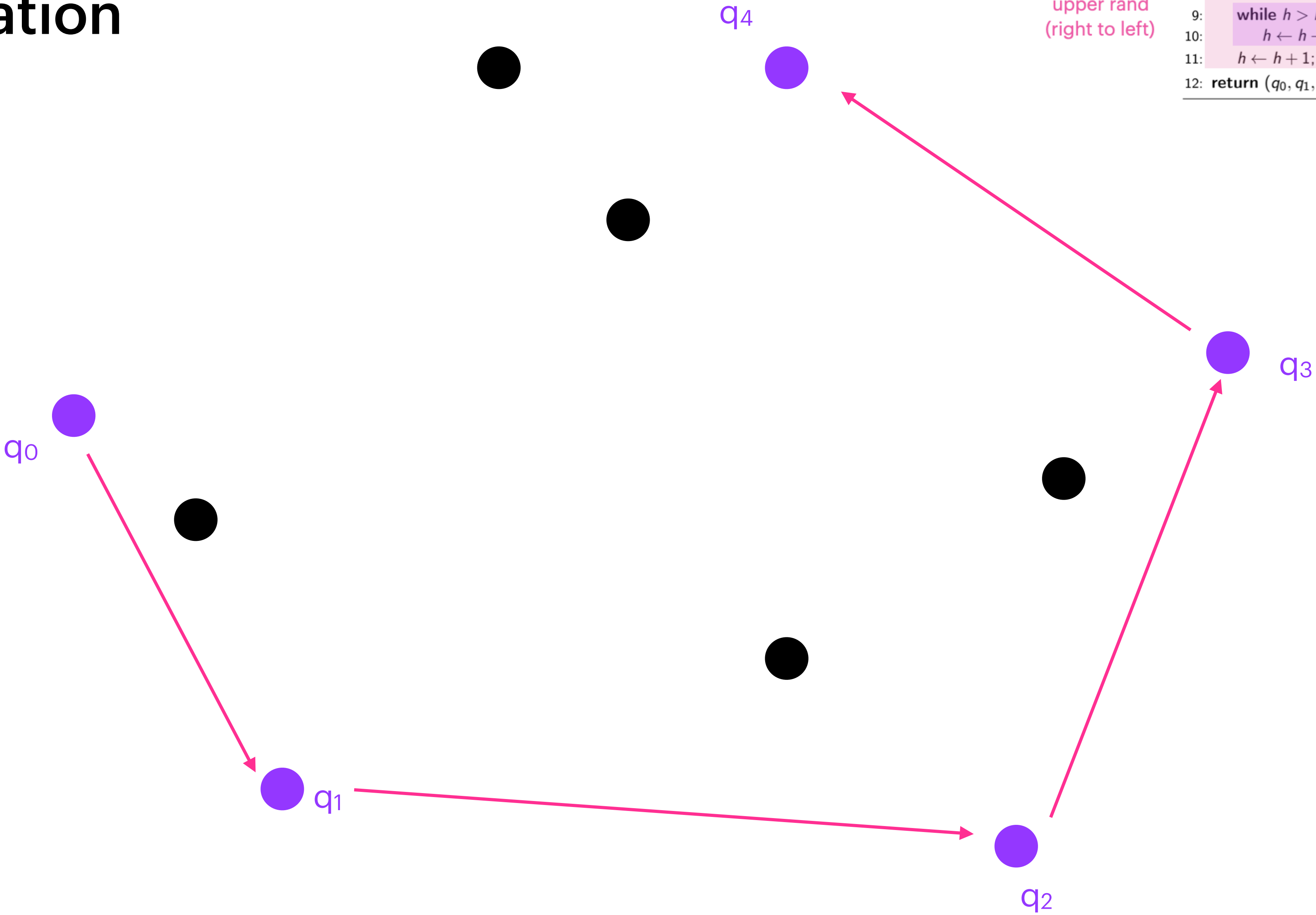
Illustration



| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 6: | |
| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



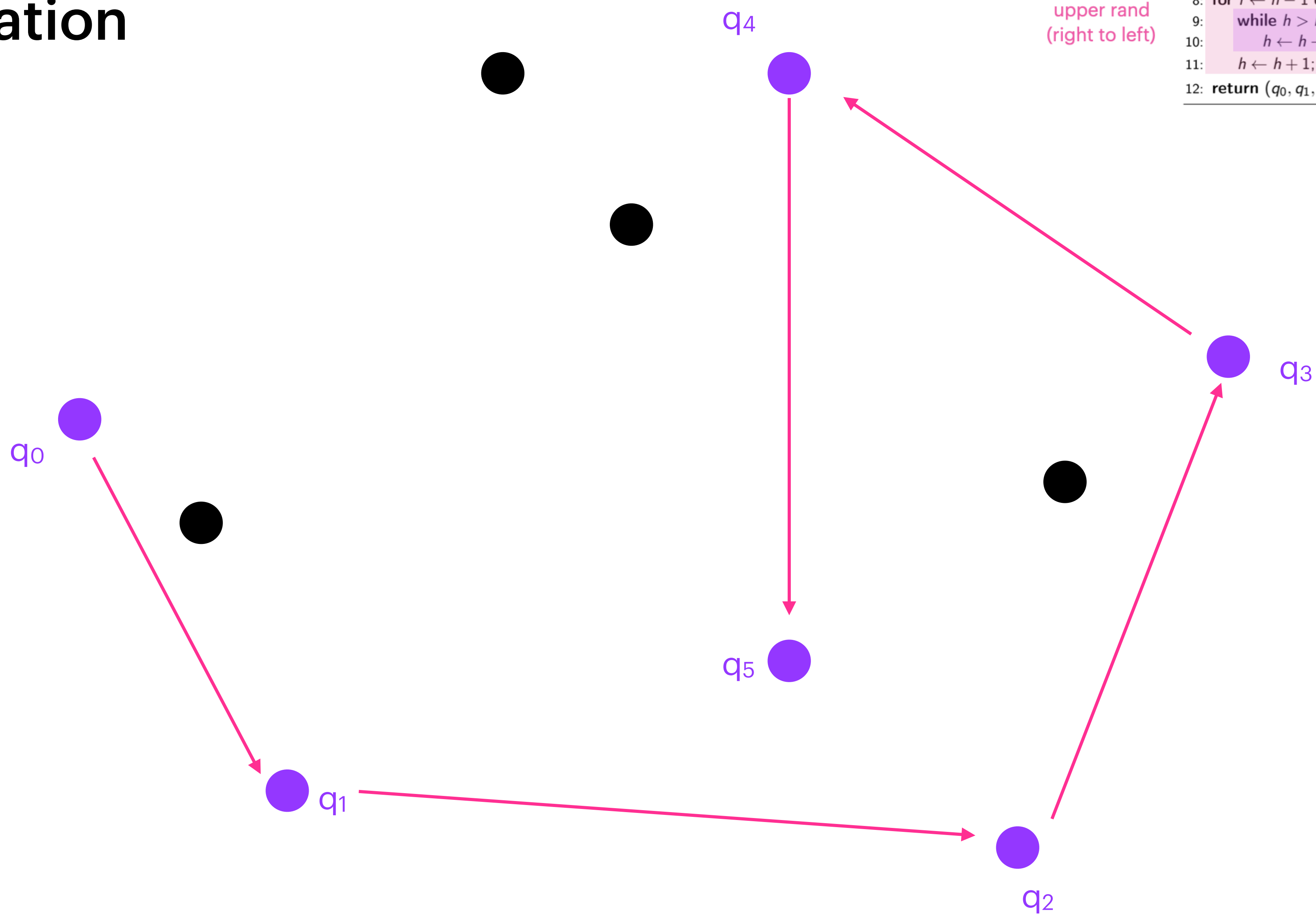
lower rand
(left to right)

upper rand
(right to left)

| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 6: | |
| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_i, \dots, p_n\}$ |
| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



lower rand
(left to right)

upper rand
(right to left)

```
LocalRepair( $p_1, p_2, \dots, p_n$ )      ( $p_1, p_2, \dots, p_n$ ) sorted
1:  $q_0 \leftarrow p_1; h \leftarrow 0$ 
2: for  $i \leftarrow 2$  to  $n$  do
3:   while  $h > 0$  and  $q_h$  on the left of  $q_{h-1}p_i$  do
4:      $h \leftarrow h - 1$ 
5:    $h \leftarrow h + 1; q_h \leftarrow p_i$ 
6:
7:  $h' \leftarrow h$ 
8: for  $i \leftarrow n - 1$  downto  $1$  do
9:   while  $h > h'$  and  $q_h$  on the left of  $q_{h-1}p_i$  do
10:     $h \leftarrow h - 1$ 
11:    $h \leftarrow h + 1; q_h \leftarrow p_i$ 
12: return ( $q_0, q_1, \dots, q_{h-1}$ )
```

local repair

setting new points

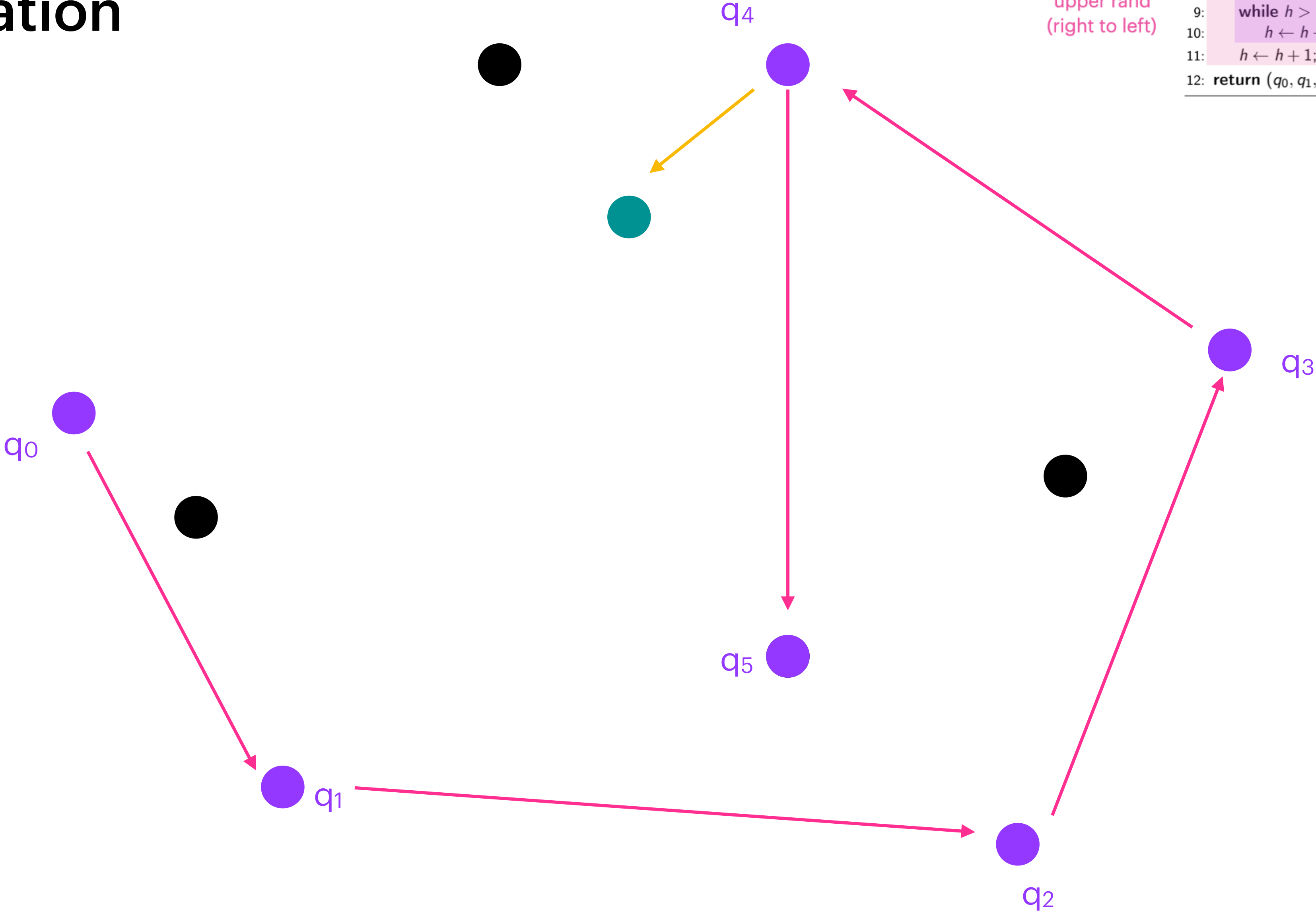
local repair

setting new points

(q_0, \dots, q_h) is lower hull of $\{p_i, \dots, p_j\}$

Local Repair

Illustration



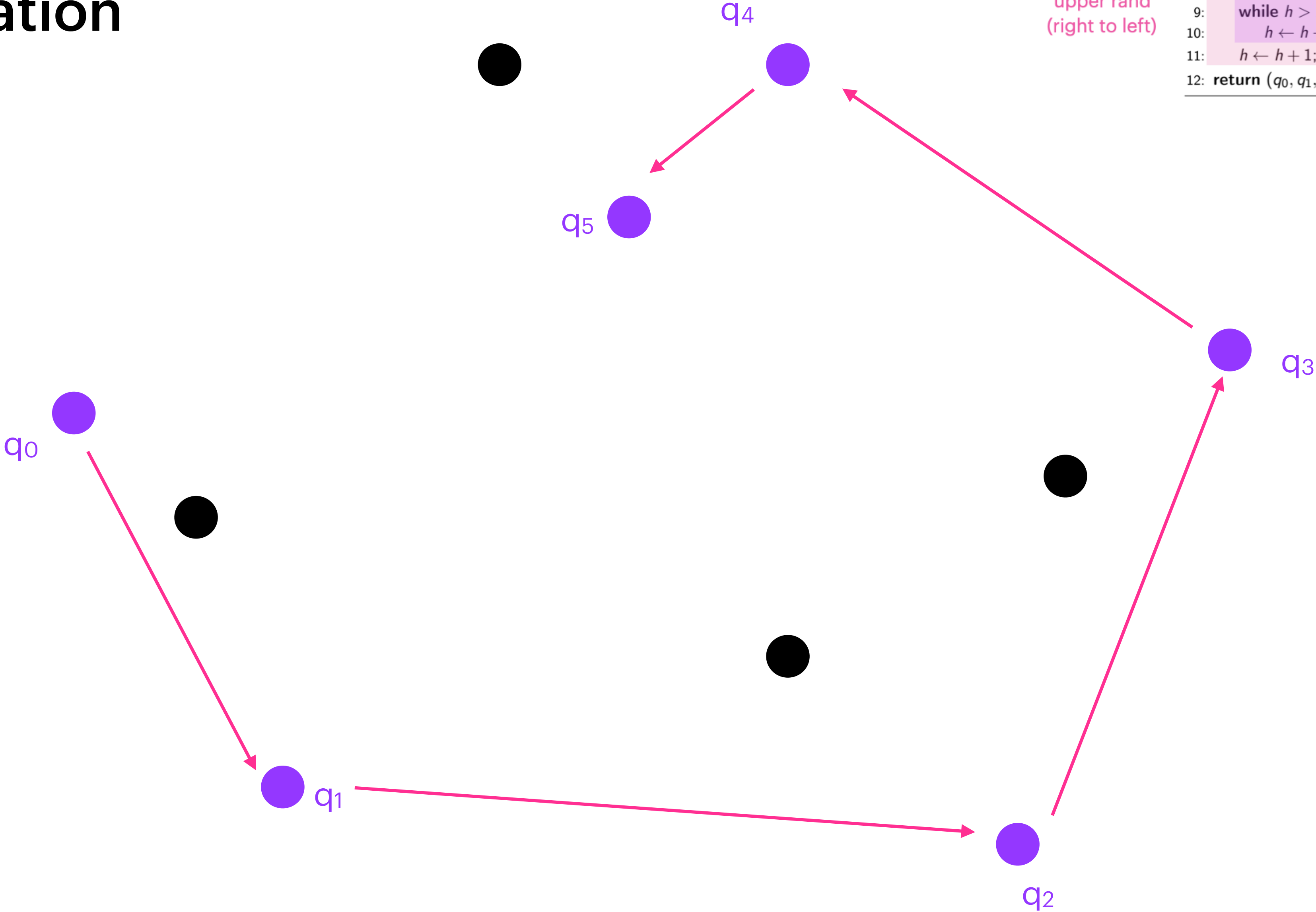
lower rand
(left to right)

upper rand
(right to left)

| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 6: | |
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| 8: for $i \leftarrow n - 1$ downto 1 do | |
| 9: while $h > h'$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

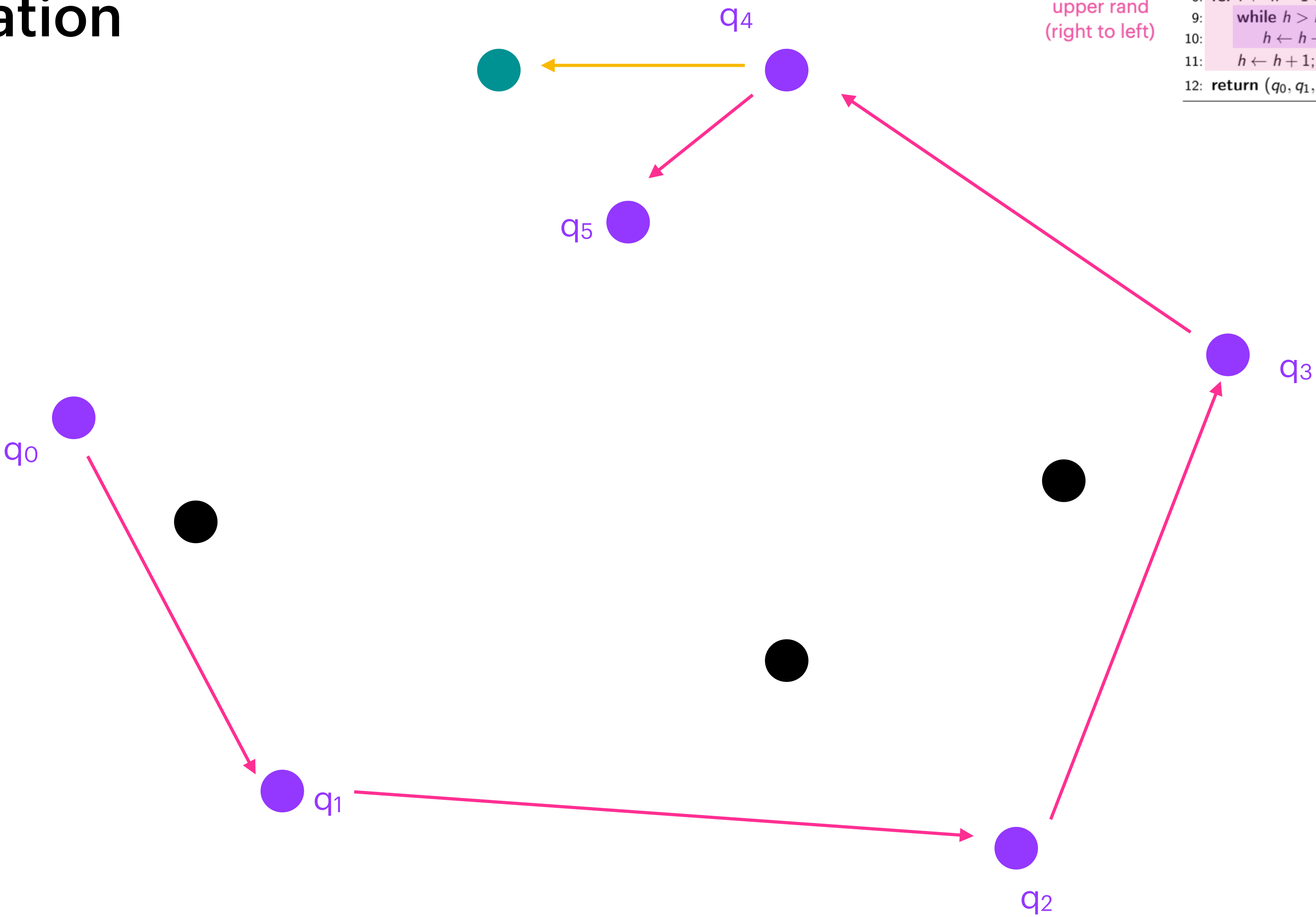
Illustration



| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
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| 7: $h' \leftarrow h$ | (q_0, \dots, q_h) is lower hull of $\{p_1, \dots, p_i\}$ |
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| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

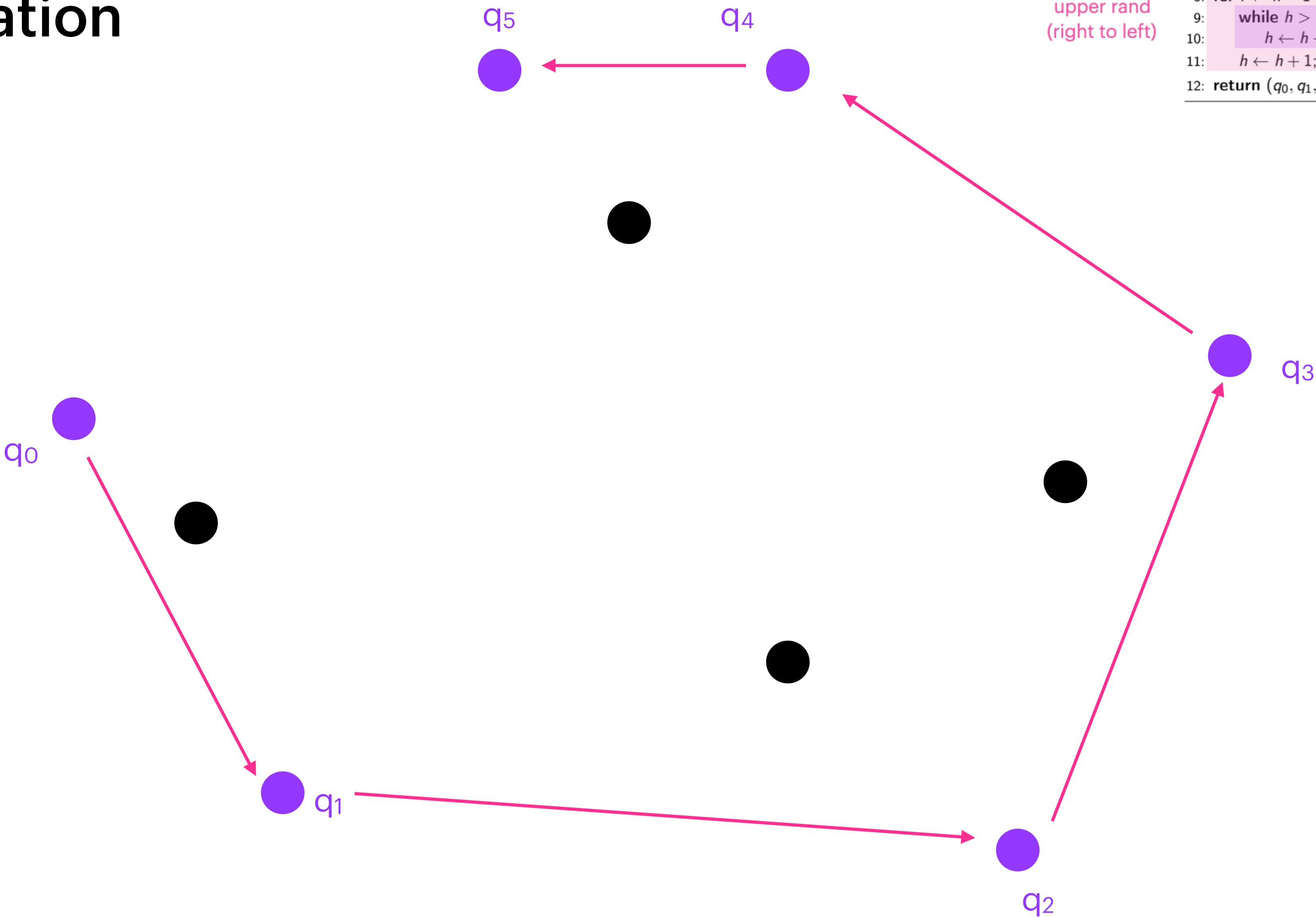
Illustration



| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
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Local Repair

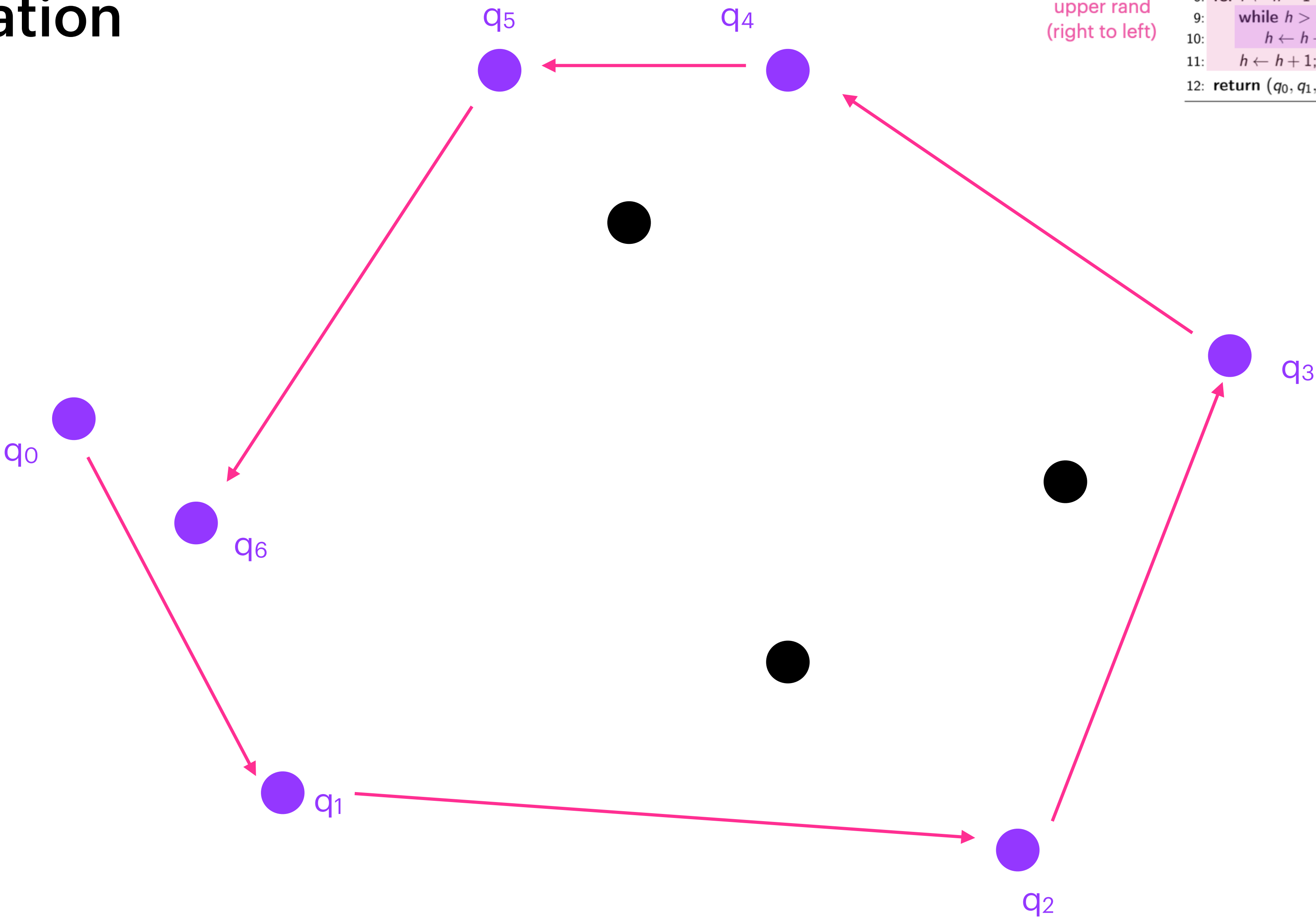
Illustration



| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
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| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
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Local Repair

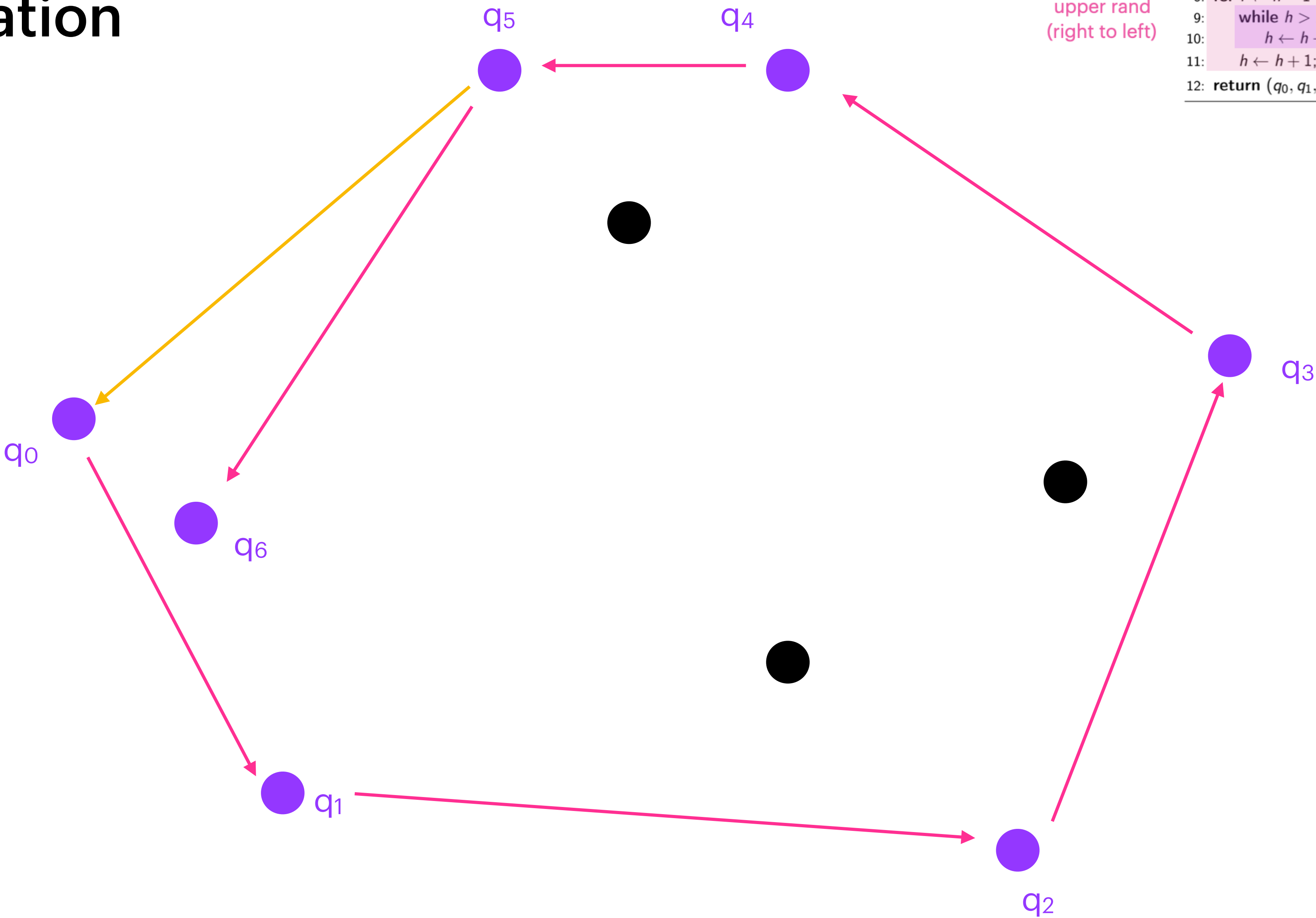
Illustration



| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
| 4: $h \leftarrow h - 1$ | |
| 5: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
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| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

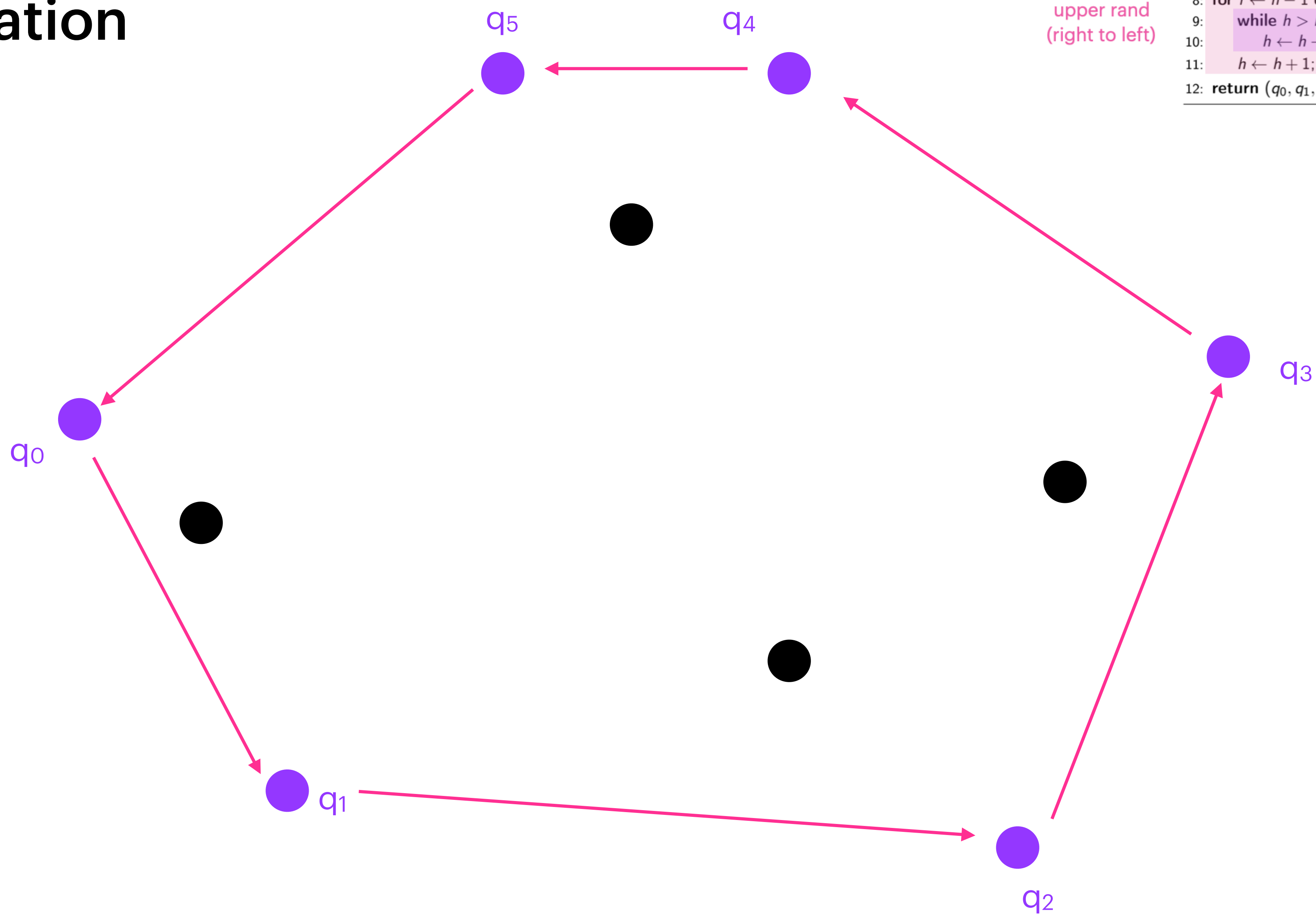
Illustration



| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
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| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Local Repair

Illustration



| LocalRepair(p_1, p_2, \dots, p_n) | (p_1, p_2, \dots, p_n) sorted |
|---|--|
| 1: $q_0 \leftarrow p_1; h \leftarrow 0$ | |
| 2: for $i \leftarrow 2$ to n do | |
| 3: while $h > 0$ and q_h on the left of $q_{h-1}p_i$ do | local repair |
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| 10: $h \leftarrow h - 1$ | |
| 11: $h \leftarrow h + 1; q_h \leftarrow p_i$ | setting new points |
| 12: return $(q_0, q_1, \dots, q_{h-1})$ | |

Primality Tests II

Primality Test

Problem Description

given : A number $n \in \mathbb{N}$

to find : is n prime ??

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n - 1\}$

prime-counting function $\pi(x)$:

$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n - 1\}$

prime-counting function $\pi(x)$:

$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, ...

$$\pi(11) =$$

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

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$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, ...

$$\pi(11) = 5$$

Primality Test

Problem Description

| | | | | | | | | | | | | | | | | | | | | | | | |
|--------|----------|----------|----------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----|
| n | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> | <u>9</u> | <u>10</u> | <u>11</u> | <u>12</u> | <u>13</u> | <u>14</u> | <u>15</u> | <u>16</u> | <u>17</u> | <u>18</u> | <u>19</u> | <u>20</u> | <u>21</u> | <u>22</u> | <u>23</u> | ... |
| $f(n)$ | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 4 | 2 | 1 | 8 | 1 | 2 | 4 | 0 | 1 | 14 | 1 | 8 | 4 | 2 | 1 | ... |

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n - 1\}$

prime-counting function $\pi(x)$:
$$\pi(x) := \left| \left\{ n \in \mathbb{N} \mid n \leq x, n \text{ prime} \right\} \right| \sim \frac{x}{\ln x}$$

Primality Test

Naive Algorithm

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n-1\}$

prime-counting function $\pi(x)$: $\pi(x) := \left| \{n \in \mathbb{N} \mid n \leq x, n \text{ prime}\} \right| \sim \frac{x}{\ln x}$

1) For all $a \leq \sqrt{n}$ test if a divides n

Primality Test

Easy randomized test

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n-1\}$

prime-counting function $\pi(x)$: $\pi(x) := \left| \{n \in \mathbb{N} \mid n \leq x, n \text{ prime}\} \right| \sim \frac{x}{\ln x}$

- 1) Choose $a \in \{1, 2, \dots, \sqrt{n}\}$ uniformly at random
- 2) if a divides n then return 'not prime'
- 3) else return 'prime'

Refresher

DiskMat 🙄

gcd : greatest common divisor

$$n \text{ is prime} \Rightarrow \gcd(a, n) = 1 \quad \forall a \in [1, n-1]$$

\mathbb{Z}_n^* : the multiplicative group modulo n

$$\mathbb{Z}_n^* = \{a \in \{1, 2, \dots, n-1\} \mid \gcd(a, n) = 1\}$$

Primality Test

Euclidean Primality Test

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n-1\}$

prime-counting function $\pi(x)$: $\pi(x) := \left| \{n \in \mathbb{N} \mid n \leq x, n \text{ prime}\} \right| \sim \frac{x}{\ln x}$

n is prime $\Rightarrow \gcd(a, n) = 1 \quad \forall a \in [1, n-1]$

$\gcd :=$ greatest common divisor

can be calculated in $O((\log nm)^3)$

- 1) Choose $a \in \{1, 2, \dots, \sqrt{n}\}$ uniformly at random
- 2) if $\gcd(a, n) > 1$ then return 'not prime'
- 3) else return 'prime'

- if n is a prime : always correct
- if n is not a prime : it might return a wrong answer with the probability

$$\frac{\left| \{a \in [1, n-1] : \gcd(a, n) = 1\} \right|}{n-1} = \frac{|\mathbb{Z}_n^*|}{n-1}$$

Refresher

DiskMat 🙄

Fermat's Little Theorem

$$n \in \mathbb{N} \text{ is prime} \implies \begin{array}{l} \text{For all } a \text{ with } 0 < a < n \\ a^{n-1} \equiv 1 \pmod{n} \end{array}$$

$$\begin{array}{l} \text{There exists } a \text{ with } 0 < a < n \\ a^{n-1} \not\equiv 1 \pmod{n} \end{array} \implies n \text{ is not prime}$$

Carmichael Numbers

it gives false positive, “probably prime”

$a^{n-1} \equiv 1 \pmod{n}$ holds for all a coprime to n for the carmichael number, FLT fails to detect

ex:

$$561 = 3 \cdot 11 \cdot 17 \quad \text{for every } a \text{ s.t. } \gcd(a, 561) = 1, \text{ it holds that } a^{560} \equiv 1 \pmod{561}$$

A number n is a **Carmichael number** if:

1. n is **composite**.
2. n is **square-free** (no repeated prime factors).
3. For every prime p dividing n , it holds that:
$$p - 1 \mid n - 1$$

Let's check this for $561 = 3 \cdot 11 \cdot 17$:

- $3 - 1 = 2$, and $2 \mid 560$ ✓
- $11 - 1 = 10$, and $10 \mid 560$ ✓
- $17 - 1 = 16$, and $16 \mid 560$ ✓

✓ So 561 meets all conditions → it is a Carmichael number.

Primality Test

Miller-Rabin Test

given : A number $n \in \mathbb{N}$

to find : is n prime $\iff n$ has no divider in $\{2, \dots, n-1\}$

prime-counting function $\pi(x)$: $\pi(x) := \left| \{n \in \mathbb{N} \mid n \leq x, n \text{ prime}\} \right| \sim \frac{x}{\ln x}$

MILLER-RABIN-PRIMZAHLTEST(n)

```
1: if  $n = 2$  then
2:   return 'Primzahl'
3: else if  $n$  gerade oder  $n = 1$  then
4:   return 'keine Primzahl'
5: Wähle  $a \in \{2, 3, \dots, n-1\}$  zufällig und
6: berechne  $k, d \in \mathbb{Z}$  mit  $n-1 = d2^k$  und  $d$  ungerade.
7:  $x \leftarrow a^d \pmod{n}$ 
8: if  $x = 1$  or  $x = n-1$  then
9:   return 'Primzahl'
10: repeat  $k-1$  mal
11:    $x \leftarrow x^2 \pmod{n}$ 
12:   if  $x = 1$  then
13:     return 'keine Primzahl'
14:   if  $x = n-1$  then
15:     return 'Primzahl'
16: return 'keine Primzahl'
```

- if n is a prime : always correct
- if n is composite : it returns “not prime” with the probability $\geq 3/4$

The background of the slide features a stylized, layered mountain range. The mountains are rendered in various shades of purple and blue, with some peaks appearing more prominent than others. The overall effect is a soft, atmospheric landscape that fills the entire frame.

Questions

Feedbacks , Recommendations

Nil Ozer